STATE OF ILLINOIS
COMPTROLLER
JUDY BAAR TOPINKA

PUBLIC ACCOUNTABILITY REPORT

Fiscal Year 2013

ILLINOIS

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COMPTROLLER
JUDY BAAR TOPINKA

March 2014

A MESSAGE to ILLINOIS TAXPAYERS

Greetings! It is my pleasure to present the fiscal year 2013 *Public Accountability Report*. As Illinois Comptroller, I am committed to holding state government agencies accountable to you, the taxpayer, and ensuring that you are informed of the effectiveness of the programs that your tax dollars support.

The *Public Accountability Report* is designed to link the traditional financial reports of Illinois government with the performance or results of state programs. This type of Service Efforts and Accomplishments (SEA) reporting reviews resources, financial and otherwise, allocated to programs as well as quantifiable measurements of how well programs have realized their objectives. Public accountability reporting can assist state government officials, and the public at large, by making government programs more results oriented. Furthermore, national organizations such as the Governmental Accounting Standards Board and the Association of Government Accountants have issued recommendations not only for evaluating government programs, but also for writing and distributing SEA reports.

This year's report covers 81 selected state agencies and summarizes 214 of the programs they administer. These agencies contributed a significant part ($61.1 billion or 91.3%) of the $66.9 billion in appropriated expenditures for fiscal year 2013.

If you have any comments or suggestions regarding this report, or would like to request additional copies, please contact us at (217) 782-6000 in Springfield, (312) 814-2451 in Chicago, or through our website at www.illinoiscomptroller.com.

Sincerely,

Judy Baar Topinka
State Comptroller

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INTRODUCTION

THE PUBLIC ACCOUNTABILITY REPORT

The Illinois Office of the Comptroller (IOC) continues to emphasize the expansion of governmental accountability reporting beyond traditional financial data into the area of performance measurement. This ongoing effort can improve the accountability of state governmental agencies to the public by making sure that state resources are used efficiently and effectively to accomplish the purpose for which they were earmarked. This report contains data from state agencies that summarize the accomplishments achieved by the programs they administer.

The format for state agency data is Service Efforts and Accomplishments (SEA) reporting as suggested by the Governmental Accounting Standards Board (GASB), the agency designated to set standards for financial reporting by state and local governments. The goal of SEA reporting is to improve financial reports by linking financial information with the performance (or results) of government programs.

SEA reporting reviews "Service Efforts" - financial and other resources allocated to programs - and "Accomplishments" - quantifiable measurements of how well programs have realized their missions. Recognizing the incompleteness of traditional financial reporting, the GASB is promoting experimentation by governments under their purview before issuing standards on SEA reporting.

The Goals of Public Accountability

In broad terms, the Public Accountability Report seeks to:

· **Make state government more result-oriented.**
State agencies should be judged on what they are accomplishing, rather than merely on the volume of their activities. SEA reporting enables agencies to measure the effectiveness of the services they provide to taxpayers and to gauge how their outcomes and efficiencies have changed over time and how they stack up against other entities offering the same services.

· **Increase public awareness of the efficacy of state government programs.**
Budget and financial information have traditionally been available. Information about the success or failure of certain services or programs is made public from time to time on a piecemeal basis. The Public Accountability Report aims to make comprehensive information about the results of state government programs available to the public and government decision-makers on an annual basis - in a simple, understandable format.

· **Facilitate informed decision-making on the allocation of state resources.**
A comprehensive review of the results attained by state government programs can bring about an approach to budgeting that allows programs to be judged by the results they produce. SEA reporting reveals whether a program is performing up to expectations as laid out in its mission and goals. Also, by comparing its resources and results to similar programs in other states or a national average (external benchmarking), SEA reporting can provide guidance as to whether state programs are performing up to standard and whether additional resources are warranted or necessary.

· **Increase public accessibility to information on state government programs.**
Accountability is impossible unless the public receives lucid information on the activities of government and can avail themselves of the opporunity to have input into decision-making. This report attempts to meet this need. Other avenues for both disseminating information and collecting input need to be explored. The IOC encourages all citizens to make suggestions for improving the report. The *Public Accountability Report* is available in digital format at the IOC's website: http://www.illinoiscomptroller.com.

Ranking Illinois' Efforts: Expenditures by Program Area
(Appropriated Spending in Millions)

Program Area	FY 2012	FY 2013	FY 2012 % of Budget	FY 2013 % of Budget
Human Services	**$24,440.3**	**$23,805.7**	**38.0%**	**35.6%**
Government Services	**$18,088.0**	**$21,900.1**	**28.1%**	**32.7%**
Education	**$11,246.5**	**$11,007.6**	**17.5%**	**16.4%**
Elementary and Secondary Education	$8,820.1	$8,718.5	**13.7%**	**13.0%**
Higher Education	$2,426.4	$2,289.1	**3.8%**	**3.4%**
Infrastructure and Economic Development	**$7,262.3**	**$7,090.4**	**11.3%**	**10.6%**
Infrastructure	$5,646.1	$5,475.8	**8.8%**	**8.2%**
Economic Development	$1,616.2	$1,614.6	**2.5%**	**2.4%**
Public Safety	**$2,087.7**	**$2,075.7**	**3.2%**	**3.1%**
Environment and Business Regulation	**$1,142.8**	**$1,066.0**	**1.8%**	**1.6%**
Environment	$880.0	$787.9	**1.4%**	**1.2%**
Business Regulation	$262.8	$278.1	**0.4%**	**0.4%**
Total	**$64,267.6**	**$66,945.5**	**100.0%**	**100.0%**

Numbers may not add due to rounding.

In keeping with these goals, the *Public Accountability Report* for fiscal year 2013 includes coverage of 81 selected state agencies. This group of agencies contributed a significant part ($61.1 billion or 91.3%) of the $66.9 billion in appropriated expenditures for fiscal year 2013.

The report offers detailed information that goes beyond the typical financial data on the programs administered by these agencies and raises important questions about what state government is and is not accomplishing.

READING THE PUBLIC ACCOUNTABILITY REPORT

The *Public Accountability Report* contains detailed information about programs administered by state agencies. To help organize the report, the agencies and programs are divided into the same functional areas used in the state budget. Ranked from high to low based on fiscal year 2013 appropriated expenditures, the areas are:

· **Human Services**
· **Government Services**
· **Education**
· **Infrastructure and Economic Development**
· **Public Safety**
· **Environment and Business Regulation**

There are six sections in the report corresponding to the six functional areas. Each of the sections begins with an expenditure table and relevant statistics. Within each section, the agencies and programs are organized in descending order (ranked high to low) according to fiscal year 2013 appropriated expenditures.

In general, service efforts are measured by the expenditures and the number of staff used for a program, and accomplishments are measured by various outcome, output and efficiency indicators.

The fiscal year 2013 *Public Accountability Report* presents information about state agencies and their programs in the following format:

I. Program Table
The first part is an agency table that summarizes all programs administered by the agency along with the resources (or efforts), in terms of expenditures and staffing, dedicated to them.

II. Agency Narrative
The narrative gives the reader a brief description of the agency's mission, organization and performance. This overview helps to place the program descriptions in context.

III. Data Table
The third section is a table containing data on each program including:

A *Mission Statement* that gives a brief description of the purpose of the program;

Program Goals or broad statements of the overall outcomes that the program is designed to accomplish;

Objectives that provide measurable targets describing the results that the program is expected to accomplish during the fiscal year;

Input Indicators that measure the "effort" put into the program, usually measured by actual expenditures and staffing;

Output Indicators or activity measures, generally presenting the number of items or services produced;

Outcome Indicators or measures of how well the program has addressed the stated goals, i.e., the program's "accomplishments"; and

Efficiency/Cost-Effectiveness Indicators, which are measures of costs per unit of outputs or outcomes.

Both *Outcome and Efficiency/Cost Effectiveness Indicators* may also include *"External Benchmarks"* or comparisons to similar programs in other states (or a national/regional average or ranking).

VALIDITY AND RELIABILITY OF SELF-REPORTED SEA INFORMATION

The SEA program information presented here is compiled by the state agencies and constitutes self-reporting to the IOC under a format and standards established by the IOC. While the IOC has made every effort to obtain and report valid and reliable SEA information, the content is ultimately the responsibility of the agencies. The IOC does not verify or reconcile reported expenditures or performance data, including the funding and statutory sources reported by the agencies. None of the reported performance data has been audited, nor does it fall within the scope of the audit opinion. The information provided has been submitted by each agency unless explicitly noted otherwise. The verifiability and reliability of reported performance data remain a challenge for future SEA reporting.

Reporting Standards

At present, no generally accepted standards have been set for this type of reporting. The evolving process of performance reporting in Illinois is a part of the larger process for setting standards in the future.

Currency of Performance Data

Please keep in mind that, while the figures on spending are current, data collection and reporting on the results or outcomes of government programs often take months or years. Thus, some of the results reported here do not correlate to the year of spending; they do, however, provide a reflection of what the programs are accomplishing.

HUMAN SERVICES

Human Services Expenditures
(Appropriated Spending in Thousands)

Agency	FY 2012 Expenditures	FY 2013 Expenditures	Percent Change
Department of Healthcare and Family Services	$16,648,394.3	$15,475,876.3	-7.0%
Department of Human Services	$5,249,706.2	$5,563,925.8	6.0%
Department of Children and Family Services	$1,190,799.6	$1,136,646.4	-4.5%
Department on Aging	$795,935.8	$1,120,673.4	40.8%
Department of Public Health	$409,145.2	$361,241.3	-11.7%
Department of Veterans' Affairs	$109,433.3	$110,353.1	0.8%
Comprehensive Health Insurance Plan	$24,630.5	$24,630.5	0.0%
Guardianship & Advocacy Commission	$9,272.9	$9,442.3	1.8%
Illinois Council on Developmental Disabilities	$2,257.4	$2,234.0	-1.0%
Illinois Deaf & Hard of Hearing Commission	$717.9	$675.2	-5.9%
TOTAL	**$24,440,293.1**	**$23,805,698.3**	**-2.6%**

Totals may not add due to rounding

Department of Healthcare and Family Services
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Medical Programs	$12,512,633.6	1,009.0	$15,212,345.2	988.0
Child Support Services	$230,861.3	1,162.0	$227,531.1	1,117.0
Non-Reporting Programs				
Office of Healthcare Purchasing	$3,904,899.4	19.0	$36,000.0	N/A
Totals	$16,648,394.3	2,190.0	$15,475,876.3	2,105.0

Explanatory Notes The Office of Healthcare Purchasing was transferred to the Department of Central Management Services on July 1, 2012.

Mission and Organization

The Illinois Department of Healthcare and Family Services (HFS) is committed to empowering Illinois citizens to lead healthier and more independent lives through providing quality healthcare coverage for children, parents, seniors and persons with disabilities; and establishing and enforcing child support obligations.

Child Support Services

The Division of Child Support Services (DCSS) serves families composed of Temporary Assistance to Needy Families (TANF), Medical Assistance No Grant (MANG) clients and any other Illinois resident requesting child support services (Non-Assistance (N/A) clients). The Division helps to establish paternity, locate non-custodial parents, establish child support through judicial or administrative processes, and enforce child support orders through income withholding orders, unemployment benefit intercepts, federal and state tax intercepts, real and personal property liens, denial of passports, suspension of driver's, hunting, and fishing licenses and other lump sum intercepts. DCSS also assists other states to establish parentage and establish and enforce child support on behalf of their residents. Together, these TANF, MANG and N/A cases receiving these services are known as Title IV-D cases. The Division also processes non-IV-D cases through the State Disbursement Unit (SDU). For fiscal year 2013, DCSS collected and disbursed over $1.38 billion in total child support. Total collections include both IV-D and non-IV-D collections made to the SDU.

Medical Programs

In fiscal year 2013, the average monthly count of enrolled individuals for which HFS provided means tested medical coverage inclusive of limited benefit programs was over 2.7 million, including pregnant women, infants, children and teenagers, seniors and people with disabilities, people struggling with onetime catastrophic medical bills, and children and adults with chronic health problems. Virtually all increases in Medical Program costs were due to increases in the number of people needing Medicaid, due to the combination of lower employment, reduced provision of health insurance by employers, and depleted assets. Licensed practitioners, hospital and nursing facilities, and other non-institutional providers enrolled with the Department provided these medical services. Per unit payment rates to these providers have remained flat or increased only modestly — increases were far less than the price inflation seen outside of Medicaid. Furthermore, within broad enrollment categories (such as children, disabled and non-disabled adults, and seniors) there is no significant increase in the number of services consumed per person. In short, Medicaid costs are primarily being pushed by enrollment growth and not increases in per unit costs or the number of units of care per enrollee.

Highlights

Service Delivery: Care Coordination Innovations Project

In order to fundamentally carry out the mission of the Healthcare and Family Services agency, HFS needs to reform the systems that deliver medical care to clients. The Medicaid reform law, P.A. 96-1501, adopted by the Illinois General Assembly in 2011, mandates that 50 percent of all Illinois Medicaid recipients be in coordinated care by January 1, 2015. This means that at least 1.5 million of Illinois' Medicaid clients — children, parents, seniors and persons with disabilities — will receive benefits through an integrated health care delivery system, replacing the current fee-for-service fragmented system.

The Medicaid Reform law broadly defines "care coordination" to include both traditional managed care companies, as well as new alternative models of care, organized and managed by hospitals, physician groups, Federally Qualified Health Centers (FQHCs), behavioral health entities or social service organizations. HFS has developed the Care Coordination Innovations project to test community interest, and capacity to provide alternative models of delivering care (as an adjunct to our current managed care programs).

In fiscal year 2013, important steps were taken to achieve this strategic goal:

• Six Coordinated Care entities were selected and contracts negotiated (though not finalized until fiscal year 2014) for consortia of community organizations to provide coordinated care to Seniors and Persons with Disabilities.

• A solicitation was made and responses received from entities wishing to collaborate to provide coordinated care to children with complex medical needs. (These contracts will be negotiated in fiscal year 2014.)

• A solicitation was made and selections were made of eight managed care entities to provide capitated services to Medicaid clients who are also eligible for Medicare. This project for the so-called dual eligibles is part of a joint Federal-State initiative. These contracts will be signed and services begun in fiscal year 2014.

• A solicitation was made and six additional managed care entities were selected to expand the Integrated Care Program (see below) into Chicago and various downstate regions. One contract was signed in fiscal year 2013 and the other 5 will be signed in fiscal year 2014.

• Working with the Illinois Hospital Association, a new coordinated care entity—an Accountable Care Entity (ACE)—was created through legislation to allow for the development of collaborations of hospitals and others to provide coordinated care to families and individuals who are neither disabled nor seniors. These will be operational in fiscal year 2015.

The Integrated Care Program

As an important specific step in this direction, HFS implemented the Integrated Care Program, the state's first managed care program for seniors and persons with disabilities, on May 1, 2011. The program is mandatory and operates in the pilot areas of suburban Cook (all zip codes that do not begin with 606), DuPage, Kane, Kankakee, Lake and Will counties. As of June 30, 2013, there were 38,000 individuals enrolled in the Integrated Care Program (ICP). Under the Integrated Care Program, care is organized around an individual's needs in order to improve health and quality of life outcomes, while assuring the efficiency and effectiveness of state resources.

HFS contracts with Aetna Better Health and IlliniCare Health Plan to administer the ICP. Aetna Better Health, Inc. has over 20 years experience in Medicaid managed care programs in nine states, serving 1.3 million enrollees. This includes comprehensive care management to more than 277,000 older adults, and adults with disabilities, in eight of those states.

Reimbursement Reform Initiative

Improving health care outcomes can provide a "win-win" situation for the state and the individual enrollees. Better care outcomes will result in improved health status and a higher quality of life for the enrollees and their families. Better care outcomes will provide a much higher value for expenditure of the state's resources. To pursue better care outcomes, the reimbursement structures for many providers need to be updated and redesigned—the largest of which are nursing facilities and hospitals.

The basic reimbursement structures for hospital inpatient and nursing facility services have not been revisited since 1994, when a rate "freeze" was implemented. Although payments to both classes of institutions have increased substantially since that time, the increases have been ad hoc and in response to short-term needs as opposed to being crafted in the context of overall approaches that can achieve the best long-term value for enrollees and for the state.

P.A. 96-1530 sunset the current reimbursement structure for nursing facilities and directed HFS to develop a replacement structure for implementation on July 1, 2012. Legislative action during the spring 2012 session resulted in an extension of implementation of this replacement rate structure to January 1, 2014. The Department intends to implement a Resource Utilization Groups-based reimbursement system that will better measure individual acuity needs, promote quality care and healthier lives for seniors and person with disabilities who require nursing facility care and more directly link payment to clinical complexity.

There are numerous deficiencies in the current hospital reimbursement system, a fact widely acknowledged by the hospital community itself. The base reimbursement system was designed in 1992 and has not been updated since 1994. Static, lump sum, supplemental payments – unrelated to claims or current utilization – comprise only 42 percent of hospital reimbursement. The Medicaid Reform law requires HFS to report on the impact the existing hospital reimbursement system has on the ability to comply with the 50 percent care coordination requirement. The current approach to hospital reimbursement, given statutory restrictions on the Department, makes it virtually impossible to comply with two sets of federal requirements–payment under risk-based contracts and upper payment limitations. In order to comply with the intent and letter of the Medicaid Reform law, HFS needs to modify and modernize the payment methodologies used to reimburse hospitals.

During 2013, HFS continued its collaborative approach with the hospital and nursing home industries, advocacy groups, and other parties on the development of new reimbursement systems that are evidence-based and take into consideration the complexity of health care needs and the health outcomes of our enrollees.

Office of Inspector General

The mission of the Office of Inspector General (OIG) is to prevent, detect, and eliminate fraud, waste, abuse, misconduct and mismanagement in the Medicaid programs administered by HFS, and the Departments of Human Services and Aging. The OIG combats fraud and abuse by implementing innovative Medicaid fraud prevention and detection techniques, preventing ineligible applicants from receiving benefits, conducting client eligibility investigations, performing Medicaid client fraud investigations, restricting clients who abuse their benefits, conducting post-payment audits and Quality of Care reviews of Medicaid providers and identifying assets which were not disclosed by applicants for long-term care.

Medical Programs

Mission Statement: To improve the health of Illinois' children and families by providing access to quality medical care.

Program Goals: Objectives:

1. Improve and maintain access to quality health care services.
   a. Improve the quality of services offered to Medical Home participants.
   b. Increase the number of people enrolled in Medical Programs.
   c. Stabilize speed with which people get enrolled in All Kids.
2. Increase revenue and improve cost effectiveness.
   a. Avoid Medicaid liability for clients who have Third Party Liability (TPL) such as private health insurance.
   b. Increase recoveries of overpayments within the Medical Assistance Program.
3. Expand alternatives to institutional care.
   a. Increase Supportive Living Medicaid capacity.

Source of Funds: General Revenue Fund, University of Illinois Hospital Services Fund, Illinois Prescription Drug Discount Program Fund, County Provider Trust Fund, Provider Inquiry Trust, Care Provider Fund for Persons with Developmental Disability, Long Term Care Provider Fund, Hospital Provider Fund, Special Education Medicaid Matching Fund, Trauma Center Fund, Public Aid Recoveries Trust Fund, Electronic Health Record, Money Follows the Person Budget Transfer Fund, Juvenile Rehabilitation Services Medicaid Matching Fund, Family Care Fund, Drug Rebate Fund, Tobacco Settlement Recovery Fund, Medicaid Buy-In Program Revolving Fund, Hospital Relief Fund, Healthcare Provider Relief Fund, Medical Special Purpose Trust Fund

Statutory Authority: 20 ILCS 2205/2205-5; 305 ILCS5

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$13,902,202.9	$12,916,017.9	$18,030,711.9	$15,664,147.4	$19,872,571.4
* Total expenditures - state appropriated funds (in thousands)	$13,382,323.9	$12,512,633.6	$17,444,171.9	$15,212,345.2	$19,286,031.4
* Average monthly full-time equivalents	1,053.0	1,009.0	1,162.0	988.0	993.0
Output Indicators					
* Total number of children enrolled in Medical Programs (a)	1,663,260	1,685,822	1,838,800	1,635,686	1,735,800
* Number of non-senior adults with disabilities enrolled in Medical Assistance Programs (a)	253,528	259,431	275,000	260,814	281,400
* Number of non-senior adults without disabilities enrolled in Medical Assistance Programs (a)	621,851	635,262	717,400	663,844	708,800
* Seniors enrolled in Medical Assistance Programs	164,987	174,194	180,800	179,437	182,100
* Federally approved TPL cost avoidance numbers (in thousands)	$389,754.0	$491,822.0	$275,000.0	$592,772.0	$300,000.0
* Number of people in mandatory managed care (includes Integrated Care Project) (b)	N/A	N/A	N/A	35,797	151,000
* Number of people in voluntary managed care (b)	N/A	N/A	N/A	241,292	245,000
Outcome Indicators					
* Average number of days to process a clean All Kids application	32.3	19.3	37.0	31.0	40.0
* Medicaid Federal Financial participation earned (in thousands)	$9,524,356.9	$6,928,953.0	$6,500,000.0	$8,561,086.0	$8,700,000.0
* Third Party Liability dollars cost avoided per FTE in TPL section (in dollars)	$8,293.60	$11,710.00	$6,548.00	$16,020.86	$8,108.00
* Percent of women enrolled in the Primary Care Case Management (PCCM) program (continuously enrolled) between ages 42 and 69 who have had one mammogram in the measurement year or the year prior (c)	47.9 %	48.9 %	51 %	N/A	51 %
* Percent of children enrolled in the PCCM program (continuously enrolled) who received designated immunizations by age 24 months, during the measurement year (c)	64.7 %	66.1 %	65 %	N/A	70 %
* Percent of children enrolled in the PCCM program (continuously enrolled) with six well-baby visits in the first 15 months of life (c)	83.3 %	84.7 %	76 %	N/A	86 %
* Percent of children enrolled in the PCCM program (continuously enrolled) ages 3, 4, 5 or 6 who received one or more well-child visits with a Primary Care Provider during the measurement year (c)	74.6 %	75.9 %	73 %	N/A	77 %
* Supportive Living Facility days as a percentage of traditional Nursing Home days	12.3 %	13.4 %	13.4 %	14.7 %	15 %
Efficiency/Cost-Effectiveness Indicators					
* Amount actually collected from providers for inappropriate actions (in thousands)	$37,160.7	$25,702.2	$36,320.0	$62,979.0	$23,150.0
* Amount prevented by catching specific recipient inappropriate claiming (in thousands)	$29,835.3	$32,091.9	$35,237.0	$40,413.2	$39,500.0

Footnotes

(a) Totals are as of July 25, 2013.
(b) New metric in fiscal year 2013.
(c) Data shown is by calendar year and not state fiscal year. Previous calendar year totals updated.

Child Support Services

Mission Statement: Provide services to custodial and non-custodial parents by establishing paternity and establishing, enforcing, and modifying child support obligations to strengthen families emotionally and financially.

Program Goals: Objectives:

1. Establish parentage.
   a. Increase the percentage of total IV-D paternity establishments.
2. Establish support orders.
   a. Increase the percentage of cases with support orders established.
3. Enforce the non-custodial parent's obligation of support.
   a. Increase the amount of collections for established support orders.
   b. Increase the percentage of cases paying toward arrearages.
4. Distribute and disburse child support payments.
   a. Maintain State Disbursement Unit operations.

Source of Funds: General Revenue Fund, Child Support Administrative Fund, Child Support Enforcement Trust Fund

Statutory Authority: 20 ILCS 2205/2205-5; 305 ILCS

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$454,800.4	$430,208.1	$523,584.5	$409,256.4	$528,018.0
* Total expenditures - state appropriated funds (in thousands)	$227,646.6	$230,861.3	$267,353.0	$227,531.1	$271,465.5
* Average monthly full-time equivalents	1,172.0	1,162.0	1,247.0	1,117.0	1,121.0
* State Disbursement Unit (SDU) expenditures (in thousands)	$10,593.9	$10,895.7	$10,628.2	$9,121.3	$10,628.2
Output Indicators					
* Number of IV-D cases with new support orders established	52,122	47,485	48,000	45,931	48,000
* Number of child support cases with orders	410,433	404,752	405,000	406,748	406,000
* Number of child support cases with collections made(includes all Title IV-D, TANF & Non-TANF)	256,010	254,322	255,000	250,715	253,000
* Number of cases with arrearage payments collected (includes all Title IV-D, TANF & Non-TANF)	209,064	205,909	207,000	202,364	204,000
* Number of child support cases with arrearages	339,582	340,612	341,000	335,998	337,000
* Number of cases requiring court orders	105,655	96,001	96,000	89,289	88,000
* Number of SDU payments disbursed (includes all IV-D and non-IV-D)	6,662,881	6,844,750	6,988,196	6,910,145	6,972,069
Outcome Indicators					
* Percent of IV-D children with a paternity established	55.2 %	77.9 %	82 %	77.8 %	92 %
* Percent of IV-D cases with support orders established	79.5 %	80.8 %	81 %	82 %	83 %
* Percent of IV-D cases, with orders, receiving payment	62.4 %	62.8 %	63 %	61.6 %	63 %
* Amount of total child support payments collected (includes all Title IV-D, TANF & non-TANF (in thousands)	$1,389,413.5	$1,393,229.3	$1,399,700.0	$1,388,456.6	$1,400,000.0
* Percent of child support cases in arrearage receiving payments	61.6 %	60.5 %	62 %	60.2 %	64 %
* Amount of total child support arrearages collected (includes all Title IV-D, TANF & non-TANF) (in thousands)	$298,180.4	$278,780.9	$280,000.0	$263,297.6	$270,000.0
* Amount of total child support collections disbursed by the SDU (in thousands)	$1,140,275.7	$1,195,689.5	$1,214,448.3	$1,217,641.2	$1,237,040.3
* Percent of payments disbursed by SDU within 48 hour time frame	99.9 %	99.9 %	99.9 %	99.9 %	99.9 %
* Child Support Federal Financial Participation earned (in thousands)	$121,120.5	$126,315.1	$133,548.0	$110,986.0	$135,066.4
Efficiency/Cost-Effectiveness Indicators					
* Total IV-D amount collected per paying case (in dollars)	$3,306.02	$3,287.59	$3,300.00	$3,280.24	$3,280.00
* Collections per dollar of administrative expenditure (in dollars)	$6.93	$7.85	$6.65	$7.91	$6.58
* Percent of current amount due that is actually collected	58.3 %	59.1 %	62 %	59.7 %	64 %
* Total IV-D arrearage amount collected per paying case (in dollars)	$1,426.26	$1,353.90	$1,380.00	$1,301.11	$1,350.00
* Dollar of disbursement per dollar of SDU expenditure (in dollars)	$107.63	$109.74	$111.65	$133.49	$116.39

Department of Human Services
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Developmental Disabilities - Community & Facility Services	$1,468,180.9	4,008.4	$1,615,315.5	3,747.5
Family and Community Services	$1,254,194.1	2,848.0	$1,443,261.5	2,822.0
Mental Health - Community & Facility Services	$563,046.7	2,299.6	$587,737.1	2,064.6
Reproductive and Early Childhood Services	$583,239.6	69.3	$587,421.4	50.5
Home Services	$573,451.9	283.0	$569,296.1	252.5
Addiction Treatment and Related Services	$191,155.1	50.0	$193,224.5	47.0
Youth and Adult Services	$117,061.2	10.0	$119,541.5	19.0
Vocational Rehabilitation	$104,487.1	473.0	$113,575.3	480.0
Sexually Violent Persons Program	$24,385.9	195.3	$23,983.5	190.0
Non-Reporting Programs				
Administration and Program Support	$155,118.8	502.0	$108,029.2	503.0
Disability Determination Services	$78,232.2	423.1	$74,741.1	368.5
Management Information System	$56,100.7	117.0	$54,941.2	109.0
Program Admin - Disabilities and Behavioral Health	$31,266.3	122.6	$31,798.4	125.5
Children's Residential and Educational Services	$30,328.8	350.8	$29,750.5	351.4
Centers for Independent Living	$6,413.7	N/A	$6,322.9	N/A
Blind Rehabilitation Services	$13,043.2	17.0	$4,986.1	14.0
Totals	$5,249,706.2	11,769.1	$5,563,925.8	11,144.5

Mission and Organization

The mission of the Illinois Department of Human Services (IDHS) is to assist our customers to achieve maximum self-sufficiency, independence and health through the provision of seamless, integrated services for individuals, families and communities.

IDHS improves the quality of life of thousands of Illinois families by providing an array of comprehensive, coordinated services through: programs for persons with developmental disabilities, mental illness, or substance abuse problems, employment, training, and independent living programs for persons with disabilities, and financial support, employment and training programs, community health and prevention programs, child care, and other family services for low-income families.

IDHS serves Illinois families through the following main programs:

Alcoholism and Substance Abuse Services - IDHS is charged with designing, coordinating, funding and licensing a comprehensive and coordinated community-based and culturally and gender-appropriate array of services throughout the state for the prevention, intervention, treatment and recovery of alcohol and other drug abuse and dependency. This system addresses the needs of at-risk or addicted individuals and their families. Developmental Disabilities Services - An extensive array of services and supports are provided for individuals with developmental disabilities to enable them to reside with their families or in other community living situations, and to develop functional and occupational skills. IDHS funds contracts with 345 community service providers and approximately 287 private Intermediate Care Facilities, and operates 7 state-operated developmental centers that provide residential services and offer services and supports to individuals in community living environments.

Family and Community Services - The Division emphasizes a structure that provides services along a continuum of care from birth to death and represents a comprehensive approach to meeting the basic needs of IDHS customers: access to food, nutrition education, prenatal care, housing assistance, quality child care, youth services, income assistance, employment and training and other supportive services. DFCS staff help clients find services provided by other IDHS divisions, state agencies and local communities.

Mental Health Services - Inpatient services are provided in seven accredited state hospitals, and one treatment detention facility operated by the Division of Mental Health (DMH). DMH purchases community mental health services from 180 certified vendors/providers whose staff include credentialed mental health professionals, such as licensed physicians, board-certified psychiatrists, licensed clinical psychologists, licensed clinical social workers, licensed counselors, registered nurses and certified recovery support specialists. All services are intended to identify and treat individuals who are diagnosed with mental illnesses/emotional disorders and co-occurring mental illnesses and substance abuse disorders with the goal of supporting individuals' movement toward recovery.

Rehabilitation Services - the state's lead agency serving individuals with disabilities. The Division works in partnership with people with disabilities and their families to assist them in making informed choices to achieve full community participation through employment, education, and independent living opportunities. IDHS delivers services directly through nearly 200 local offices and in partnership with a network of local providers that reach every part of Illinois. IDHS services touch the lives of one out of five Illinois citizens in the course of a year.

Developmental Disabilities - Community & Facility Services

Mission Statement: Provide a full array of quality, outcome-based, person- and community-centered services and supports for individuals with developmental disabilities and their families in Illinois.

Program Goals: Objectives:

1. Provide comprehensive service and supports to individuals with developmental disabilities and their families to encourage active participation in life choices at home, school, work and in recreational activities in their community.
   a. By June 30, 2013 identify individuals living in State-Operated Developmental Centers (SODC's) who would be more appropriately served in community settings, and offer them the option of community residential alternatives, reducing the statewide SODC census to 1,828.
   b. By June 30, 2013 increase the number of individuals in the Medicaid waiver from 19,501 to 20,200.
2. Improve on an ongoing basis the quality of services and supports provided.
   a. By June 30, 2013 maintain statewide staffing ratios at SODC's to at least 2.0:1.

Source of Funds: General Revenue Fund, Mental Health Fund, Care Provider Fund for Persons with Developmental Disability

Statutory Authority: 20 ILCI705/1502 & 40 ILCS 30/3

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,200,554.9	$1,468,180.9	$1,376,192.5	$1,615,315.5	$1,615,315.5
* Total expenditures - state appropriated funds (in thousands)	$1,200,554.9	$1,468,180.9	$1,376,192.5	$1,615,315.5	$1,615,315.5
* Average monthly full-time equivalents	4,514.8	4,008.4	3,901.4	3,747.5	3,747.5
Output Indicators					
* Number of individuals served in waiver settings	18,710	19,501	20,200	20,051	21,340
* Number of individuals served in private Intermediate Care Facilities and Mental Retardation facilities (ICF/MR), including Skilled Nursing Facility/Pediatrics	6,427	6,414	6,300	5,986	5,750
* Number of individuals served in SODC's	2,002	1,928	1,828	1,810	1,790
Outcome Indicators					
* Percent reduction in end of year census in large state Mental Retardation/Developmental Disabilities (MR/DD) facilities	3.38 %	3.7 %	5.2 %	6.1 %	16.4 %
* Persons receiving developmental disability services as a percent of the estimated number of persons with a diagnosis of a developmental disability	17.6 %	16 %	16 %	16 %	16 %
Efficiency/Cost-Effectiveness Indicators					
* Medicaid claiming as a percentage of total Division of Developmental Disabilities spending	90.5 %	93.7 %	93 %	90.5 %	93 %
External Benchmarks					
* Staff to resident ratio (#:1)	2.1	2.0	2.0	2.0	2.0

Family and Community Services

Mission Statement: To help families and individuals achieve self-sufficiency.

Program Goals: Objectives:

1. Implement Temporary Assistance for Needy Families (TANF) work training programs according to TANF Reauthorization Requirements.
   a. By June 30, 2013 Illinois DHS will have canceled a monthly average of 3.9% of the Available-to-Work (ATW) caseload due to earnings.
   b. By September 30, 2013 Illinois DHS will meet or surpass the Federal Work Participation rate of 50% for TANF clients working and/or engaged in the required number of average countable activities per week.
   c. Through June 30, 2013, maintain the percentage of TANF clients working (of clients available to work) at or above 20%.
   d. Through September 30, 2013, maintain the percentage of TANF clients engaged the required number of average countable activities per week at or above 50.0%.
2. Improve Food Stamp Participation.
   a. By October 1, 2013 maintain the Federal Q.C. Food Stamp Payment Accuracy (FFY) rate to 96.76%.
3. Support families and children by improving the quality of child care and by decreasing the number of families for which child care is a barrier to work.
   a. By June 30, 2013 increase the current average number of children receiving child care subsidy to 163,000 per month.
   b. By June 30, 2013 promote quality care by providing wage bonuses to 7,650 child care workers who stay in their jobs and receive training or education beyond their required licensing standard.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 305 ILCS 5/4-1,51; 20 ILCS 505

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,733,836.9	$1,254,194.1	$1,542,365.3	$1,443,261.5	$1,443,261.5
* Total expenditures - state appropriated funds (in thousands)	$1,733,836.9	$1,254,194.1	$1,542,365.3	$1,443,261.5	$1,443,261.5
* Average monthly full-time equivalents	3,109.0	2,848.0	3,096.4	2,822.0	2,822.0
Output Indicators					
* Total number of Family Health Plan applications disposed timely	264,249	258,024	255,000	268,486	255,000
* Total number of Family Health Plan applications approved	139,438	127,296	130,000	149,114	140,000
* Total number of Medical Assistance No Grant (MANG) Aid to the Aged, Blind and Disabled (AABD) applications approved	98,412	94,373	95,000	89,121	85,000
* Total number of MANG AABD applications disposed timely	201,074	205,081	203,000	208,030	203,000
* Total number of TANF customers canceled due to earnings	6,280	7,439	7,400	7,651	7,500
* Average number of TANF families engaged each month (Fed. participation rate)	3,993	4,634	4,500	5,540	5,500
* Average monthly TANF Available to Work (ATW) caseload	9,918	16,050	16,250	18,074	18,000
* Total average monthly TANF caseload	40,033	48,768	49,000	50,439	49,000
* The average number of cases/families served through the Child Care program per month	91,400	88,600	83,200	87,700	83,200
* Number of children served through the Child Care program - avg. month	173,100	171,000	163,000	163,250	163,000
* Total number of customers served through the Refugee Social Service program	5,808	6,260	6,000	5,536	5,500
* Total number of Refugees and Immigrants receiving citizenship assistance	9,196	9,891	9,500	6,790	6,800
* Total number of Refugees and Immigrants receiving Outreach and Interpretation services	54,221	60,250	60,000	62,204	60,000
* Total number of nights in shelters (in thousands)	2,003	2,175	2,000	3,041	3,041
* Total number of Children served through the Crisis Nursery program	1,423	1,482	1,400	1,691	1,400
* Total number of Seniors accessing services through the Donated Funds Initiative program	9,015	8,168	7,500	9,170	7,500
Outcome Indicators					
* Timely local office disposition of Family Health Plan applications	89.2 %	92 %	90 %	90.7 %	90 %
* Timely disposition of MANG AABD applications	79.1 %	80.5 %	80 %	80.6 %	80 %
* Federal Q.C. Food Stamp payment error rate (FFY)	1.6 %	2.4 %	3.24 %	1.74 %	3 %

Family and Community Services (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Average monthly percentage of the TANF ATW caseload canceled due to earnings	5.3 %	3.9 %	3.9 %	3.5 %	3 %
* Percent of TANF clients working and/or engaged in the required number of average countable activities per week	41.5 %	32.5 %	50 %	38.6 %	50 %
* Percent of families eligible for child care services served	100 %	100 %	100 %	100 %	100 %
* Number of wage bonuses provided to child care workers	9,306	7,073	7,650	7,101	7,000
* Percent of working families receiving child care services	87.4 %	87.9 %	87.9 %	89.1 %	88 %
* Percent of children receiving child care services that are in licensed care	59.5 %	62.3 %	62.3 %	62.6 %	62.3 %
* Percentage of TANF clients working (of clients available to work).	26.7 %	25.8 %	20 %	29.2 %	22.5 %
External Benchmarks					
* Federal work participation rate for all families	50 %	50 %	50 %	50 %	50 %
Efficiency/Cost-Effectiveness Indicators					
* Federal Q.C. Food Stamp Payment Accuracy (FFY)	98.4 %	97.6 %	96.76 %	98.26 %	97 %
* Average cost per Child Care case/family - avg. month (in dollars)	$743.00	$794.00	$814.00	$768.00	$814.00
* Average Child Care cost per child - per month (in dollars)	$393.00	$411.00	$417.00	$413.00	$417.00
* Homeless Prevention – Avg. quarterly cost per household (in dollars)	$900.00	$900.00	$900.00	$950.00	$950.00

Mental Health - Community & Facility Services

Mission Statement: The Division of Mental Health envisions a well resourced transformed mental health system that is consumer directed, community focused, and provides a continuum of culturally inclusive programs which are integrated, effective, and provide a range of services that support health and lifelong development through equal access, promotion of recovery and resilience.

Program Goals: Objectives:

1. Foster the continual development of a comprehensive public mental health system of care.
   a. By June 30, 2013 for all individuals admitted, the continuity of care between State Hospital and community services will be maintained as reflected by a re-admission rate within 30 days of discharge of less than or equal to 14%.
   b. By June 30, 2013 maintain the quality of state hospital services by maintaining an average staff to patient ratio of at least 2.0:1.

Source of Funds: General Revenue Fund, DHS Federal Projects Fund, Community Mental Health Services Block Grant Fund

Statutory Authority: 20 ILCS 1705, et. al.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$582,730.2	$563,046.7	$665,386.6	$587,737.1	$587,737.1
* Total expenditures - state appropriated funds (in thousands)	$582,730.2	$563,046.7	$665,386.6	$587,737.1	$587,737.1
* Average monthly full-time equivalents	2,565.8	2,299.6	2,277.7	2,064.6	2,064.6
Output Indicators					
* Number of individuals served in DHS/DMH Assertive Community Treatment (ACT) program	679.0	733.0	750.0	979.0	1,000
* Number of juveniles found eligible for mental health juvenile justice services (a)	536.0	410.0	474.0	276.0	276.0
Outcome Indicators					
* Percent of re-admissions to state hospitals within 30 days of discharge	13.8 %	14 %	14 %	14.5 %	14.5 %
Efficiency/Cost-Effectiveness Indicators					
* Staff to patient ratio in state hospitals (#:1)	2.0	2.0	2.0	2.0	2.0

Footnotes

(a) A combination of the loss and replacement of a key community provider, and an update to the data system of an agency contracted to collect this information contributed to the lower value for this indicator in fiscal year 2013. Therefore, the number of youth found eligible for services in fiscal year 2013 is underrepresented and is not comparable to previous fiscal year data reported.

Reproductive and Early Childhood Services

Mission Statement: Improves the health and well-being of families and individuals through partnerships and services that build community competence and provide resources and supports that assist families who have infants and toddlers, birth to age three, with diagnosed disabilities, developmental delays or substantial risks of developmental delays to maximize their child's development, while respecting the diversity of families and communities.

Program Goals: Objectives:

1. Reduce infant mortality and morbidity.
   a. By June 30, 2013 increase the percentage of Medicaid eligible pregnant women that are active in Family Case Management (FCM) and Women, Infant, and Children (WIC) in first trimester to 82.5%.
2. Reduce child mortality and morbidity.
   a. By June 30th, 2013 increase the percentage of 12 to 18 month olds who are on FCM who are fully immunized to 80%.
3. Reach as many infants and toddlers with disabilities and developmental delays as possible at the youngest age possible.
   a. By June 30, 2013 the percent of children currently receiving Early Intervention (EI) services that are under age 1 will be at least 13%.

Source of Funds: Early Intervention Services Revolving Fund, USDA Women, Infants and Children Fund ***Statutory Authority:*** 20 ILCS 1305/10-25; 325 ILCS/2

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$574,795.7	$583,239.6	$652,537.7	$587,421.4	$587,421.4
* Total expenditures - state appropriated funds (in thousands)	$574,795.7	$583,239.6	$652,537.7	$587,421.4	$587,421.4
* Average monthly full-time equivalents	109.1	69.3	123.0	50.5	50.5
Output Indicators					
* Number of pregnant women and infants enrolled in FCM	242,823	229,307	212,000	220,145	200,000
* Number of 0-2 year olds who received immunizations	289,213	287,513	266,000	270,149	265,000
* Number of FCM participant births	53,969	51,599	48,000	50,814	49,000
* Number of WIC participant births	64,613	62,620	60,000	61,024	60,000
* Number of WIC food coupons issued	11,819,788	11,537,446	11,900,000	11,058,137	11,146,602
* Number of family planning recipients	104,290	102,305	97,000	85,755	85,000
* Number of low income women receiving Family Planning services	98,458	94,472	92,000	81,941	81,000
* Family fees collected by EI (in thousands)	$3,446.0	$3,496.4	$3,500.0	$3,623.0	$3,500.0
* Number of EI service coordinators in provider agencies	432.8	433.0	445.0	396.0	450.0
* Amount of federal reimbursement received by EI Individuals with Diabilities Education Act (IDEA) Part C (in thousands)	$17,528.3	$17,318.2	$17,318.2	$17,470.8	$16,246.7
* Amount of federal Medicaid reimbursement received by EI (in thousands)	$42,477.4	$42,104.9	$43,000.0	$44,503.0	$44,500.0
* Number of new initial EI IFSP's (Individualized Family Service Plans) developed	18,424	19,020	19,000	19,216	20,000
* Number of children who have EI IFSP's	19,039	19,622	19,500	19,585	20,500
Outcome Indicators					
* Proportion of clients receiving prenatal care in the first trimester - WIC and FCM (a) (b)	82.2 %	N/A	82.5 %	N/A	82.5 %
* Proportion of post-partum clients breast-feeding	69.1 %	70.3 %	71 %	68.9 %	70 %
* Proportion of WIC mothers who continue to breast-feed their infants at six months of age	26.1 %	25.5 %	27 %	26.5 %	27 %
* Proportion of FCM one year old recipients that are fully immunized (a,b)	85.6 %	86.6 %	80 %	79.4 %	80 %
* Infant mortality rate per 1,000 births (a) (b)	6.9	N/A	6.6	N/A	6.8
* Very low birth weight rate per 1,000 births	1.6	N/A	1.6	N/A	1.6
* Percentage of clients receiving IFSP's prior to first birthday	22.9 %	22.1 %	23 %	22.5 %	23 %
* Percentage of children living in Illinois who are under age 3 who are served by EI	3.46 %	3.5 %	3.5 %	3.8 %	3.9 %
* Percentage of children living in Illinois under age 1 who are served by EI	1.07 %	1.09 %	1.1 %	1.29 %	1.35 %
* Percentage of children who are leaving at age 3 who are special education eligible or getting other referral from EI	77.5 %	74.7 %	80 %	74.7 %	85 %
* Percentage of children receiving EI services who are under age 1	10.6 %	10.3 %	13 %	10.5 %	13 %
External Benchmarks					
* National 1st trimester goal	77.9 %	77.9 %	77.9 %	77.9 %	77.9 %
* National infant mortality rate per 1,000 births	6.4	6.2	6.2	6.1	6.1
* Percentage of children under age 1 who are served by EI	1 %	1.02 %	1.02 %	1.18 %	1.2 %
* National percentage of children under the age of 3 who are served by EI	2 %	2.79 %	2.79 %	2.77 %	2.8 %
Efficiency/Cost-Effectiveness Indicators					
* Dollars saved in medical care by providing prenatal care (FCM & WIC) (in millions) (a) (b)	$167.1	N/A	$179.0	N/A	$179.0

Footnotes

(a) Data is not available due to a delay in the completion of birth file information gathered and reported by the Department of Public Health.
(b) WIC program clients are no longer monitored in the immunization program causing a significant drop in the percentage of one year olds fully immunized.

Home Services

Mission Statement: The mission of the Division of Rehabilitation Services is to assist individuals with disabilities in achieving their goals in the areas of employment, education and independent living.

Program Goals: Objectives:

1. Provide World Class Customer services and supports to individuals with disabilities assisting them in achieving their independent living goals.
   a. By June 30, 2013 increase the number of persons receiving needed in-home services by 1% over the number for fiscal year 2012.
   b. By June 30, 2013 assist 165 persons in moving out of nursing homes into community residences.
   c. By June 30, 2013 develop new service plans for 3,925 individuals needing in-home care.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 20 ILCS 2405/3

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$557,573.4	$573,451.9	$578,827.0	$569,296.1	$569,296.0
* Total expenditures - state appropriated funds (in thousands)	$557,573.4	$573,451.9	$578,827.0	$569,296.1	$569,296.1
* Average monthly full-time equivalents	285.0	283.0	320.0	252.5	252.5
Output Indicators					
* Persons with disabilities receiving in-home services to prevent institutionalization	38,682	32,820	33,145	31,406	31,725
* New service plans developed	3,592	3,826	3,925	3,096	3,150
Outcome Indicators					
* Persons moved out of nursing homes	105.0	156.0	165.0	145.0	155.0
Efficiency/Cost-Effectiveness Indicators					
* Average monthly cost of in-home services per client (in dollars)	$1,312.00	$1,341.00	$1,385.00	$1,322.00	$1,335.00

Addiction Treatment and Related Services

Mission Statement: The human suffering and social and economic losses caused by addictions exceed $6 billion in Illinois each year. These losses can be prevented or reduced through the implementation of appropriate public policy and a comprehensive coordinated strategy. The Division of Alcoholism and Substance Abuse (DASA) is responsible for planning, funding and coordination of prevention, intervention, treatment and recovery support services including the identification of service needs, coordination of all state program efforts, the maximization of new and existing resources, and the expansion of accessible and appropriate community-based prevention, intervention and treatment efforts to meet the needs of the citizens of this state.

Program Goals: Objectives:

1. Meet the Needs of Illinois Citizens - Support prevention, intervention and treatment services in whole or in part so that individuals, families and communities may reduce the negative impact caused by abuse and addiction.
   a. By June 30, 2013 provide treatment services for a minimum of 60,000 individuals.
2. Provide a Performance Results Strategy - Evaluate the performance of substance abuse treatment services delivered to Illinois citizens by geographic area and level of care.
   a. By June 30, 2013 complete provider reports for fiscal year 2012 detailing performance on the following output measures: client engagement, retention, and continuity of care as well as client outcome measures related to alcohol and other drug use.
3. Implement quarterly provider performance reports and performance-based contracting.
   a. By June 30, 2013 include provider performance measure benchmarks as standard fiscal year 2014 contract language for DASA-funded treatment providers.

Source of Funds: General Revenue Fund, Prevention and Treatment of Alcoholism and Substance Abuse Block Grant Fund, Drunk and Drugged Driving Prevention Fund, Drug Treatment Fund, Alcoholism and Substance Abuse Fund, Youth Drug Abuse Prevention Fund ***Statutory Authority:*** Public Act 85-965, Chap. 111

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$197,737.7	$191,155.1	$224,399.0	$193,224.5	$193,224.5
* Total expenditures - state appropriated funds (in thousands)	$197,737.7	$191,155.1	$224,399.0	$193,224.5	$193,224.5
* Average monthly full-time equivalents	52.3	50.0	49.3	47.0	47.0
Output Indicators					
* Number of discharges classified as "positive" (transfers, completions etc.)	39,519	43,222	45,000	42,713	45,000
* Number of unduplicated patients served (patient service data)	69,517	71,158	60,000	70,767	60,000
* Estimated number of individuals in prevalence population	1,577,818	1,577,818	1,577,818	1,577,818	1,577,818
* Annual desired treatment capacity	256,676	256,676	256,676	256,676	256,676
Outcome Indicators					
* Percentage of discharges classified as "positive" (transfers, completions etc)	48.3 %	52 %	55 %	59.9 %	60 %
* Unduplicated clients served as a percent of the desired capacity (patient service data)	27.1 %	27.7 %	23.4 %	27.6 %	23.4 %

Youth and Adult Services

Mission Statement: Improves the health and well-being of families and individuals through partnerships and services that build community competence.

Program Goals: Objectives:

1. Effect a positive change in the lives of youth that will prevent them from becoming involved in the child welfare and/or juvenile justice system; to assist them in achieving family preservation, reunification or independence.
   a. By June 30, 2013 maintain the percent of Comprehensive Community-Based Youth Services (CCBYS) recipients' cases closed due to family reunification at 82.5% or higher.
   b. By June 30, 2013 maintain the percent of CCBYS recipients assessed to be at high risk of delinquency at 35% or higher.
2. Effect a positive change in the lives of youth that will delay the age of first use.
   a. By June 30, 2013 maintain the proportion of 10th grade children reporting the consumption of alcohol within the past 30 days at or below 31.4%.
3. Reduce the teen birth rate (women under age 20).
   a. By June 30, 2013 decrease the percentage of teens 15 to 17 years old who give birth to 19% or less.

Source of Funds: Youth Alcoholism and Substance Abuse Prevention Fund ***Statutory Authority:*** 20 ILCS 301

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$129,651.7	$117,061.2	$142,070.0	$119,541.5	$119,541.5
* Total expenditures - state appropriated funds (in thousands)	$129,651.7	$117,061.2	$142,070.0	$119,541.5	$119,541.5
* Average monthly full-time equivalents	54.0	10.0	19.0	19.0	19.0
Output Indicators					
* Number of hours of Substance Abuse Prevention (SAP) activities.	269,126	224,809	225,000	163,330	225,000
* Number of Teen REACH participants	20,704	15,614	16,000	14,103	14,000
* Number of adolescents receiving Family Planning services	24,520	23,443	20,000	18,755	18,000
* Number of CCBYS recipients	6,001	6,000	6,000	6,879	6,800
Outcome Indicators					
* Proportion of 10th grade children reporting use of marijuana in the past month (a)	N/A	19.8 %	18.3 %	N/A	18.3 %
* Mean age at first use (12th grade children only) of marijuana (a)	N/A	15.0	14.9	N/A	15.0
* Proportion of 10th grade children reporting use of alcohol in the past month (a)	N/A	33.2 %	31.4 %	N/A	33.2 %
* Mean age at first use (12th grade children only) of alcohol (a)	N/A	14.7	14.5	N/A	14.7
* Proportion of CCBYS recipients that are assessed to be at high risk of delinquency	42.8 %	34.4 %	35 %	38.9 %	38.9 %
* Proportion of CCBYS recipients whose cases are closed due to family reunification (or successful completion)	82.3 %	82.5 %	82.5 %	83.4 %	83.5 %
* Percent of live births to 15-17 year olds as a percent of births to women under age 20	19.2 %	16.9 %	19 %	N/A	15 %
* Percent of live births to 15-17 year olds as a percent of births to women of all ages	2.9 %	2.8 %	3 %	N/A	2.8 %
External Benchmarks					
* National proportion of 10th grade children reporting use of marijuana in the past month (a)	17.6 %	17 %	17 %	N/A	17 %
* National proportion of 10th grade children reporting use of alcohol in the past month (a)	27.2 %	27.6 %	27.6 %	N/A	27.6 %
* National birth rate of teen-aged women (15-17 years of age)	20.1	17.3	15.4	15.4	15.4

Footnotes

(a) Data relies on the biennial Illinois "Youth Study on Substance Use" for data which is conducted in even-numbered calendar years. The study will be released in about 6 months at the end of the even-numbered calendar year.

Vocational Rehabilitation

Mission Statement: The Division of Rehabilitation Services assists individuals with disabilities in achieving their goals in the areas of employment, education and independent living.

Program Goals: Objectives:

1. Provide World Class Customer services and supports to individuals with disabilities, assisting them in achieving their employment goals.
   a. By June 30, 2013 increase the number of persons in supported employment to 2,100.
   b. By June 30, 2013 increase the rehabilitation rate (success rate) to 58.
   c. By June 30, 2013 increase the number of new applications taken to 17,000.
   d. By June 30, 2013 increase the average hourly wage earned by customers to $10.75.

Source of Funds: General Revenue Fund, Illinois Veterans' Rehabilitation Fund, Vocational Rehabilitation Fund ***Statutory Authority:*** 20 ILCS 2405

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$98,174.0	$104,487.1	$143,242.5	$113,575.3	$113,575.3
* Total expenditures - state appropriated funds (in thousands)	$98,174.0	$104,487.1	$143,242.5	$113,575.3	$113,575.3
* Average monthly full-time equivalents	527.0	473.0	569.8	480.0	480.0
Output Indicators					
* New applications taken	15,905	15,346	17,000	16,104	17,715
* New service plans developed	8,887	13,429	12,250	11,658	12,825
Outcome Indicators					
* Persons in supported employment	2,171	1,809	2,100	1,553	1,600
* Persons competitively employed	4,484	4,956	6,000	5,011	5,500
* Rehabilitation rate (success rate)	54.1	55.1	58.0	53.7	56.0
* Average hourly wage earned by Vocational Rehabilitation customers (in dollars)	$10.18	$10.50	$10.75	$10.40	$10.80
Efficiency/Cost-Effectiveness Indicators					
* Average lifetime cost per rehabilitation (in dollars)	$4,214.00	$3,978.00	$4,050.00	$4,393.00	$4,225.00

Sexually Violent Persons Program

Mission Statement: The mission of the Treatment and Detention Facility (TDF) is to provide residents with intensive, specialized sex offender treatment within a safe, secure environment necessary to protect residents, facility staff, and the community.

Program Goals: Objectives:

1. Manage a highly secure environment for the protection of program staff and visitors, court-ordered detainees, and civilly committed sexually violent persons, as well as state and personal property.
   a. By June 30, 2013 manage the Treatment & Detention Program to achieve an average annual cost per detainee/sexually violent person of $45,350 or less.
2. Through the provision of effective treatment, reduce victimization, protect the survivors of sexual violence, and make transition to communities safer.
   a. By June 30, 2013 complete evaluations of all referrals from the Department of Corrections and admit those as appropriate, resulting in a census of 530 or less at the Treatment and Detention Facility.
   b. Through June 30, 2013 ensure successful transition to the community of all individuals who are conditionally discharged from the Treatment & Detention Facility resulting in no more than two (2) readmissions/returns to the facility.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 725 ILCS 207

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$24,898.4	$24,385.9	$23,084.9	$23,983.5	$23,983.5
* Total expenditures - state appropriated funds (in thousands)	$24,898.4	$24,385.9	$23,084.9	$23,983.5	$23,983.5
* Average monthly full-time equivalents	207.4	195.3	200.4	190.0	190.0
Output Indicators					
* Number of detainees and sexually violent persons in the TDF	451.0	490.0	530.0	517.0	553.0
Outcome Indicators					
* Number of detainees revoked from conditional release and returned to the TDF (a)	1.0	6.0	2.0	7.0	7.0
Efficiency/Cost-Effectiveness Indicators					
* Annual cost per detainee/sexually violent person in the TDF (in dollars)	$54,764.00	$44,512.00	$45,350.00	$43,310.00	$54,218.00

Footnotes

(a) TDF staff continue to maintain that residents are released and returned to their community when appropriate. The release of a detainee is ultimately determined by the court system (judge of the residents committing county). If a violation of the release occurs, the Attorney General as well as the TDF/DHS can recommend revocation, however the final decision to revoke an individual's conditional release is determined by the judge.

Department of Children and Family Services
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Family Reunification and Substitute Care	$742,811.1	1,004.0	$710,810.3	746.0
Adoption and Guardianship	$231,268.6	144.0	$215,883.2	80.0
Protective Services	$155,585.4	1,307.0	$149,674.9	1,629.0
Family Maintenance	$61,134.5	382.0	$60,278.0	245.0
Non-Reporting Programs				
Totals	$1,190,799.6	2,837.0	$1,136,646.4	2,700.0

Mission and Organization

The mission of the Department is to:

1. Protect children who are reported to be abused and neglected and to increase their families' capacity to safely care for them.

2. Provide for the well-being of children in its care.

3. Provide appropriate, permanent families as quickly as possible for those children who cannot safely return home.

4. Support early intervention and child abuse prevention activities.

5. Work in partnership with communities to fulfill this mission.

To achieve this mission the Department utilizes its 2,700 employees and a broad network of private service providers throughout the state. Private agencies are the primary service provider for more than three-fourths of the children in foster care and all children in residential placements. The Department's major program areas are as follows:

Protective Services – Operates the Child Abuse Hotline, conducts child abuse/neglect investigations, licenses Day Care Centers, foster homes, child welfare agencies and institutions.

Family Maintenance – Delivers services to families to assure child safety so that children can remain in or return to the home.

Adoption and Guardianship – Recruits, supports and maintains adoptive/guardianship homes to which children who cannot return home are placed for permanent settings.

Family Reunification & Substitute Care – Assures permanency for children, prepares families for reunification, and ensures the well-being and safety of children who are placed outside their homes due to abuse, neglect or dependency.

Note: As a result of the continued improvements in the Governor's Office of Management & Budget's (GOMB) Budgeting for Results initiative, this report reflects one programmatic change. The Non-Reporting Program of Support Services has been discontinued. Those Support Services costs previously reported as a separate program has now been assigned to the Reporting Programs they directly support. In addition, consistent with the Budgeting for Results initiative, next year's report will include a new Accountability program which supports the Department's efforts to ensure quality services are provided to Illinois children and families through Licensure and Monitoring activities.

Family Reunification and Substitute Care

Mission Statement: Ensure the well-being, safety and permanency of children who are placed outside their homes due to abuse, neglect or dependency. Work in partnership with communities to fulfill this mission.

Program Goals: Objectives:

1. Children placed outside of the home are protected from abuse and neglect.
2. When in care, children are placed close to home, in the least restrictive setting, and in a stable environment.
3. Return children home or move them into an alternative permanency quickly.
4. Have a process in place to allow children to achieve their highest educational outcomes, given their capabilities and desires.
5. When in care, children receive appropriate and necessary physical and mental health care services.

Source of Funds: General Revenue Fund, DCFS Children's Services Fund, DCFS Federal Projects Fund, DCFS Special Purposes Trust Fund

Statutory Authority: Children & Family Services Act

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$757,211.7	$742,811.1	$738,945.8	$710,810.3	$749,150.0
* Total expenditures - state appropriated funds (in thousands)	$757,211.7	$742,811.1	$738,945.8	$710,810.3	$749,150.0
* Average monthly full-time equivalents	995.0	1,004.0	1,038.0	746.0	788.0
Output Indicators					
* Children in independent living	775.0	759.0	800.0	762.0	800.0
* Children in regular foster care	4,191	4,019	4,042	4,044	4,092
* Children in relative care	6,216	6,137	6,086	6,205	6,120
* Children in residential placements	1,378	1,353	1,340	1,285	1,340
* Children in specialized foster care	2,999	2,881	2,808	2,793	2,798
* Children with "return home" goal	7,215	6,979	7,029	6,864	6,980
* Children placed outside home (end of year) - paid placements	15,559	15,149	15,076	15,089	15,150
Outcome Indicators					
* Child cases closed	5,715	6,375	6,137	5,354	6,109
* Percentage of children returned home	16.8 %	16.7 %	16.6 %	16.2 %	18.8 %
* Percentage of children served within the year moved to permanency	28 %	31.8 %	30 %	30.1 %	30.2 %
* Percentage of sibling groups placed all or partially together	82.3 %	82.3 %	82.9 %	82.8 %	82.8
* Number of children returned home	2,237	2,239	2,158	2,112	2,435
* Median length of time open for children in substitute care (yrs)	2.2	2.1	2.1	2.1	2.1

Explanatory Information

Historical data will change as the reporting period becomes more fully observed.

Adoption and Guardianship

Mission Statement: Provide new permanent homes for children in Department's care who cannot safely return to or remain with their biological families. To provide for the well-being of children in adoptive placement. To provide support to adoptive parents before and after adoption-consummation. Work in partnership with communities to fulfill this mission.

Program Goals: Objectives:

1. Support and maintain children in adoptive and guardianship homes.
2. Maintain children in adoptive and guardianship homes.
3. Stabilize placements in adoptive homes and subsidized guardianships.

Source of Funds: General Revenue Fund, DCFS Children's Services Fund

Statutory Authority: Children & Family Services Act

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$249,013.3	$231,268.6	$220,582.6	$215,883.2	$207,447.7
* Total expenditures - state appropriated funds (in thousands)	$249,013.3	$231,268.6	$220,582.6	$215,883.2	$207,447.7
* Average monthly full-time equivalents	145.0	144.0	100.0	80.0	84.0
Output Indicators					
* Number of children receiving adoption payments (end of year)	25,694	23,923	23,082	22,485	21,736
* Number of children receiving guardianship payments (end of year)	3,976	3,489	3,246	3,219	2,886
Outcome Indicators					
* Number of children adopted	1,182	1,728	1,433	1,468	1,254
* Number of children to guardianship	204.0	303.0	322.0	342.0	250.0

Historical data will change as the reporting period becomes more fully observed.

Protective Services

Mission Statement: Protect children, who are reported to be abused and neglected, by assuring their safety in making service provision, placement and permanency planning decisions; by licensing of foster homes, group homes, child care institutions and day care facilities; and by enhancing their families' capacity to safely care for them. Provide for the well-being of children in our care. Support early intervention and child abuse prevention activities. Work in partnership with communities to fulfill this mission.

Program Goals: Objectives:
1. Reports of child abuse/neglect reports will be investigated promptly, safety ensured, and subsequent abuse/neglect prevented.
2. Increase child safety after agency involvement.
3. Improve responsiveness of the child abuse and neglect hotline.

Source of Funds: General Revenue Fund, DCFS Children's Services Fund, DCFS Federal Projects Fund, Child Abuse Prevention Fund

Statutory Authority: Children & Family Services Act

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$142,207.5	$155,585.4	$182,731.7	$149,674.9	$159,809.7
* Total expenditures - state appropriated funds (in thousands)	$142,207.5	$155,585.4	$182,731.7	$149,674.9	$159,809.7
* Average monthly full-time equivalents	1,332.0	1,307.0	1,304.0	1,629.0	1,717.0
Output Indicators					
* Family reports investigated	63,046	65,963	65,475	66,923	67,429
* Hotline calls	258,999	253,444	256,300	236,589	257,110
* Children investigated	101,508	106,236	105,659	108,594	109,236
Outcome Indicators					
* Number of indicated family reports	16,175	16,960	16,777	17,675	17,278
* Percentage of investigations indicated	25.7 %	25.7 %	25.6 %	26.4 %	25.6 %
* Percentage of investigations initiated within 24 hours	99.5 %	99.3 %	99.5 %	99.8 %	99.8 %
* Percentage of investigations completed within 60 days	88.3 %	84.5 %	93.7 %	85.7 %	86.2 %

Historical data will change as the reporting period becomes more fully observed.

Family Maintenance

Mission Statement: Support and stabilize families so that children can safely return home or, if they have been removed, quickly return home.

Program Goals: Objectives:
1. Provide effective in-home services to maintain stable family environments and prevent subsequent abuse.
2. Provide effective programs to minimize intake into substitute care.
3. Improve parenting skills and deter substance abuse.

Source of Funds: General Revenue Fund, DCFS Children's Services Fund

Statutory Authority: Children & Family Services Act

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$64,077.4	$61,134.5	$57,355.3	$60,278.0	$65,208.3
* Total expenditures - state appropriated funds (in thousands)	$64,077.4	$61,134.5	$57,355.3	$60,278.0	$65,208.3
* Average monthly full-time equivalents	382.0	382.0	407.0	245.0	259.0
Output Indicators					
* Intact families at end of fiscal year	5,638	5,149	4,853	3,709	3,440
* Family cases closed	8,704	10,234	8,554	7,606	8,554
Outcome Indicators					
* Intact family cases open over 12 months	2,005	1,861	800.0	652.0	716.0

Historical data will change as the reporting period becomes more fully observed.

Department on Aging
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Community Care Program	$677,480.3	28.0	$1,033,729.9	38.0
Community Support Services	$68,012.2	27.0	$61,143.6	36.0
Elder Rights	$11,382.2	5.5	$13,044.7	8.5
Non-Reporting Programs				
Central Management	$8,520.6	39.0	$9,408.2	52.0
Employment Services	$3,491.0	1.0	$3,248.2	1.0
Training and Staff Development	$172.0	5.0	$98.8	5.0
Circuit Breaker Pharmaceutical	$26,877.5	23.0	$0.0	N/A
Totals	$795,935.8	128.5	$1,120,673.4	140.5

Mission and Organization

The creation of the Illinois Department on Aging as a Cabinet-level agency in 1973 underscored the emphasis that the state's leaders, policy makers and citizens placed on a coordinated approach to the development of programs designed specifically to serve the state's older population. The Department's mission is to serve and advocate for older Illinoisans and their caregivers by administering quality and culturally appropriate programs that promote partnerships and encourage independence, dignity, and quality of life. The Department responds to the dynamic needs of the aging population through a variety of services that include: planning, implementing and monitoring integrated service systems; coordinating and assisting the efforts of local community agencies; advocating for the needs of the state's older adults; and cooperating with federal, state, local and other agencies in developing programs and initiatives.

Approximately 480,000 or 21% of Illinois' approximately 2.3 million older adults receive assistance and support through the Department and its affiliated 13 Area Agencies on Aging, each covering a defined geographic area of the state. The Department's Elder Rights services include the Adult Protective Services Program that responds to abuse and neglect reports involving older adults and persons with disabilities age 18-59; and supports the state's Long Term Care Ombudsman office which protects the rights of residents in long-term care facilities, and which was expanded in 2013 to include persons in homecare and managed care settings. The Department also funds services for caregivers and supports grandparents raising grandchildren and volunteer and intergenerational programs. These efforts have been expanded with the development of the National Family Caregiver Support Program that provides information and assistance, counseling, support groups, training and education, respite and supplemental services.

The Department's responsibilities include administering the Community Care Program, supported in part by Medicaid, to delay and prevent unnecessary nursing home placement. Through local Care Coordination Units, the Department administers the Comprehensive Care Coordination service to ensure that older adults are fully assessed and linked to any available service in their community regardless of the funding source. In fiscal year 2013, over 100,000 unduplicated older adults received CCP services which include: comprehensive care coordination, in-home service, adult day service, and/or emergency home response service to help care for their well-being and safety in home and community-based settings.

With the availability of the Medicare Part D prescription drug benefit and related assistance to low-income beneficiaries and cost saving efficiency measures undertaken by the General Assembly, the Circuit Breaker/Rx property tax relief grant ended on June 30, 2012. The Department continues to administer the Senior and Disabled Rides Free cards and the license plate discount benefit for eligible low income persons. Other Department services include administering the Aging & Disability Resource Centers that serve as comprehensive entry points into the long-term system for older adults and persons with disabilities; and the consumer-directed Cash & Counseling demonstration project that allows older adults control over their home care by allocating a budget for services. The Department continues to participate in the Pathways to Community Living pilot project to identify individuals who are at risk of moving to, or currently residing in, nursing homes to receive enhanced assistance to return to community-based settings.

Pursuant to Public Act 96-1501, the Department is collaborating with the Departments of Healthcare and Family Services and Human Services to transfer eligible older adults and persons with disabilities to risk-based managed care programs by 2015 through the Integrated Care Program (ICP) in fiscal year 2013, and the Medicare/Medicaid Alignment Initiative (MMAI) in fiscal year 2014. This policy reform initiative will improve care coordination and redesign Illinois' healthcare delivery system to be more patient-centered, improve access to care, and achieve greater patient safety and cost efficiencies.

Lastly, the Senior Health Insurance Program (SHIP) was transferred from the Department of Insurance to Aging by Executive Order 13-01 on April 1, 2013, and provides outreach and one-on-one counseling to Medicare beneficiaries to help them navigate complex health and long-term care issues. This transfer promotes a natural extension of the Department's information and assistance services and benefits counseling; and furthers efforts for service recipients to access a comprehensive array of services to improve their quality of life.

Community Care Program

Mission Statement: To provide a cost-effective and accessible system of home and community-based services that provides alternatives to delay or prevent nursing home placement.

Program Goals: Objectives:

1. The Department on Aging will maintain the Community Care Program (CCP) as an alternative to nursing home placement.
   a. CCP will maintain CCP costs at 33% or below of nursing home facility geriatric client costs by the end of the year.
   b. CCP aims to maintain existing Adult Day Services for eligible CCP participants and as respite to family caregivers.
2. The Department on Aging will ensure that potential clients of the CCP have the opportunity to have face-to-face screening interviews with a certified case manager.
   a. CCP will maintain that at least 99% of all pre-screens be conducted face-to-face with the older adult.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 20 ILCS 105/1-11

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$588,172.1	$677,480.3	$755,695.9	$1,033,729.9	$976,053.1
* Total expenditures - state appropriated funds (in thousands)	$588,172.1	$677,480.3	$755,695.9	$1,033,729.9	$976,053.1
* Average monthly full-time equivalents	30.5	28.0	48.0	38.0	50.0
Output Indicators					
* CCP average monthly caseload	68,224	76,624	85,700	82,790	89,400
* Total assessments conducted	222,732	232,731	241,814	241,237	252,409
* Number of deinstitutionalizations conducted	421.0	388.0	488.0	206.0	203.0
* CCP units provided	30,720,634	36,246,076	42,833,057	40,954,922	45,235,346
* Adult Day Service hours	2,533,373	2,627,261	2,726,837	2,617,622	2,643,798
Outcome Indicators					
* Face-to-face screens	99.7 %	99.7 %	99.7 %	99.8 %	99.7 %
* Non-face-to-face screens	0.3 %	0.3 %	0.3 %	0.2 %	0.3 %
* Percent increase in Adult Day Service hours	1.5 %	3.7 %	4.2 %	-0.4 %	1.2 %
* CCP caseload cost vs. nursing home facility geriatric caseload costs	33.5 %	31.1 %	33 %	32.1 %	32.4 %
Efficiency/Cost-Effectiveness Indicators					
* Average monthly savings Federal/State (in dollars)	$1,622.52	$1,880.23	$1,745.38	$1,803.00	$1,879.28
* Average monthly Medicaid nursing home cost (in dollars)	$2,440.39	$2,730.12	$2,616.16	$2,657.01	$2,779.16
* Community Care Program average monthly cost of care (in dollars)	$817.87	$849.89	$870.78	$854.01	$861.75

Historical data will change as the reporting period becomes more fully observed.

Community Support Services

Mission Statement: The mission of Community Support Services is to establish a comprehensive and coordinated system of services that will meet the nutritional and social support needs of older persons in order to maximize their independence, stability, and well-being and to delay premature and unnecessary nursing home placement.

Program Goals: Objectives:

1. To provide a comprehensive array of community-based services which will help frail older adults remain in their communities and in their own homes, including support to family members and other persons providing care to older adults.
2. To target services to older adults, informal caregivers and grandparents raising grandchildren in greatest economic and social need.
   a. At a minimum, 33% of the total number of older adults served in Community Support Services will be older adults in greatest economic need.
   b. At a minimum, 30% of the total number of older adults served in Community Support Services will be minorities.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 20 ILCS 105/

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$74,495.8	$68,012.2	$93,224.3	$61,143.6	$100,186.6
* Total expenditures - state appropriated funds (in thousands)	$74,495.8	$68,012.2	$93,224.3	$61,143.6	$100,186.6
* Average monthly full-time equivalents	30.0	27.0	44.0	36.0	44.0
Output Indicators					
* Number of persons served	496,429	474,190	495,000	494,404	480,000
* Number of home-delivered meals provided	7,330,519	6,701,603	7,123,785	6,021,135	6,800,000
* Number of persons served in home-delivered meals	40,128	36,941	46,689	34,022	39,406
* Number of transportation units (trips) provided	533,900	516,638	571,358	516,500	498,568
Outcome Indicators					
* Percentage of older adults served in registered services in greatest economic need	32.8 %	32.8 %	33 %	33 %	33 %
* Percentage of older adults served in registered services that are minorities	32.1 %	29.5 %	30 %	32.1 %	30 %
Efficiency/Cost-Effectiveness Indicators					
* Average cost per home-delivered meal (in dollars)	$5.14	$5.51	$5.55	$5.34	$5.43
* Percentage of local resources that support Community Support	34.7 %	35.6 %	34 %	34 %	32 %
External Benchmarks					
* National average-clients below poverty as a percentage of registered clients	30.5 %	30.1 %	30.5 %	29.5 %	29.5 %
* National average-minority clients as a percentage of registered clients	25 %	24.8 %	25 %	25 %	25 %

Historical data will change as the reporting period becomes more fully observed.

Elder Rights

Mission Statement: The mission of the Department's Elder Rights initiative is to protect the rights and quality of life of older adults and persons age 18-59 with a disability, who reside in a domestic setting, by respondi ng to reports of abuse, neglect, and financial exploitation through the Adult Protective Services Program; and by advocating for those individuals who reside in long term care facilities and in home care and managed care settings in the community through the State's Long Term Care Ombudsman Program (LTCOP).

Program Goals: Objectives:

1. The Adult Protective Services (APS) Program will respond to reports of alleged mistreatment of older persons & persons aged 18-59 with a disability who reside in the community.
   a. Adult Protective Services provider agencies will respond to abuse reports within the required timeframes in 100% of the cases.
   b. Adult Protective Services provider agencies will complete investigations within 30 days of receipt of all reports of abuse, neglect and financial exploitation.
2. The Adult Protective Services Program will reduce additional abuse in abuse cases.
   a. At a minimum, 80% of closed cases will have no/low risk by the end of the fiscal year.
   b. Subsequent reports (follow-up reports) will be less than 31.8% of all abuse reports by the end of the fiscal year.
3. The LTC Ombudsman Program will address complaints and advocate for the rights of persons in LTC facilities and in managed care and other community-based settings.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 320 ILCS 20/1 et seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$11,409.0	$11,382.2	$14,848.4	$13,044.7	$26,258.1
* Total expenditures - state appropriated funds (in thousands)	$11,409.0	$11,382.2	$14,848.4	$13,044.7	$26,258.1
* Average monthly full-time equivalents	6.5	5.5	6.5	8.5	14.0
Output Indicators					
* Total APS reports received (a)	10,949	11,919	12,089	11,961	15,700
* Estimated number of substantiated APS reports (a)	5,641	6,222	6,534	5,981	9,609
* Average monthly APS caseload (statewide) (a)	2,776	2,958	3,234	2,958	3,982
* Total LTCOP consultations	14,246	13,635	22,000	18,407	18,859
* Estimated number of complaints to LTCOP	5,623	6,395	7,085	7,085	7,100
* Total number of Long Term Care facilities	1,574	1,575	1,542	1,542	1,542
Outcome Indicators					
* Initial APS face-to-face visits with elder abuse victims conducted within required timeframes	97 %	100 %	100 %	100 %	100 %
* APS Investigations of elder abuse completed within 30 days of when the report is received	100 %	100 %	100 %	100 %	100 %
* At a minimum, 80% of closed APS cases have no/low risk by the end of the fiscal year	79 %	80 %	80 %	81 %	80 %
* Subsequent reports as a percentage of all APS reports by the end of the fiscal year	31.4 %	31 %	31 %	32.4 %	31 %
* Percentage of LTCOP complaints resolved to the satisfaction of the resident	65 %	66 %	68 %	70 %	68 %
* Percentage of facilities receiving quarterly regular presence visits by a Long Term Care Ombudsman	57 %	47.5 %	65 %	68 %	85 %
Efficiency/Cost-Effectiveness Indicators					
* Estimated average monthly APS caseload per caseworker	32.0	34.0	38.0	34.0	40.0
* Average APS monthly cost per report (in dollars)	$857.00	$955.00	$921.22	$836.55	$1,042.19

Footnotes

(a) Reports and caseload will increase significantly in fiscal year 2014, due to the APS Act [320 ILCS 20/10], effective 7/1/2013, which will require APS provider agencies to respond to reports involving older persons and to reports involving persons aged 18-59 with a disability who reside in the community.

Department of Public Health
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Health Protection	$157,411.4	360.0	$158,188.4	344.0
Health Care Regulation	$39,396.1	322.0	$44,988.0	366.0
Preparedness and Response	$41,139.4	51.0	$40,125.5	43.0
Health Promotion	$33,026.8	52.0	$31,859.0	51.0
Women's Health	$24,959.5	18.0	$23,131.6	20.0
Policy, Planning & Statistics	$17,656.3	71.0	$19,666.3	71.0
Non-Reporting Programs				
Hospital Capital Investment Program	$71,615.4	N/A	$19,361.9	N/A
Administration	$18,005.6	150.0	$17,782.7	149.0
Information Technology	$5,934.7	38.0	$6,137.9	40.0
Totals	$409,145.2	1,062.0	$361,241.3	1,084.0

Mission and Organization

The mission of the Illinois Department of Public Health is to protect the health of the population statewide through the prevention and control of disease and injury.

The Office of Health Protection prevents the spread of infectious disease and illness through: Childhood Immunization program; Childhood Lead Poisoning Prevention; HIV/AIDS prevention and drug treatment; Prevention and control of food borne illnesses; Clinical and Environmental Lab Testing services; and numerous other programs.

The Office of Preparedness and Response coordinates the department's operations for statewide public health emergencies and regulates emergency medical systems, including hospital trauma centers and emergency medical technicians/paramedics.

The Office of Health Care Regulation promotes quality of care and patient safety in health care facilities throughout the state. The Office conducts annual licensure inspections of long-term care facilities, as well as complaint investigations, and operates a 24-hour central complaint registry. The Office also regulates other health care facilities, including hospitals, ambulatory care facilities, and assisted living facilities, to ensure compliance with state and federal standards.

The Office of Health Promotion provides preventive health services with respect to chronic diseases as well as to metabolic and genetic disorders in newborns, vision and hearing disorders in children, oral health and injury. Services include health education, screening, counseling and follow-up.

The Office of Women's Health promotes women's health through the provision of free screenings for breast and cervical cancer for at-risk women in the state. The Office also promotes awareness and education on women's health issues and operates the Women's Health Helpline.

The Office of Policy, Planning and Statistics promotes access to health care for rural and underserved populations. The Office also promotes patient safety measures, including those focused on the reduction of medical errors and health facility acquired infections. In addition, the office collects and evaluates a broad range of health information and develops state health care policies.

Health Protection

Mission Statement: To protect individuals from infectious diseases, environmental exposures, toxic substances and dangers related to contamination of food, drugs and dairy products.

Program Goals: Objectives:

1. To protect the citizens of Illinois from infectious diseases.
   a. By June 30, 2014, achieve statewide immunization rates for children aged 19-35 months to at least 85%.
2. To protect the public from diseases and injury due to environmental hazards.
   a. Ensure the quality of water by maintaining the percent of non-community public water supplies without a coliform violation at least 95% by June 30, 2014.
3. To provide accurate, reliable and timely state laboratory services and to ensure the quality of environmental and bioterrorism laboratories.
   a. By June 30, 2014, maintain turnaround time within 2.5 (average) working days for positive newborn screening results.
4. To protect the public from dangers related to food, drug and dairy products.

Source of Funds: General Revenue Fund, Food and Drug Safety Fund, Public Health Services Fund, Safe Bottled Water Fund, Facility Licensing Fund, Illinois School Asbestos Abatement Fund, Emergency Public Health Fund, Public Health Water Permit Fund, Used Tire Management Fund, Tattoo and Body Piercing Establishment Registration Fund, Public Health Laboratory Services Revolving Fund, Lead Poisoning, Screening, Prevention and Abatement Fund, Tanning Facility Permit Fund, Plumbing Licensure and Program Fund, Quality of Life Endowment Fund, Pesticide Control Fund, Pet Population Control Fund, Private Sewage Disposal Program Fund, Public Health State Projects Fund, Metabolic Screening and Treatment Fund, Build Illinois Bond Fund

Statutory Authority: 20 ILCS 2310/

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$143,068.4	$157,411.4	$198,957.1	$158,188.4	$200,761.6
* Total expenditures - state appropriated funds (in thousands)	$143,068.4	$157,411.4	$198,957.1	$158,188.4	$200,761.6
* Average monthly full-time equivalents	389.0	360.0	382.0	344.0	382.0
Output Indicators					
* Number of prescriptions filled through AIDS Drug Assistance Program (ADAP)	131,100	135,003	136,852	138,049	144,951
* Number of lead poisoning cases investigated	271.0	1,434	1,300	2,296	2,000
* Total newborn screening tests performed	1,593,782	1,693,576	1,800,000	1,893,457	1,800,000
* Number of all other lab tests performed	1,383,012	1,211,033	1,200,000	851,807	1,000,000
* Number of children screened for blood lead poisoning	300,290	290,862	300,000	291,153	300,000
* Number of children referred for lead follow-up exceeding 10 mcg/dl	3,356	3,164	3,000	3,035	3,000
Outcome Indicators					
* Immunization Rate for children under two years of age, excluding City of Chicago	84 %	77 %	80 %	86 %	87 %
* Immunization Rate for all Illinois children under two years of age, including Chicago (4:3:1 series)	83 %	77 %	80 %	83 %	85 %
* Percent of non-community public water supplies with no coliform positive samples	97 %	98.7 %	95 %	97.6 %	95 %
* Total newborn screening test results reported	1,295,147	1,407,524	1,500,000	1,578,740	1,600,000
* Turn-around time for positive newborn screening results (working days)	2.0	2.6	2.5	2.8	2.5
* Percentage of children tested with blood lead levels exceeding 10 mcg/dl	1.1 %	1.1 %	1 %	1 %	0.9 %

Health Care Regulation

Mission Statement: To ensure a safe and healthy environment and to promote quality care for people who use primary health care agencies and services.

Program Goals: Objectives:

1. To ensure the quality of care for residents of health care facilities and those served by ambulatory health services.
   a. Work with Long Term Care (LTC) facilities to increase the percent in compliance with standards of care at the first revisit to 80% by June 30, 2014.

Source of Funds: General Revenue Fund, Public Health Services Fund, Long Term Care Monitor/Receiver Fund, Home Care Services Agency Licensure Fund, Equity in Long-term Care Quality Fund, Regulatory Evaluation and Basic Enforcement Fund, Health Facility Plan Review Fund, Hospice Fund, Assisted Living and Shared Housing Regulatory Fund, Public Health State Projects Fund

Statutory Authority: 20 ILCS 2310

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$34,100.2	$39,396.1	$53,729.5	$44,988.0	$59,178.2
* Total expenditures - state appropriated funds (in thousands)	$34,100.2	$39,396.1	$53,729.5	$44,988.0	$59,178.2
* Average monthly full-time equivalents	315.0	322.0	410.0	366.0	380.0
Output Indicators					
* Number of licensed LTC beds	119,329	117,081	116,000	116,294	116,300
* Number of complaints received against LTC facilities	5,160	3,702	4,000	4,068	4,075
* Number of LTC facility annual inspections	1,075	1,096	1,100	1,079	1,085
* Number of LTC facility 1st and 2nd follow-ups to inspections	515.0	736.0	750.0	782.0	790.0
* Number of LTC state licensed facilities (as of 07/01)	1,142	1,121	1,110	1,114	1,115
Outcome Indicators					
* Percent of LTC facilities in compliance at annual inspection	34 %	36 %	36 %	32 %	35 %
* Percent of LTC facilities in compliance at first revisit of annuals	62 %	64 %	64 %	80 %	80 %
* Number of LTC facilities with licensure Type "A" violation	106.0	86.0	90.0	56.0	55.0
* Percent of LTC facilities with a licensure Type "A" violation	9 %	8 %	8 %	5 %	5 %

Preparedness and Response

Mission Statement: To promote public health and safety through emergency preparedness and regulation of emergency medical services and providers.

Program Goals: Objectives:

1. To ensure access to and quality of trauma care services.
   a. By June 30, 2014, assure that Illinois' trauma system maximizes survival and functional outcomes of trauma patients through distribution of targeted funding to maintain the trauma care network and by ensuring designated trauma hospitals are in compliance with state regulations.

Source of Funds: General Revenue Fund, Fire Prevention Fund, Public Health Services Fund, Heartsaver AED Fund, Trauma Center Fund, EMS Assistance Fund, Spinal Cord Injury Paralysis Cure Research Trust Fund, Public Health State Projects Fund

Statutory Authority: 20 ILCS 2310/2310-610,615,620

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$47,316.5	$41,139.4	$82,457.5	$40,125.5	$83,233.1
* Total expenditures - state appropriated funds (in thousands)	$47,316.5	$41,139.4	$82,457.5	$40,125.5	$83,233.1
* Average monthly full-time equivalents	46.0	51.0	46.0	43.0	46.0
Output Indicators					
* Grants to trauma center hospitals (in thousands)	$4,500.0	$4,256.0	$4,500.0	$5,100.0	$5,100.0
* Number of trauma cases	52,526	52,233	52,000	50,724	50,000
* Number of hospitals designated as trauma centers	65.0	66.0	66.0	66.0	66.0
* Number of EMS Resource Hospitals	66.0	66.0	66.0	55.0	55.0
Outcome Indicators					
* Percent of hospital trauma centers in compliance with state regulations	100 %	100 %	100 %	100 %	100 %

Health Promotion

Mission Statement: Promoting health and safety through education, information and partnering with communities to provide quality services.

Program Goals: Objectives:

1. Protect the health of Illinois' children.
   a. Ensure that 100% of all newborns receive appropriate metabolic newborn screening and follow-up as necessary.
2. Reduce the burden of chronic disease on Illinoisans of all ages.
3. Decrease premature death and disability resulting from unintentional injury and violence.

Source of Funds: General Revenue Fund, Alzheimer's Disease Research Fund, Public Health Services Fund, Childhood Cancer Research, Diabetes Research Checkoff Fund, Multiple Sclerosis Research Fund, Autoimmune Disease Research Fund, Prostate Cancer Research Fund, Healthy Smiles Fund, DHS Private Resources Fund, Tobacco Settlement Recovery Fund, Maternal and Child Health Services Block Grant Fund, Preventive Health and Health Services Block Grant Fund, Public Health State Projects Fund, Metabolic Screening and Treatment Fund, Hearing Instrument Dispenser Examining and Disciplinary Fund

Statutory Authority: 410 ILCS 240

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$27,007.1	$33,026.8	$47,291.1	$31,859.0	$48,327.7
* Total expenditures - state appropriated funds (in thousands)	$26,258.8	$33,026.8	$47,291.1	$31,859.0	$48,327.7
* Average monthly full-time equivalents	50.0	52.0	53.0	51.0	53.0
Output Indicators					
* Number of newborns screened for genetic/metabolic disorders (a)	160,024	157,000	160,000	N/A	160,000
* Number of vision and hearing screenings performed (a)	2,017,908	1,838,874	1,900,000	N/A	2,000,000
* Number of children eligible for vision and hearing screening (a)	1,200,000	1,500,000	1,500,000	N/A	1,500,000
Outcome Indicators					
* Percentage of newborns screened (a)	99.9 %	99.9 %	99.9 %	N/A	99.9 %
* Number of infants confirmed with genetic/metabolic conditions	378.0	360.0	375.0	366.0	400.0

Footnotes

(a) Fiscal year 2013 actual data not yet available.

Women's Health

Mission Statement: To improve the health of Illinois women, to encourage healthier lifestyles among women, and to promote equitable public policy on women's health issues.

Program Goals: Objectives:

1. To improve women's health through screening and early detection programs.
   a. Reduce the diagnosis of late stage breast and cervical cancer, through the provision of breast and cervical cancer screenings to no less than 27,500 women by June 30, 2014.
2. To increase the knowledge of providers and the public about gender specific health issues and resources.
   a. Respond to 6,000 calls to the Women's Health Helpline and hold Women's Health Referral Network on an annual basis.

Source of Funds: General Revenue Fund, Penny Severns Breast Cervical and Ovarian Cancer Research Fund, Public Health Services Fund, Carolyn Adams Ticket for the Cure Grant Fund, Tobacco Settlement Recovery Fund, Maternal and Child Health Services Block Grant Fund, Public Health State Projects Fund

Statutory Authority: 20 ILCS 2305/

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$22,398.8	$24,959.5	$30,556.9	$23,131.6	$61,418.9
* Total expenditures - state appropriated funds (in thousands)	$22,398.8	$24,959.5	$30,556.9	$23,131.6	$61,418.9
* Average monthly full-time equivalents	22.0	18.0	25.0	20.0	28.0
Output Indicators					
* Number of requests to Women's Health Helpline	8,160	5,846	6,000	6,145	6,500
* Women's Health Initiative and Osteoporosis Grant Awards (in thousands)	$500.0	$183.7	$228.0	$223.5	$200.0
* Number of Women's Health Initiative and Osteoporosis Grant Awards	75.0	40.0	28.0	28.0	25.0
* Number of women receiving screening services	39,157	37,165	33,720	34,442	27,500
Outcome Indicators					
* Percent of women with abnormal screening results who received diagnostic follow-up	92.1 %	99.1 %	100 %	98 %	100 %
Efficiency/Cost-Effectiveness Indicators					
* Average turn-around time for requests received through the Women's Health Helpline (business days)	3.0	4.0	4.0	3.0	4.0

Policy, Planning & Statistics

Mission Statement: To facilitate the development of state health policy that ensures effective, accessible and affordable health services in Illinois.

Program Goals: Objectives: 1. Improve access to primary health services for residents of rural and underserved areas of Illinois.

Source of Funds: General Revenue Fund, Public Health Services Fund, Community Health Center Care Fund, Illinois Health Facilities Planning Fund, Nursing Dedicated and Professional Fund, Long Term Care Provider Fund, Regulatory Evaluation and Basic Enforcement Fund, Tobacco Settlement Recovery Fund, Public Health Federal Projects Fund, Preventive Health and Health Services Block Grant Fund, Public Health State Projects Fund, Illinois State Podiatric Disciplinary Fund

Statutory Authority: 20 ILCS 2310/

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$15,624.1	$17,656.3	$28,881.9	$19,666.3	$33,741.8
* Total expenditures - state appropriated funds (in thousands)	$15,624.1	$17,656.3	$28,881.9	$19,666.3	$33,741.8
* Average monthly full-time equivalents	73.0	71.0	63.0	71.0	70.0
Output Indicators					
* Number of new medical scholarship awards	0.0	0.0	0.0	0.0	0.0
* Number of continuing medical scholarship awards	0.0	0.0	0.0	0.0	0.0
Outcome Indicators					
* Number of Medicare certified rural health clinics	223.0	220.0	220.0	216.0	216.0
* Number of medical scholarship recipients currently in practice in rural and underserved areas	46.0	61.0	80.0	61.0	55.0

Department of Veterans' Affairs
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Illinois Veterans' Home Quincy	$45,320.9	539.0	$45,727.2	526.9
Illinois Veterans' Home Manteno	$31,676.1	325.6	$31,518.8	335.6
Illinois Veterans' Home LaSalle	$17,649.3	202.3	$18,301.9	204.4
Illinois Veterans' Home Anna	$6,137.5	69.0	$6,093.6	67.6
Field Services Division	$5,260.7	75.2	$5,240.4	72.7
Awards/Grants/Records Section	$1,372.2	9.2	$1,297.0	8.3
State Approving Agency	$1,074.0	8.2	$1,088.2	7.5
Prince Homeless Program at Manteno	$731.6	8.8	$866.0	8.9
Troops to Teachers Program	$211.0	1.6	$220.0	1.4
Non-Reporting Programs				
Totals	$109,433.3	1,238.9	$110,353.1	1,233.3

Mission and Organization

The mission of the department is to empower Illinois' veterans, as well as their dependents and survivors, to thrive by assisting them in obtaining the benefits to which they are entitled; by providing long-term health care for eligible veterans; and by partnering with other agencies and non-profits to help veterans address education, mental health, housing, employment, and other challenges.

The ***Field Services Division*** runs 93 Veterans Service Offices statewide to assist veterans and their families in navigating and applying for federal, state, and local resources. The Grants section administers state benefits including: education grants, the MIA/POW scholarship, housing grants, burial benefits, no-fee recreational permits, and bonuses for wartime service. The department operates Veterans' Homes in Quincy, Manteno, LaSalle and Anna to provide skilled nursing and domiciliary care for eligible veterans.

The ***State Approving Agency*** program approves and audits veterans' education and training programs available from colleges, universities, and vocational training centers in Illinois; together with the Troops to Teachers program, these federally funded programs provide important federal education and training benefits to veterans (information on these two programs is based upon federal fiscal year data).

Finally, the ***Central Office*** administers or supports several unique programs, including the Illinois Warrior Assistance Program, the Prince Home, the Veterans Cash grant program, and initiatives regarding unemployment, women veterans, and partnerships with agencies and non-profits.

Illinois Veterans' Home Quincy

Mission Statement: To provide quality long-term skilled nursing and domiciliary care to eligible residents.

Program Goals: Objectives:

1. To provide skilled long-term care to Illinois veterans and their spouses residing at the Illinois Veterans' Home at Quincy.
   a. Provide the number of hours of skilled care to meet the sufficient medical needs of each resident per day.
   b. Decrease IDPH reportable incidents.
   c. Maintain funded daily census.
2. Improve efficiency of providing services.

Source of Funds: General Revenue Fund, Quincy Veterans Home Fund

Statutory Authority: 20 ILCS 2805/2 - 2805/2.06

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$39,647.1	$45,335.7	$51,300.9	$45,744.9	$54,327.5
* Total expenditures - state appropriated funds (in thousands)	$39,633.6	$45,320.9	$51,300.9	$45,727.2	$54,327.5
* Average monthly full-time equivalents	524.3	539.0	552.0	526.9	627.1
Output Indicators					
* Average daily census	422.4	383.8	383.0	376.6	382.0
* Number of nursing hours per resident	2.9	3.2	3.2	3.2	3.4
* Number of IDPH reportable incidents	38.0	28.0	0.0	29.0	0.0
Outcome Indicators					
* Percentage change in average daily census	-4 %	-9.1 %	-0.2 %	-1.9 %	1.4 %
* Percentage change in IDPH reportable incidents	-26.9 %	-26.3 %	-100 %	3.6 %	0 %
Efficiency/Cost-Effectiveness Indicators					
* Federal per diem revenues (in thousands)	$12,642.6	$11,667.5	$13,878.3	$15,154.1	$13,493.6

Illinois Veterans' Home Manteno

Mission Statement: To provide quality long-term skilled nursing and domiciliary care to eligible residents.

Program Goals: Objectives:

1. To provide skilled long-term care to Illinois veterans who reside at the Illinois Veterans' Home at Manteno.
   a. Provide the number of hours of skilled care to meet the sufficient medical needs of each resident per day.
   b. Decrease IDPH reportable incidents.
   c. Maintain funded daily census.
2. Improve efficiency of providing services.

Source of Funds: General Revenue Fund, Illinois Veterans Assistance Fund, Veterans' Affairs Federal Projects Fund, Manteno Veterans Home Fund

Statutory Authority: 20 ILCS 2805/2 - 2805/2.06

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$27,552.2	$31,690.9	$38,063.8	$31,533.6	$39,889.9
* Total expenditures - state appropriated funds (in thousands)	$27,538.7	$31,676.1	$38,063.8	$31,518.8	$39,889.9
* Average monthly full-time equivalents	317.9	325.6	361.0	335.6	406.7
Output Indicators					
* Average daily census	289.0	290.0	294.0	278.0	294.0
* Number of nursing hours per resident	3.1	3.2	3.2	3.3	3.4
* Number of IDPH reportable incidents	59.0	51.0	0.0	34.0	0.0
Outcome Indicators					
* Percentage change in average daily census	2.8 %	0.3 %	1.4 %	-4.1 %	5.8 %
* Percentage change in IDPH reportable incidents	-48.2 %	-13.6 %	-100 %	-33.3 %	-100 %
Efficiency/Cost-Effectiveness Indicators					
* Federal per diem revenues (in thousands)	$8,733.9	$13,160.3	$9,482.7	$10,551.6	$11,578.1

Historical data was changed to reflect addition of Prince Homeless Program at Manteno.

Illinois Veterans' Home LaSalle

Mission Statement: To provide quality long-term skilled nursing and domiciliary care to eligible residents.

Program Goals: Objectives:

1. To provide skilled long-term nursing care to Illinois veterans residing at the Illinois Veterans' Home at LaSalle.
   a. Provide the number of hours of skilled care to meet the sufficient medical needs of each resident per day.
   b. Decrease IDPH reportable incidents.
   c. Maintain funded daily census.
2. Improve efficiency of providing services.

Source of Funds: General Revenue Fund, LaSalle Veterans Home Fund

Statutory Authority: 20 ILCS 2805/2 - 2805/2.06

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$15,064.3	$17,663.0	$21,738.8	$18,318.4	$24,337.9
* Total expenditures - state appropriated funds (in thousands)	$15,052.6	$17,649.3	$21,738.8	$18,301.9	$24,337.9
* Average monthly full-time equivalents	187.7	202.3	245.0	204.4	269.8
Output Indicators					
* Average daily census	168.0	179.7	184.0	175.0	184.0
* Number of nursing hours per resident	2.9	3.0	3.0	3.2	3.4
* Number of IDPH reportable incidents	33.0	20.0	0.0	54.0	0.0
Outcome Indicators					
* Percentage change in average daily census	15.9 %	7 %	2.4 %	-2.6 %	5.1 %
* Percentage change in IDPH reportable incidents	-50.7 %	-39.4 %	-100 %	170 %	-100 %
Efficiency/Cost-Effectiveness Indicators					
* Federal per diem revenues (in thousands)	$4,680.8	$7,913.9	$5,887.0	$6,403.2	$6,680.3

Illinois Veterans' Home Anna

Mission Statement: To provide quality long-term skilled nursing and domiciliary care to eligible residents.

Program Goals: Objectives:

1. To provide skilled long-term care to Illinois veterans and their spouses residing at the Illinois Veterans' Home at Anna.
   a. Provide the number of hours of skilled care to meet the sufficient medical needs of each resident per day.
   b. Decrease IDPH reportable incidents.
   c. Maintain funded daily census.
2. Improve efficiency of providing services.

Source of Funds: General Revenue Fund, Anna Veterans Home Fund

Statutory Authority: 20 ILCS 2805/2 - 2805/2.06

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$5,393.4	$6,141.6	$6,969.4	$6,094.6	$7,463.5
* Total expenditures - state appropriated funds (in thousands)	$5,382.2	$6,137.5	$6,969.4	$6,093.6	$7,463.5
* Average monthly full-time equivalents	66.2	69.0	76.5	67.6	83.4
Output Indicators					
* Average Daily Census	51.3	48.0	49.0	48.2	49.0
* Number of nursing hours per resident`	3.7	3.6	3.6	3.2	3.4
* Number of IDPH reportable incidents	23.0	11.0	0.0	9.0	0.0
Outcome Indicators					
* Percentage change in average daily census	-12 %	-6.4 %	2.1 %	0.4 %	1.7 %
* Percentage change in IDPH reportable incidents	-34.3 %	-52.2 %	-100 %	-18.2 %	-100 %
Efficiency/Cost-Effectiveness Indicators					
* Federal per diem revenues (in thousands)	$1,564.4	$1,945.4	$1,948.2	$2,066.6	$2,014.5

Field Services Division

Mission Statement: To assist veterans in navigating the complex web of federal, state and local resources and benefits available to them. To help them increase monthly income through federal funds, as well as helping them to avert the downward spiral into poverty, substance abuse and homelessness.

Program Goals: Objectives:

1. Assist veterans in obtaining federal and state benefits.
   a. Increase number of federal and state claims filed.
   b. Increase federal funds to Illinois.
2. To provide service to veterans, their dependents and survivors.
   a. Increase number of veterans contacted and served.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 20 ILCS 2805/2

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$5,087.5	$5,260.7	$6,060.0	$5,240.4	$6,181.6
* Total expenditures - state appropriated funds (in thousands)	$5,087.5	$5,260.7	$6,060.0	$5,240.4	$6,181.6
* Average monthly full-time equivalents	78.8	75.2	76.5	72.7	76.2
Output Indicators					
* Number of federal and state applications submitted for benefits (a)	117,340	91,912	95,000	92,686	99,540
* Number of outreach events	N/A	244.0	N/A	298.0	360.0
* Number of veterans served	N/A	145,365	N/A	181,572	197,760
Outcome Indicators					
* Percentage change in number of federal and state applications submitted (a)	-3.8 %	-21.7 %	3.3 %	0.8 %	7.4 %
* Percentage change in number of outreach events	N/A	N/A	N/A	22.1 %	20.8 %
* Percentage change in number of veterans served	N/A	N/A	N/A	24.9 %	8.9 %
Efficiency/Cost-Effectiveness Indicators					
* Federal dollars returned to economy resulting from claims filed with USDVA (in thousands)	$32,076.3	$34,913.2	$35,000.0	$43,852.6	$48,000.0

Footnotes

(a) The USDVA has changed the application submission location from the Chicago Office to the USDVA Milwaukee Office reducing the number of claims received by IDVA.

Awards/Grants/Records Section

Mission Statement: Administers numerous awards and state grants to assist veterans with their financial responsibilities, physical disabilities, employment opportunities and other special services. Service includes grants for special adapted housing; awards and scholarships for primary, secondary and post-secondary education at many state schools, colleges and universities for veterans' dependents; bonus payments for wartime service for Illinois veterans and their families; free hunting and fishing licenses for disabled veterans as well as free camping permits for certain disabled veterans and payment for setting a government headstone or marker for a deceased veteran. Also assists veterans in overcoming PTSD, homelessness, disability, long-term care and health insurance by awarding grants to organizations that address these issues.

Program Goals: Objectives:

1. Administration of awards and grants as mandated by state statute.
   a. Number of grant applications received from veterans is subject to dwindling eligibility pools.
   b. Veterans' Assistance Fund (VAF) target adjusted to reflect Grant Committee goal of granting for impact rather than of granting to large numbers of organizations.

Source of Funds: General Revenue Fund, Illinois Veterans Assistance Fund, Illinois Affordable Housing Trust Fund, Illinois Military Family Relief Fund ***Statutory Authority:*** 20 ILCS 2805/2

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,951.1	$1,372.2	$2,508.9	$1,297.0	$1,824.4
* Total expenditures - state appropriated funds (in thousands)	$3,951.1	$1,372.2	$2,508.9	$1,297.0	$1,824.4
* Average monthly full-time equivalents	9.3	9.2	8.0	8.3	9.3
Output Indicators					
* Number of claims received and processed	6,173	6,012	9,862	5,173	5,700
* Number of special services provided	N/A	94,140	N/A	261,101	285,000
* Number of grantees for VAF	31.0	30.0	70.0	22.0	22.0
Outcome Indicators					
* Percentage of change in number of claims received and processed	N/A	-2.6 %	64 %	-14 %	10.2 %
* percentage change in number of special services	N/A	N/A	N/A	177.4 %	9.2 %
* Percentage change in number of grantees for VAF	N/A	-3.2 %	133.3 %	-26.7 %	0 %

State Approving Agency

Mission Statement: To equip veterans for successful educational experiences by training, certifying, and auditing higher education, vocational and job training programs for receipt of GI Bill funding.

Program Goals: Objectives:

1. Actively encourage and promote the increased usage of GI Bill benefits through a vigorous and aggressive outreach program, and ensure quality and assist the educational institutions and eligible persons by providing in-depth technical assistance, outreach, and liaison with all related organizations, agencies, individuals and activities.
2. Conduct compliance survey visits to schools and educational facilities.
   a. Meet compliance audit requirements of the federal contract.
3. Provide technical assistance to educational institutions and vocational and job-training programs for receipt of GI Bill funding.
   a. Increase technical assistance visits.

Source of Funds: GI Education Fund ***Statutory Authority:*** 38 U.S.C. 3671 (a)

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,004.1	$1,081.3	$1,399.6	$1,088.2	$1,650.3
* Total expenditures - state appropriated funds (in thousands)	$1,004.1	$1,074.0	$1,399.6	$1,088.2	$1,650.3
* Average monthly full-time equivalents	8.2	8.2	9.0	7.5	9.2
Output Indicators					
* Number of compliance visits	N/A	157.0	165.0	115.0	120.0
* Number of schools assisted with GI Bill approval process via email/phone	N/A	N/A	N/A	6,818	6,600
* Number of technical visits	N/A	N/A	N/A	99.0	120.0
Outcome Indicators					
* Percentage change in number of compliance visits	N/A	N/A	N/A	-26.8 %	4.3 %
* Percentage change in number of schools assisted with GI Bill approval process via email/phone	N/A	N/A	N/A	N/A	-3.2 %
* Percentage change in number of technical visits	N/A	N/A	N/A	N/A	21.2 %

Prince Homeless Program at Manteno

Mission Statement: The Department of Veterans' Affairs houses and equips homeless veterans for successful transition to self-sufficiency.

Program Goals: Objectives:

1. Provide veterans with lifeskills necessary for self-sufficiency.
   a. Increase number of graduations from the Homeless Program.
2. Assist veterans in obtaining employment.
   a. Increase number of veterans' earning income.

Source of Funds: General Revenue Fund, Illinois Veterans Assistance Fund, Manteno Veterans Home Fund ***Statutory Authority:*** 20 ILCS 2805/2

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$731.6	$.0	$866.0	$1,019.1
* Total expenditures - state appropriated funds (in thousands)	$.0	$731.6	$.0	$866.0	$1,019.1
* Average monthly full-time equivalents	0.0	8.8	0.0	8.9	10.3
Output Indicators					
* Yearly number of admissions to residential program	N/A	N/A	N/A	17.0	12.0
* Average number of residents that earn income while in the home each month	N/A	N/A	N/A	8.7	10.0
* Yearly number of discharges due to graduation	N/A	N/A	N/A	10.0	6.0
* Average number of veterans impacted with after-care services each month	N/A	N/A	N/A	21.6	28.0
Outcome Indicators					
* Percentage change in number of admissions to residential program	N/A	N/A	N/A	N/A	-29.5 %
* Percentage change in number of residents that earn income while in the home	N/A	N/A	N/A	N/A	14.9 %
* Percentage change in number of discharges due to graduation	N/A	N/A	N/A	N/A	-40 %
* Percentage change in number of veterans impacted with after-care services	N/A	N/A	N/A	N/A	29.7 %

Troops to Teachers Program

Mission Statement: Recruit eligible members of the armed forces for participation in the Troops to Teachers program and facilitate the certification and employment of such participants as teachers in public schools.

Program Goals: Objectives:

1. Recruit military personnel for participation.
   a. Participate in outreach events such as military or educational meetings, recruiting or advocacy briefings.
2. Facilitate certification and employment of military personnel as teachers in public schools.
   a. Identify teaching vacancies through coordination with Local Education Agency (LEA).

Source of Funds: Veterans' Affairs Federal Projects Fund ***Statutory Authority:*** 20 ILCS 2805/2

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$152.0	$211.0	$282.6	$220.0	$238.5
* Total expenditures - state appropriated funds (in thousands)	$152.0	$211.0	$282.6	$220.0	$238.5
* Average monthly full-time equivalents	1.1	1.6	2.0	1.4	1.0
Output Indicators					
* Number of outreach events (career briefings & military career fairs)	65.0	69.0	76.0	52.0	48.0
* Number of veterans served at outreach events	N/A	N/A	N/A	572.0	480.0
* Number of military personnel enrolled in the program	127.0	197.0	217.0	176.0	144.0
Outcome Indicators					
* Percentage change in number of outreach events (career briefings & military career fairs)	-15.6 %	6.2 %	10.1 %	-31.6 %	-7.7 %
* Percentage change in number of veterans served at outreach events	N/A	N/A	N/A	N/A	-16.1 %
* Percentage change in number of military personnel enrolled in the program	-32.8 %	55.1 %	10.2 %	-18.9 %	-18.2 %

Comprehensive Health Insurance Plan
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Traditional CHIP Pool	$0.0	0.0	$0.0	0.0
HIPAA-CHIP Pool	$0.0	0.0	$0.0	0.0
Non-Reporting Programs				
Comprehensive Health Insurance Plan	$24,630.5	30.3	$24,630.5	30.3
Totals	$24,630.5	30.3	$24,630.5	30.3

Mission and Organization

The Illinois General Assembly created the Comprehensive Health Insurance Plan (CHIP) to provide access to health insurance coverage for certain eligible Illinois residents who have been denied major medical coverage because of their health by private insurers, and to serve as an acceptable alternative mechanism for complying with the individual portability requirements of the federal Health Insurance Portability and Accountability Act (HIPAA). CHIP is a state program operated by a board of directors pursuant to the Comprehensive Health Insurance Plan Act (215 ILCS 105/1 et seq.).

Since 1989, CHIP has provided coverage to thousands of otherwise uninsurable individuals throughout the State of Illinois who qualify under Section 7 of the CHIP Act. This portion of the program is known as the Traditional CHIP pool. The coverage provided by this pool is funded in part by the premiums paid by its participants. The remainder of the cost of Traditional CHIP is funded by an annual appropriation from the General Revenue Fund of the State of Illinois. Since 1989, the Traditional CHIP pool has paid out over $981 million in benefits on behalf of its participants, and has provided coverage to over 30,000 Illinois residents from every county in the State.

On July 1, 1997, CHIP also began offering coverage to Illinois residents who qualify for CHIP coverage as federally eligible individuals under Section 15 of the CHIP Act. This portion of the program is known as the HIPAA-CHIP pool. The HIPAA-CHIP pool is similar to the Traditional CHIP pool, except there is no preexisting conditions limitation and benefits for inpatient treatment of mental health are limited to 45 days per calendar year for all hospitals.

The coverage provided by this pool is also funded in part by premiums paid by its participants. The remainder of the cost of this HIPAA-CHIP pool is funded by an assessment levied on all health insurers doing business in Illinois and federal grants for high risk pools. Since its inception in fiscal year 1998, this pool has paid out over $1.4 billion in benefits on behalf of its participants, and has covered over 53,000 federally eligible Illinois residents from every county in the State of Illinois.

In 2003, Public Act 93-33 amended the CHIP Act to create a special category of participants who qualify for coverage because they are Trade Act (TAA) certified or receive pension benefits from the Pension Benefit Guaranty Corporation (PBGC). Eligible individuals are able to obtain health insurance through ICHIP and claim a federal tax credit for a portion of the premium. This subset of the HIPAA-CHIP pool has covered over 1,300 Health Coverage Tax Credit (HCTC) eligible Illinois residents since inception. Effective January 1, 2014, the Federal government will eliminate the tax credit and the HCTC program will no longer exist.

Traditional CHIP Pool

Mission Statement: The mission of Traditional CHIP is to provide, within available resources, health insurance coverage for as many eligible Illinois residents as possible who qualify for coverage under Section 7 of the CHIP Act.

Program Goals: Objectives:

1. Continue to provide health insurance coverage for those Ilinois residents who, because of a medical or physical condition, have been unable to obtain health insurance coverage elsewhere.
   a. Attempt to provide coverage to as many other uninsured Illinoisans as possible within available resources.
   b. Attempt to achieve better than anticipated claims experience through cost containment measures or by taking advantage of any other resources that might become available.
   c. Periodically review premium rates required to be paid by program participants so as to remain in compliance with the requirements of the CHIP Act.
   d. Strictly enforce eligibility requirements in order to efficiently utilize scarce available resources.

Source of Funds: General Revenue Fund, Comprehensive Health Insurance Fund ***Statutory Authority:*** 215 ILCS 105/1, et.seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected(a)*
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$.0	$.0	$.0	$.0
* Total expenditures - state appropriated funds (in thousands)	$.0	$.0	$.0	$.0	$.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Premium income (in thousands)	$31,067.3	$30,023.4	$31,020.5	$31,858.4	$22,948.1
* Investment and miscellaneous income (in thousands)	$32.9	$23.4	$25.0	$21.6	$25.0
* General revenue funds (in thousands)	$24,630.5	$30,630.5	$24,630.5	$24,630.5	$.0
* Total revenues (in thousands)	$55,730.7	$60,677.3	$55,676.0	$56,510.5	$22,973.1
Output Indicators					
* Applications received	2,554	2,280	2,200	2,029	953.0
* Net incurred claims (in thousands)	$50,692.1	$48,840.3	$51,892.7	$52,545.4	$37,688.0
* Administrative expenses (in thousands)	$2,700.6	$2,740.8	$3,040.7	$2,923.2	$2,487.0
* Total expenditures (in thousands)	$53,392.7	$51,581.1	$54,933.4	$55,468.6	$40,175.0
* Claim turnaround	98.64 %	97.14 %	90 %	96.66 %	90 %
* Financial accuracy of claim payments	99.66 %	99.9 %	99 %	99.83 %	99 %
* Procedural accuracy of claim payments	99.3 %	99.47 %	98 %	98.67 %	98 %
* Telephone inquiry accessibility and response	87.71 %	87.17 %	85 %	88.74 %	85 %
Outcome Indicators					
* Participants added	942.0	1,109	1,000	952.0	872.0
* Average enrollment	4,578	4,676	4,796	4,708	3,326
* Average net claim cost per participant (in dollars)	$11,073.00	$10,445.00	$10,820.00	$11,161.00	$11,331.00
* Average premium paid per participant (in dollars)	$6,786.00	$6,421.00	$6,468.00	$6,767.00	$6,900.00
Efficiency/Cost-Effectiveness Indicators					
* Provider discounts (in thousands)	$30,126.5	$31,318.0	$33,005.2	$33,429.9	$32,406.6
* Administrative expenses as a percentage of total expenses	5.06 %	5.31 %	5.54 %	5.27 %	6.19 %

Footnotes

(a) As a result of the Affordable Care Act (ACA), it is anticipated that enrollment in the Traditional CHIP Pool will decrease significantly in the last half of fiscal year 2014 which will result in a significant decrease in revenues and expenditures.

HIPAA-CHIP Pool

Mission Statement: The mission of HIPAA is to serve as an acceptable alternative mechanism under the federal HIPAA law, and as such to provide portable and accessible individual health insurance coverage for Illinois residents who are federally eligible individuals and qualify for coverage under Section 15 of the Comprehensive Health Insurance Plan (CHIP) Act.

Program Goals: Objectives:

1. Continue to implement the provisions of HIPAA which allows CHIP to serve as an alternate mechanism for providing portable and accessible individual health insurance coverage for federally eligible individuals.
   a. Attempt to inform and provide coverage to as many Illinois federally eligible individuals as possible through various consumer outreach and public education activities.
   b. Attempt to achieve better than anticipated claims experience through cost containment measures or by taking advantage of any other resources that might become available.
   c. Periodically review premium rates to be paid by participants so as to remain in compliance with the requirements of the CHIP Act.
   d. Strictly enforce eligibility requirements in order to efficiently utilize available resources.

Source of Funds: Comprehensive Health Insurance Fund ***Statutory Authority:*** 215 ILCS 105/1, et.seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected(a)*
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$.0	$.0	$.0	$.0
* Total expenditures - state appropriated funds (in thousands)	$.0	$.0	$.0	$.0	$.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Premium income (in thousands)	$91,643.1	$89,574.0	$106,957.8	$101,563.0	$75,642.0
* Investment and miscellaneous income (in thousands)	$191.9	$138.3	$102.4	$246.5	$70.0
* Assessments (in thousands)	$61,154.0	$81,713.0	$103,311.0	$103,311.0	$54,987.0
* Federal Grants (in thousands)	$3,411.1	$3,434.1	$2,788.6	$2,788.6	$2,599.4
* Total revenues (in thousands)	$156,400.1	$174,859.4	$213,159.8	$207,909.1	$133,298.4
Output Indicators					
* Applications received	7,432	6,237	5,200	5,129	2,787
* Net incurred claims (in thousands)	$146,938.8	$175,557.5	$206,522.1	$190,344.8	$140,123.2
* Administrative expenses (in thousands)	$7,713.8	$8,482.8	$9,835.2	$9,163.4	$7,451.2
* Total expenditures (in thousands)	$154,652.6	$184,040.3	$216,357.3	$199,508.2	$147,574.4
* Claim turnaround	98.64 %	97.14 %	90 %	96.66 %	90 %
* Financial accuracy of claim payments	99.66 %	99.9 %	99 %	99.83 %	99 %
* Procedural accuracy of claim payments	99.3 %	99.47 %	98 %	98.67 %	98 %
* Telephone inquiry accessibility and response	87.71 %	87.17 %	85 %	88.74 %	85 %
Outcome Indicators					
* Participants added	5,483	5,457	4,000	4,356	2,088
* Average enrollment	13,519	15,472	17,075	16,319	10,969
* Average net claim cost per participant (in dollars)	$10,869.00	$11,347.00	$12,095.00	$11,664.00	$12,774.00
* Average premium paid per participant (in dollars)	$6,779.00	$5,789.00	$6,264.00	$6,224.00	$6,896.00
Efficiency/Cost-Effectiveness Indicators					
* Provider discounts (in thousands)	$94,900.8	$113,075.1	$133,053.1	$125,980.5	$109,173.4
* Administrative expenses as a percentage of total expenses	4.99 %	4.61 %	4.55 %	4.59 %	5.05 %

Footnotes

(a) As a result of the Affordable Care Act (ACA), it is anticipated that enrollment in the HIPAA-CHIP Pool will decrease significantly in the last half of fiscal year 2014 which will result in a significant decrease in revenues and expenditures.

Guardianship and Advocacy Commission
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Office of State Guardian	$7,696.5	82.0	$7,837.1	82.0
Legal Advocacy Service	$927.3	12.0	$944.2	12.0
Human Rights Authority	$649.1	8.0	$661.0	9.0
Non-Reporting Programs				
Totals	$9,272.9	102.0	$9,442.3	103.0

Mission and Organization

The Illinois Guardianship and Advocacy Commission safeguards the rights of persons with disabilities by providing public guardianship services, legal representation and a process to investigate alleged disability rights violations. The Commission is a state agency, established by the Guardianship and Advocacy Act of 1979, 20 ILCS 3955 et seq. It is governed by eleven Commissioners appointed by the Governor for three-year terms. Each Commissioner is chosen to represent particular expertise, consistent with the Commission's mission to serve persons with disabilities.

The Commission meets the mandates of the Guardianship and Advocacy Act through the work of three primary programs: the Human Rights Authority (HRA) which, through its regional panels of volunteers investigates alleged rights violations of persons with disabilities; the Legal Advocacy Service (LAS), which provides legal advice and representation to individuals with disabilities; and the Office of State Guardian (OSG), which serves as the court-appointed guardian for adults with disabilities.

The HRA outcome measures focus on the program's success in negotiating with disability service providers for improved rights protections that benefit thousands of persons with disabilities each year. Through its statewide network of volunteers, the program offers a cost-effective approach for resolving disability rights complaints that avoids litigation. Recommendations made by the HRA and implemented by service providers lead to systemic changes to policies and practices that impact current and future service recipients. In fiscal year 2013, 92% of HRA recommendations issued were accepted and implemented by service providers investigated, impacting 24,651 persons with disabilities at a rate of $27 per person.

LAS measures identify the number of cases handed, clients served and intakes managed. External benchmarking compares the program with the private sector and exemplifies the program's cost efficiency. In fiscal year 2013, the LAS program assisted 7,614 individuals at a rate of $124 per individual and handled 5,534 cases at a rate of $171 per case. The program budget represents just 20% of the cost for comparable legal assistance in the private sector. LAS outcome measures indicate the program's ability to impact mental health case law when cases of sufficient merit are carried through to a decision in a higher court. In fiscal year 2013, 87% of cases were referred to a higher court.

The OSG outcome measures demonstrate the program's ability to carry out its mission of serving as the "guardian of last resort" for adults with disabilities by successfully deflecting a high percentage of referrals to alternative sources of guardianship, such as family or friends, when appropriate. In fiscal year 2013, the OSG found alternative guardianship sources in 84% of referrals. The OSG also seeks out community

living arrangements for its wards when appropriate; 49% of OSG wards were residing in community placements in fiscal year 2013. The OSG caseload is benchmarked against national standards for public guardianship indicating that the OSG average caseload per caseworker of 140 is three times the national average of 44. Output measures indicate the numerous case management activities completed on behalf of the OSG wards and cost measures document the program's efficiency at a rate of $1,003 per case in fiscal year 2013.

Office of State Guardian

Mission Statement: The Office of State Guardian serves as guardian of last resort for adults with disabilities.

Program Goals: Objectives:

1. The Office of State Guardian (OSG) will ensure the provision of quality guardianship services to adults with disabilities.
   a. The OSG will continue to serve as legal guardian for persons with disabilities who are unable to make personal or financial decisions.
   b. The OSG will ensure that all wards for whom it acts as plenary guardian of the person shall be visited four times per year.
   c. The OSG will advocate for its wards through effective guardianship planning, assessment, monitoring, visitation and other case management activities. The OSG will participate in or review care plans which address placement, medical, therapeutic and vocational concerns.
   d. When appointed estate guardian or when serving as representative payee, the OSG will perform fiduciary transactions, accurately logging receipts and processing disbursements.
   e. OSG representatives will be sensitive to consumer needs.
2. The OSG will provide cost-effective and comprehensive guardianship services.
   a. The OSG will conserve state resources by successfully exploring guardianship alternatives for intakes and referrals prior to OSG appointment.
   b. The OSG will process intakes through its toll-free intake number.
   c. OSG staff will utilize technology in the delivery of cost-effective, comprehensive and efficient services including Internet services, Alpha smarts, laptops, and upgraded data collection and documentation systems.
   d. The OSG will collect fees on wards' estates pursuant to court order.
   e. The OSG will maintain contact with the Illinois Department of Healthcare and Family Services to determine if tasks performed by the OSG are reimbursable through the federal Centers for Medicare and Medicaid Services.
3. The OSG will sensitively handle controversial issues and will aggressively advocate for each ward's human rights.
   a. The OSG will continue to refine procedures for handling end-of-life decision making.
   b. The OSG will elicit input from medical providers, interest groups, courts and other stakeholders, to consider the need to adjust end-of-life decision making and medical consent response procedures.
   c. All OSG staff will be trained in areas related to death and dying, religious values, cultural issues, abuse and neglect, and service provision for persons with disabilities.
4. The OSG will maintain a state of the art professional staff.
   a. During each fiscal year, the OSG will coordinate at least 10 hours of continued professional training for each caseworker to meet requirements for continued "National Certified Guardian" re-certification at the national level and to provide educational resources and support in managing the highest guardianship caseloads in the nation.
   b. OSG staff will demonstrate proficiency in guardianship standards and practices by participating in guardianship certification training. All new OSG staff will receive certification training through the National Guardianship Association.
   c. At least 95% of staff will successfully complete and pass the National Certified Guardian exam offered by the Center for Guardianship Certification.
5. The OSG will continue to play a leadership role at the national and state guardianship levels.
   a. The OSG will continue to actively participate with the National Guardianship Association (NGA) as board members, training coordinators, and/or attendees at the annual NGA conference, pending administrative approval.
   b. OSG staff will actively participate with the statewide affiliate of the NGA, the Illinois Guardianship Association (IGA), as board members, officers and local training coordinators and attendees at IGA conferences.
   c. OSG staff will continue participating in community outreach and public awareness events to provide ongoing education about adult guardianship issues to health care consumers, service providers and the citizens of Illinois.

Source of Funds: General Revenue Fund, Guardianship and Advocacy Fund ***Statutory Authority:*** 20 ILCS 3955/1 et seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$7,497.2	$7,696.5	$8,253.9	$7,837.1	$8,715.0
* Total expenditures - state appropriated funds (in thousands)	$7,497.2	$7,696.5	$8,253.9	$7,837.1	$8,715.0
* Average monthly full-time equivalents	84.0	82.0	84.0	82.0	83.0
* Number of intake coordinators	4.0	4.0	4.0	4.0	4.0
* Number of OSG attorneys	9.0	9.0	9.0	9.0	9.0
* Number of OSG representatives handling estate cases	8.0	6.5	6.5	6.0	6.0
* Number of OSG representatives handling person cases	35.0	36.5	36.0	34.0	36.0
* Number of PSA6 managers handling regional oversight and end of life decisionmaking	6.0	6.0	6.0	6.0	8.0
Output Indicators					
* Number of visits completed to wards on a quarterly basis	18,030	17,563	17,500	17,268	17,500
* Number of medical consents given in response to requests from service providers	13,427	14,188	13,000	12,584	17,000
* Number of total Commission intakes	4,923	4,704	4,700	4,486	4,300
* Number of inquiries specifically about guardianship	2,846	2,868	2,800	2,793	2,800

Office of State Guardian (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Total number of wards served	4,927	4,960	4,900	5,021	4,900
* Total number of clients served	9,290	7,828	7,700	7,814	7,700
* Number of care plans reviewed in response to service provider requests	6,407	6,370	6,000	5,690	5,500
* Number of new temporary appointment petitions filed by outside attorneys appointing OSG	285.0	301.0	250.0	287.0	275.0
* Number of new plenary appointments - petitions filed by outside attorneys appointing OSG	407.0	417.0	350.0	447.0	425.0
* Number of cases closed	439.0	463.0	400.0	453.0	400.0
* Number of after hours on-call consents, inquiries and referrals	12,005	11,243	10,000	12,030	11,000
* Number of supplemental contacts with OSG wards	1,475	1,726	1,500	1,553	1,500
* Number of placement changes in response to ward needs	1,157	1,129	1,000	1,383	1,000
* Number of contacts with wards' family members	653.0	599.0	600.0	698.0	600.0
* Number of fiduciary transactions performed on behalf of wards' estates	12,587	12,821	12,000	12,263	12,000
* Dollar amount of ward transactions (receipts and disbursements) (in thousands)	$6,026.0	$5,684.0	$6,000.0	$9,242.0	$6,000.0
* Amount of fee collections (in thousands)	$72.0	$75.0	$75.0	$77.0	$75.0
Outcome Indicators					
* Percentage of cases in which OSG was appointed legal guardian	11 %	15 %	15 %	16 %	16 %
* Percentage of cases in which alternatives to OSG guardianship were located	89 %	85 %	85 %	84 %	84 %
* Percentage of OSG staff actively participating as members, board members, trainers and conference attendees with the National and Illinois Guardianship Associations	100 %	100 %	100 %	100 %	100 %
* Average caseload per caseworker	144.0	132.0	130.0	140.0	135.0
* Percentage of staff who are certified through the National Guardianship Association	95 %	95 %	95 %	93 %	95 %
* Percentage of Office of State Guardian wards residing in community-based placements	46 %	46 %	47 %	49 %	51 %
* Highest regional OSG caseload	N/A	219.0	170.0	165.0	150.0
* Percentage of program staff involved in direct service provision	100 %	100 %	100 %	100 %	100 %
External Benchmarks					
* Average OSG caseload size not to exceed 1.5 times the avg csld. size of other Public Guardianship Programs. The average guardianship caseload based on an audit review of 12 guardianship programs is 44. The goal of 1.5 times the average is 66.	144.0	132.0	130.0	140.0	135.0
Efficiency/Cost-Effectiveness Indicators					
* Cost per client served (in dollars)	$807.00	$983.00	$1,072.00	$1,003.00	$1,132.00

Legal Advocacy Service

Mission Statement: The Legal Advocacy Service safeguards and promotes the rights of persons with disabilities by providing legal advice and representation services pursuant to court appointment or client request.

Program Goals: Objectives:

1. The Legal Advocacy Service (LAS) will provide otherwise unavailable legal advocacy for vulnerable Illinois citizens with disabilities.
   a. LAS attorneys will accept court appointments to represent individuals with disabilities in trial and appellate courts, providing the vital due process component of the judicial system and ensuring the protection of constitutional and statutory rights.
   b. The LAS will provide services to those persons with disabilities in greatest economic need. The LAS will provide sufficient and accurate information to the Office of Fiscal Operations for fee collections in appropriate cases, consistent with fee assessment guidelines. The LAS will facilitate cost-effective representation of individuals with mental disabilities through referral of fee-generating clients whenever possible.
   c. The LAS will conserve state resources by monitoring the cost to serve each client and handle each case.
2. LAS representation will impact and improve case law to benefit persons with disabilities.
   a. The LAS will shape the contours of the law consistent with enhancing the rights of individuals facing involuntary hospitalization and treatment by continuing to pursue issues of merit and legal significance in the trial and appellate courts.
   b. LAS staff will identify developing trends to facilitate constructive presentations to courts on behalf of clients.
   c. The LAS will maintain a Mental Health Decisions Outline on the Commission's webpage to provide access to relevant decisions to attorneys, judges and others.
   d. LAS attorneys will maintain awareness of developing trends and arguments by individual periodic reviews and updates of a central electronic Appellate Update.
3. The LAS will continue to provide quality legal services to persons with mental disabilities.
   a. LAS staff will remain current in mental health law, civil practice and related areas through in-house and professional affiliation training.
   b. The LAS will remain as current as possible on information technology necessary to conduct efficient legal research, and will recommend upgrades, programs, equipment and training opportunities to the Office of Information Technology and test programs as requested.
4. The LAS will provide effective information and referral services.
   a. LAS staff will maintain a current list of, and links with, legal and other service providers. LAS will seek to augment the number of referral options available for use by the centralized intake system.
   b. LAS staff will provide public information to interested groups and individuals about LAS services and availability and the Commission generally.
   c. LAS staff will refer media inquiries to the Commission Public Information Officer and notify the Public Information Officer of public information opportunities and efforts.
   d. The LAS will enhance knowledge of important disabilities rights cases for the general bar through the Illinois Guardianship and Advocacy Commission webpage.
   e. The LAS will empower individuals with mental disabilities in the exercise of self-advocacy by providing information about rights and responsibilities on request, including assistance with and advice regarding advance directives for health care.
5. The LAS will actively participate in the legislative process.
   a. The LAS will provide advice and assistance to legislators, participating in meetings with legislators or pursuant to committee assignments as requested during each fiscal year.
   b. The LAS will monitor legislation impacting the due process rights of persons with disabilities on a weekly basis during the legislative session.
   c. As necessary, the LAS will propose legislation in an effort to achieve judicial and legal service delivery economy while protecting the due process rights of persons with mental disabilities.

Source of Funds: General Revenue Fund, Guardianship and Advocacy Fund ***Statutory Authority:*** 20 ILCS 3955/10

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$903.3	$927.3	$994.5	$944.2	$1,050.0
* Total expenditures - state appropriated funds (in thousands)	$903.3	$927.3	$994.5	$944.2	$1,050.0
* Average monthly full-time equivalents	12.0	12.0	12.0	12.0	13.0
Output Indicators					
* Total LAS cases handled	5,292	5,484	5,200	5,534	5,400
* Total clients served	9,333	8,817	8,500	7,614	8,000
* Requests for information, referrals or assistance	1,697	1,506	1,600	1,902	1,700
Outcome Indicators					
* Percentage of cases referred to higher courts	82.2 %	75 %	75 %	87 %	87 %
* Percentage of program staff involved in direct service provision	100 %	100 %	100 %	100 %	100 %
Efficiency/Cost-Effectiveness Indicators					
* Cost per case handled (in dollars)	$171.00	$169.00	$191.00	$171.00	$194.00
* Cost per client served (in dollars)	$97.00	$105.00	$117.00	$124.00	$131.00
External Benchmarks					
* Private sector cost for equivalent service hours (in thousands)	$4,680.0	$4,680.0	$4,680.0	$4,680.0	$5,070.0
* Percentage that program costs the state when compared to private sector rates	19 %	26 %	21 %	20 %	21 %

Human Rights Authority

Mission Statement: The Human Rights Authority ensures rights protections for persons with disabilities by conducting investigations of alleged rights violations committed against persons with disabilities by agencies that serve them.

Program Goals: Objectives:

1. The Human Rights Authority (HRA) will advocate for human rights protections for persons with disabilities.
   a. The Human Rights Authority (HRA) will accept for investigation cases of disability rights violations involving service providing agencies.
   b. The HRA will continue to meet its mandates, complete all required paperwork and maintain a file for each HRA case.
   c. The Regional Human Rights Authorities (HRAs) will recruit and maintain panels of 9 HRA members (81 total members) who are appointed by the Commissioners and who will carry out the HRA mission. In each region, three members will be service provider representatives; one from the field of mental health; one from the field of developmental disabilities; and one from the field of vocational training or rehabilitation services. The remaining six members will be consumers, family members and interested citizens.
   d. Human Rights Authority panels will meet at least six times during the fiscal year to review complaints for acceptance, conduct investigations, determine case findings, issue recommendations and negotiate for changes in services.
   e. A Human Rights Authority Coordinator will be assigned to each regional HRA to provide support to HRA members.
   f. At least four times each fiscal year, the Illinois Guardianship and Advocacy Commission or designee will consider HRA Member appointments, reappointments, HRA enforcement referrals and other HRA action items.
2. The HRA will ensure positive, systemic changes in the policies and practices of service providers as a result of the HRA investigative process.
   a. On an annual basis, HRAs will issue reports of findings which will list a recommendation for each substantiated finding.
   b. Every year, service providers will respond to and comply with the recommendations issued.
3. The HRA will foster a resolution process that focuses on negotiated solutions rather than confrontation.
   a. The HRA will work with providers to reach negotiated conclusions in which a majority of recommendations are accepted and implemented.
   b. The Commission will consider enforcement referrals for recommendations not implemented by service providers.
4. The HRA will deliver quality services by utilizing staff and members who are knowledgeable about disability rights and issues.
   a. Training will be offered to HRA staff every year.
   b. Training will be offered to newly appointed HRA members.
5. The HRA will conduct continuous reviews of its effectiveness and efficiency.
   a. HRA members will attend public HRA meetings and participate in case investigations.
   b. The HRA will conserve state resources and monitor the cost per recipient benefited from HRA case findings.
   c. The HRA will conserve state resources and monitor the cost per HRA case handled.
   d. The HRA will utilize technology to promote more efficient program operations.
6. The HRA will promote public awareness of the HRA and disability rights.
   a. The HRA will maintain a description of the program and a listing of regional meeting dates and locations on the Illinois Guardianship and Advocacy Commission webpage.
   b. Regional authorities will send press releases about the HRA to the media prior to regional HRA meetings.
   c. Every fiscal year, regional HRAs will handle inquiries through the IGAC intake system.

Source of Funds: General Revenue Fund, Guardianship and Advocacy Fund ***Statutory Authority:*** 20 ILCS 3955/14 - 29

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$632.3	$649.1	$696.1	$661.0	$735.0
* Total expenditures - state appropriated funds (in thousands)	$632.3	$649.1	$696.1	$661.0	$735.0
* Average monthly full-time equivalents	9.0	8.0	9.0	9.0	10.0
* Number of volunteer hours HRA members contribute to the HRA	2,789	2,812	3,000	2,720	2,800
Output Indicators					
* Number of information and referral inquiries the HRA handled	211.0	198.0	200.0	191.0	200.0
* Number of HRA cases handled	327.0	285.0	300.0	285.0	285.0
* Number of recommendations for improvement issued to service providers for substantiated findings	158.0	123.0	150.0	130.0	130.0
* Number of recommendations accepted and implemented by service providers	134.0	110.0	134.0	120.0	120.0
Outcome Indicators					
* Percentage of HRA recommendations accepted and implemented by service providers investigated	85 %	89 %	89 %	92 %	92 %
* Number of persons with disabilities benefiting from HRA recommendations (a)	32,932	25,382	25,000	24,651	25,000
* Percentage of cases referred for enforcement action	2 %	1 %	4 %	2 %	4 %
* Percentage of program staff involved in direct service provision	100 %	100 %	100 %	100 %	100 %
Efficiency/Cost-Effectiveness Indicators					
* Cost per recipient benefited (in dollars)	$19.00	$26.00	$28.00	$27.00	$29.00
* Cost per case handled (in dollars)	$1,934.00	$2,278.00	$2,320.00	$2,319.00	$2,579.00

Footnotes

(a) The number of persons benefittingfrom HRA recommendations varies from case to case and is contingent upon the client base of the service providers investigated during the fiscal year.

Illinois Council on Developmental Disabilities
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Illinois Council on Developmental Disabilities	$2,257.4	8.0	$2,234.0	9.0
Non-Reporting Programs				
Totals	$2,257.4	8.0	$2,234.0	9.0

Mission and Organization

The Illinois Council on Developmental Disabilities makes investments with local and statewide agencies, organizations and individuals to implement the performance targets in the Five-Year State Plan so that people with developmental disabilities and their families achieve independence, productivity, community integration and inclusion in all facets of community life. Community life includes the areas of emphasis of child care, transportation, employment, housing, health, education and early intervention, recreation, formal/informal community supports and quality assurance. The Council promotes initiatives to coordinate services, supports and other assistance for individuals with developmental and intellectual disabilities and their families.

The Council helps public and private entities respond to the needs and capabilities of persons with developmental disabilities through systems change, capacity building, outreach, coalition building, training and technical assistance.

During the year, the Council has been active in the rebalancing efforts to build community capacity so that individuals with developmental and intellectual disabilities can live productive lives in the community rather than residing in state institutions. The Council assisted with the Governor's closure of one facility and continues to be active in the upcoming closure of another. The Council coordinates the advocacy efforts and funded an initiative to educate policymakers and the general public about the benefits of community living for people with developmental and intellectual disabilities.

The Council also funded two initiatives related to the Ligas Consent Decree. One grant project is developing a comprehensive approach to educate and train Ligas class members to enable them to create the life they want in the community. The other is helping build and strengthen the capacity of the 18 Independent Service Coordinators (ISCs) to plan for individuals with developmental and intellectual disabilities living in private Intermediate Care Facilities for Developmental Disabilities (ICF/DD) and their families/guardians who have chosen to receive services and supports in the community as part of the Ligas Consent Decree.

The sixth Speak Up and Speak Out Summit was held and continues to be one of the most successful trainings as participant numbers continue to grow. This annual event provides education, training, and opportunity for individuals with developmental disabilities to discuss issues of importance in their lives.

The Council also funded the development of a self-sustaining statewide self-advocacy organization that will advocate for two issues to improve the lives of people with developmental disabilities in Illinois.

Employment for people with developmental disabilities continues to be a challenge across the country. The Council was involved in the committee that developed Employment First legislation that was signed by the Governor. In addition, the Council funded two projected to create partnerships and systems resulting in gainful employment opportunities for people with developmental and intellectual disabilities. It is the intent of these grant projects to develop partnerships that will mesh the needs of businesses with job seekers who have developmental and intellectual disabilities. The Council continues to work for systems change and supports advocacy for individuals with developmental disabilities to lead full lives in their communities.

Illinois Council on Developmental Disabilities

Mission Statement: We help lead change in Illinois so all people with developmental disabilities exercise their right to equal opportunity and freedom.

Program Goals: Objectives:

1. Through investment initiatives and activities of the Council, the Council focuses on projects that help meet the needs of people with developmental disabilities in the areas of Child Care, Community Supports, Education/Early Intervention, Employment, Health, Housing, Quality Assurance, Recreation, Transportation.
   a. The Council develops and implements a Five Year Plan that is approved by the federal administering agency, the Administration on Developmental Disabilities.

Source of Funds: Council on Developmental Disabilities Federal Trust Fund ***Statutory Authority:*** P.L. 106-402

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,508.1	$2,257.4	$4,723.8	$2,234.0	$4,732.7
* Total expenditures - state appropriated funds (in thousands)	$2,508.1	$2,257.4	$4,723.8	$2,234.0	$4,732.7
* Average monthly full-time equivalents	9.0	8.0	13.0	9.0	13.0
Output Indicators					
* Number of federal fiscal reports completed	5.0	5.0	5.0	4.0	4.0
* The Federal Program Performance Report completed	1.0	1.0	1.0	1.0	1.0
* State Plan/State Plan Update completed	1.0	1.0	1.0	1.0	1.0
* Number of new grants funded	1.0	3.0	5.0	6.0	5.0
* Number of Council meetings held	5.0	5.0	5.0	5.0	5.0
* Number of committee meetings held	21.0	18.0	21.0	12.0	21.0
Outcome Indicators					
* At least 70% of federal budget related to program expenses/initiatives	70 %	70 %	70 %	70 %	70 %
* Federal report approved	Yes	Yes	Yes	N/A	N/A

Illinois Deaf and Hard of Hearing Commission
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Advocacy for Deaf and Hard of Hearing Population	$717.9	7.0	$675.2	7.0
Non-Reporting Programs				
Interpreter Licensure	$0.0	1.0	$0.0	1.0
Totals	$717.9	8.0	$675.2	8.0

Mission and Organization

The Illinois Deaf and Hard of Hearing Commission has a stated commitment to quality, efficiency, and accountability. The Commission ensures that realistic and measurable performance indicators are established and maintained, and target levels are met to provide quality service to the citizens of Illinois. This plan was developed as a thoughtful and innovative means of quantifying the fiscal, administrative, and programmatic operations of the Commission. The plan outlines the goals established by the Commission in its five-year strategic plan designed to improve the quality of life for all Illinois citizens who experience a hearing loss.

The Interpreter for the Deaf Licensure Act of 2007 was effective on September 12, 2007. The law requires interpreters for the deaf and hard of hearing to have a license to provide interpreting services after January 1, 2009. The Commission provides the Illinois Board of Evaluation of Interpreters (BEI) testing for Sign Language Interpreters in order to become licensed in Illinois. The Interpreter for the Deaf Fund is a non-appropriated fund and monies are received from the consumers to operate the fund.

Advocacy for Deaf and Hard of Hearing Population

Mission Statement: The Illinois Deaf and Hard of Hearing Commission is an executive agency of the state dedicated to advocating public policies, regulations, and programs designed to improve the quality and coordination of existing services for individuals with a hearing loss and to promote new services as necessary.

Program Goals: Objectives:

1. Make available and provide an educational and informational program through workshop and training sessions, presentations, demonstrations, and public awareness events about hearing loss for citizens in Illinois and for public and private entities. The program shall include, but not be limited to, information and referral services, lending libraries, service and resource availability, the interpreter licensure directory, accessibility and accommodation issues, assistive technology, empowerment issues, obligations of service providers and employers, educational options, and current federal and State statutes, regulations, and policies regarding hearing loss.
2. Provide technical assistance, consultation, and training support to start and enhance existing programs and services for persons who are deaf or hard of hearing.

Source of Funds: General Revenue Fund, Deaf and Hard of Hearing Special Projects Fund, Interpreters for the Deaf Fund

Statutory Authority: 20 ILCS 3932

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$869.0	$898.7	$850.5	$705.1	$850.0
* Total expenditures - state appropriated funds (in thousands)	$737.5	$717.9	$650.5	$675.2	$650.0
* Average monthly full-time equivalents	8.0	7.0	8.0	7.0	7.0
Output Indicators					
* Public inquiries addressed	15,000	15,000	15,000	15,000	15,000
* Library materials loaned	200.0	200.0	200.0	200.0	200.0
* Workshops presented	20.0	N/A	20.0	20.0	20.0
* Interpreter Registry	800.0	800.0	800.0	800.0	800.0

GOVERNMENT SERVICES

Government Services Expenditures

(Appropriated Spending in Thousands)

Agency	FY 2012 Expenditures	FY 2013 Expenditures	Percent Change
Department of Revenue	$5,167,145.3	$5,400,330.9	4.5%
Dept. of Central Management Services	$827,998.7	$4,546,277.5	449.1%
Office of the State Treasurer	$4,917,087.2	$3,009,404.5	-38.8%
Teachers' Retirement System	$2,494,106.2	$2,790,115.2	11.9%
State Universities Retirement System	$984,881.2	$1,406,975.8	42.9%
State Employees Retirement System	$934,171.0	$1,076,513.8	15.2%
Gov. Office of Management and Budget	$317,184.3	$1,045,383.5	229.6%
Department of Lottery	$597,888.7	$604,684.2	1.14%
Capital Development Board	$557,770.5	$455,429.9	-18.3%
Office of the State Comptroller	$101,474.1	$363,666.6	258.4%
Office of the Secretary of State	$353,916.3	$348,390.4	-1.6%
Supreme Court	$288,416.9	$286,921.0	-0.5%
Illinois Gaming Board	$141,933.9	$146,302.9	3.1%
Judges Retirement System	$63,628.0	$88,210.0	38.6%
Office of the Attorney General	$67,719.0	$70,665.8	4.4%
General Assembly	$44,588.7	$42,919.0	-3.7%
Office of the Architect of the Capitol	$16,218.2	$34,985.5	115.7%
Court of Claims	$65,380.8	$31,407.8	-52.0%
Auditor General	$25,146.3	$28,109.4	11.8%
Office of the State Appellate Defender	$20,268.1	$19,835.4	-2.1%
General Assembly Retirement System	$10,502.0	$14,150.0	34.7%
State Board of Elections	$17,799.5	$13,779.0	-22.6%
Office of the State's Attorneys Appellate Prosecutor	$13,048.0	$12,972.3	-0.6%
Chicago Teacher's Pension & Retirement	$10,449.0	$10,931.0	4.6%
Illinois Racing Board	$6,750.3	$7,447.7	10.3%
Executive Ethics Commission	$6,563.7	$6,569.1	0.1%
Office of Executive Inspector General	$5,581.5	$6,539.8	17.2%
Office of the Governor	$5,510.9	$5,286.4	-4.1%
Legislative Information System	$4,876.7	$5,117.4	4.9%
Property Tax Appeal Board	$3,603.0	$4,106.5	14.0%
Legislative Research Unit	$2,664.9	$2,694.4	1.1%
Legislative Reference Bureau	$2,183.1	$2,288.5	4.8%
Commission on Government Forecasting and Accountability	$2,032.6	$2,034.0	0.1%
Legislative Printing Unit	$1,949.2	$1,981.6	1.7%
Office of the Lieutenant Governor	$1,859.9	$1,738.2	-6.5%
Illinois Labor Relations Board	$1,353.4	$1,468.1	8.5%
Illinois Educational Labor Relations Board	$967.6	$992.4	2.6%
Joint Committee on Administrative Rules	$955.0	$981.6	2.8%
Judicial Inquiry Board	$588.8	$650.0	10.4%
Supreme Court Historic Preservation Commission	$579.3	$628.8	8.5%
Procurement Policy Board	$498.7	$464.3	-6.9%
Civil Service Commission	$354.5	$376.3	6.1%
Legislative Audit Commission	$232.4	$231.4	-0.4%
Legislative Ethics Commission	$112.6	$104.9	-6.8%
Sex Offender Management Board	$22.1	$3.2	-85.5%
Illinois State Board of Investment	$0.0	$0.0	N/A
TOTAL	**$18,087,962.1**	**$21,900,066.0**	**21.1%**

Totals may not add due to rounding

Department of Revenue
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Administer State and Local Tax Laws	$467,430.5	1,582.0	$521,223.4	1,538.0
Liquor Control Commission	$7,008.1	43.0	$8,609.1	44.5
Lottery	$95,699.9	0.0	$0.0	0.0
Non-Reporting Programs				
Refunds and Distributions to Local Governments	$4,472,849.6	N/A	$4,770,636.2	N/A
Funding Agent for the IHDA Affordable Housing Program	$108,465.2	1.0	$84,787.9	N/A
Property Tax Oversight	$13,917.6	28.0	$13,502.5	18.0
Charitable Gaming Regulation	$1,774.4	6.0	$1,571.8	5.0
Totals	$5,167,145.3	1,660.0	$5,400,330.9	1,605.5

Explanatory Notes The $95,699.9 in fiscal year 2012 Lottery expenditures are expenditures that occurred between July 1, 2011 and October 15, 2011 when the Department of Lottery was still under the authority of the Illinois Department of Revenue. PA97-464 abolished the Division of Lottery within the Department of Revenue. Data has been submitted by the Illinois Department of Lottery and has been published in this report.

Mission and Organization

The primary responsibility of the Illinois Department of Revenue (IDOR) is to serve as the tax collection agency for state government and local governments. The department also oversees the state's local property tax system, regulates the manufacture, distribution and sale of alcoholic beverages, and functions as the funding agent for the Illinois Housing Development Authority.

IDOR is responsible for administering 73 tax laws, including the authorization to collect certain taxes on behalf of local governments. In fiscal year 2013, the department processed approximately 6 million individual income tax returns and 5.6 million business tax returns. Its tax operations are divided into seven primary areas of supervision: Account Processing, Taxpayer Services, Tax Enforcement, Audits, Collections, Information Technology and Administrative Services. These operating areas generate approximately $41 billion for state and local government.

Tax revenues come from three key sources: (1) individual or corporate income taxes; (2) sales and use taxes; and (3) excise taxes, including taxes on liquor, cigarettes, public utilities, hotel occupancy, motor fuel, coin-operated amusement devices, bingo, and real estate transfers. IDOR also assists local governments with property tax responsibilities and tax oversight functions. This includes the administration and supervision of the state's $26.2 billion local property tax system. The department issues county equalization factors to ensure uniform property assessment levels throughout the state and assists local offices with their property tax responsibilities.

Pursuant to Executive Order 9, IDOR was given additional responsibility when the Department of Lottery, Liquor Control Commission, and Racing Board were consolidated into the IDOR in fiscal year 2004. The Liquor Control Commission regulates approximately 29,700 licensed businesses that manufacture, distribute and sell alcoholic beverages in the state, as well as more than 2,000 licensed special event functions where alcoholic beverages are sold. The Commission is also involved in educational and public awareness campaigns to reduce underage drinking and tobacco use.

Effective July 1, 2009, by Executive Order, the Gaming Board and the Racing Board became independent agencies. By Public Act 97-0464, the Division of the Lottery separated from the IDOR and became the Department of Lottery, effective October 15, 2011.

Administer State and Local Tax Laws

Mission Statement: To maximize collection of revenues for the state of Illinois.

Program Goals: Objectives:

1. Enhance tax enforcement.
   a. Re-deploy resources to focus on enforcement.
2. Improve efficiency and effectiveness.
   a. Expand electronic filing and payment offerings.
3. Advocate sound tax policies.
   a. Close tax loopholes and eliminate unjustified tax avoidance schemes.
   b. Develop accurate revenue forecasts.
4. Improve the quality and accessibility of taxpayer education and information.
   a. Increase self-help and interactive tax assistance options.

Source of Funds: General Revenue Fund, Motor Fuel Tax - State Fund, Transportation Regulatory Fund, Underground Storage Tank Fund, Home Rule Municipal Retailers' Occupation Tax Fund, Illinois Department of Revenue Federal Trust Fund, State and Local Sales Tax Reform Fund, Regional Transportation Authority Occupation and Use Tax Replacement Fund, County Option Motor Fuel Tax Fund, Income Tax Refund Fund, Debt Collection Fund, Illinois Tax Increment Fund, Tax Compliance and Administration Fund, Local Government Distributive Fund, Municipal Telecommunications Fund, Personal Property Tax Replacement Fund

Statutory Authority: 35 ILCS

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$4,424,543.5	$4,940,280.1	$6,279,429.8	$5,291,859.6	$6,022,082.1
* Total expenditures - state appropriated funds (in thousands)	$457,478.1	$467,430.5	$611,324.8	$521,223.4	$572,082.1
* Average monthly full-time equivalents	1,691.0	1,582.0	1,686.0	1,538.0	1,651.0
* Total expenditures-operations (state appropriated funds) (in thousands)	$175,259.1	$181,225.5	$190,925.0	$176,598.3	$192,537.9
* Total expenditures - grants & refunds (state appropriated funds) (in thousands)	$282,219.0	$286,205.0	$420,399.8	$344,625.1	$379,544.2
Output Indicators					
* Number of State and Local taxes administered	71.0	73.0	73.0	73.0	73.0
* State and local tax and fee collections (in millions)	$32,597.0	$38,352.0	$39,450.0	$41,009.0	$42,375.0
* Number of tax return documents processed - includes alternatively filed methods	17,524,999	17,710,354	17,725,000	18,193,237	18,200,000
* Number of Individual Income Tax returns processed	5,961,385	5,961,003	5,960,000	5,946,121	5,950,000
* Number of Individual Income Tax refunds issued	4,165,109	3,326,886	3,500,000	3,219,241	3,250,000
* Number of direct deposits	2,683,912	2,453,803	2,650,000	2,820,369	2,900,000
* Number of new registration applications processed	91,977	102,687	103,000	98,670	100,000
* Number of active registration accounts	988,090	1,015,504	1,020,000	1,040,918	1,050,000
* Delinquent tax debt cases closed	397,529	468,272	400,000	400,584	405,000
* Delinquent tax debt collections (in thousands)	$509,780.0	$540,518.0	$550,000.0	$617,657.0	$625,000.0
* Number of phone calls answered on toll-free taxpayer assistance lines	1,094,457	970,182	1,000,000	1,057,132	1,300,000
Outcome Indicators					
* Number of Individual Income Tax returns filed electronically	4,215,536	4,648,566	4,929,000	4,698,563	4,850,000
* Percent of Individual Income Tax returns filed electronically	70.7 %	78 %	82.7 %	79 %	82 %
* Percent of tax and fee collections received via Electronic Funds Transfer (EFT)	76.4 %	81.3 %	82.4 %	81.3 %	82 %
* Total tax and fee collections received via Electronic Funds Transfer (EFT) (in millions)	$24,553.2	$31,216.2	$32,500.0	$33,364.2	$34,750.0
* Average number of days from receipt of registration application to mailing of certificate	5.9	3.4	4.4	5.1	4.2
* Average number of days from receipt of payment until deposit	1.0	1.0	1.0	1.0	1.0
* Percent of dollars deposited on same day as receipt	93.6 %	96.1 %	96.5 %	95.1 %	95.4 %
* Percent of accurately and timely filed Individual Income Tax returns	88.5 %	89 %	90 %	85.5 %	86 %
* Average number of days to issue an Individual Income Tax refund	23.4	22.1	23.0	21.0	21.0
Efficiency/Cost-Effectiveness Indicators					
* Cost to collect $1,000 of tax and fees (in dollars)	$5.40	$5.00	$4.60	$4.50	$4.50
* Tax and fee collections per staff (in millions)	$19.4	$24.2	$23.4	$26.7	$25.7
* Delinquent tax debt collections per dollar spent on delinquent debt collection process (in dollars)	$29.70	$32.10	$32.40	$34.50	$32.60

Liquor Control Commission

Mission Statement: To effectively regulate the manufacture, distribution, and sale of alcoholic beverages, and reduce youth access to tobacco products.

Program Goals: Objectives:

1. Ensure statewide compliance with the provisions, rules and regulations of the Illinois Liquor Control Act.
2. Reduce youth access to tobacco products in Illinois.
3. Review and determine eligibility of business entities to hold a liquor license in the State of Illinois.
4. Develop a public awareness campaign related to minimum age liquor laws and to educate retail liquor establishments on how to guard against illegal sales and services to minors.

Source of Funds: Dram Shop Fund ***Statutory Authority:*** 235 ILCS 5/1-1 et seq.

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$5,980.0	$7,008.1	$9,732.1	$8,609.1	$9,963.5
* Total expenditures - state appropriated funds (in thousands)	$5,980.0	$7,008.1	$9,732.1	$8,609.1	$9,963.5
* Average monthly full-time equivalents	42.0	43.0	50.0	44.5	50.0
Output Indicators					
* Inspections - Total	17,706	16,628	16,750	16,871	17,000
* Licenses issued	29,336	29,694	29,800	30,399	30,500
Outcome Indicators					
* Liquor inspections compliance rate	61.4 %	62.3 %	63 %	63.3 %	63.5 %
* Tobacco retailers compliance rate on State Annual Survey	94 %	84.5 %	94 %	88.6 %	90 %

Lottery

Mission Statement: To help generate revenue for public education through the sale of entertaining lottery tickets.

Program Goals: Objectives:

Source of Funds: State Lottery Fund ***Statutory Authority:*** 20 ILCS 1605

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,278,760.7	$.0	$.0	$.0	$.0
* Total expenditures - state appropriated funds (in thousands) (a)	$422,260.0	$95,699.9	$.0	$.0	$.0
* Average monthly full-time equivalents	174.0	0.0	0.0	0.0	0.0
* Operating Expenses	$61.0	N/A	N/A	N/A	N/A
Output Indicators					
* Sales	$2,278.8	N/A	N/A	N/A	N/A
Outcome Indicators					
* Transfers to the Common School Fund	$631.9	N/A	N/A	N/A	N/A
* Percentage of sales transferred to the Common School Fund	27.7 %	N/A	N/A	N/A	N/A

Footnotes

(a) The $95,699.9 in fiscal year 2012 expenditures are expenditures that occurred between July 1, 2011 and October 15, 2011 when the Department of Lottery was still under the authority of the Illinois Department of Revenue. PA 97-464 abolished the Division of Lottery within the Department of Revenue.

Department of Central Management Services
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Employee Benefits & Risk Management	$262,035.3	87.0	$3,957,844.4	98.0
Communications and Computer Services	$270,203.9	576.0	$289,295.7	532.0
Property Management	$204,422.8	276.0	$204,785.4	301.0
Strategic Sourcing	$51,552.7	198.0	$57,244.2	181.0
Personnel	$12,304.7	100.0	$8,556.5	97.0
Business Enterprise Program	$1,065.4	13.0	$1,107.6	12.0
Non-Reporting Programs				
Other/Non Programs	$12,896.9	67.0	$13,275.5	69.0
Media Services	$9,027.9	69.0	$9,587.9	67.0
Shared Services	$4,489.1	38.0	$4,580.4	37.0
Totals	$827,998.7	1,424.0	$4,546,277.6	1,394.0

Explanatory Notes Expenditures reported as Other/Non Programs in fiscal year 2012 have been reallocated to reflect Shared Services spending of $4,489,100. Also, to report monies spent by CMS from the Capital Development Fund, the amount of $8,773,200 has been reallocated to the Bureau of Communications and Computer Services. Finally, Other/Non Programs fiscal year 2012 reported headcount of 105.0 has been reallocated between Other/Non Programs (67) and Shared Services (38).

Mission and Organization

The mission of the Illinois Department of Central Management Services (CMS) is to free Illinois state agencies and governmental entities from certain administrative responsibilities so they can focus their energy and resources on accomplishing their core missions. CMS works with state agencies and members of the Illinois General Assembly to deliver essential services including procurement, property management, information technology, telecommunications, internal audit, legal services, personnel, employee and retiree benefits, and supplier diversity programs. This report details six of the Department's key programs.

The ***Business Enterprise Program (BEP)*** certifies businesses that are owned and operated by minorities, women, and persons with disabilities in order to bid for state contracts. The Department continues to advance efforts to expand opportunities for businesses owned by women, minorities, and persons with disabilities. The Department also encourages large State contracts to include subcontracting opportunities for BEP-certified firms.

The ***Bureau of Communications and Computer Services (BCCS)*** manages the planning and delivery of statewide information technology and telecommunications services. It operates central computing facilities, maintains common computer applications and manages the Illinois Century Network (ICN), which provides broadband network connectivity to educational institutions and state government. CMS continues to pursue Information Technology (IT) consolidation efforts to drive down operating costs and improve service delivery.

The ***Bureau of Benefits*** administers group insurance programs for all active and retired state employees, retired teachers, retired community college employees, and employees of local government units; the State's deferred compensation program, the pre-tax flexible spending and commuter savings programs for state employees; and the State's risk management programs, including workers' compensation, auto liability, representation and indemnification and insurance procurement.

The ***Bureau of Personnel*** administers the state personnel code, manages the state personnel program, and recruits and qualifies prospective employees. In addition, CMS administers the Upward Mobility Program, Veterans' Outreach Program and Diversity Enrichment Program, Back Wage Claims, and Governor's Internship Program, and oversees agency day-care services. Labor Relations represents the State of Illinois at arbitration hearings, at collective bargaining negotiations and before the Illinois Labor Relations Board. The Bureau of Personnel continues to implement the electronic Web-based hiring application to simplify and increase access to state employment opportunities, and enhance objectivity throughout the selection process.

The ***Bureau of Property Management*** manages and maintains state owned properties, oversees the lease or purchase of needed space, coordinates the disposition of surplus real and personal property, administers state and federal surplus property programs, coordinates recycling programs and administers special events. As the state's coordinator for the Law Enforcement Support Office (LESO), CMS secures military equipment for use by Illinois law enforcement agencies.

The ***Bureau of Strategic Sourcing*** is focused on reducing the cost of state government while alleviating the burdens Illinois businesses have faced in doing business with the state. Through a center-led approach to procurement and standardizing the procurement process for equipment, commodities and services across state agencies, this program has reduced the total cost of the provision of these goods and services. This program is also responsible for managing the state's fleet operations.

Employee Benefits & Risk Management

Mission Statement: To administer high-quality benefit programs that contribute positively to the health, well-being and prosperity of statutorily-specified groups of Illinois government employees, retirees and their families; and to minimize the State of Illinois exposure to risk.

Program Goals: Objectives:

1. Administer and provide member facing functions to support employee benefit programs that promote and maintain individual well-being.
   a. Offer health, dental and vision benefits for all enrollees each year.
   b. Increase enrollment in the Flexible Spending Accounts.
2. Promote and maintain a safe and secure work environment.
   a. Provide prompt and equitable services to state employees who have work-related injuries and facilitate their return to work as safely and quickly as possible.
3. Establish benchmarks, measures and service expectations.
   a. Resolve disputes between members and carriers within 30 days of notification.
   b. Conduct audits of all agencies to determine that correct reimbursement payments have been made by agencies, boards, commissions, offices and universities.
   c. Increase total dollars deferred each year.
   d. Effectively and efficiently process payments for bills related to medical treatment, rehabilitation services, temporary disability income payments, and settlements for permanent impairments within ninety days of service.
4. Manage resources and services efficiently to minimize costs and maximize value.
5. Improve the communication level and quality of information on programs and services provided and accomplishments achieved by CMS.
   a. Educate eligible enrollees regarding all benefit programs available through issuing educational materials prior to the annual benefits choice period.
6. Provide appropriate technological infrastructure, tools, services, and resources to meet user needs.

Source of Funds: General Revenue Fund, Workers' Compensation Revolving Fund, Group Insurance Premium Fund, State Employees Deferred Compensation Plan Fund, Health Insurance Reserve Fund

Statutory Authority: 5 ILCS 375 et seq; 20 ILCS 405

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$262,482.5	$262,035.3	$2,759,940.6	$3,957,844.4	$4,419,757.6
* Total expenditures - state appropriated funds (in thousands) (a)	$262,482.5	$262,035.3	$2,759,940.6	$3,957,844.4	$4,419,857.6
* Average monthly full-time equivalents	67.0	87.0	118.0	98.0	99.0
Output Indicators					
* Number of disputes resolved	4,676	3,833	3,800	2,454	2,750
* Number of flexible spending account participants (MCAP & DCAP) (b)	13,509	14,896	14,900	14,560	14,900
* Number of payroll deduction discrepancies received	4,961	6,253	6,300	6,460	6,500
* Number of premium refund requests received	1,654	1,502	1,500	1,600	1,600
* Number of SERS Financial Incentive Program participants	217.0	257.0	260.0	293.0	350.0
* Number of new SERS Financial Incentive Program participants	74.0	90.0	90.0	95.0	150.0
* Number of COBRA notifications mailed	11,975	8,361	8,400	9,167	9,000
* Number of Medicare Coordination of Benefits cases received	6,713	4,818	4,800	5,455	5,000
* Deferred compensation - total dollars deferred (in millions)	$166.2	$174.7	$175.0	$158.7	$160.0
* Number of deferred compensation participants	52,386	51,906	52,000	51,285	52,000
* Number of new deferred compensation participants	2,180	2,062	2,100	1,875	1,800
* Number of workers' compensation injuries	6,514	5,216	5,200	5,013	5,200
* Number of independent medical evaluations performed (c)	318.0	869.0	870.0	253.0	300.0
* Number of injured employees returned to work at modified duty	2,206	2,023	2,000	1,752	1,800
* Number of motor vehicle liability claims	1,512	1,341	1,400	1,459	1,450
* Number of non-litigated vehicle liability claims closed	1,578	1,387	1,400	1,447	1,425
Outcome Indicators					
* Percent of disputes resolved within 30 days of notification	98 %	97 %	98 %	72.9 %	95 %
* Percent of payroll deduction discrepancies resolved within 60 days of benefits notification	89.9 %	92 %	90 %	85.5 %	90 %

Employee Benefits & Risk Management (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Percent of premium refunds processed within 30 days of receipt	73.4 %	86 %	80 %	91.6 %	90 %
* Percent of COBRA eligible participants notified within 30 days	82.8 %	83 %	83 %	80.6 %	80 %
* Percent of Medicare COB cases completed within 30 days (b)	99.9 %	100 %	99 %	69 %	80 %
* Annual change in Workers' Compensation spending	1.9 %	1.5 %	1 %	4.4 %	1 %
* Savings resulted from Workers' Compensation Physicians PPO Network (in millions) (c)	$5.5	$6.2	$6.0	$5.5	$5.0
* Percent of medical cost containment savings to total medical program cost	38.1 %	28.2 %	30 %	12.8 %	15 %
* Percent of vehicle liability claimants contacted within 5 calendar days	100 %	100 %	99 %	100 %	99 %
* Average number of days to close a vehicle liability case (bodily injury and property damage)	60.0	58.0	60.0	60.0	60.0
* Percent of indemnity expenses and awards within a 20 business day period	98.3 %	95 %	95 %	95 %	95 %
External Benchmarks					
* Number of deferred compensation investments exceeding benchmark - 1 year rolling return (16 current investments. Prior to fiscal year 2006, there were 12 total investments.)	10.0	7.0	8.0	8.0	8.0
Efficiency/Cost-Effectiveness Indicators					
* Average annual administrative cost per deferred compensation participant (state program) (in dollars)	$18.98	$20.64	$20.70	$21.94	$22.00
* State FICA savings from Commuter Savings Program (in thousands)	$107.4	$223.7	$224.0	$502.3	$500.0
* State FICA Savings from Flexible Spending Programs (in thousands)	$2,023.1	$2,159.1	$2,160.0	$1,874.3	$1,800.0

Footnotes

(a) Fiscal year 2013 Projected and Actual figures are drastically higher due to the re-integration of the State's Group Health Insurance Program.

(b) MCAP- Medical Care Assistance Plan; DCAP- Dependent Care Assistance Plan.

(c) The increase is the result of challenges to large numbers of repetitive motion claims in Southern Illinois. CMS anticipates continued Workers' Compensation challenges and the need for independent medical evaluations at these levels through fiscal year 2013.

(d) COB- Coordination of Benefits.

(e) PPO- Preferred Provider Organization.

Communications and Computer Services

Mission Statement: The Communications and Computer Services Program (BCCS) is mandated by State statute and is committed to procuring and providing state-of-the-art, reliable, cost-effective, high quality telecommunications and computer services to state agencies, boards, commissions, constitutional offices, educational entities and participating units of local and county government. To that end, the program maintains optimum accountability, professionalism, and efficiency in the management and delivery of those services to enable its customers to accomplish their missions and service the people of the State of Illinois.

Program Goals: Objectives:

1. Provide appropriate technological infrastructure, tools, services, and resources to meet user needs.
   a. Maintain information technology (IT) and communications infrastructure availability.
   b. Develop and achieve timeliness and performance standards in each major service area.
   c. Support state agencies' and enterprise-wide IT initiatives.
2. Collaborate with state agencies, boards and commissions to manage the application of technology to business needs.
   a. Ensure alignment with the state's technical architecture and strategy.
   b. Identify functional areas where the adoption of program standards would be beneficial.
3. Make available opportunities for state employees to take advantage of technology training to improve their job performance.
   a. Provide quality technical training opportunities for state employees in technical positions.
   b. Provide classes, seminars and presentations to promote technology awareness among employees in non-technical positions.
4. Provide technology support for CMS Bureaus.
   a. Assist CMS Bureaus in assessing their business program technology needs.
   b. Develop and maintain computer applications to support the CMS Bureaus.
5. Establish benchmarks, performance measures and service level expectations for our customers.
   a. Continually monitor performance metrics and service level expectations.
   b. Provide regular performance metric reporting to internal and external stakeholders.
   c. Research various performance metric methodologies and industry-based standards and benchmarks.
6. Manage resources and services efficiently to minimize costs.
   a. Ensure that the state only pays reasonable prices for goods and services that it needs and for which it is responsible.
   b. Ensure that the rates state government pays and the prices CMS charges for services are appropriate.
7. Communicate effectively regarding BCCS service information and accomplishments.
   a. Hold periodic meetings with agency stakeholders regarding available program service offerings.
   b. Communicate regularly with agency stakeholders via websites, online service catalogs, and electronic publications.

Source of Funds: General Revenue Fund, Capital Development Fund, Statistical Services Revolving Fund, Communications Revolving Fund

Statutory Authority: 20 ILCS 405/405-20, 405/405-27

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$231,526.7	$270,203.9	$347,887.1	$289,295.7	$363,046.3
* Total expenditures - state appropriated funds (in thousands) (a)	$231,526.7	$270,203.9	$347,887.1	$289,295.7	$363,046.3
* Average monthly full-time equivalents	604.0	576.0	593.0	532.0	612.0
Output Indicators					
* Number of network data circuits managed	7,505	6,873	6,500	6,204	5,900
* Telecommunications Voice Orders (TSR) processed/month	1,042	857.0	875.0	833.0	850.0
* Megabytes of Direct Access Storage Device (DASD) billed/month	46,717,174	39,926,466	40,000,000	39,910,495	40,000,000
Outcome Indicators					
* Percent mainframe transactions completed within 1 second	98.2 %	98.4 %	98.4 %	98.8 %	98.5 %
* Percent mainframe system availability	99.5 %	99.1 %	99.2 %	99.4 %	99.2 %
External Benchmarks					
* Mainframe transactions completed within 2 seconds (per Gartner Group Research)	98.4 %	98.4 %	98.4 %	98.4 %	98.4 %

Footnotes

(a) Fiscal year 2012 Actual expenditures have been updated to reflect Capital Development Funds Expenditures.

Property Management

Mission Statement: The Property Management Program is authorized by statute to provide, coordinate, operate, and oversee State of Illinois facilities, and real and personal property for state agencies. To that end, the program secures property by lease or purchase and manages the daily operations of and public access to facilities by maintaining grounds, structures, utilities, and environmental systems. The program acquires and disposes of real and personal property through the surplus property program in an efficient and cost effective manner.

Program Goals: Objectives:

1. Establish benchmarks, measures and service expectations.
   a. Continue to maintain and coordinate a fully integrated facility and real estate enterprise best practices solution.
   b. Reduce the State's cost of occupancy in both state owned and leased properties.
   c. Improve the Bureau's timeliness, responsiveness, and customer satisfaction by streamlining internal procedures.
   d. Reduce the State's energy cost utilizing energy consumption and contract consolidation strategies.
2. Manage resources and services efficiently to minimize cost.
   a. Drive down occupancy cost through the application of newly developed space standards, the due diligence process, facilities assessment and operational consolidation.
   b. Ensure the state only pays for goods and services that it needs and for which it is responsible.
   c. Ensure the rates State Government pays and the prices that CMS charges for services are appropriate.
3. Expand marketing efforts of I-Cycle program to educate state government employees about the benefits of recycling.
   a. Initiate marketing strategies in coordination with the Governor's Green Council Committee, Department of Natural Resources, and Department of Commerce and Economic Opportunity.
   b. Implement programs and policies for recycling of plastics and fluorescent and high intensity discharge lamps.
   c. Continue to review, recommend and implement recycling programs for various types of batteries and small electronics.
   d. Continue to participate in toner cartridge and book recycling.

Source of Funds: General Revenue Fund, Facilities Management Revolving Fund, State Surplus Property Revolving Fund

Statutory Authority: 20 ILCS 405/405-300

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$220,719.2	$204,422.8	$317,962.0	$204,785.4	$312,862.8
* Total expenditures - state appropriated funds (in thousands)	$220,719.2	$204,422.8	$317,962.0	$204,785.4	$312,862.8
* Average monthly full-time equivalents	285.0	276.0	307.0	301.0	288.0
Output Indicators					
* Number of facilities participating in I-Cycle Program	283.0	285.0	290.0	286.0	290.0
* Number of equipment items transferred out of State Surplus Warehouse (a)	4,129	2,000	4,000	2,198	3,000
* Number of items sold via I-Bid (b)	2,997	1,604	45,000	2,657	3,000
* Number of Registered bidders for I-Bid Program	5,695	13,708	15,000	18,069	22,000
Outcome Indicators					
* Percent of work orders completed within the fiscal year	90 %	90 %	90 %	92 %	96 %

Footnotes

(a) Transfers dropped in fiscal year 2012 due to the implementation of a new inventory management system that reduced staff availability.

(b) Fiscal year 2013 projections have increased sharply due to a decision that requires the majority of surplus property to be auctioned via I-Bid rather than on-site auctions from the warehouse.

Strategic Sourcing

Mission Statement: To reduce the cost of state government through a center-led procurement approach, while alleviating the burden Illinois businesses have faced in doing business with the State. State fleet operations and maintenance, fuel management, and providing vehicles through the usage/maintenance program for all state agencies, as well as timely mail service for state government, are also under the Bureau.

Program Goals: Objectives:

1. Provide seamless, high quality strategic sourcing services to other state agencies while reducing the cost of government.
   a. Provide adequate levels of services to other agencies to allow them to focus on their core missions.
   b. Improve the transparency, quality and consistency of procurement processes and documents.
   c. Drive down costs through strategic sourcing best practices.
   d. Build knowledge and skills to assist user agencies with making better sourcing decisions.
2. Improve the ease and accessibility of doing business with the State with particular emphasis on small and diverse businesses.
   a. Increase access and expand contracting opportunities for small and diverse businesses.
3. Manage fleet resources and services efficiently to minimize costs.
   a. Increase mechanical repair capabilities to ensure agency fleet needs are met and fleet cost reductions maintained.
   b. Stabilize fleet management costs and enhance fleet assets.
   c. Capture fleet data for enhanced fleet management.

Source of Funds: General Revenue Fund, State Garage Revolving Fund, Statistical Services Revolving Fund, Communications Revolving Fund, Facilities Management Revolving Fund

Statutory Authority: 30 ILCS 500, 20 ILCS 405

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$46,895.9	$51,552.7	$81,053.8	$57,244.2	$84,950.9
* Total expenditures - state appropriated funds (in thousands)	$46,895.9	$51,552.7	$81,053.8	$57,244.2	$84,950.9
* Average monthly full-time equivalents	190.0	198.0	211.0	181.0	211.0
Output Indicators					
* Gallons of gasohol sold	683,249	719,803	720,000	677,258	680,000
* Fleet Consumption- Biodiesel (gallons)	2,432,929	2,047,206	2,400,000	2,100,000	2,100,000
* Fleet Consumption- E-85 (gallons)	165,118	162,371	150,000	160,000	160,000
* Total state garage billings (in millions) (a)	$33.9	$34.0	$41.1	$41.1	$41.1
Outcome Indicators					
* Mechanic productivity rate	103.4 %	102.8 %	103 %	102.8 %	103 %
* Percent savings to state agencies- DOV mechanical labor rate per hour vs. industry average for passenger vehicles (b)	24.7 %	26.3 %	27.8 %	29.2 %	30.6 %
* Percent of vehicles purchased meeting federal requirements when FFV vehicles are available (EPACT) (c,d)	97.7 %	116 %	118 %	126 %	126 %
Efficiency/Cost-Effectiveness Indicators					
* DOV mechanical labor rate per hour (in dollars) (b)	$70.00	$70.00	$70.00	$70.00	$70.00
External Benchmarks					
* Industry average mechanical labor rate per hour (in dollars)	$93.00	$95.00	$97.00	$99.00	$101.00

Footnotes

(a) Contains fees from the Usage/Maintenance program.

(b) DOV- Department of Vehicles.

(c) P.A. 94-1079 requires the purchase of Hybrid vehicles, which lowers the number of FFV purchases.

(d) FFV- Flexible Fuel Vehicles; EPACT- Energy Policy Act (Federal).

Personnel

Mission Statement: To develop and administer the State's Personnel Code, Personnel Rules, Pay Plan, Position Classification Plan, current collective bargaining agreements and other applicable laws.

Program Goals: Objectives:

1. Provide adequate levels of personnel services to other agencies to assist them in carrying out their core missions.
   a. Improve timeframes for hiring and recruitment efforts.
   b. Improve turnaround time to all agencies regarding classification issues and Rutan compliance.
   c. Improve development and administration of testing and selection instruments.
2. Increase diversity of Illinois workforce and access to job opportunities.
   a. Develop plans to recruit and monitor hiring of minorities.
   b. Conduct job fairs across Illinois to help increase diversity of state workforce.
3. Improve Personnel-related technology and form automation.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 20 ILCS 415

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$12,785.2	$12,304.7	$8,959.0	$8,556.5	$9,073.1
* Total expenditures - state appropriated funds (in thousands)	$12,785.2	$12,304.7	$8,959.0	$8,556.5	$9,073.1
* Average monthly full-time equivalents	100.0	100.0	108.0	97.0	101.0
Output Indicators					
* Number of job descriptions (104s) processed	7,449	6,372	6,700	7,627	6,700
* Number of Rutan reviews processed	1,547	1,361	1,300	1,800	1,300
* Number of people trained	1,656	375.0	2,800	443.0	500.0
* Number of participants at Diversity Enrichment job fairs	5,070	14,827	12,000	9,007	12,000
* Number of Diversity Enrichment Program events	18.0	41.0	25.0	28.0	25.0
* Number of packets distributed at Diversity Enrichment Program job fairs	3,940	8,307	4,400	4,707	4,400
* Number of veterans counseled	592.0	434.0	600.0	385.0	600.0
* Number of automated exams given	92,184	79,778	80,000	90,349	80,000
* Number of job applications received	184,400	177,450	155,000	200,064	155,000
* Number of Upward Mobility Program participants certified and credentialed	1,074	1,035	1,000	915.0	1,000
* Number of TRAEX exams graded (a)	84,239	74,951	55,000	73,391	55,000
* Number of Upward Mobility Program participants appointed to UMP positions	507.0	283.0	280.0	220.0	280.0
* Number of people enrolled in Upward Mobility Program	3,653	3,341	4,100	2,353	4,100
* Number of transactions processed	119,537	108,423	115,000	78,247	115,000
* Number of grievances resolved	86.0	37.0	65.0	58.0	65.0
Outcome Indicators					
* Average number of days for personnel to review and approve job descriptions	27.1	35.1	60.0	30.7	60.0
* Percent of full-time permanent state employees in code agencies that represent a minority group	58.6 %	58.7 %	58.2 %	58.8 %	58.2 %

Footnotes

(a) TRAEX - Training and Experience

Business Enterprise Program

Mission Statement: To promote and encourage the continued economic development of minority and female owned and operated businesses and that minority and female owned and operated businesses participate in the State's procurement process as both prime and subcontractors.

Program Goals: Objectives:

1. Promote the participation of BEP prime and subcontractor goals for RFP's and IFB's over $250,000.
   a. Seek achievement of BEP participation goals.
   b. Ensure that good faith efforts are made by the prime to achieve BEP participation goals prior to any waiver.
   c. Continue to enhance CMS management controls to maximize BEP participation goals.
   d. Review goals.
   e. Review utilization plans of Prime Bidder.
   f. Review waivers of goals from agencies.
2. Monitor the efforts of agencies towards their achievement of BEP prime and subcontracting goals for all State contracts.
   a. Improve the monitoring system for compliance of BEP participation goals.
   b. Work closely with all state agencies on monthly progress of BEP participation goals.
   c. Recommend additional and/or remedial efforts by agencies when BEP participation goals are or appear to be below reasonable expectations.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 30 ILCS 575

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$936.5	$1,065.4	$1,151.4	$1,107.6	$1,452.1
* Total expenditures - state appropriated funds (in thousands)	$936.5	$1,065.4	$1,151.4	$1,107.6	$1,452.1
* Average monthly full-time equivalents	10.0	13.0	17.0	12.0	17.0
Output Indicators					
* Number of outreach events attended	89.0	75.0	75.0	85.0	85.0
* Number of BEP applications received	1,814	2,175	2,190	2,237	2,250
* Number of BEP certifications approved	1,513	1,776	1,790	1,707	1,725
* Number of BEP certifications denied	286.0	399.0	400.0	429.0	430.0
* Number of BEP (Minority, Women, Disabled Business Enterprise) contracting firms (a)	840.0	799.0	N/A	N/A	840.0
Outcome Indicators					
* Total contract dollars generated from diverse business contracting goals (a)	$274,339.7	$269,460.6	N/A	N/A	$210,000.0

Footnotes

(a) Fiscal year 2013 data not yet available.

Teachers' Retirement System
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
To Provide Retirement, Death and Disability Benefit(s)	$2,406,364.2	170.0	$2,703,312.2	174.0
Non-Reporting Programs				
Interfund Transfers and Pass-Throughs	$87,622.0	N/A	$86,683.0	N/A
State Agency Payments	$120.0	N/A	$120.0	N/A
Totals	$2,494,106.2	170.0	$2,790,115.2	174.0

Mission and Organization

The Teachers' Retirement System of the State of Illinois (TRS) is the administrator of a cost-sharing multiple-employer defined benefit public employee retirement system (PERS). Membership is mandatory for all full-time, part-time, and substitute public school personnel employed outside of Chicago in positions requiring certification. Persons employed at certain state agencies are also members. Established by the State of Illinois, TRS is governed by the Illinois Pension Code (40 ILCS 5/16). The mission of TRS is to safeguard benefit security through committed staff, engaged members, and responsible funding.

TRS had three main operational goals during fiscal year 2013: set the customer service standard for teacher retirement systems by streamlining ways to provide accurate and timely information to TRS members, initiate comprehensive organizational risk assessment to ensure compliance with law and best practices in public sector retirement system administration, and advance TRS technological systems to provide state of the art service. There were twelve corresponding objectives.

A synopsis of positive outcomes and efficiencies in meeting the above goals and objectives during fiscal year 2013 is as follows: Continued an ongoing Laserfiche project to advance conversion from hard copy records to paperless electronic format; completed a compensation study for all non-investment staff positions (investment positions were completed in the prior fiscal year); increased distribution of TRS Benefits Reports to members via email; pursued development of an investment risk management program; continued tax-qualified plan status through application for next IRS determination letter; reduced liability risks with procurement of fiduciary liability and cyber insurance coverage.

TRS provides retirement, death, and disability benefits. In the spring of 2010, Public Act 96-0889 was signed into law, adding a new section to the Pension Code applying different benefits to members who first contribute to TRS on or after January 1, 2011, and do not have any previous service credit with a pension system with reciprocal rights with TRS. These members are referred to as "Tier II" members.

A Tier I member qualifies for an age retirement annuity after meeting one of the following requirements: age 62 with five years of service credit; age 60 with 10 years; or age 55 with 20 years. A retirement benefit is determined by the average salary of the four highest consecutive salary rates within the last 10 years of creditable service and the percentage of average salary to which the member is entitled.

The changes for Tier II members include the minimum age retirement requirement for retirement at age 67 with 10 years of service, a cap on salaries used to calculate retirement benefits, and limiting the cost-of-living annuity adjustments to the lesser of 3 percent or 1/2 of the annual in-

crease of the Consumer Price Index, not compounded. The retirement formula is unchanged. The new pension law does not apply to anyone who has service prior to January 1, 2011. These members remain participants of Tier I.

The three sources of TRS funding include member contributions, investment income and employer contributions through state appropriations and payments by employers.

Each employer remits the 9.4 percent member contribution to TRS. Employers are responsible for employer contributions for teachers paid from federal funds. The contribution rate was 28.05 percent in fiscal year 2013 and 24.91 percent in fiscal year 2012. Employers are also responsible for the .58 percent employer contribution for member benefit increases and for the employer's portion of Early Retirement Option contributions.

As a result of Public Act 94-0004, which became law on June 1, 2005, employers are also required to pay the cost of pension benefits resulting from end-of-career salary increases over 6 percent. Employers also pay a contribution for sick leave days granted in excess of the member's normal annual allotment and used for service credit at retirement. The contributions do not apply to salary increases awarded or sick leave granted under contracts or collective bargaining agreements entered into, amended, or renewed prior to June 1, 2005.

The State of Illinois provides a large source of contributions through state appropriations from the Common School Fund. An additional source of state contributions is the Education Assistance Fund.

State funding law provides for a 50-year funding plan that included a 15-year phase-in period. Minimum state contribution rates were specified in the statute for fiscal year 1999 through fiscal year 2004. In fiscal year 2005, state contributions were reduced in accordance with funding revisions contained in the pension obligation bond law. In fiscal year 2006 and fiscal year 2007, state contributions were based on dollar amounts specified by Public Act 94-0004, not actuarial funding requirements. State contributions gradually increased as a percentage of the active member payroll in fiscal year 2008 through 2010. State contributions will remain at a level percentage for the following 35 years. TRS's funded ratio will be 90 percent at the end of the 50-year period.

On December 5, 2013, Governor Pat Quinn signed Public Act 98-0599 into law, with an effective date of no earlier than June 1, 2014. The law's intent is to eliminate the TRS unfunded liability by 2045 and the long-term stabilization of the System's finances. This is accomplished by, among other things, reducing the annual cost of living adjustment, capping pensionable earnings of Tier I members, delaying the retirement date of members under age 45, reducing Tier I member contributions, guaranteeing adequate annual state contributions, earmarking extra funds to help pay off the unfunded liability and calculating the System's liabilities under standard actuarial practices. A court challenge to the law's constitutionality is expected. TRS continues to analyze this legislation and its effect on TRS members.

To Provide Retirement, Death and Disability Benefit(s)

Mission Statement: The Teachers' Retirement System of the State of Illinois will safeguard benefit security through committed staff, engaged members, and responsible funding.

Program Goals: Objectives:

1. Set the customer service standard for teacher retirement systems by streamlining ways to provide accurate and timely information to our members.
   a. Develop and maintain an intranet product to house member service information for instant staff access using keywords.
   b. Research members who have previously verified out-of-system service to identify who should be notified regarding limitations to using the service.
   c. Expand the use of e-mail for member communication.
   d. Review and make consistent signature requirements for submission of forms.
2. Initiate comprehensive organizational risk assessment to ensure compliance with law and best practices in public sector retirement system administration.
   a. Maintain tax-qualified plan status.
   b. Document decision-making and administrative processes at each department level.
   c. Develop coordinated ethics education program for staff and trustees.
3. Advance TRS technological systems to provide state of the art service.
   a. Develop a TRS Going Green Initiative that will find alternative ways of disseminating information while reducing printing, distribution, and overhead costs.
   b. Provide enhanced mechanisms for securing TRS data as TRS application systems are deployed to external users.
   c. Incorporate new/upgraded software and hardware to improve business processes.
   d. Create more personalized, tailored member correspondence.
   e. Reinforce staff and member confidence in TRS application systems.

Source of Funds: Education Assistance Fund, Common School Fund ***Statutory Authority:*** 40 ILCS 5/16

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,235,605.7	$3,478,920.4	$3,798,260.0	$3,781,914.1	$4,532,626.0
* Total expenditures - state appropriated funds (in thousands)	$170,000.0	$2,406,364.2	$2,703,378.0	$2,703,312.2	$3,438,578.0
* Average monthly full-time equivalents	177.0	170.0	177.0	174.0	178.0
* Investment Income (in thousands)	$7,234,539.5	$224,106.7	N/A	$4,561,768.4	N/A
* Member Contributions (in thousands)	$909,577.1	$917,661.3	$936,015.0	$921,422.7	$932,940.0
* Employer Contributions (in thousands)	$155,110.1	$154,894.9	$158,767.0	$157,179.2	$161,108.0
Output Indicators					
* Number of active members	166,013	162,217	162,217	160,692	160,000
* Number of inactive members	94,820	99,052	99,052	120,325	123,000
* Number of retirees	90,967	94,865	98,660	97,899	101,000
* Number of disabilitants and survivor benefit recipients	10,321	10,582	10,847	10,884	11,200
* Annual benefit payments (retirement, survivor, disability benefits) (in thousands)	$4,228,283.0	$4,553,822.0	$4,895,359.0	$4,893,084.0	$5,252,000.0
* Number of new benefit claims (retirement, survivors, disability benefits)	5,917	6,411	6,500	5,717	5,900
* Number of members taking refunds (withdrawals)	3,789	3,850	3,900	4,013	4,370
* Number of benefit estimates	34,784	35,603	36,500	37,034	38,500
* Number of adjustments to member records (service purchases, corrections)	7,233	8,178	8,820	5,960	5,980
* Number of member phone calls answered	156,961	148,028	150,000	104,347	105,000
* Number of members counseled (individual, large groups, teleconferences)	8,472	13,794	14,500	11,458	12,000
* Number of TRS-covered employers	1,029	1,024	1,019	1,019	1,014
* Number of employer phone calls	21,482	21,287	22,500	20,019	20,200
* Number of individual and large group employer training meetings	103.0	116.0	111.0	102.0	108.0
* Number in attendance at individual and large group employer training meetings	712.0	664.0	700.0	570.0	592.0
Outcome Indicators					
* Member satisfaction survey percent reporting very satisfied	98 %	97 %	97 %	98 %	96 %
* Days to process retirement claim	9.0	10.0	10.0	14.0	14.0
* Seconds to answer member telephone calls	135.0	196.0	180.0	204.0	200.0
* TRS investment return (gross of fees) - 1 year	24.3 %	1.3 %	N/A	13.5 %	N/A
* TRS investment return (gross of fees) - 3 year	3.1 %	12.7 %	N/A	12.7 %	N/A

To Provide Retirement, Death and Disability Benefit(s) (Concluded)					
	Fiscal Year 2011 Actual	***Fiscal Year 2012 Actual***	***Fiscal Year 2013 Target/Projected***	***Fiscal Year 2013 Actual***	***Fiscal Year 2014 Target/Projected***
* TRS investment return (gross of fees) - 5 year	4.6 %	1.2 %	N/A	4.8 %	N/A
* TRS investment return (gross of fees) - 10 year	6.4 %	6.9 %	N/A	7.7 %	N/A
* TRS funded ratio	46.5 %	41.5 %	40 %	39.9 %	41.5 %
* Average monthly teacher retirement benefit (not coordinated with Social Security) (in dollars)	$3,871.00	$4,018.00	$4,167.00	$4,150.00	$4,281.00
External Benchmarks					
* Investment return TRS weighted policy index - 1 year	21.5 %	2.5 %	N/A	12.5 %	N/A
* Investment return TRS weighted policy index - 3 year	3.1 %	11.3 %	N/A	11.9 %	N/A
* Investment return TRS weighted policy index - 5 year	4.4 %	1.5 %	N/A	4.8 %	N/A
* Investment return TRS weighted policy index - 10 year	5.8 %	6.6 %	N/A	7.4 %	N/A
* Industry median investment return (R.V. Kuhns & Assoc., Inc. median return for public plans over $1 billion) - 1 year	21.8 %	1.1 %	N/A	12.3 %	N/A
* Industry median investment return - 3 year	4.2 %	11.4 %	N/A	11.4 %	N/A
* Industry median investment return - 5 year	4.8 %	2.2 %	N/A	5.4 %	N/A
Efficiency/Cost-Effectiveness Indicators					
* Administrative expense per member (in dollars)	$67.00	$71.00	$71.00	$75.00	$75.00
* Administrative expense as a % of total expenses	0.4 %	0.4 %	0.5 %	0.4 %	0.5 %

State Universities Retirement System
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Benefits Administration	$940,316.0	115.2	$1,359,607.0	113.9
Benefit Administration- Self-Managed Plan	$40,266.2	3.7	$43,193.0	3.7
Non-Reporting Programs				
Community College Health Insurance	$4,299.0	N/A	$4,175.8	N/A
Totals	$984,881.2	118.9	$1,406,975.8	117.6

Mission and Organization

SURS is the administrator of a cost-sharing, multiple-employer public employee retirement system established July 21, 1941, to provide retirement annuities and other benefits for staff members and employees of the state universities, community colleges, and certain affiliated organizations, certain other state educational and scientific agencies, and the survivors, dependents, and other beneficiaries of those employees. SURS is included in the State of Illinois' financial reports as a component unit. SURS is governed by Chapter 40, Act 5, Article 15 of the Illinois Compiled Statutes. Participation is required as a condition of employment. Employees are ineligible to participate if (a) employed less than full-time and attending classes with an employer; (b) receiving a retirement annuity from SURS; or (c) excluded by subdivision (a)(7)(f) or (a) (19) of Section 210 of the Federal Social Security Act from the definition of employment given in that Section. In addition to the traditional defined benefit option, Public Act 90-448, effective January 1, 1998, established an alternative defined benefit program known as the portable benefit package. New employees are allowed 6 months from their date of hire to make an irrevocable election. SURS provides retirement, disability, death and survivor benefits. Detailed plan descriptions of these benefits can be found in the SURS Comprehensive Annual Financial Report, SURS Member Guides, or on the SURS website at www.surs.org.

The sources of funding for the retirement system are member contributions, employer contributions from state appropriations and federal and /or trust funds, and investment income. Members of the traditional benefit package contribute 8% of their gross earnings: 6-1/2 % of those are designated for retirement annuities, 1/2% for post-retirement increases, and 1% for survivor benefits. Police officers and fire fighters contribute 9-1/2% of earnings: the additional 1-1/2% is a normal retirement contribution. Members of the portable benefit package contribute 8% of their gross earnings: 6-1/2 % of those are designated for retirement annuities, 1/2% for post-retirement increases, and 1% for enhanced refund benefits. Police officers and fire fighters contribute 9-1/2% of earnings: the additional 1-1/2% is a normal retirement contribution.

On an annual basis, an actuarial valuation is performed in order to determine the amount of statutorily required contributions from the State of Illinois. An actuarial experience study is performed every 5 years to determine the assumptions to be used in the annual valuation. The actuarial assumptions are also reviewed at least annually by the System. The experience review for the years June 30, 2006 to June 30, 2010 was performed in March 2011 and the assumptions adopted as of June 30, 2011. The anticipated future payrolls upon which contributions are based are expected to be lower in the future. To determine the funding method, Public Act 88-0593

was passed by the Illinois General Assembly in 1994. This act, which took effect on July 1, 1995, provides a 15-year phase-in to a 35-year plan that requires the state to make continuing appropriations to meet the normal actuarially-determined cost of the System, plus amortize the unfunded accrued liability. Under this plan, the System is expected to be 90% funded by fiscal year 2045.

The traditional benefit plan and portable benefit plan were revised in 2010 and became effective for new members who begin participation on or after January 1, 2011 with Public Act 96-0889 and do not have any other eligible Illinois reciprocal system service. The revised plan, referred to as Tier II, allows new employees to make an irrevocable election within 6 months. The Tier II plan changes the vesting service to 10 years of service and the retirement age to 67. The final rate of earnings was changed to the average earnings of the highest consecutive months of the last 120 months.

On December 5, 2013, Governor Quinn signed Senate Bill 1 (now Public Act 98-599) into law which provides for significant pension reform. The pension reform includes a reduction of the Automatic Annual Increase, capping pensionable earnings of Tier I employees, a new defined contribution plan, delaying the retirement age of members under age 45, changes to the Effective Rate of Interest, reduction of employee contributions for Tier I employees and funding guarantees.

Benefits Administration

Mission Statement: To secure and deliver the retirement benefits promised to our members.

Program Goals: Objectives:

1. Serve the members, covered employers, legislators and other constituents of our system by communicating timely and accurate information in a professional manner, both orally and in writing.
   a. Conduct regular major communication programs including pre-retirement planning workshops, employer training seminars and group meetings.
   b. Provide liaison support between SURS and all constituent groups.
   c. Generate timely, clear and accurate communication materials and information using a broad variety of methods including the SURS website, printed newsletters and brochures, and presentations.
2. Calculate benefits for individual members and beneficiaries, ensuring payments that are accurate and timely.
   a. Maintain accurate member accounts, service credit balances and information files in accordance with Illinois Compiled Statutes and State of Illinois Records Law Commission.
   b. Process employee and employer contributions and update member account balances on a daily basis.
   c. Evaluate member accounts and calculate timely and accurate annuity and benefit amounts in accordance with provisions of the Illinois Compiled Statutes and approved administrative procedures.
3. Educate members of our system about all aspects of their retirement options and benefits in a timely, accurate and understandable manner.
   a. Communicate information that allows members and beneficiaries to be informed of their current and future benefit choices through regular dissemination of personalized written communications.
   b. Respond in writing to member requests for information and benefit estimates within a specified time period.
   c. Provide accessibility to members through a variety of channels: the member website, the customer service call center, individual and group retirement counseling, and written responses to inquiries.
4. Administer the investments of the retirement system by providing analysis and recommendations to enable the Board of Trustees to define/specify the investment objectives for the fund and adopt strategies to obtain those objectives; implement the investment decisions of the Board; and negotiate competitive and cost effective fees with investment service providers.
   a. Establish a risk level that is prudent and sustainable.
   b. Establish a diversified asset mix for SURS investments, consistent with the adopted risk level.
   c. Select, monitor and evaluate periodically (at least annually) all investment service providers for achievement of established goals at a competitive cost.
   d. Present an investment budget to the Board for approval on an annual basis.
   e. Maintain cash levels as needed to pay benefits while maximizing returns.
5. Accurately reflect SURS' financial position and results of operations in accordance with Illinois Compiled Statutes and Generally Accepted Accounting Principles.
   a. Maintain a general ledger that reflects all transactions that occur and serves as the source of amounts reported in various financial reports including the monthly financial statements and the comprehensive annual financial report.
   b. Prepare and monitor an administrative and capital expenditure budget to orient each division's activities toward organizational goals and create awareness for costs of operations.
   c. Provide accurate and timely financial and statistical information to SURS management, Board of Trustees, and various state agencies.
   d. Perform accurate tax reporting to members and appropriate federal and state agencies according to timetables specified by those agencies.

Source of Funds: Education Assistance Fund, State Pensions Fund ***Statutory Authority:*** 40 ILCS 5/1&5/15; PA 90-448

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$737,017.6	$940,316.0	$1,365,216.0	$1,359,607.0	$1,467,145.0
* Total expenditures - state appropriated funds (in thousands) (a)	$23,539.3	$940,316.0	$1,365,216.0	$1,359,607.0	$1,467,145.0
* Average monthly full-time equivalents	118.0	115.2	121.7	113.9	122.8
* Member contributions (in millions)	$260.2	$258.2	$260.8	$245.1	$232.8
* Employer contributions (in millions)	$773.6	$985.8	$1,400.8	$1,401.5	$1,503.7
* Investment income (in millions)	$2,853.2	$45.9	$1,061.8	$1,708.1	$1,162.5
* Total expenses (in millions)	$1,734.5	$1,865.3	$2,053.6	$2,059.0	$2,216.0
* Benefits expenses and contribution refunds (in millions)	$1,670.2	$1,808.8	$1,990.7	$1,996.0	$2,156.0
* Investment expenses (in millions)	$52.1	$43.3	$49.4	$49.5	$46.0
* Administrative expenses (in millions)	$12.3	$13.2	$13.5	$13.4	$14.3
Output Indicators					
* Number of active members	71,888	71,056	70,000	70,556	69,900
* Number of inactive members	72,903	74,034	75,000	74,569	76,000
* Number of benefit recipients	51,370	54,532	56,700	57,229	63,000
* Number of refund payments	4,501	4,643	4,500	4,575	4,600
* Number of benefit payments (b)	605,044	635,549	661,000	682,564	710,000
* Number of counseling visits (b,c)	14,535	19,013	19,000	12,739	15,400
* Number of customer service telephone calls	120,259	118,286	119,000	103,193	114,000
* Number of employer payrolls processed	1,843	1,814	1,850	1,806	1,850

Benefits Administration (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Outcome Indicators					
* Days to process retirement claim	30.0	20.0	20.0	16.2	20.0
* Days to process refund request	20.0	21.0	21.0	20.0	21.0
* Fund investment return, net of fees	23.8 %	0.5 %	7.75 %	12.5 %	7.75 %
External Benchmarks					
* Investment return: market goal/policy portfolio-1 year	23.4 %	1.7 %	N/A	12.4 %	N/A
* Investment return: public funds index- 1 year	20.9 %	0.7 %	N/A	11.9 %	N/A
Efficiency/Cost-Effectiveness Indicators					
* Administrative cost per member (in dollars)	$62.57	$65.96	$67.00	$66.22	$68.00
* Administrative costs as a percent of total expenses	0.73 %	0.72 %	0.66 %	0.65 %	0.65 %

Footnotes

(a) The State's employer contributions for fiscal years 2010 and 2011 were partially funded by the issuance of state bonds, the proceeds of which were provided to the retirement system's non-appropriated accounts.

(b) Due to a change in retirement benefits scheduled for July 2, 2012, the projected number of counseling visits and benefit payments is expected to be significantly higher than in fiscal year 2011.

(c) The fiscal year 2011 actual total includes walk-in visits for members requiring help with the state insurance changes for fiscal year 2012.

Benefit Administration- Self-Managed Plan

Mission Statement: See Benefit Administration Program Mission Statement

Program Goals: Objectives: 1. See Benefit Administration Program Goals

Source of Funds: State Pensions Fund ***Statutory Authority:*** 40 ILCS 5/15; PA 90-448

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$39,460.7	$40,266.2	$37,584.0	$43,193.0	$42,620.0
* Total expenditures - state appropriated funds (in thousands)	$39,460.7	$40,266.2	$37,584.0	$43,193.0	$42,620.0
* Average monthly full-time equivalents	3.7	3.7	4.2	3.7	4.2
* Member contributions (in millions)	$49.8	$54.1	$56.8	$59.9	$65.9
* Employer contributions (in millions)	$44.8	$45.9	$42.0	$49.2	$48.1
* Investment income (in millions)	$172.5	$16.7	$52.0	$147.5	$78.7
* Benefits expense and contribution refunds (in millions)	$26.2	$34.4	$35.8	$39.7	$41.7
* Administrative expenses (in millions)	$.3	$.4	N/A	$.4	$.4
Output Indicators					
* Active members	9,723	10,100	10,600	10,746	11,100
* Inactive members	7,019	7,307	7,700	7,627	7,900
* Benefit annuity recipients	178.0	227.0	270.0	334.0	420.0

Explanatory Information

SURS also offers a defined contribution plan option to its members. This option became available through Public Act 90-448, effective January 1, 1998. A member may elect participation in the self-managed plan (SMP) if (a) all participation criteria for the defined benefit plan are met; (b) the employer has elected through Board action to offer the self-managed plan; (c) the employee is on active status at the plan offering date; and (d) the employee is not eligible to retire as of the employer plan offering date. New employees are allowed 6 months from the date of hire in which to make their election. If no election is received, members are considered to be part of the defined benefit plan, under the traditional benefit option.

SMP participants contribute 8% of their gross earnings, and select an investment provider with whom to invest these contributions. Employer contributions are credited to the SMP participant at a rate of 7.6% of the participant's gross earnings, less the amount retained by SURS (currently 0.4%) to provide disability benefits to the participant. The State of Illinois shall make the employer contribution to SURS on behalf of the SMP participants. The employee and employer amounts credited are paid into the participant's account at the selected provider(s).

Department of Lottery
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Lottery	$597,888.7	157.0	$604,684.2	152.0
Non-Reporting Programs				
Totals	$597,888.7	157.0	$604,684.2	152.0

Mission and Organization

The Illinois Lottery is charged with raising funds in a socially responsible manner for various good causes, including: The Common School Fund (CSF), the Capital Projects Fund (CPF), and various funds supported by Lottery "specialty" instant games (Veterans Cash, Ticket for the Cure, etc.).

In fiscal year 2013 the Lottery continued operating under the "Private Management Agreement," under which several day-to-day operations are carried out by a private management firm. The Lottery works with the private manager to ensure operations are conducted in a socially responsible manner, and provides input into key operational areas, including marketing and promotions. Lottery Drawings and prizes payments are administered exclusively through Department of Lottery personnel to ensure continuity and integrity.

There are approximately 9,800 businesses that sell Lottery products in Illinois. Through fiscal year 2013 the Lottery had raised nearly $18.1 billion for the CSF, over $254 million for the CPF, and just over $32 million for specialty instant-game causes.

Lottery

Mission Statement: To raise money for education, capital projects and other worthy causes by growing sales in a socially responsible manner, while ensuring all Lottery operations adhere to the highest standards of security and public accountability.

Program Goals: Objectives:

1. To develop, market and conduct Lottery games in a socially responsible manner that grows by expanding the player base and focuses attention on the various good causes the Lottery funds.
   a. Work closely with the Lottery's private management company to ensure the manager's advertising, marketing, promotions and sales programs are conducted in an appropriate and socially responsible manner.
   b. Administer an Internet sales pilot program as a means of expanding the player base.
2. To award all prizes and incentives in a timely, efficient and secure manner.

Source of Funds: State Lottery Fund, Deferred Lottery Prize Winners Trust Fund ***Statutory Authority:*** 20 ILCS 1605

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,278,760.7	$2,684,612.4	$3,515,800.0	$2,841,333.2	$3,500,000.0
* Total expenditures - state appropriated funds (in thousands)	$422,260.0	$597,888.7	$1,042,044.3	$604,684.2	$1,229,925.8
* Average monthly full-time equivalents	174.0	157.0	170.0	152.0	182.0
* Operating Expenses (in millions) (a)	$61.0	$150.4	$227.0	$145.6	$229.8
Output Indicators					
* Sales (in millions)	$2,278.8	$2,684.6	$3,515.8	$2,841.3	$3,500.0
Outcome Indicators					
* Transfers to the Common School Fund (in millions)	$631.9	$639.9	$655.6	$655.6	$668.1
* Percentage of sales transferred to the Common School Fund	27.7 %	23.8 %	18.6 %	23.1 %	19.1 %

Footnotes

(a) Beginning in fiscal year 2012, the Illinois State Lottery was managed by a Private Manager and the costs associated with this contract are included in the operating expenses. The fiscal year 2013 operating expenses include $131.6 million for the private manager.

Capital Development Board
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Construction Administration	$16,321.2	116.5	$18,458.7	123.2
School Construction Grants	$471.0	4.0	$531.9	4.0
Non-Reporting Programs				
Awards and Grants and Permanent Improvements	$540,978.3	N/A	$436,439.3	N/A
Totals	$557,770.5	120.5	$455,429.9	127.2

Mission and Organization

The Capital Development Board (CDB) serves as the construction management arm of Illinois Government. CDB is responsible for overseeing the state-funded capital program and is the central agency dedicated to the professional supervision of the state's building construction and renovation projects. The agency operates under the guidance of a bi-partisan, seven-member board that deliberates matters of policy, approves selections of design professionals through the 1991 Qualifications-Based Selection Act, and sets the direction for the agency.

Construction: the CDB manages about 300 projects annually at a value of approximately $1.1 billion. The agency's projects are located statewide and range in scope from construction of the $150 million Abraham Lincoln Presidential Library and Museum Complex, to a $52,000 roofing project at a state park. The agency also oversees the identification and removal of asbestos in state facilities, serves as liaison between the state and the design and construction industries and actively pursues the recovery of assets through litigation of projects found to have design and/or construction defects.

CDB is also responsible for administering the School Construction Grant program.

Construction Administration

Mission Statement: To manage the design and construction of capital projects for the State in a timely, effective and fiscally responsible manner, while spreading opportunities among qualified industry partners.

Program Goals: Objectives:

1. Develop Cost Saving Initiatives.
   a. Reduce spending on changes that are proposed after all parties have agreed to the budget and the solution.
2. Deliver Projects on Schedule.
   a. Implement steps to increase compliance with the design schedule.
   b. Implement steps to increase compliance with the construction schedule.
3. Provide Accountable Project Management.
   a. Ensure that poor industry performance leads to meaningful actions.
4. Provide Work Opportunities for Varied Industry Partners.
   a. Provide opportunities for minorities and females to obtain work.
   b. Initiate Project Labor Agreements.
5. Support the State's Economic Development Plan.
   a. Monitor "Illinois Jobs Now!" projects announced by the Governor.

Source of Funds: Capital Development Fund, Capital Development Board Revolving Fund, Asbestos Abatement Fund, Tobacco Settlement Recovery Fund, Build Illinois Bond Fund

Statutory Authority: 20 ILCS 3105

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$14,283.5	$16,321.2	$21,659.6	$18,458.7	$23,455.3
* Total expenditures - state appropriated funds (in thousands)	$14,283.5	$16,321.2	$21,659.6	$18,458.7	$23,455.3
* Average monthly full-time equivalents	110.8	116.5	140.0	123.2	143.0
Outcome Indicators					
* Average variation from planned schedule for construction phase (a)	14.8 %	19.41 %	15 %	17.44 %	15 %
* Percent of labor hours that are minority or female	14.8 %	21.24 %	15 %	14.9 %	15 %
* Percent of total dollars contracted to MBE/FBE firms (b)	18.2 %	18.1 %	15 %	15.7 %	15 %

Footnotes

(a) Additional accountability efforts resulted in the improvement in time.

(b) MBE/FBE is Minority Business Enterprise/Female Business Enterprise.

School Construction Grants

Mission Statement: The mission of CDB'S School Construction Grant Program is to work from the entitled and prioritized list compiled by the Illinois State Board of Education to provide school construction grants, up to the funding limits. The professional staff visits sites, develops project descriptions, educates school and community representatives and provides technical assistance to expedite the process and enhance the quality of Illinois school buildings.

Program Goals: Objectives:

1. Educate school districts about how to obtain a grant and how their state share is determined.
2. Provide effective, accountable and responsive service related to the design and construction of school buildings.

Source of Funds: School Construction Fund, School Infrastructure Fund

Statutory Authority: 30 ILCS 390, 105 ILCS 230

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$491.9	$471.0	$600.0	$531.9	$600.0
* Total expenditures - state appropriated funds (in thousands)	$491.9	$471.0	$600.0	$531.9	$600.0
* Average monthly full-time equivalents	4.0	4.0	4.0	4.0	4.0

Office of the State Comptroller
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Statewide Financial Management and Reporting	$17,371.3	214.0	$16,734.4	212.0
Pre-need Licensing and Compliance Enforcement	$3,082.0	29.0	$3,101.1	31.0
Local Government	$1,416.8	14.0	$1,346.0	14.0
Non-Reporting Programs				
Fiscal Year 2013 Backlog	$0.0	N/A	$264,000.0	N/A
Court Reporting Services	$48,890.1	N/A	$47,985.0	N/A
State Officers' Salaries	$30,162.3	N/A	$29,967.9	N/A
Administrative Fund	$338.6	N/A	$402.5	N/A
Merit Commission	$92.8	N/A	$57.3	N/A
State Lottery Expenses	$50.3	N/A	$50.3	N/A
Inspector General	$69.9	N/A	$22.1	N/A
Totals	$101,474.1	257.0	$363,666.6	257.0

Mission and Organization

With the passage of the Illinois Constitution of 1970, the Comptroller became the State's Chief Fiscal Control Officer, responsible for the legal, efficient, and effective operation of state government's fiscal affairs. The Illinois Office of the Comptroller (IOC) is charged with the responsibility to maintain the state's central fiscal accounts, order payments into the treasury, and issue warrants against any funds held by the Treasurer. The new Constitution directed the Comptroller to apply sound fiscal controls to all of the state's central fiscal accounts. To accomplish the legal mandates set forth in the Constitution and supporting statutes, the IOC performs the key financial functions of statewide financial management and reporting. Additionally, IOC regulates pre-need licenses and purchases through the Pre-need Licensing and Compliance Enforcement (PLACE) program, and the Local Government Division collects and analyzes annual financial reports from over 8,000 units of local government.

Cash flow issues heavily influenced statewide financial management activities in fiscal year 2013. It appears that state government will have ongoing cash flow problems for fiscal year 2014 based on forecasts for the state's major revenue components. If cash flow difficulties continue, payments for everyday operations will continue to be delayed during the entire fiscal year.

During fiscal year 2013, the financial reporting program continued to produce award winning reports, receiving Certificates of Achievement for Excellence from the Government Finance Officers Association for the Comprehensive Annual Financial Report and the Popular Annual Financial Report. In addition, the Public Accountability Report collected detailed performance measures on hundreds of programs administered by Illinois state agencies.

Public inquiries to all areas of the IOC continued at a high level during fiscal year 2013 due in part to cash flow issues and payees seeking information regarding the status of payments. More than 130,000 telephone inquiries were made to the Records Center where staff members were able to provide a response, on average, in less than one day, and approximately 24,000 inquiries, more comprehensive in nature, were received by the Expenditure Analysis and Review Section

(EARS). These formal information inquiries are in addition to the approximately 1.6 million page views in fiscal year 2013 at the Comptroller's website (illinoiscomptroller.com) where visitors can access a variety of financial information and reports.

As part of the statutory responsibility of the IOC, the Local Government Division annually produces the Fiscal Responsibility Report Card. An edit and review process ensures that the quality of the financial data submitted on the Annual Financial Reports (AFRs) is analyzed to produce the Fiscal Responsibility Report Card. The edit/review process identifies reporting errors such as the omission of necessary information, data entry errors, and incorrectly categorized financial data.

In addition, the Comptroller Connect Internet Filing program, in use since fiscal year 2000, has provided local governments with the option of filing their AFRs electronically. This program, utilized by 99% of local governments to report data from fiscal year 2012, provides governments with the most efficient, customized, and user-friendly way to file their AFRs correctly. Aided by the Comptroller Connect Internet Filing program, hands-on AFR assistance, a toll-free local government assistance hotline (averaging 4,300 calls annually), Local Government email (averaging 700 emails annually), streamlined AFRs and support documents, and the Local Government website where AFRs, support documents, Individual Data Summaries, etc. are available for local governments to download from our website http://illinoiscomptroller.com/. The rate of local governments complying with statutory financial reporting requirements equaled 97.5% for fiscal year 2012.

During fiscal year 2013, the PLACE department enhanced procedures within the audit department to maintain the statutory level of compliance for financial reporting by the state's approximately 1,900 licensed funeral homes, cemeteries, crematories and pre-need contractors; achieved through increased communication, the use of technology, and a protocol that included regular contact and follow-up. As a result, 93% of IOC licensees were in compliance with the statutory requirements to file with the IOC. In order to ensure that consumer funds are being protected, audits of these financial reports are conducted on a recurring basis. The number of audits performed in 2013 was 590, with a goal for next year of 700. In order to increase the audit performance, the department has developed a plan to enhance the audit procedures through technology and enhanced communication.

The salaries of all court reporters employed by the circuit courts are paid by the State of Illinois. This function was transferred to the Comptroller's Office in fiscal year 2006. In addition to salaries, fees are paid to court reporters for preparing and filing court transcripts. The General Assembly also appropriates funds to the Comptroller's Office to pay the salaries of state officers. This group includes the elected executive branch officers (Governor, Lieutenant Governor, Secretary of State, Attorney General, Comptroller, and Treasurer), members of the legislature, and various department directors and commission chairs and members.

Statewide Financial Management and Reporting

Mission Statement: The mission of the statewide financial management program is to process and account for financial transactions for state government, payees and vendors in order to maintain a high degree of integrity over records and systems. In order to ensure public accountability, the government financial reporting program provides reliable, accessible and comprehensive financial information to the general public and others with a financial interest in the State of Illinois.

Program Goals: Objectives:

1. To approve 97% of all problem-free non General Revenue Fund commercial transactions in 4 business days or less.
2. To maintain the number of certified vendors at or above 94% of the total vendor file by June 30, 2014.
3. To maintain at or above 98% the number of commercial vouchers submitted in a paperless format.
4. To maintain the number of agencies that participate in the Statewide Accounting Management System's (SAMS) on-line obligation program at or above 42 through June 30, 2014.
5. To maintain at or above 80% the number of payroll Electronic Fund Transfers (EFT) by June 30, 2014.

Source of Funds: General Revenue Fund, Comptroller's Administrative Fund ***Statutory Authority:*** 15 ILCS 405

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$20,779.2	$17,371.3	$17,891.8	$16,734.4	$17,069.1
* Total expenditures - state appropriated funds (in thousands)	$20,779.2	$17,371.3	$17,891.8	$16,734.4	$17,069.1
* Average monthly full-time equivalents	211.0	214.0	214.0	212.0	212.0
Output Indicators					
* Total payments processed	16,143,902	15,337,111	16,000,000	15,357,708	15,300,000
* Total commercial vouchers processed	6,090,079	5,463,863	6,000,000	5,256,805	5,200,000
* Total vendors on vendor file	874,566	695,992	750,000	869,469	875,000
* Vendors on vendor file that are certified	821,416	652,816	700,000	817,576	832,000
* Total number of intercepted payments - Local	N/A	65,743	N/A	122,442	140,000
* Total number of intercepted payments - State	179,035	190,074	N/A	184,996	185,000
* Paperless vouchers processed	5,961,496	5,208,922	5,500,000	4,909,140	5,000,000
* Inquiries received by Expenditure Analysis and Review Section (EARS)	27,041	23,828	25,000	24,281	25,000
* Number of agencies that participate in the SAMS on-line processing program	32.0	34.0	38.0	40.0	42.0
* Number of agencies that participate in the SAMS File Transfer Protocol Program	87.0	89.0	90.0	89.0	90.0
* Agencies participating in the PAR program	70.0	80.0	80.0	81.0	80.0
* Telephone inquiries received by the Records Center	134,829	131,495	132,000	130,256	131,000
* Number of EFT transactions - Payroll Direct	2,371,766	2,642,955	2,700,000	2,581,031	2,700,000
* Number of EFT transactions - Retirement	1,710,345	1,717,559	1,800,000	1,902,601	2,000,000
* Number of EFT transactions - Tax	2,627,736	2,347,138	2,400,000	2,674,807	2,800,000
* Number of EFT transactions - Commercial	1,616,047	1,829,243	1,900,000	2,648,198	3,000,000
Outcome Indicators					
* Percentage of non-GRF commercial vouchers processed in four calendar days or less	96.23 %	96.79 %	97 %	93.66 %	97 %
* Percentage of certified vendors on vendor file	93.92 %	93.8 %	94 %	94.03 %	95.09 %
* Dollar amount of intercepted payments - State (in millions)	$38.0	$42.0	N/A	$50.9	N/A
* Dollar amount of intercepted payments - Local (in millions)	$.0	$12.1	N/A	$22.5	N/A
* Percentage of paperless commercial vouchers approved	97.89 %	97.66 %	98 %	97.41 %	98 %
* Percentage of EFT transactions - Payroll Direct	78 %	85 %	90 %	89.74 %	90 %
* Percentage of EFT transactions - Retirement	88 %	88.43 %	90 %	89.25 %	90 %
* Percentage of EFT transactions - Tax	61.61 %	63.47 %	64 %	66.88 %	70 %
* Percentage of EFT transactions - Commercial	26.09 %	33.48 %	34 %	50.38 %	55 %
* Illinois CAFR received Governmental Finance Officers Association (GFOA) Certificate of Achievement for Excellence in Financial Reporting	Yes	Yes	Yes	N/A	N/A
* Illinois PAFR received GFOA Certificate of Achievement for Outstanding Achievement in PAFR Reporting	Yes	Yes	Yes	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Inquiries per EARS staff	9,014	7,943	8,333	8,094	8,333
* Personal Service cost per EARS inquiry (in dollars)	$6.91	$7.58	$7.48	$8.52	$7.64

Pre-need Licensing and Compliance Enforcement

Mission Statement: To prevent fraud and ensure delivery of contracted services for consumers. The Pre-need Licensing and Compliance Enforcement Division (PLACE) licenses, regulates and audits the trust funds of non-exempt cemeteries and funeral homes. PLACE also licenses, regulates and audits crematories to assure statutorily required operations.

Program Goals: Objectives:

1. To provide regulation of pre-need licenses and purchases through continuous correspondence.
2. To ensure proper compliance within the electronic filing process of the annual reports.
   a. By June 2014, 100% of licensees required to electronically file would be in compliance.
   b. PLACE will continue to provide training of the electronic filing program for all licensees, as well as internal staff.
3. To ensure the consistent, regular and open exchange of information among and between field auditors and office staff through timely submission of work papers.
   a. By January 1, 2014, PLACE auditors will be enabled with new and enhanced technology to increase efficiency in the auditing process.

Source of Funds: General Revenue Fund, Cemetery Consumer Protection Fund ***Statutory Authority:*** 225 ILCS 45, 760 ILCS 100

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$4,055.4	$3,082.0	$3,174.4	$3,101.1	$3,163.1
* Total expenditures - state appropriated funds (in thousands)	$4,055.4	$3,082.0	$3,174.4	$3,101.1	$3,163.1
* Average monthly full-time equivalents	32.0	29.0	29.0	31.0	31.0
Output Indicators					
* Total licenses issued	50.0	22.0	25.0	36.0	40.0
* Total audits conducted	668.0	620.0	800.0	590.0	700.0
* Total number of licensees	1,972	1,970	1,992	1,971	2,011
* Total number of licensees meeting annual reporting requirements	1,861	1,837	1,992	1,841	2,011
Outcome Indicators					
* Percentage of total licensees complying with annual reporting requirements	94 %	93 %	100 %	93 %	100 %
* Late filing fees received from licensees (in thousands)	$43.8	$25.0	$30.0	$167.0	$180.0
Efficiency/Cost-Effectiveness Indicators					
* Consumer hotline inquiries	750.0	600.0	700.0	615.0	675.0
* Audits per auditor	66.8	62.0	100.0	59.0	100.0

Local Government

Mission Statement: The Local Government Division is dedicated to providing comprehensive assistance to and financial information about local governments, promoting the fiscal concerns of local governments as vital components of the state's overall fiscal health, and fostering cooperation between the Comptroller and local government in areas of mutual concern and benefit to taxpayers.

Program Goals: Objectives:

1. To ensure that local governments comply with statutory financial reporting requirements.
   a. To increase the compliance rate of local governments filing Annual Financial Reports (AFR) to 95.1%.
   b. To provide the Comptroller Connect Internet Filing program, which allows local governments to submit their AFRs 24 hours a day and to maintain usage above 98%.
2. To assess the financial health of local governments.
   a. To collect and analyze AFRs.
   b. To produce the Fiscal Responsibility Report Card.
3. To provide taxpayers with useful fiscal information regarding local governments.
   a. To make AFRs and Annual Tax Increment Finance Reports available for public inspection on the Comptroller's website.

Source of Funds: ***Statutory Authority:***

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,845.8	$1,416.8	$1,459.3	$1,346.0	$1,372.9
* Total expenditures - state appropriated funds (in thousands)	$1,845.8	$1,416.8	$1,459.3	$1,346.0	$1,372.9
* Average monthly full-time equivalents	14.0	14.0	14.0	14.0	14.0
Output Indicators					
* Inquiries to local government help desk	6,100	4,549	4,685	4,321	4,200
Outcome Indicators					
* Percentage of local governments complying with AFR requirements	92.4 %	92.1 %	95.1 %	97.5 %	98.5 %
* Percentage of local governments using the Comptroller Connect Internet Filing Program	88.5 %	96.4 %	98 %	99 %	99 %
* Inquiries to Local Government email	500.0	753.0	776.0	713.0	750.0

Supreme Court
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Circuit Court	$167,350.0	937.0	$166,542.8	933.0
Probation Services	$57,149.3	26.0	$57,176.2	26.0
Appellate Court	$34,793.1	354.0	$34,825.0	352.0
Supreme Court	$12,713.2	142.0	$12,702.6	140.0
Mandatory Arbitration	$5,235.6	21.0	$4,835.7	21.0
Non-Reporting Programs				
Administrative	$11,175.7	88.0	$10,838.7	87.0
Totals	$288,416.9	1,568.0	$286,921.0	1,559.0

Mission and Organization

The Supreme Court of Illinois, in addition to being the state's highest court, is responsible for the state's unified trial court, one appellate court with five districts, and several supporting units. The Supreme Court has general administrative and supervisory authority over all courts in the state. This authority is exercised by the Chief Justice with the assistance of the Administrative Director and staff appointed by the Supreme Court. The Supreme Court hears appeals from lower courts and may exercise original jurisdiction in cases relating to revenue, mandamus, prohibition or habeas corpus. In addition, the Supreme Court oversees the practice of law by maintaining the role of attorneys and the licensing of corporations, associations, and limited partnerships in accordance with Supreme Court Rule 701 and 805 ILCS 305.

The Appellate Court hears appeals from the circuit courts and may exercise original jurisdiction when necessary to the complete determination of any case on review. The Appellate Court has powers of direct review of administrative action as provided by law. The presiding judge and judges of each appellate district are assisted by their respective staff, a clerk, and research director.

Circuit courts have original jurisdiction over all justifiable matters except when the Supreme Court has original and exclusive jurisdiction relating to redistricting of the General Assembly and to the ability of the Governor to serve or resume office. Circuit courts have the power to review administrative action as provided by law.

The Supreme Court of Illinois and the Illinois General Assembly created court-annexed mandatory arbitration to reduce the backlog of civil cases and to provide litigants with a system in which their complaints could be more quickly resolved by a panel of three (3) attorney arbitrators.

The Illinois Constitution authorizes the Supreme Court to appoint an Administrative Director and staff to assist the Chief Justice in fulfilling administrative and supervisory duties. The Administrative Office is composed of six divisions.

The ***Executive Division*** of the Administrative Office is comprised of the Administrative Director and staff who are responsible for coordinating and facilitating support for the Supreme Court, Supreme Court Committees, and the Committees of the Illinois Judicial Conference.

The ***Administrative Services Division*** provides fiscal, technical, and support services to the judicial branch.

The ***Court Services Division*** is involved in a wide range of activities and projects affecting

judges, circuit clerks, and the judicial branch of government generally.

The ***Judicial Education Division*** provides administrative oversight of continuing education for judges and court personnel.

The ***Judicial Management Information Services Division*** provides technology to the offices and staff of the Supreme and Appellate Courts, the Supreme and Appellate Court support units, the Administrative Office, and digital recording in the Circuit Court.

The ***Probation Services Division*** sets statewide standards for hiring, promoting, training, and monitoring probation officers and related services.

Circuit Court

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our state and federal constitutions.

Program Goals: Objectives:

1. Fairness: This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. Accessibility: Courts should be convenient, timely and affordable to everyone.
3. Accountability: This goal includes the ability of the court system and its judges and staff to use public resources efficiently.
4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout the state.

Source of Funds: General Revenue Fund, Supreme Court Federal Projects Fund ***Statutory Authority:*** IL Constitution Article VI

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$164,526.5	$168,235.0	$173,392.0	$167,593.8	$171,219.0
* Total expenditures - state appropriated funds (in thousands)	$163,641.9	$167,350.0	$171,813.0	$166,542.8	$169,889.2
* Average monthly full-time equivalents	935.0	937.0	986.0	933.0	988.0
Output Indicators					
* Total cases filed	3,507,811	3,348,566	N/A	3,206,811	N/A
* Number of civil cases filed	746,436	701,941	N/A	682,361	N/A
* Number of criminal cases filed	346,231	345,573	N/A	338,227	N/A
* Number of traffic, conservation, and ordinance cases filed	2,384,937	2,272,116	N/A	2,161,510	N/A
* Number of juvenile cases filed	30,207	28,936	N/A	24,713	N/A
Outcome Indicators					
* Total cases disposed	3,598,618	3,401,545	N/A	3,241,625	N/A
* Percent of civil cases disposed	21.5 %	21.1 %	N/A	22.1 %	N/A
* Percent of criminal cases disposed	9.9 %	10.3 %	N/A	10.5 %	N/A
* Percent of traffic, conservation, and ordinance cases disposed	67.9 %	67.9 %	N/A	66.6 %	N/A
* Percent of juvenile cases disposed	0.7 %	0.7 %	N/A	0.8 %	N/A
Efficiency/Cost-Effectiveness Indicators					
* Average caseload per Judicial Officer	3,885	3,700	N/A	3,559	N/A
* Average cost per case filed (in dollars)	$47.00	$50.00	N/A	$52.00	N/A
* Cases filed per 1,000 population	273.0	261.0	N/A	250.0	N/A

Footnotes

(a) Additional funding is provided by local governments for operating costs.

Probation Services

Mission Statement: To develop, establish, promulgate, and enforce uniform standards for probation services in this state.

Program Goals: Objectives: 1. Establish funding priorities that are consistent with identified policy and program initiatives, responsive to local needs and state mandates, and directed toward advancing the quality of probation services.

Source of Funds: General Revenue Fund, Mandatory Arbitration Fund ***Statutory Authority:*** 730 ILCS 110/15

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$57,140.9	$57,149.3	$57,218.1	$57,176.2	$65,378.8
* Total expenditures - state appropriated funds (in thousands)	$57,140.9	$57,149.3	$57,218.1	$57,176.2	$65,378.8
* Average monthly full-time equivalents	25.0	26.0	29.0	26.0	29.0
Output Indicators					
* Number of training events held for adult probation officers (b)	4.0	10.0	N/A	15.0	N/A
* Number of training events held for juvenile probation officers (c)	13.0	17.0	N/A	11.0	N/A
* Number of training events held for detention probation officers (d)	3.0	5.0	N/A	8.0	N/A
* Number of probation officers who received basic training (e)	93.0	98.0	N/A	89.0	N/A
* Number of supervised probationers (f)	100,843	99,713	N/A	97,356	N/A
* Number of training events non-specific (adult, detention) (g)	16.0	21.0	N/A	36.0	N/A
Outcome Indicators					
* Percent of probation terms successfully completed: Adult	72.8 %	73.2 %	N/A	70 %	N/A
* Percent of probation terms revoked: Adult	9.3 %	12.6 %	N/A	13.2 %	N/A
Efficiency/Cost-Effectiveness Indicators					
* Average caseload per probation officer: Adult	78.9	79.2	N/A	77.9	N/A
* Average caseload per probation officer: Juvenile	25.7	23.8	N/A	22.7	N/A
* Average annual cost per offender: Standard (in dollars)	$470.00	$419.00	N/A	$444.00	N/A
* Average annual cost per offender: DUI specialized (in dollars)	$835.00	$753.00	N/A	$785.00	N/A
* Average annual cost per offender: Intensive supervision (in dollars)	$626.00	$1,249.00	N/A	$1,304.00	N/A
* Average annual cost per offender: Juvenile Detention (in dollars)	$935.00	$1,199.00	N/A	$1,060.00	N/A

Footnotes

(a) Additional funding is provided by local governments for operating costs.

(b) In fiscal year 2011, there were 4 events specifically for adult probation officers with a total of 35 participants. In fiscal year 2012, there were 10 events specifically for adult probation officers with a total of 531 participants. In fiscal year 2013, there were 15 events specifically for adult probation officers with a total of 368 participants.

(c) In fiscal year 2011, there were 13 events specifically for juvenile probation officers with a total of 154 participants. In fiscal year 2012, there were 17 events specifically for juvenile probation officers with a total of 487 participants. In fiscal year 2013, there were 11 events specifically for juvenile probation officers with a total of 299 participants.

(d) In fiscal year 2011, there were 3 events specifically for detention officers with a total of 43 participants. In fiscal year 2012, there were 5 events specifically for detention officers with a total of 100 participants. In fiscal year 2013, there were 8 events specifically for detention officers with a total of 110 participants.

(e) In fiscal year 2011, there were 4 week long basic training events specifically for probation/detention officers with a total of 93 participants. In fiscal year 2012, there were 4 week long basic training events specifically for probation/detention officers with a total of 98 participants. In fiscal year 2013, there were 4 week long basic training events specifically for probation/detention officers with a total of 89 participants.

(f) Data includes adult and juvenile probationers on standard probation and specialized probation caseloads as of the end of the state fiscal year (June 30). It does not include juveniles in detention.

(g) In fiscal year 2011, there were 16 events which were not specific to adult and juvenile probation or detention, with a total of 289 participants. In fiscal year 2012, there were 21 events which were not specific to adult and juvenile probation or detention, with a total of 669 participants. In fiscal year 2013, there were 36 events which were not specific to adult and juvenile probation or detention, with a total of 613 participants.

Appellate Court

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our state and federal constitutions.

Program Goals: Objectives:

1. Fairness: This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. Accessibility: Courts should be convenient, timely and affordable to everyone.
3. Accountability: This goal includes the ability of the court system and its judges and staff to use public resources efficiently.
4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout the state.

Source of Funds: General Revenue Fund, Supreme Court Federal Projects Fund ***Statutory Authority:*** IL Constitution Article VI

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$33,959.7	$34,837.5	$35,831.2	$34,825.0	$36,331.8
* Total expenditures - state appropriated funds (in thousands)	$33,959.7	$34,793.1	$35,831.2	$34,825.0	$36,331.8
* Average monthly full-time equivalents	355.0	354.0	363.0	352.0	363.0
Output Indicators					
* Number of case opinions issued (a)	790.0	851.0	N/A	851.0	N/A
* Number of Rule 23 Orders issued (b)	4,039	4,033	N/A	3,254	N/A
* Total cases filed	7,839	7,914	N/A	8,153	N/A
* Number of civil cases filed	4,160	4,212	N/A	4,410	N/A
* Number of criminal cases filed	3,679	3,702	N/A	3,743	N/A
Outcome Indicators					
* Total cases disposed	8,175	5,838	N/A	8,082	N/A
* Percent of civil cases disposed	52.7 %	56.1 %	N/A	56.5 %	N/A
* Percent of criminal cases disposed	43 %	43.9 %	N/A	43.5 %	N/A
Efficiency/Cost-Effectiveness Indicators					
* Average caseload per Judicial Officer	145.0	147.0	N/A	151.0	N/A
* Average cost per case filed (in dollars)	$4,332.00	$4,402.00	N/A	$4,271.00	N/A

Footnotes

(a) Published cases.

(b) Non-published orders or summary orders.

Supreme Court

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our state and federal constitutions.

Program Goals: Objectives:

1. Fairness: This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. Accessibility: Courts should be convenient, timely and affordable to everyone.
3. Accountability: This goal includes the ability of the court system and its judges and staff to use public resources efficiently.
4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout the state.

Source of Funds: General Revenue Fund, Supreme Court Federal Projects Fund ***Statutory Authority:*** IL Constitution Article VI

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$12,344.7	$12,731.0	$12,939.5	$12,898.1	$17,907.1
* Total expenditures - state appropriated funds (in thousands)	$12,344.7	$12,713.2	$12,740.5	$12,702.6	$17,907.1
* Average monthly full-time equivalents	141.0	142.0	148.0	140.0	148.0
Output Indicators					
* Number of attorneys overseen by the Supreme Court	88,266	89,671	N/A	91,160	N/A
* Number of attorneys awarded licenses	3,015	2,951	N/A	3,122	N/A
* Number of new corporations, associations, and limited partnerships	354.0	376.0	N/A	416.0	N/A
* Number of license renewals for corporations, associations, and limited partnerships	4,313	4,418	N/A	4,420	N/A
* Number of new Supreme Court Rules adopted	0.0	16.0	N/A	7.0	N/A
* Number of amended Supreme Court Rules	31.0	36.0	N/A	81.0	N/A
* Total cases filed	2,947	2,746	N/A	2,669	N/A
* Number of Miscellaneous Record cases filed (a)	755.0	680.0	N/A	751.0	N/A
* Number of Miscellaneous Docket cases filed (b)	249.0	207.0	N/A	238.0	N/A
* Number of civil cases filed	614.0	595.0	N/A	602.0	N/A
* Number of criminal cases filed	1,329	1,264	N/A	1,078	N/A
Outcome Indicators					
* Percent of attorneys disciplined	0.14 %	0.17 %	N/A	0.15 %	N/A
* Total cases disposed	2,917	2,781	N/A	2,595	N/A
* Percent of Miscellaneous Record cases disposed	23.7 %	23.2 %	N/A	25.8 %	N/A
* Percent of Miscellaneous Docket cases disposed	9.6 %	7.2 %	N/A	8.9 %	N/A
* Percent of civil cases disposed	21.3 %	21.7 %	N/A	22 %	N/A
* Percent of criminal cases disposed	45.4 %	47.9 %	N/A	43.3 %	N/A
Efficiency/Cost-Effectiveness Indicators					
* Average caseload per Judicial Officer	421.0	392.0	N/A	381.0	N/A
* Average cost per case filed (in dollars)	$4,189.00	$4,636.00	N/A	$4,833.00	N/A

Footnotes

(a) Miscellaneous records consist primarily of attorney matters, including name-change petitions, disciplinary cases, and bar admission motions.

(b) Miscellaneous docket cases consist of conviction-related cases filed by prisoners representing themselves without legal counsel.

Mandatory Arbitration

Mission Statement: The Supreme Court of Illinois and the Illinois General Assembly created court-annexed mandatory arbitration to reduce the backlog of civil cases and to provide litigants with a system in which their complaints could be more quickly resolved by an impartial fact finder.

Program Goals: Objectives: 1. Mandatory Arbitration programs provide an alternative resolution process to eligible litigants in order to resolve their disputes fairly, quickly and at a reduced cost.

Source of Funds: General Revenue Fund, Mandatory Arbitration Fund

Statutory Authority: 735 ILCS 5/2-1001A et seq

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$5,215.9	$5,235.6	$19,011.9	$4,835.7	$27,736.6
* Total expenditures - state appropriated funds (in thousands)	$5,215.9	$5,235.6	$19,011.9	$4,835.7	$27,736.6
* Average monthly full-time equivalents	20.0	21.0	25.0	21.0	25.0
Output Indicators					
* Civil cases placed on calendar	42,488	33,325	N/A	29,048	N/A
Outcome Indicators					
* Number of civil cases disposed prior to hearing	19,947	14,349	N/A	11,161	N/A
* Percent of cases disposed prior to hearing (a)	46.9 %	43.1 %	N/A	38.4 %	N/A
* Number of post-hearing dispositions (b)	1,010	971.0	N/A	5,473	N/A
* Number of post-rejection dispositions (c)	5,721	4,848	N/A	3,792	N/A
* Number of civil cases proceeded to trial (d)	602.0	487.0	N/A	384.0	N/A
* Percent of civil cases proceeded to trial	1.4 %	1.5 %	N/A	1.3 %	N/A
Efficiency/Cost-Effectiveness Indicators					
* Average cost per civil case filed (in dollars)	$123.00	$157.00	N/A	$166.00	N/A

Footnotes

(a) Civil cases in which the litigants reach a mutual agreement prior to an arbitration hearing.

(b) Litigants go before a panel of 3 attorneys who hear their case. The panel renders a non-binding decision called an award. The case is disposed if the litigants accept or reject the award; otherwise, the case proceeds to trial.

(c) Cases in which the litigants reach a mutual agreement prior to a trial.

(d) Civil cases which have passed through the arbitration process without reaching an agreement.

Illinois Gaming Board
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Administration and Regulation of Gaming in Illinois	$141,933.9	135.0	$146,302.9	145.0
Non-Reporting Programs				
Totals	$141,933.9	135.0	$146,302.9	145.0

Mission and Organization

The Illinois Gaming Board (IGB) consists of five (currently four) members appointed by the Governor and confirmed by the Senate. The IGB administers a regulatory and tax collection system for all legalized gaming in Illinois. The IGB also has comprehensive law enforcement responsibilities associated with legalized gaming in Illinois. It is through strict regulatory oversight that the maximum amounts of revenue possible are realized as the integrity of gaming is preserved, as well as public confidence and trust in the credibility and integrity of the gambling operations and the regulatory process is maintained.

The IGB's staff conducts audit, legal, enforcement, investigative, operational and financial analysis activities to ensure the integrity of gaming in Illinois as mandated by the Riverboat Gambling Act (RGA), [230 ILCS 10/], and the Video Gaming Act (VGA), [230 ILCS 40/]. The IGB assures the integrity of gaming through the strict regulatory oversight and licensure of all gaming operations and personnel.

Riverboat Gambling in Illinois

The RGA was enacted in February 1990, making Illinois the second state in the nation to legalize riverboat gambling. The RGA authorizes the IGB to grant up to ten casino licenses. The IGB provides regulatory and criminal law enforcement oversight for the existing ten (10) Riverboat Casinos.

Each riverboat gaming operation is authorized to offer up to 1,200 gaming positions, consisting of a mix of electronic gaming devices and table games. In 1999 the RGA was amended to permit all riverboat gaming operations to permanently moor at dock sites, without conducting cruises on any waterway. Patrons visiting the casinos must be 21 years of age to be admitted to the gambling areas of each operation. The RGA requires that all wagering in the casinos be cashless.

Video Gaming in Illinois

In addition, the IGB is responsible for implementation and administration of the VGA, which allows for video gaming terminals to be placed in certain liquor establishments, truck stops and fraternal/veterans clubs throughout the state.

Once fully implemented, the IGB anticipates issuing over 15,000 – 20,000 licenses for manufacturers, distributors, suppliers, terminal operators, technicians, handlers, and locations for Video Gaming. The Video Gaming Act authorizes the installation of up to 5 video gaming terminals (VGTs) in licensed establishments where liquor is served for consumption on the premises, as well as in licensed fraternal establishments, licensed veterans establishments, and licensed truck stops as defined in the legislation. Besides the very large number of machines that must be monitored, additional factors that add to the Board's regulatory challenge are the diffuse placement of the VGTs in locations throughout the state and the multiple layers of licenses involved.

Administration and Regulation of Gaming in Illinois

Mission Statement: To administer and regulate riverboat casino gambling and video gaming in Illinois through strict regulatory oversight as mandated by the Riverboat Gambling Act, the Video Gaming Act, and all applicable administrative rules.

Program Goals: Objectives:

1. Administer and regulate gaming in Illinois.
   a. Ensure fair gaming in a safe environment.
   b. Maintain the integrity of the tax and fee payment, collection and distribution process.
   c. Ensure that individuals and entities engaged in gaming are suitable.
   d. Effectively maintain the self-exclusion program, which allows individuals with gambling problems to ban themselves from all Illinois Riverboat Casinos.

Source of Funds: State Gaming Fund

Statutory Authority: 230 ILCS 10/ & 230 ILCS 40/

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$110,907.2	$141,933.9	$168,023.2	$146,302.9	$172,423.0
* Total expenditures - state appropriated funds (in thousands) (a)	$110,907.2	$141,933.9	$168,023.2	$146,302.9	$172,423.0
* Average monthly full-time equivalents (a)	95.5	135.0	220.0	145.0	220.0
Output Indicators					
* Applications received (b)	3,490	7,313	13,000	13,195	13,000
* Criminal background investigations performed	1,800	10,542	19,000	14,302	15,000
* Financial investigations performed	11.0	171.0	150.0	1,122	1,200
* Licenses issued	7,601	9,622	20,500	17,418	20,500
Outcome Indicators					
* Licensing revenue received (in thousands) (c,d)	$38,624.0	$74,174.8	$1,550.0	$17,397.9	$12,500.0
* Admissions & wagering tax received (in thousands)	$419,324.4	$536,479.6	$550,000.0	$557,950.3	$575,000.0
* Incident reports	5,525	5,131	7,500	5,310	5,500
* Arrests made	796.0	913.0	1,000	792.0	800.0
* Disciplinary complaints assessed	35.0	36.0	50.0	12.0	30.0
* Fines/Penalties/Violations (in dollars)	$453,750.00	$60,500.00	$250,000.00	$176,323.41	$200,000.00
* Amount transferred to Educational Assistance Fund (EAF) (in thousands)	$324,150.0	$340,027.0	$375,000.0	$340,126.0	$340,000.0
Efficiency/Cost-Effectiveness Indicators					
* Percentage of revenues transferred to EAF	70.8 %	63.2 %	68 %	60.3 %	60 %

Footnotes

(a) Fiscal year 2014 State Appropriation level represents 100% full staffing levels, compared to fiscal year 2013 actual expenditures.

(b) Fiscal year 2013 estimated number of applications received as Video Gaming ramps up, compared to fiscal year 2012 Actuals

(c) A reduction in licensing revenues was projected for fiscal year 2013, primarily attributable to the final $72.5 million license payment from River's Casino (Des Plaines), plus video gaming license renewal fees being lower than initial application fees.

(d) The fiscal year 2013 actual amount consists largely of two payments made by the city of Des Plaines, in accordance with its agreement to pay the state $10 million per year for 30 years from revenues from the Des Plaines casino that opened on July 19, 2011: $4.7 million for its prorated 2011 payment, and $10 million for its 2012 payment. The projected fiscal year 2014 amount is smaller mainly because the $4.7 million payment will not be repeated.

Court of Claims
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
General Claims	$51,306.6	20.0	$19,787.0	20.0
Crime Victims Compensation	$12,549.6	5.0	$9,185.8	5.0
Non-Reporting Programs				
Lump Sum Operations	$1,524.6	5.0	$1,599.9	3.0
Interfund Cash Transfers	$0.0	N/A	$835.1	2.0
Totals	$65,380.8	30.0	$31,407.8	30.0

Mission and Organization

The Court of Claims adjudicates all claims made against the State of Illinois. General Claims consist of lapsed appropriations, tort and property damage, contractual disputes, unlawful imprisonment, and payments to public safety employees and active military personnel killed in the Line of Duty.

The Court also adjudicates claims made by victims of violent crimes under the Crime Victims Compensation Act. This program allows victims of violent crimes to be compensated for medical bills, funeral bills, lost wages, etc. that result from the crime. The maximum award under the program is $27,000.00 per victim of crime. The program is funded by the General Revenue Fund and a federal grant allocation made to the State equaling 60 percent of the funds spent by the State.

General Claims

Mission Statement: Adjudicate all claims made against the State of Illinois.

Program Goals: Objectives: 1. Make final decisions with minimum delays and promptly pay all claims awarded.

Source of Funds: General Revenue Fund, Road Fund, Vocational Rehabilitation Fund, DCFS Children's Services Fund, State Garage Revolving Fund, Traffic and Criminal Conviction Surcharge Fund

Statutory Authority: 705 ILCS 505/1, et seq.

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$31,300.7	$51,306.6	$40,000.0	$19,787.0	$40,000.0
* Total expenditures - state appropriated funds (in thousands)	$31,300.7	$51,306.6	$40,000.0	$19,787.0	$40,000.0
* Average monthly full-time equivalents	20.0	20.0	20.0	20.0	20.0
Output Indicators					
* Number of open claims start of fiscal year	4,118	4,385	4,400	4,385	4,400
* Number of new claims	4,104	4,032	4,000	3,616	3,700
* Number of claims closed	3,625	3,810	3,800	3,309	3,500
Outcome Indicators					
* Percentage of open cases closed	44 %	45 %	45 %	41 %	43 %

Crime Victims Compensation

Mission Statement: Adjudicate crime victim compensation claims.

Program Goals: Objectives: 1. Make final decisions and awards in a prompt manner.

Source of Funds: General Revenue Fund, Court of Claims Federal Grant Fund

Statutory Authority: 740 ILCS 45/1, et seq.

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$11,768.7	$12,549.6	$12,000.0	$9,185.8	$12,000.0
* Total expenditures - state appropriated funds (in thousands)	$11,768.7	$12,549.6	$12,000.0	$9,185.8	$12,000.0
* Average monthly full-time equivalents	5.0	5.0	5.0	5.0	5.0
Output Indicators					
* Number of open claims beginning fiscal year	4,424	4,011	4,000	4,011	4,000
* Number of new claims	5,531	5,334	5,300	4,922	4,900
* Number of claims closed	6,514	6,089	6,100	4,683	4,700
Outcome Indicators					
* Percentage of open cases closed	65 %	65 %	66 %	52 %	53 %

Auditor General
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Audit, Studies & Investigations	$25,146.3	0.0	$28,109.4	0.0
Non-Reporting Programs				
Totals	$25,146.3	0.0	$28,109.4	0.0

Mission and Organization

The Auditor General has one program - the Illinois State Audit Program. The purpose of this program is to conduct mandated financial audits and/or compliance attestation examinations of all State agencies as defined in the Illinois State Auditing Act; to conduct performance audits of agencies and programs as directed by the General Assembly; to conduct audits of federal programs administered by State agencies as required by the Federal Single Audit Act of 1984; and to conduct special studies and investigations as requested by the General Assembly.

Audit, Studies & Investigations

Mission Statement: The Mission of the Office of the Auditor General is to assist the General Assembly in achieving oversight of state government and improvement in audited operations by: performing objective audits and evaluations of agency programs and operations; providing useful information generated by such audits and evaluations to the General Assembly and other concerned parties; offering recommendations to bring governmental operations into conformity with applicable laws and regulations; and providing information to the General Assembly for its use in evaluating agency and program performance and in making informed decisions.

Program Goals: Objectives:

1. Provide the highest quality legislative services that can be provided consistent with funding levels and other legislative mandates and constraints.
2. Select and develop top quality professional and support personnel to provide the best possible service to the legislature.
3. Hold costs of audit and support operations to the minimum levels possible consistent with maintenance of high quality output.

Source of Funds: General Revenue Fund, Audit Expense Fund ***Statutory Authority:*** 30 ILCS 5/1

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$24,826.0	$25,146.3	$.0	$28,109.4	$28,917.0
* Total expenditures - state appropriated funds (in thousands)	$24,826.0	$25,146.3	$.0	$28,109.4	$28,917.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Staff hours (in thousands)	188.9	187.8	N/A	188.1	N/A
* Number of accounting firms or consultants used as special assistant auditors	22.0	27.0	N/A	22.0	N/A
Output Indicators					
* Number of audit reports issued	181.0	152.0	N/A	187.0	N/A
* Number of audit material findings	773.0	670.0	N/A	781.0	N/A
* Total assets subject to audit (in billions)	$139.2	$154.0	N/A	$156.0	N/A
Outcome Indicators					
* Number of recommendations accepted, implemented or partially implemented	714.0	636.0	N/A	768.0	N/A
Efficiency/Cost-Effectiveness Indicators					
* Percentage of audits issued by May 31	64 %	78 %	N/A	71 %	N/A
* Office expenditures as a percentage of state budget appropriations	0.03 %	0.03 %	N/A	0.03 %	N/A

State Appellate Defender, Office of the
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Indigent Appellate Defense Statewide	$19,986.7	228.0	$19,608.0	221.0
Non-Reporting Programs				
Expungement Program	$217.2	3.0	$165.4	2.0
Statewide Training to Public Defenders	$64.2	N/A	$62.0	N/A
Totals	$20,268.1	231.0	$19,835.4	223.0

Mission and Organization

The principal function of the Office of the State Appellate Defender is to represent indigent persons on appeal in criminal cases when appointed by the Illinois Supreme Court, the Appellate Court or the Circuit Court.

The Administrative Office of the agency is located in Springfield, with district offices in each of the five appellate court districts — Chicago, Elgin, Ottawa, Springfield, and Mt. Vernon.

The Illinois Criminal Justice Information Authority provides funding for three assistant appellate defenders who work on Systemic Sentencing Appeals cases.

Effective January 1, 2004, people with qualifying arrest and convictions may petition the court of their sentencing county for an expungement or a sealing of their record. This agency has the responsibility to disseminate pertinent information regarding this program via brochures, the Agency website, and a toll-free telephone number. To accomplish this, the Expungement Unit is staffed with one attorney and one legal secretary with offices located in Chicago and Springfield.

With the support of the agency, the Illinois Public Defender Association 2012 Fall Seminar was presented on October 12 & 13, 2012, at the Springfield Hilton. The seminar offered a total of 8 MCLE credit hours, including 5.5 credits for professionalism, and featured Illinois Supreme Court Chief Justice Thomas Kilbride as the dinner speaker. The seminar was attended by 171 people, including 130 public defenders from 48 Illinois counties and 28 assistant appellate defenders from the Office of the State Appellate Defender.

The Office of the State Appellate Defender again in partnership with the Illinois Public Defender Association conducted a one-day regional seminar at Loyola University Chicago School of Law on Friday, November 9, 2012. The seminar, "Teamwork on Trial: Investigator Testimony-A Joint Attorney/Investigator Seminar," featured attorney-investigator workshops and emphasized the necessity and methodology of building teamwork.

For the 29th consecutive year, the Illinois Public Defender Voir Dire Workshop and Intensive Trial Advocacy Program was held at the IIT Chicago-Kent College of Law in Chicago. The week-long program, geared specifically to provide Illinois public defenders with high-quality training in basic defense advocacy skills, commenced on the 50th anniversary of the U.S. Supreme Court's Right to Counsel decision in Gideon v. Wainwright (March 18, 1963). The conference, which was held from March 18-22, 2013, was attended by 35 participants representing 20 Illinois counties including Boone, Champaign, Cook, DeKalb, DuPage, Grundy, Jo Daviess, Kane, Kankakee, Lake, Madison, Marion, McLean, Sangamon,

Stephenson, Tazewell, Union, Vermilion, Will and Winnebago. Again this year, from the public defender training budget, the Office of the State Appellate Defender provided the $450 registration fee for all 35 registrants and the travel and lodging expenses for 18 of those participants' from distant counties who otherwise would not have been able to attend.

Indigent Appellate Defense Statewide

Mission Statement: The mission of the Office of the State Appellate Defender is to provide each client with high quality legal services through an effective delivery system which ensures an agency staff dedicated to the interests of their clients and the improvement of the criminal justice system.

Program Goals: Objectives: 1. To provide high quality legal services to indigent clients.

Source of Funds: General Revenue Fund, State Appellate Defender Federal Trust Fund ***Statutory Authority:*** 725 ILCS 105/1

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$19,309.8	$19,986.7	$20,320.8	$19,608.0	$20,117.0
* Total expenditures - state appropriated funds (in thousands) (a)	$19,309.8	$19,986.7	$20,320.8	$19,608.0	$20,117.0
* Average monthly full-time equivalents	230.0	228.0	233.0	221.0	233.0
Output Indicators					
* Indigent criminal appeals cases agency appointed to undertake (b)	3,153	3,172	N/A	3,245	N/A
* Illinois Appellate and Supreme Court Briefs & Petitions filed (c)	5,308	5,286	N/A	4,913	N/A
* Oral arguments presented (d)	217.0	269.0	N/A	240.0	N/A
Outcome Indicators					
* Opinions and Orders issued by the Illinois Appellate and Supreme Court	3,120	3,245	N/A	2,979	N/A
* Percentage of decisions in which agency clients were granted relief (e)	27.9 %	31.1 %	N/A	31.4 %	N/A

Footnotes

(a) Updated to reflect actual fiscal year 2012 expenditures.

(b) In its role statewide as an indigent defense counsel, the Agency's major program and service obligation is to represent indigents in their appeals upon appointment by the Illinois Courts. The Agency does not have control over the number of cases to which it is appointed.

(c) As used in this report, the term "brief" includes the appellant's brief, which is the initial pleading necessary in the Appellate Court's decision making process. Also included are motions to dismiss, where the client after communications with the attorney, agrees to dismiss the appeal,"Anders" motions where the attorney finds no meritorious issues and files a motion to withdraw explaining in detail why there are no issues, summary motions disposing of the case, and cases from which the Agency has moved to withdraw as counsel, reply and supplemental briefs, petitions for rehearing, petitions for leave to appeal and briefs filed in the Illinois Supreme Court.

(d) It is the Agency's practice to request oral argument in every case for which a brief is filed. The Appellate Courts decide which cases will be orally argued. Currently a significant number of appeals are decided on the basis of the brief without an oral argument

(e) Upon the filing of the initial brief, the nature and timing of the future progress of the cases including the final decision is totally within the Courts' control. In carrying out the Agency's statutory obligation to represent indigent clients, Agency attorneys have a professional obligation to provide effective legal representation in each and every case to which we are appointed. Accordingly, even though meritorious legal issues are raised and argued in a case, the reviewing court may find no error or reversible error in the proceedings or that any error was harmless or waived. Therefore, the amount and nature of relief obtained for Agency clients alone does not measure the quality or effectiveness of the Agency's professional representation of its indigent clients.

State Board of Elections
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Elections Division	$5,356.4	26.0	$4,258.3	25.0
Campaign Disclosure Division	$780.8	18.0	$802.0	17.0
Non-Reporting Programs				
Help Illinois Vote Fund Activities	$5,912.5	1.0	$4,418.4	1.0
Voter Registration Services	$2,550.2	6.0	$1,430.5	6.0
Administration	$1,380.7	12.0	$1,291.5	12.0
Information Technology Division	$1,078.4	10.0	$1,152.4	10.0
General Counsel	$433.1	4.0	$389.0	4.0
The Board	$38.8	N/A	$36.9	N/A
Fund 647 - Data Collection Grant	$268.6	N/A	$0.0	N/A
Totals	$17,799.5	77.0	$13,779.0	75.0

Mission and Organization

The State Board of Elections (SBE) was created by the 1970 Illinois Constitution as the entity to have "general supervision over the administration of the registration and election laws throughout the State." Public Act 78-918 (enacted 10/22/73) established the structure and specific functions of the Board. SBE is the only central election authority for the entire state, and is empowered to perform those specific duties as are, or may hereinafter be, prescribed by law. SBE consists of several functional areas/divisions that perform the operational functions of the agency. The three areas that are primary to the Board's mandated operations are the Board itself, the Elections Division and the Campaign Financing Division.

The Board: The Board of the State Board of Elections is the primary statewide rule-setting body for the conduct of elections in Illinois. The Board consists of eight members; four members must be residents of Cook County while the remaining four members must be residents of counties other than Cook County. In addition, these four-member groups must each contain two members from the sitting Governor's political party and two members from the political party whose nominee for Governor received the next highest total of votes in the last general election. Decisions of the Board are implemented through the Executive Director, who has responsibility for the day-to-day operations of the agency.

Elections Division: This division is primarily responsible for administering the candidate petition filing process, administration of objections filed against a candidate's nominating petitions, and certification of ballots. Other functions performed by the Elections Division include canvassing of election results and testing of voter tabulation systems, along with training of local election jurisdiction personnel in election laws and procedures. Also, the Division will be involved in implementing an On-Line Voter Registration program during fiscal year 2014. The program will communicate directly with all 110 Election Jurisdictions and should enhance voters involvement in all future elections. This division also contains the lump-sum appropriations used for State support of county election judge costs and payment of county clerk/recorder stipends.

With the passage of the federal Help America Vote Act of 2002, which mandated the replacement of punch card voting systems statewide and the implementation of an integrated statewide

voter registration database, the Elections Division has taken a primary role in developing processes and procedures to ensure proper implementation of the mandates of this Act. Implementation activities under this federal mandate began in fiscal year 2004. Completion and start-up operations of the integrated Statewide voter registration database ('IVRS') system were performed during fiscal year 2009, with a separate stand-alone unit dedicated to IVRS operations, maintenance and support of local election jurisdiction input activities.

Campaign Financing Division: The primary function of this division is the administration, implementation and enforcement of the Illinois Campaign Financing Act (10 ILCS 5/9-15), the Act to Provide for Licensing and Regulating Certain Games of Chance (230 ILCS 15/8-8.1), and the Fair Campaign Practices Act. To this end, the Campaign Financing Division oversees the submission of required campaign disclosure reports by applicable campaign committees and related groups, performs review of required disclosure reports, and administers corrective action to those entities found in non-compliance.

In addition, the ***Campaign Disclosure Division*** reviews and approves raffle applications from political committees and other related entities for fund-raising purposes. A new mandate in fiscal year 2009 was the development and implementation of the Business Entity Registration database ('BEREP'), which receives business entity information from qualifying entities doing or desiring to do business with the State. Day to day operations of this database unit are supported by the Campaign Disclosure Division. SBE also consists of several other divisions that provide support services to the divisions listed above. Those divisions are the Administrative Services Division, Division of General Counsel, and the Information Technology Division.

Elections Division

Mission Statement: Exercise general supervision over the administration of registration and election laws of the State through dissemination of information regarding election laws, requirements, and procedures, as well as review of election jurisdiction programs and processes to ensure compliance with applicable laws and standards.

Program Goals: Objectives:

1. Administer Board's function as election authority for federal, statewide, legislative, representative and judicial offices in Illinois.
   a. Accept and process nominating petitions for upcoming elections. Process requests for copies of previously submitted petitions.
   b. Process and adjudicate objections to nomination petitions assigned to State Officers Electoral Board. Perform necessary research and decide validity of objection.
   c. Certify general primary and general election ballots to appropriate Illinois election authorities.
   d. Canvass general primary and general elections for federal, statewide, legislative, representative and judicial offices. Proclaim winners in general primaries and issue certificates of nomination. Prepare proclamations for the Governor to declare winners of general elections.
2. Provide and disseminate information and training on election processes and procedures to ensure that elections within the State are conducted in accordance with applicable laws and rules.
   a. Maintain a manual of "uniform" forms to be used in the election process.
   b. Create yearly Election Calendar for dissemination to election authorities.
   c. Post information on elections-based developments, issues or requirements on the Board's informational website.
   d. Design and distribute publications and pamphlets to voters and election jurisdictions to inform them about election standards and requirements.
   e. Hold Statewide training sessions to educate election jurisdiction employees and election judges about proper conduct of election operations.
3. Maintain integrated electronic statewide database of Illinois registered voters with data provided by county election jurisdiction systems. Ensure that registered voter data sent by election jurisdictions is the most accurate, available and in compliance with applicable laws, rules and submitted in a timely manner.
   a. Provide guidelines and protocols for upload of voter registration data by the individual election jurisdictions to the integrated Statewide IVRS system.
   b. Audit and review voter registration data electronically submitted by election jurisdiction systems. Initiate corrective action with election jurisdictions if problems are discovered with data format and/or content.
   c. Combine individual jurisdiction data uploads into integrated electronic Statewide database. Disseminate database information to requesting entities.
4. Audit election counting programs and equipment in election jurisdictions to verify accuracy of vote tabulation processes.
   a. Perform pretest activities on selected election jurisdiction voting systems. Coordinate corrective action with election jurisdiction personnel if errors or deficiencies are noted.
   b. Achieve reasonable confidence level in election jurisdiction voting system functions by pretesting 20% to 40% of all jurisdictions' voting systems prior to an election.

Source of Funds: General Revenue Fund, Personal Property Tax Replacement Fund ***Statutory Authority:*** 10 ILCS 5/1A

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$9,429.0	$5,356.4	$4,920.1	$4,258.3	$5,734.3
* Total expenditures - state appropriated funds (in thousands)	$9,429.0	$5,356.4	$4,920.1	$4,258.3	$5,734.3
* Average monthly full-time equivalents	28.0	26.0	26.0	25.0	26.0
Output Indicators					
* Number of election publications requested in reporting period	1,980	1,772	1,800	823.0	900.0
* Number of election judge schools requested by local election jurisdictions	221.0	105.0	160.0	175.0	110.0
* Number of county voting systems available for pre-test in reporting period	14.0	4.0	14.0	12.0	5.0
* Number of election jurisdictions submitting voter registration database files	110.0	110.0	110.0	110.0	110.0
* Number of nominating petitions filed in reporting period (a)	94.0	1,352	94.0	141.0	885.0
* Number of petition objections filed in reporting period (a)	0.0	199.0	34.0	34.0	139.0
* Number of petition copy requests received in reporting period (a)	15.0	1,625	8.0	14.0	1,077
* Number of petition copy requests processed in reporting period (a)	15.0	1,625	8.0	14.0	1,077
Outcome Indicators					
* Number of petition objections processed during the reporting period (a)	0.0	1,625	8.0	34.0	139.0
* Percentage of election publication requests processed and distributed in reporting period	100 %	100 %	100 %	100 %	100 %
* Percentage of judges training school requests fulfilled during the reporting period	100 %	100 %	100 %	100 %	100 %
* Percentage of petition copy requests successfully completed within 48 hours	100 %	100 %	100 %	100 %	100 %
Efficiency/Cost-Effectiveness Indicators					
* Percentage of applicable county voting systems pre-tested during reporting period	12.7 %	3.5 %	12 %	10.9 %	4.5 %

Footnotes

(a) The following Output and Outcome Indicators will vary from year to year because general elections are held on even numbered calendar years: number of nominating petitions filed, number of petition objections filed (and processed) and the number of petition copy requests received (and processed).

Campaign Disclosure Division

Mission Statement: The mission of the Campaign Disclosure Division is to monitor and enforce the elements of the Campaign Disclosure Act and apply them equally to all candidates and committees throughout Illinois.

Program Goals: Objectives:

1. Act as the repository and central clearinghouse for mandated campaign disclosure reports submitted by committees on file with the SBE.
   a. Receipt all documents filed with the SBE, either through paper media or electronically filed through the SBE website.
   b. Accept, login and microfilm all reports filed (paper or electronic).
   c. Make reports available for public inspection - prepare key report summary information from paper-submitted reports for review on Agency website along with a full copy of electronically filed disclosure reports.
   d. Impose penalties and/or additional corrective action against committees who file required campaign disclosure reports past required deadlines or not at all.
2. Proper review and evaluation of all reports submitted to determine that committee disclosures are in compliance with statutory mandates of the Act.
   a. Assign and distribute campaign reports to specific staff for review.
   b. Review campaign reports for sufficiency and completeness of report format/content.
   c. Contact specific committees and request additional information/adjustments if errors or omissions are found in report data.
   d. File complaints against committees that do not comply with Agency requests for additional information or adjustments.
3. Receive, review and process complaints submitted by outside entities against the form or content of disclosure information submitted by specific committees.
   a. Record complaint when received from initiating entity - schedule for review and adjudication by the Board.
   b. Prepare and issue notices to applicable parties to notify of complaint receipt and date/time of adjudication by the Board.
   c. Perform required follow-up action after Board acts on sufficiency of complaint.
4. Perform statewide oversight function for review and approval of raffle applications submitted by committees for fund-raising purposes.
   a. Log in raffle applications received from interested committees.
   b. Review application for completeness, compliance with applicable statutes and compliance with relevant administrative requirements.
   c. Approve or deny raffle application - if denied, contact committees and attempt to resolve problems with application issues in order to ensure subsequent compliance/approval.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 10 ILCS 5/1A

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$775.6	$780.8	$913.7	$802.0	$904.8
* Total expenditures - state appropriated funds (in thousands)	$775.6	$780.8	$913.7	$802.0	$904.8
* Average monthly full-time equivalents	21.0	18.0	18.0	17.0	17.0
Output Indicators					
* Number of semi-annual campaign disclosure reports required to be filed during the campaign period (estimate) (a)	7,500	0.0	0.0	0.0	0.0
* Number of quarterly campaign disclosure reports required to be filed during the campaign period (estimate) (a)	3,750	3,870	3,900	4,019	4,200
* Number of candidates for public office that qualify as political committees	2,207	2,390	2,400	2,322	2,400
* Number of organizations that qualify as political action committees	1,021	1,083	1,050	1,036	1,050
* Number of organizations that qualify as party organizations	369.0	370.0	380.0	400.0	400.0
* Number of organizations that qualify as referendum organizations	48.0	54.0	55.0	56.0	60.0
* Number of raffle applications submitted for approval by political committees	852.0	832.0	850.0	521.0	550.0
* Number of raffle applications approved	779.0	777.0	800.0	473.0	490.0
* Number of oustide complaints filed with the State Board of Elections	42.0	24.0	35.0	65.0	55.0
* Number of financial disclosure reports reviewed by operations staff	21,179	16,080	16,200	18,280	18,500
* Number of report amendments filed pursuant to the operational review process	2,601	2,100	2,150	2,300	2,350
* Number of assessments performed	1,023	1,800	2,000	1,222	1,220
Outcome Indicators					
* Actual number of semi-annual campaign disclosure reports filed during reporting period (a)	9,705	0.0	0.0	0.0	0.0

Campaign Disclosure Division (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Actual number of quarterly campaign disclosure reports filed during reporting period (a)	4,093	16,918	17,000	18,280	18,500
* Number of quarterly reports filed electronically on agency website (b)	10,524	13,429	15,000	14,509	16,000
* Number of raffle applications rejected	46.0	55.0	50.0	41.0	45.0
* Number of agency complaints for non-compliance pursuant to operational review	90.0	40.0	30.0	86.0	80.0
* Percentage of quarterly reports filed with agency that were filed by the deadline date (b)	91 %	89 %	95 %	92 %	94 %
* Percentage of quarterly reports filed with agency that were filed 30 days or less after the deadline date (b)	6 %	7 %	5 %	6 %	5 %
* Percentage of quarterly reports filed with agency that were filed more than 30 days after deadline date (b)	3 %	3 %	3 %	2 %	1 %
* Percentage of quarterly reports filed with agency that were filed electronically through SBE's website application (b)	76 %	79 %	85 %	79 %	83 %
* Number of assessments appealed	263.0	350.0	400.0	282.0	300.0
Efficiency/Cost-Effectiveness Indicators					
* Number of financial disclosure report reviews per assigned operations staff	1,925	1,462	1,480	1,661	1,680

Footnotes

(a) Effective January 1, 2011 legislation was enacted to replace semi-annual campaign disclsoure reports with quarterly campaign disclosure reports. Hence no activity is reported prior to fiscal year 2011 for quarterly reports and no activity is reported after fiscal year 2011 for semi-annual reports.

(b) Prior to fiscal year 2012 these Outcome indicators were reported as "semi-annual" reports. Beginning fiscal year 2012, and beyond, these reports will be quarterly reports, per legislation effective January 1, 2011.

Office of the State's Attorneys Appellate Prosecutor
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
State's Attorneys Appellate Prosecutor Program	$13,048.0	79.0	$12,972.3	80.0
Non-Reporting Programs				
Totals	$13,048.0	79.0	$12,972.3	80.0

Mission and Organization

The primary objective of the Office of the State's Attorneys Appellate Prosecutor is to deliver quality professional services to all participating counties in full compliance with its legislative mandate. The State's Attorneys retain exclusive control of appeals originating in their respective counties as well as the authority to control all documents in each individual case. The agency files no documents in the reviewing courts until they are approved by the State's Attorneys otherwise responsible for prosecuting the appeal.

The participation of the State's Attorney in the program is completely voluntary. Counties that agree to participate are required to collectively finance one-third of the total appropriation approved by the General Assembly and the Governor with the exception of personal services expenses of the collective bargaining unit. The remaining two-thirds of the agency's budget is appropriated from the General Revenue Fund. Each county's portion is determined annually by the agency and is based on population. During fiscal year 2013, 101 out of 101 eligible counties participated in the program.

The agency is governed by a Board of Governors consisting of ten State's Attorneys. The Cook County State's Attorney is a permanent member by statute; eight State's Attorneys are elected annually; and the tenth member is appointed each year by the other nine members. In addition to the primary duties of preparing, filing and arguing appellate briefs, the agency provides numerous other services to State's Attorneys and law enforcement personnel. The agency was given additional authority to provide investigative services in criminal appeals and tax objections cases for Staff Attorneys and State's Attorneys.

The agency's initial appellate responsibility focused on criminal cases. However, the agency's authorization was expanded to represent the people of the State of Illinois on appeal in juvenile cases, paternity cases, and cases arising under the Mental Health Development and Disabilities code. The agency was also given authority upon request to assist State's Attorneys in the trial and appeal of tax objection cases. In addition, the agency has been authorized to assist State's Attorneys on cases and appeals arising under the Narcotic Profit Forfeiture Act, assist State's Attorneys in the discharge of their duties under the Illinois Public Labor Relations Act, conduct training programs for prosecutors and other law enforcement personnel to reduce trauma for child witnesses in criminal proceedings, represent the people of the State of Illinois on appeal in all types of cases which emanate from a County containing less than 3,000,000 inhabitants when requested to do so and at the direction of the State's Attorneys otherwise responsible for prosecuting the appeal, and assist State's Attorneys in the full discharge of their duties under the Illinois Controlled Substances Act and the Narcotics Profit Forfeiture Act.

Effective September 10, 1990, Public Act 86-1382 created the Drug Asset Forfeiture Procedure Act and amended the Cannabis Control Act and

the Controlled Substances Act. These amendments authorized the agency to receive a 12.5 percent share in the monies and sale proceeds of reported property forfeited and seized under the provision of these Acts in counties under 3,000,000 in population. They also authorized the agency to use these funds in the investigation, prosecution, and appeal of cases arising under laws governing cannabis and controlled substances.

State's Attorneys Appellate Prosecutor Program

Mission Statement: To deliver quality professional legal services to all participating county State's Attorneys under the rules and guidelines set forth in our legislative mandates.

Program Goals: Objectives:
1. To provide quality legal assistance to member State's Attorneys in appeals, special prosecutions, tax objections, drug forfeiture cases, and criminal justice training.

Source of Funds: General Revenue Fund, Special Federal Grant Projects Fund, State's Attorneys Appellate Prosecutor's County Fund, Continuing Legal Education Trust Fund, Narcotics Profit Forfeiture Fund

Statutory Authority: 725 ILCS 210/1

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$11,883.2	$13,048.0	$16,622.3	$12,972.3	$16,708.1
* Total expenditures - state appropriated funds (in thousands)	$11,883.2	$13,048.0	$16,622.3	$12,972.3	$16,708.1
* Average monthly full-time equivalents	79.0	79.0	80.0	80.0	80.0
Output Indicators					
* Number of cases handled through Capital Litigation Act (a)	3.0	N/A	N/A	N/A	N/A
* Number of drug related cases opened (b)	7,153	5,521	5,500	5,189	4,500
* Number of criminal prosecution cases	399.0	482.0	490.0	807.0	618.0
* Number of legal documents filed and oral arguments conducted through Systemic Sentencing Appellate Grant (c)	390.0	416.0	420.0	331.0	380.0
* Number of legal documents filed and oral arguments through the Appellate Brief Writing Program (d)	1,808	2,027	1,900	1,820	1,950
Outcome Indicators					
* Percent of drug grant related cases resulting in convictions	92 %	84 %	85 %	87 %	87 %
* Percent of criminal prosecution cases resulting in convictions	81 %	95 %	95 %	90 %	92 %
* Percentage of systemic sentencing appeals upheld by court (e)	89 %	N/A	N/A	N/A	N/A

Footnotes

(a) This indicator is being phased out because the Capital Litigation Trust Fund has been abolished.

(b) The Indicator "Number of drug related cases prosecuted" has been revised to "Number of drug related cases opened" because some cases cross over more than one fiscal year.

(c) The Indicator "Number of cases prosecuted through systemic sentencing" has been revised to "Number of legal documents filed and oral arguments conducted through the Systemic Sentencing Appellate Grant" because the language more accurately represents the work products of our Systemic Sentencing Grant Program.

(d) The indicator "Number of briefs submitted through Appellate Brief Writing Program" has been revised to "Number of legal documents filed and oral arguments through the Appellate Brief Writing Program" because the language more accurately represents the work products of our Appellate Brief Writing Program.

(e) This indicator is being phased out because it is difficult to arrive at an accurate percentage since a case may have numerous issues. Some issues may be upheld and some may not. The Federal Government no longer requires tracking of the percentage of cases upheld.

Illinois Racing Board
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Racing Board	$6,750.3	46.0	$7,447.7	51.0
Non-Reporting Programs				
Totals	$6,750.3	46.0	$7,447.7	51.0

Mission and Organization

Major Results and Challenges:

The biggest challenge for the Illinois Racing Board (IRB) right now is in maintaining effective regulation despite plummeting revenues used to support IRB operations, as well as a great deal of uncertainty as to the long-term health of racing overall. Even with the limited resources available to the IRB to regulate the sport, we have been successful in maintaining the honesty and integrity of horse racing and pari-mutuel wagering in Illinois.

With diminished revenue earnings and distribution to the stakeholders (horsemen purses and track commissions), it creates a much more challenging environment in which to regulate the sport. The staff of the IRB utilized the assistance and law enforcement expertise of the Cook County Sheriff's HIDTA (High Intensity Drug Trafficking Area) Unit to investigate alleged sales of human and horse drugs and possible race fixing and collusion among several licensees involved in harness racing at Balmoral and Maywood Park.

In October of 2012, a rare outbreak of the Equine Herpes Virus-1 (EHV-1) occurred on the ground of Hawthorne Racecourse. In some cases, the virus causes neurological symptoms and fatalities. To date there have been six horse deaths related to the EHV-1 outbreak. The IRB veterinarian staff, in cooperation with the Illinois Department of Agriculture and the USDA, has quarantined the stable area, isolated horses that have tested positive, and instituted bio security measures that have successfully contained and limited the spread of the virus. These efforts have averted a complete shutdown of the race meet and allowed racing to continue virtually uninterrupted.

The Board modified a significant number of medication rules by adopting withdrawal times and threshold levels for therapeutic medication. This was accomplished following extensive staff research in conjunction with the Association of Racing Commissioners International and the Racing Medication Testing consortium. Additionally, the Board has adopted a number of prohibited substance prohibitions, which include bee, snake and snail venoms.

Policy Priorities for Fiscal Year 2014

• The continued focus and efforts in equine medication regulation and advances in prohibited substance detection.

• Intensify efforts in the oversight, regulation, and auditing of the pari-mutuel system of wagering, as the intricacy of the wagering system and platforms are advancing rapidly. Ensuring the integrity of wagering is among the top responsibilities of the Board.

• Work on overhauling and consolidating the IRB's administrative rulebook, which has over 400 pages and contains a number of antiquated and redundant regulations and provisions.

• Utilize social media to promote and introduce new fans to horse racing, as well as communicate the state's efforts and resources devoted to ensuring that racing and wagering is being conducted in an honest and fair manner.

• Extend the regulatory jurisdiction of the Board to the various off-track commercial stabling locations throughout the state.

• Consider efforts to work with the racetracks and horsemen groups to explore night racing (on a limited basis) among the thoroughbred racetracks.

Racing Board

Mission Statement: To ensure the honesty and integrity of thoroughbred, standardbred and quarter horse races and pari-mutuel wagering through the enforcement of the Illinois Horse Racing Act.

Program Goals: Objectives:

1. Ensure that horse racing is conducted in a fair and competitive manner and in strict compliance with all rules and regulations of the Board.
2. Enforce the Illinois Racing Board's medication rules through drug testing to ensure races are conducted without the presence of prohibited substances and are within the limits of permissible race day medication.
3. Protect and maintain the integrity of the pari-mutuel wagering system.
4. Encourage, promote and stimulate growth within the horse racing industry.

Source of Funds: Horse Racing Fund ***Statutory Authority:*** 230 ILCS 5

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$6,237.8	$6,750.3	$8,579.2	$7,447.7	$8,431.3
* Total expenditures - state appropriated funds (in thousands)	$6,237.8	$6,750.3	$8,579.2	$7,447.7	$8,431.3
* Average monthly full-time equivalents	41.0	46.0	49.0	51.0	52.0
Output Indicators					
* Total number of steward rulings issued	522.0	601.0	550.0	622.0	600.0
* Total number of race lab samples sent for lab testing (a)	14,890	15,260	14,900	15,118	14,900
Outcome Indicators					
* Total number of administrative appeals of steward's rulings issued	15.0	8.0	10.0	14.0	20.0
* Number of live races per steward rulings issued	10.1	9.1	8.0	8.7	8.5
* Horse laboratory proficiency testing score (test sample accuracy)	100 %	100 %	100 %	100 %	100 %
* Live race related rulings as a percent of total steward rulings	33 %	33 %	35 %	34 %	33 %
* Instances of non-performance enhancing substance exceeding allowable levels	12.0	36.0	25.0	34.0	36.0
* Instances of performance enhancing substance found (b)	39.0	11.0	36.0	50.0	40.0

Footnotes

(a) This is the total number of equine blood and urine samples sent and includes pre and post race samples.

(b) Fiscal year 2013 actual is high due to the large number of positive results from blood samples taken in May 2012. It takes approximately 60 days from the day the blood sample is taken (on race date) for the result to be reported to IRB. As a result of reduced race dates for fiscal year 2014 (no racing in January 2014) we expect the number of positive results in fiscal year 2014 to be less than the fiscal year 2013 actual figure.

Executive Ethics Commission
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Chief Procurement Officers and Support	$4,363.3	50.0	$4,603.7	53.0
Procurement Compliance Monitors	$1,163.8	16.0	$961.1	14.0
Ethics Administration	$244.6	2.0	$236.9	2.0
Non-Reporting Programs				
Administrative Support	$792.0	9.0	$767.4	9.0
Totals	$6,563.7	77.0	$6,569.1	78.0

Mission and Organization

The Executive Ethics Commission (EEC) carries out statutory mandates in the areas of ethics and procurement found in the State Officials and Employees Ethics Act (5 ILCS 430) ("Ethics Act") and the Illinois Procurement Code (30 ILCS 500).

The EEC has jurisdiction over officers and employees of State agencies, universities and transit boards with regard to matters arising under the Ethics Act. The EEC conducts administrative hearings on allegations of wrongdoing brought by the Executive Inspectors General. It redacts, as appropriate, and publishes reports of and responses to Executive Inspectors General investigations.

The EEC considers appeals of revolving door determinations made by Executive Inspectors General as to the appropriateness of a certain State employees' acceptance of employment, compensation or fees within a year of terminating State employment.

• The EEC receives reports from State agencies of ex parte communications made to those State agencies.

• The EEC hosts an annual Ethics Conference for State government ethics officers and personnel.

• The EEC prepares and publishes manuals and brochures for distribution to State employees and the general public and responds to ethics questions to facilitate compliance, implementation and enforcement of the Ethics Act.

• The EEC promulgates rules governing the performance of its duties and governing the investigations of the Executive Inspectors General.

The EEC performs limited procurement related activities such as appointing Chief Procurement Officers, establishing their compensation and conducting hearings for their removal; conducting hearings regarding the removal of State Purchasing Officers; appointing Procurement Compliance Monitors, establishing their salaries and conducting hearings for their removal; granting exemptions from conflict of interest provisions; and promulgating rules governing the reporting of procurement communications. The EEC provides fiscal, legal, human resource and other types of administrative support and resources to the independent Chief Procurement Officers, State Purchasing Officers and Procurement Compliance Monitors. The EEC is also responsible for appointing the Director of the Illinois Power Agency and for general oversight of that independent agency. The EEC consists of nine commissioners, appointed by the executive branch constitutional officers and is served by staff located in Springfield.

Appropriations made to and resources provided by the EEC support the efforts of independent

Chief Procurement Officers, State Purchasing Officers and Procurement Compliance Monitors. The position of the Chief Procurement Officer (CPO) was created by the General Assembly pursuant to Public Act 96-795. The four independent CPOs are appointed with the advice and consent of the Illinois Senate. Confirming the independent role, the CPO is appointed to a term of five years and cannot be removed except for cause after a hearing.

The CPOs exercise procurement authority under the Illinois Procurement Code (30 ILCS 500) on behalf of and for the benefit of state agencies under the Governor and public institutions of higher education. While retaining procurement authority, the CPOs exercise this authority through independent State Purchasing Officers (SPOs) who report to the CPO. Each SPO is appointed to a five-year term and cannot be removed except for cause after a hearing. The SPOs have general direct oversight of the procurement activities and give approval to proceed at various stages of the process. The SPOs work in close conjunction with purchasing staff at the state agencies and public universities to meet their needs.

Procurement Compliance Monitors are responsible for overseeing, reviewing, reporting on and ensuring transparency of procurement processes. This insulated, central, independent procurement structure is intended to bring transparency and integrity to the procurement process and achieve significant cost savings for the State through the application of competitive bidding and economical procurement practices.

Chief Procurement Officers and Support

Mission Statement: To work with agencies and universities to meet their procurement needs while exercising independent authority, oversight and approval designed to continuously improve the procurement process and ensure compliance with law, fair treatment, diversity, integrity, transparency and value.

Program Goals: Objectives: 1. To work closely with agencies and universities to achieve their procurement needs in a timely, legal, and ethical manner.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 30 ILCS 500

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$4,363.3	$4,310.8	$4,603.7	$4,642.2
* Total expenditures - state appropriated funds (in thousands)	$.0	$4,363.3	$4,310.8	$4,603.7	$4,642.2
* Average monthly full-time equivalents	0.0	50.0	50.0	53.0	52.0
Output Indicators					
* Number of procurements competitively procured (a)	N/A	N/A	N/A	4,936	5,250
* Number of procurements non-competitively procured (a)	N/A	N/A	N/A	3,804	3,500
* Number of procurements (a,b)	N/A	8,000	8,000	8,740	8,750
* Value of procurements competitively procured (in millions) (a,c)	N/A	N/A	N/A	$17,110.3	$10,800.0
* Value of procurements non-competitively procured (in millions) (a,d)	N/A	N/A	N/A	$2,206.9	$1,200.0
* Value of procurements (in millions) (a,b,c,d)	N/A	$9,682.0	$10,000.0	$19,317.2	$12,000.0
* Number of hours of external training provided to State agencies and external parties	N/A	N/A	N/A	91.0	120.0
* Number of employees having professional procurement designations	N/A	33.0	N/A	38.0	40.0
* Number of hours of professional development achieved by Chief Procurement Office employees (e)	N/A	N/A	N/A	1,301	1,040

Footnotes

(a) In fiscal years 2012 and 2011, the number and value of procurements competitively procured and number and value of procurements non-competitively procured were not reported separately.

(b) Number and value of transactions represent published transactions as required by the Illinois Procurement Code. It does not include non-published procurements, like small purchases, that are under the authority of the Chief Procurement Office.

(c) In fiscal year 2013, the value of procurements competitively procured include two state employee healthcare contracts valued at $6.9 billion that were not procured in recent years.

(d) In fiscal year 2013, the value of procurements non-competitively procured include seven state healthcare contracts valued at $790 million that were not procured in recent years.

(e) In fiscal year 2013, Chief Procurement Offices began tracking the number of hours of professional development achieved.

Procurement Compliance Monitors

Mission Statement: Procurement Compliance Monitors exercise independent judgment in the review and oversight of the procurement process in order to eliminate waste, promote effectiveness and efficiency, and ensure transparency, accountability, and integrity.

Program Goals: Objectives:

1. To independently review the procurement process to ensure transparency, accountability, and integrity and also to eliminate waste and promote effectiveness and efficiency.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 30 ILCS 500

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$1,163.8	$1,302.0	$961.1	$972.2
* Total expenditures - state appropriated funds (in thousands)	$.0	$1,163.8	$1,302.0	$961.1	$972.2
* Average monthly full-time equivalents	0.0	16.0	19.0	14.0	15.0
Output Indicators					
* Number of procurements reviewed (a)	N/A	2,244	2,700	672.0	1,250
* Dollar amount of procurements reviewed (in millions)	N/A	$2,709.0	$3,200.0	$2,423.8	$2,500.0
* Number of issues raised (b)	N/A	663.0	750.0	186.0	340.0
* Number of Procurement Compliance Monitors having professional procurement designations	N/A	0.0	N/A	4.0	5.0
* Number of hours of professional development achieved by Procurement Compliance Monitors (c)	N/A	N/A	N/A	544.4	300.0

Footnotes

(a) In fiscal year 2013, Procurement Compliance Monitors began using a Procurement Tracking Tool to track workload and provide a meaningful set of data and information about the volume and breadth of their work. The decrease in number of procurements reviewed between fiscal year 2012 and 2013 is attributable to a voluntary change in the manner of reporting.

(b) The number of issues raised based on the total number of procurements reviewed is consistent with historical expectations.

(c) In fiscal year 2013, Procurement Compliance Monitors began tracking the number of hours of professional development achieved.

Ethics Administration

Mission Statement: Improve the ethical climate in Illinois state government.

Program Goals: Objectives:

1. Heighten the awareness of the Ethics Act through education.
   a. Provide written ethics materials and training to state agencies and offices.
2. Make final decisions on cases promptly.
   a. Enter final decisions within 90 days of having complete documentation.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 5 ILCS 430/1 et seq

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$4,400.4	$244.6	$976.3	$236.9	$230.0
* Total expenditures - state appropriated funds (in thousands)	$4,400.4	$244.6	$976.3	$236.9	$230.0
* Average monthly full-time equivalents (a)	74.0	2.0	11.0	2.0	2.0
Output Indicators					
* Number of ethics conference attendees, speaking events, materials published and ethics questions received and answered (b)	10,000	136.0	500.0	462.0	500.0
* Number of decisions rendered and OEIG Reports received and reviewed with publication on the Executive Ethics Commission website	35.0	41.0	50.0	53.0	50.0

Footnotes

(a) Beginning in fiscal year 2013, ethics administration input indicators reflect expenditures and staff attributable to ethics administration exclusive of other programs. Previously included expenditures and staff attributable to administrative support (human resources, legal, fiscal and information services) have been removed from the ethics data and are presented as a non-reporting program.

(b) Beginning in fiscal year 2012, the Executive Ethics Commission discontinued the publishing of most hard-copy pamphlets and published almost exclusively on the Executive Ethics Commission website.

Office of Executive Inspector General
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Investigations	$4,790.5	55.0	$5,750.7	63.0
Ethics Training and Compliance	$369.1	4.0	$357.9	3.0
Hiring Monitoring	$263.7	4.0	$269.5	4.0
Revolving Door Determinations	$158.2	2.0	$161.7	2.0
Non-Reporting Programs				
Totals	$5,581.5	65.0	$6,539.8	72.0

Mission and Organization

Pursuant to the Illinois State Officials and Employees Ethics Act (Ethics Act), the Office of Executive Inspector General for the Agencies of the Illinois Governor (OEIG) receives and investigates allegations of misconduct by officers, employees and appointees of 40 State agencies, nine public universities, more than 300 boards and commissions, and four Chicago-area regional transit boards, as well as vendors doing business with those entities.

If the OEIG makes a finding of misconduct, it may recommend termination, discipline, or other remedial action to the head of each public agency affected by or involved in the investigation. The OEIG forwards its summary reports to the ultimate jurisdictional authority, which is most often the Office of the Governor, and to the Executive Ethics Commission (EEC). Public disclosure of OEIG reports is mandated when an employee receives discipline resulting in termination of employment or suspension of three or more days. Public disclosure of OEIG founded reports is not mandated when misconduct is found to have occurred if the employing agency does not terminate or suspend the employee for at least three days. If the OEIG determines that a public employee violated specific prohibitions of the Ethics Act, the OEIG may refer the matter to the Office of the Illinois Attorney General. The Attorney General may then file an administrative proceeding, as counsel for the OEIG, before the EEC. If the EEC determines a violation occurred, it may levy a fine and publicly release its findings.

The OEIG also oversees ethics training for public employees under its jurisdiction. The OEIG oversaw more than 185,000 ethics training courses sessions in fiscal year 2013.

Beginning July 1, 2011, the OEIG began to function as executive inspector general for all four of the Chicago-area regional transit boards (RTBs): the Regional Transportation Authority, the Chicago Transit Authority, Metra, and Pace, adding more than 15,000 employees to the OEIG's jurisdiction. In December 2011, the General Assembly appropriated funding from the Public Transportation Fund for the OEIG's duties related to the four RTBs. Using that funding, the OEIG established a RTB Investigative Division devoted solely to investigating fraud, waste, and abuse involving the RTBs.

In fiscal year 2013, 22 OEIG founded reports were publicly disclosed. Publicly disclosed reports result in greater public awareness of both employee misconduct and actions taken by their respective employing agencies. Since fiscal year 2011, the OEIG has had 74 founded reports publicly released. The OEIG supports the public disclosure of additional investigative findings.

During fiscal year 2013, the OEIG implemented several initiatives, and continued to develop sev-

eral previously implemented initiatives, designed to improve operations, simplify reporting of misconduct and communications with the OEIG, and increase transparency. A primary initiative is the OEIG's continued focus of its limited resources on investigating matters that:

- May have a high impact on the future conduct of public employees.
- Expose systematic misconduct by numerous public employees or vendors.
- Are complex and involve major fraud or misconduct.
- Involve public employees that abuse their authority or public resources.
- Involve violations of the Ethics Act.

In December 2012, the OEIG launched its Grant Review Initiative Team (GRIT) to investigate waste, fraud, or misuse of grant funds administered by State agencies. In June 2013, the OEIG produced and distributed newly designed fraud reporting posters, intended to promote reporting of alleged misconduct. The OEIG also continued other initiatives, including (1) promoting a comprehensive training initiative for its investigators and other staff to better enable the OEIG to conduct timely, thorough, and efficient investigations; (2) delivering continuing legal education seminars to other State employees; (3) updating the OEIG's Policy and Procedures Manual; (4) updating the OEIG's website by adding, among other things, a "New" section to the website so that visitors can easily identify new website information; (5) issuing 14 new editions of the OEIG's monthly electronic newsletter; and (6) actively seeking changes in the law to allow for additional OEIG reports of investigations to be released.

Investigations

Mission Statement: To investigate allegations of fraud, waste, abuse, mismanagement, misconduct, nonfeasance, misfeasance, malfeasance, violations of the State Officials and Employees Ethics Act, or violations of other related laws and rules.

Program Goals: Objectives:

1. To receive or initiate allegations of fraud, waste, abuse, mismanagement, misconduct, nonfeasance, misfeasance, malfeasance, or violations of the State Officials and Employees Ethics Act or violations of other related laws and rules.
2. To evaluate allegations to determine whether an investigation should be conducted.
3. To conduct timely, thorough, and efficient investigations.
4. To recommend state agencies take appropriate corrective action relating to founded findings.

Source of Funds: General Revenue Fund, Public Transportation Fund ***Statutory Authority:*** 5 ILCS 430/20-20

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$4,300.5	$4,790.5	$6,399.3	$5,750.7	$6,649.0
* Total expenditures - state appropriated funds (in thousands)	$4,300.5	$4,790.5	$6,399.3	$5,750.7	$6,649.0
* Average monthly full-time equivalents	46.0	55.0	69.0	63.0	72.0
Output Indicators					
* Number of complaints received	2,041	2,492	3,000	2,809	3,000
* Number of investigations opened	143.0	155.0	160.0	123.0	100.0
Outcome Indicators					
* Number of investigations founded	99.0	38.0	40.0	30.0	45.0
* Number of investigations unfounded	132.0	79.0	70.0	56.0	25.0
* Number of referrals to agency management (a)	1,696	2,012	1,800	1,927	1,800
* Number of complaints declined	291.0	228.0	340.0	345.0	450.0
* Number of law enforcement referrals (b)	117.0	82.0	800.0	653.0	550.0
* Number of investigations administratively closed	N/A	N/A	N/A	40.0	40.0
* Number of investigations completed	304.0	135.0	160.0	126.0	100.0
* Pending investigations as of June 30, 2013	102.0	122.0	120.0	119.0	100.0

Footnotes

(a) Beginning fiscal year 2013, includes each referral when a complaint is referred to more than one agency.

(b) Beginning fiscal year 2013, includes each referral to executive inspectors general and inspectors general.

Ethics Training and Compliance

Mission Statement: To oversee the ethics training program for employees, appointees, and officials of State agencies, universities, commissions, and boards within the jurisdiction of the Office of the Governor.

Program Goals: Objectives:

1. To implement training, provide administrative guidelines, and produce course materials for State employees.
2. To provide oversight to employee ethics training developed by the nine public State universities and the four Chicago-area regional transit boards.
3. To monitor State employee and State agency compliance with statutory training requirements.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 5 ILCS 430/5-10

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$419.6	$369.1	$404.0	$357.9	$414.9
* Total expenditures - state appropriated funds (in thousands)	$419.6	$369.1	$404.0	$357.9	$414.9
* Average monthly full-time equivalents	4.0	4.0	3.0	3.0	3.0
* Online ethics training vendor expenditures (in thousands)	$139.9	$122.0	$140.0	$115.4	$140.0
Output Indicators					
* Compliance oversight - number of online course sessions	118,949	N/A	N/A	N/A	N/A
* Compliance oversight - number of offline course sessions	44,126	N/A	N/A	N/A	N/A
* Compliance oversight - number of course sessions 2013/2014	N/A	195,395	195,000	185,534	185,000
* Compliance oversight - number of state agencies reporting	365.0	355.0	355.0	319.0	310.0
* Ethics training production and delivery - number of OEIG-produced online course sessions	53,809	53,091	55,300	53,171	51,700
Outcome Indicators					
* Ethics training survey results re: use of subject matter	88 %	86.2 %	85 %	85.6 %	85 %
* Ethics training survey results re: better understanding of subject matter	86 %	83.4 %	80 %	83.3 %	83 %
* Employee compliance ratio	99.9 %	99.7 %	99.7 %	97.7 %	97 %
Efficiency/Cost-Effectiveness Indicators					
* Total ethics training expenditures per course session overseen (in dollars)	$2.57	$2.01	$2.21	$1.93	$2.24
* Total online ethics training vendor expenditures per course session delivered (in dollars)	$2.60	$2.30	$2.53	$2.17	$2.71

Hiring Monitoring

Mission Statement: To review hiring and employments practices of each State agency within its jurisdiction to eradicate unlawful hiring decisions based upon political party affiliation and to ensure all personnel decisions are solely based on merit and in compliance with State and Federal laws.

Program Goals: Objectives:

1. To receive or initiate allegations relating to hiring and employments practices.
2. To evaluate allegations to determine whether an investigation should be conducted.
3. To conduct timely, thorough, and efficient investigations.
4. To recommend State agencies take appropriate corrective action relating to founded findings.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 5 ILCS 430/20-20(9)

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$320.3	$263.7	$288.6	$269.5	$296.4
* Total expenditures - state appropriated funds (in thousands)	$320.3	$263.7	$288.6	$269.5	$296.4
* Average monthly full-time equivalents	5.0	4.0	4.0	4.0	4.0
Output Indicators					
* Number of complaints received	68.0	86.0	90.0	127.0	100.0
* Number of investigations opened	20.0	17.0	15.0	18.0	15.0
Outcome Indicators					
* Number of investigations founded	8.0	4.0	5.0	2.0	10.0
* Number of investigations unfounded	17.0	20.0	15.0	10.0	5.0
* Number of complaints referred to agency management	28.0	55.0	60.0	74.0	45.0
* Number of law enforcement referrals	N/A	N/A	N/A	12.0	20.0
* Number of complaints declined	16.0	3.0	5.0	9.0	5.0
* Number of investigations administratively closed	11.0	10.0	10.0	5.0	5.0
* Number of pending investigations	10.0	7.0	10.0	22.0	15.0
* Number of investigations completed (a)	81.0	92.0	90.0	17.0	20.0

Footnotes

(a) Beginning fiscal year 2013, includes only investigations founded, unfounded and adminstratively closed.

Revolving Door Determinations

Mission Statement: To determine whether certain former State employees are prohibited from accepting certain jobs after leaving State employment.

Program Goals: Objectives:

1. To receive revolving door applications from certain State employees planning to leave State employment and from certain former State employees.
2. To gather information and conduct an investigation relating to the prior job duties of the applicant and the prospective job duties of the applicant.
3. To determine within 10 calendar days whether the applicant is prohibited from accepting the employment opportunity.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 5 ILCS 430/5-45

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$288.1	$158.2	$173.2	$161.7	$177.8
* Total expenditures - state appropriated funds (in thousands)	$288.1	$158.2	$173.2	$161.7	$177.8
* Average monthly full-time equivalents	5.0	2.0	2.0	2.0	2.0
Output Indicators					
* Number of revolving door applications received	122.0	103.0	160.0	133.0	155.0
Outcome Indicators					
* Number of revolving door determinations completed	117.0	96.0	N/A	124.0	145.0
* Number of determinations in which employee is deemed eligible for employment	114.0	96.0	N/A	123.0	135.0
* Number of determinations in which employee is deemed ineligible to accept employment	3.0	0.0	N/A	1.0	5.0
* Number of applications withdrawn	5.0	7.0	N/A	9.0	10.0
* Number of revolving door determinations appealed to the EEC	1.0	6.0	N/A	10.0	10.0

Property Tax Appeal Board
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Correct Assessment Determination	$3,603.0	24.0	$4,106.5	26.0
Non-Reporting Programs				
Totals	$3,603.0	24.0	$4,106.5	26.0

Mission and Organization

The Property Tax Appeal Board (PTAB) is a quasi-judicial agency. The board has a single objective for the purpose of determining the correct assessment of real property. The board receives appeals from taxpayers dissatisfied with a decision from a county board of review pertaining to the assessment of their property for taxation purposes. The board may also receive appeals from a taxing body that has an interest in a decision from the board of review on an assessment made by a local assessment officer.

The board is comprised of five (5) members appointed by the Governor, with advice and consent of the Senate. The Governor, with advice and consent of the Senate, designates one of the members as chairman. No more than three (3) members of the board may be members of the same political party. The Board appoints an Executive Director who manages all administrative, legal and legislative affairs.

Since the end of fiscal year 2003, PTAB has had significant increases in appeals while having insufficient staff to address the workflow, resulting in an accumulated backlog of appeals over the last several years. In fiscal year 2013, the board was able to hire six (6) additional clerical staff. This increase in personnel has had a significant impact on the board's ability to address a docketing backlog. For fiscal year 2014, the board anticipates hiring additional clerical staff and administrative law judges in an effort to reduce the backlog. To date, the board has streamlined the appeal inquiry system to provide more crucial and timely information regarding an appeal. The board is also planning to automate a portion of the appeal submission process during fiscal year 2014.

Correct Assessment Determination

Mission Statement: It is the mission of the Illinois Property Tax Appeal Board to adjudicate real property assessment disputes between Illinois real property taxpayers, county boards of review and local taxing districts in a timely, professional and impartial manner.

Program Goals: Objectives:

1. Provide an informal forum, open to the public, for the speedy hearings of appeals.
2. Resolve appeals in a timely fashion by issuing impartial decisions based upon equity and the weight of the evidence.
3. Establish clear, concise, accurate, and timely communications with the public.
4. Maintain a work force that demonstrates the highest standards of integrity, efficiency and performance.

Source of Funds: Personal Property Tax Replacement Fund ***Statutory Authority:*** 35 ILCS 200/Art. 7

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,954.9	$3,603.0	$4,777.3	$4,106.5	$4,794.5
* Total expenditures - state appropriated funds (in thousands)	$2,954.9	$3,603.0	$4,777.3	$4,106.5	$4,794.5
* Average monthly full-time equivalents	23.0	24.0	33.0	26.0	34.0
Output Indicators					
* Open parcels at beginning of year	55,883	64,868	N/A	87,537	N/A
* New parcels added during year	37,962	44,630	N/A	34,813	N/A
* parcels closed during year	28,613	21,989	N/A	22,799	N/A
Outcome Indicators					
* Total percentage of closed parcels vs. new parcels	75 %	49 %	N/A	65 %	N/A
* Total percentage of closed parcels vs. all parcels	30 %	20 %	N/A	19 %	N/A

Explanatory Information

Due to Public Act 96-1529 signed by Governor Quinn implementing a results-based budgeting process, Output and Outcome Indicator names and data have been updated to correlate with the data reported to the Office of the Governor.

Office of the Lieutenant Governor
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Non-Reporting Programs				
Operations of the Office of the Lieutenant Governor	$1,859.9	21.0	$1,738.2	15.0
Totals	$1,859.9	21.0	$1,738.2	15.0

Mission and Organization

The Lieutenant Governor is the second highest executive office in Illinois and first in line for the governorship. Under the Illinois Constitution, the Lieutenant Governor becomes the Acting Governor if the Governor is unable to discharge the duties of the office. Over the course of Illinois history, seven Lieutenant Governors have replaced Governors who died, resigned or were removed from office. In addition to being prepared to lead the state, the Lieutenant Governor exercises the powers delegated to her by the Governor and that may be prescribed by law. This includes acting as the state's top advocate for rural communities, military families, and river conservation. Lt. Governor Simon also is committed to education reform, domestic violence prevention and better government.

Advocating for Rural Communities

As chair of the Governor's Rural Affairs Council (GRAC), Lieutenant Governor Simon leads a group of government agencies, academic institutions and rural advocacy groups dedicated to improving the economy, environment and health of rural communities. Since its founding in 1986 by Governor's executive order, the council has provided a link between state and local agencies to support innovative rural development initiatives and public policies. Under Simon's guidance, the council added citizen members and formal representation from the Illinois Farm Bureau for the first time.

Representing Military Families and Bases

Lieutenant Governor Simon chairs the Interagency Military Base Support and Economic Development Committee as required by law. The committee acts as the central information clearinghouse for all retention, realignment and reuse activities, serving as a liaison between the state, community organizations, Illinois' congressional delegation and the public. The committee was established in 2005 in response to nationwide base closures. Simon is collaborating with public and private partners to conduct a statewide economic impact study of the bases and defense industry in Illinois.

Protecting Illinois Rivers

The rivers and streams of Illinois have long been instrumental in shaping the culture, communities and commerce of the state. Chaired by Lieutenant Governor Simon, the Illinois, Mississippi and Wabash & Ohio River Coordinating Councils unite citizens, river enthusiasts, and state and federal agencies in coordinating policy and initiatives for the preservation and restoration of our watersheds. Included with these statutory responsibilities are inter-related issues of economics, flooding, recreation and tourism. To ensure the councils promote achievable and effective projects, Simon re-established the Science Advisory Committee to provide expert advice on conservation issues. She also is updating the Integrated Management Plan for the Illinois River

Watershed, adopted in 1997, which is used by the Illinois River Coordinating Council and the U.S. Army Corps of Engineers as a guiding document for river restoration.

Inspiring Better Government

Lieutenant Governor Simon is leading by example when it comes to open and honest leadership. She releases annual financial profiles of herself and her senior staff members that go above and beyond what is required by law. The disclosures empower the public to determine if officials are serving taxpayers' interests, or if they harbor any conflicts of interest. To combat the state's pay-to-play culture, Simon's first executive order set a new standard for government ethics. She prohibited her campaign fund from accepting contributions from employees of Illinois executive branch constitutional offices and issued a "no free lunch" order that prohibits her staff from accepting free meals from lobbyists.

Helping Domestic Violence Survivors

Illinois' domestic violence laws provide greater protections to a wider range of victims than do the laws of many other states; however, there is more work to be done.

Lieutenant Governor Simon is working with volunteer legal experts to make sure our laws reach all victims and reflect new technologies. She launched a virtual legal clinic with pro bono attorneys for survivors and participates in events to raise awareness of domestic violence issues. Each year in recognition of Domestic Violence Awareness Month, Simon partners with the Department of Central Management Services to exchange no-longer-used state cell phones for monetary and phone donations to domestic violence prevention agencies in underserved areas.

Improving Education

Unlike other states, Illinois does not have a single official or cabinet position that oversees preschool through higher education efforts. Governor Quinn has designated Lieutenant Governor Simon to fill this role as his point person on education reform. In this capacity, Simon serves as chair of the Joint Education Leadership Committee on the P-20 Council, which is working to increase the percentage of working-age adults in Illinois with college degrees or certificates to 60 percent by 2025, and to prepare the state workforce for the highly skilled jobs of the future. In her first two years in office, Simon met with leaders, faculty and students at each of Illinois' 48 community colleges and 12 public universities, and recommended the state adopt reforms to reduce the time it takes to earn a degree, decrease student debt and increase college transparency. After identifying math as the greatest academic barrier to college completion, Simon worked with stakeholders to launch the state's first math curriculum models for 6th through 12th grade.

Illinois Labor Relations Board
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Petition Management	$676.8	11.0	$734.1	11.0
Unfair Labor Practice Charges	$676.6	10.0	$734.0	10.0
Non-Reporting Programs				
Totals	$1,353.4	21.0	$1,468.1	21.0

Mission and Organization

The only avenue open to the Board to achieve the desired outcomes is through enforcement of the Illinois Public Labor Relations Act. The Board enforces the Act through a variety of means, all of which are set forth by the legislature in the Act itself. The main components of enforcement are as follows:

• Investigate, mediate, and remedy unfair labor practices committed by public employers and unions;

• Provide the mechanism by which unions may obtain the legal right to represent public employees;

• Make determinations as to which employees may organize under the Act and which employees should be excluded as managerial, supervisory and/or confidential;

• Administers arbitration and mediation services that aid parties in resolving labor-related disputes;

• Conducts emergency investigations of public employee strikes and strike threats to determine whether judicial proceedings are warranted to restrain strike activity imperiling the health and safety of the public.

Completed cases have various end results. The Board would like those results to be favorable to all parties but recognizes this is not a possibility in all cases. Rendering the final decision, however, needs to be in a timely manner. By resolving cases in a timely manner, we are allowing all parties to move forward and improve their efficiency and effectiveness.

Petition Management

Mission Statement: To administer the Illinois Public Labor Relations Act, which guarantees the right of public employees to organize and to bargain collectively with their employers, through the process of certification, investigatory procedures, administrative hearings, and dispute resolutions.

Program Goals: Objectives:

1. Processing and issuing orders and certifications regarding representation petitions and majority interest petitions, including conducting both ordered and consent elections within the statutory time frame.
2. Issue board decisions and orders in cases where parties have filed exceptions or appeals.
3. Defend the Board's decisions and orders when appeals are filed before the Illinois Appellate Court and the Illinois Supreme Court.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 5 ILCS 315/ & P.A. 93-0655

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$760.8	$676.8	$779.7	$734.1	$779.0
* Total expenditures - state appropriated funds (in thousands)	$760.8	$676.8	$779.7	$734.1	$779.0
* Average monthly full-time equivalents	11.0	11.0	12.0	11.0	11.0
Output Indicators					
* Number of petitions filed	339.0	187.0	200.0	190.0	250.0
Outcome Indicators					
* Percentage of cases closed within 12 months	89.3 %	88 %	88 %	88 %	88 %
* Percentage of cases closed within 13-24 months	93.5 %	95 %	95 %	95 %	95 %

Unfair Labor Practice Charges

Mission Statement: To administer the Illinois Public Labor Relations Act, which guarantees the right of public employees to organize and to bargain collectively with their employees, through the process of certification, investigatory procedures, administrative hearings, and dispute resolutions.

Program Goals: Objectives:

1. Potentially resolve and/or submit to hearing all unfair labor practice charges filed by public sector unions, employees, and employers.
2. Process through the administrative hearings those unfair labor practice charges where the investigation stage determined issues of law and fact.
3. Issue Board decisions and orders in cases where parties have filed exceptions or appeals.
4. Defend the Board's decisions and orders when appeals are filed before the Appellate Court and the Illinois Supreme Court.
5. Process all police decertification cases in a timely and qualitative manner.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 5 ILCS 315/ & P.A. 93-0655

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$760.8	$676.6	$779.7	$734.0	$779.0
* Total expenditures - state appropriated funds (in thousands)	$760.8	$676.6	$779.7	$734.0	$779.0
* Average monthly full-time equivalents	10.0	10.0	8.0	10.0	10.0
Output Indicators					
* Number of Unfair Labor Practice Charges filed	368.0	371.0	400.0	330.0	335.0
Outcome Indicators					
* Percentage of unfair labor practice charges closed within 12 months	69 %	67 %	66 %	66 %	66 %
* Percentage of unfair labor practice charges closed within 13-24 months	88 %	88.1 %	90 %	90 %	90 %

Illinois Educational Labor Relations Board
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Administrative Hearings and Investigations	$967.6	11.0	$992.4	10.0
Non-Reporting Programs				
Totals	$967.6	11.0	$992.4	10.0

Mission and Organization

The primary responsibility of the Illinois Educational Labor Relations Board (IELRB) is to enforce and interpret the Illinois Educational Labor Relations Act and to establish and maintain "labor peace" in Illinois public schools. The board promotes academic continuity, stability of the workforce and professional harmony in the Illinois educational system. The board conducts representation elections, processes card check petitions, investigates unfair labor practice charges, provides a viable legal forum for labor and management to resolve labor disputes through mediation and settlement, conducts evidentiary hearings and issues written decisions through the Executive Director, Administrative Law Judges (ALJ) and the board. The board enforces compliance with arbitration awards, rules on fair share fee objections and manages fair share escrow accounts.

Administrative Hearings and Investigations

Mission Statement: The Illinois Educational Labor Relations Board (IELRB) strives to promote orderly and constructive relationships between all public educational employees, employers and employee representatives by administering the Illinois Educational Labor Relations Act to resolve collective bargaining disputes, representation questions, and allegations of unfair labor practices.

Program Goals: Objectives:

1. Investigate representation petitions filed by employers, employees and employee organizations.
   a. Conduct elections.
   b. Certify unions as exclusive representatives or certify results of elections.
   c. Certify exclusive representatives through the majority interest procedure.
   d. Issue Executive Director Recommended Decision and Orders determining the representation status of educational employees.
2. Adjudicate representation case disputes.
   a. Conduct administrative hearings to resolve disputes concerning the representation status of educational employees.
   b. Issue Administrative Law Judge (ALJ) Recommended Decision and Orders determining the representation status of educational employees.
3. Investigate unfair labor practice charges filed by educational employers, employees and employee representatives.
   a. Conduct investigation of charges by obtaining and reviewing testimony and documentary evidence submitted by charging and charged parties.
   b. Issue Executive Director Recommended Decision and Orders dismissing charges, or Complaints to set cases for hearing before an Administrative Law Judge.
4. Adjudicate unfair labor practice case disputes.
   a. Conduct administrative hearings to resolve disputes concerning alleged unfair labor practices committed in violation of the Act.
   b. Issue Administrative Law Judge Recommended Decision and Orders resolving unfair labor practice allegations.
5. Conduct appellate review by the Agency Board of all Executive Director Recommended Decision and Orders and Administrative Law Judge Recommended Decision and Orders.
   a. Issue Board Decision and Orders in cases where parties have filed exceptions, or on the Board's motion.
   b. Issue Board Final Orders for unappealed decisions.
6. Defend the Board's Decisions and Orders when appeals are filed before the Illinois Appellate Court and Illinois Supreme Court.
   a. Work with Assistant Attorney General designee to support defense of Board position challenged by appeal.
   b. Analyze Appellate Court decisions to determine whether appeal to the Supreme Court is necessary.
7. Assist in regulating and mediating labor disputes.
   a. Provide IELRB mediators and conduct mediation sessions to resolve labor disputes during all stages of charge or petition processing.
   b. Effectively maintain arbitration and mediation panels.
   c. Monitor status of negotiations during bargaining for collective bargaining agreements.
   d. Invoke mediation for parties negotiating collective bargaining agreements when necessary.
   e. Investigate and adjudicate disputes concerning compliance with Agency decisions and orders.
8. Maintain Fair Share Cases.
   a. Investigate fair share fee charges filed by educational employees.
   b. Adjudicate disputes concerning fair share fees assessed to educational employees by employee representatives.
   c. Initiate, maintain and disburse escrow accounts for all disputed fair share fees assessed to educational employees.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 115 ILCS 5

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$976.5	$967.6	$1,037.8	$992.4	$1,662.0
* Total expenditures - state appropriated funds (in thousands)	$976.5	$967.6	$1,037.8	$992.4	$1,662.0
* Average monthly full-time equivalents	12.0	11.0	12.0	10.0	12.0
Output Indicators					
* Number of Representation Cases filed	83.0	91.0	90.0	82.0	90.0
* Number of Unfair Labor Practice Charges filed	263.0	265.0	270.0	213.0	215.0
Outcome Indicators					
* Certification of Representatives	7.0	10.0	10.0	3.0	3.0
* Certification of Voluntary Recognition	0.0	0.0	1.0	0.0	0.0
* Certification of results	2.0	2.0	2.0	0.0	1.0
* Elections conducted	15.0	13.0	15.0	8.0	10.0
* Complaints issued	106.0	76.0	60.0	57.0	55.0
* Executive Directors Recommended Decision and Orders issued	79.0	77.0	75.0	84.0	85.0
* ALJ Recommended Decision and Orders	36.0	30.0	35.0	35.0	35.0
* Cases withdrawn	156.0	136.0	150.0	151.0	150.0
* Cases mediated by agency staff	41.0	37.0	40.0	37.0	40.0
* Board Opinions and Orders issued	27.0	24.0	20.0	22.0	20.0
* Board Final Orders issued	61.0	64.0	60.0	78.0	80.0
* MIP Orders of Certification (a)	48.0	48.0	40.0	47.0	45.0

Footnotes

(a) MIP stands for Majority Interest Petition

Supreme Court Historic Preservation Commission
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Acquisition and Preservation	$579.3	2.0	$628.8	3.0
Non-Reporting Programs				
Totals	$579.3	2.0	$628.8	3.0

Mission and Organization

The Illinois Supreme Court Historic Preservation Commission was created to assist and advise the Supreme Court of Illinois in acquiring, collecting, preserving, and cataloging documents, artifacts, and information relating to the Illinois judiciary. The Commission seeks to accomplish much of its work in partnership with historical and cultural institutions; bar associations; universities; law schools; and federal, state, and local agencies. Nine members comprise the governing body. The Supreme Court, the Governor, the Speaker of the House, and the Senate President each appoint two members; and the director of the Administrative Office of the Illinois Courts serves ex officio.

In fiscal year 2013, the commission continued to raise awareness of legal history and modern public policy issues. Partnering with the Abraham Lincoln Presidential Library and Museum, the Commission produced the Insanity Retrial of Mary Todd Lincoln, which was broadcast nationally and nominated for a regional Emmy. In partnership with the Illinois State Board of Education, the Commission helped to produce curriculum materials for middle and high school teachers across the state. When the Illinois Supreme Court Building closed for renovations, the Commission was responsible for the removal and storage of historic artifacts. The Commission began its events relating to the writ of Habeas Corpus with a panel discussion on the use of the writ from Joseph Smith, the Mormon Prophet, to Guantanamo Bay. The staff continued to make numerous public presentations on legal history and produced museum exhibits and publications.

Moving forward, the Commission will continue to produce high quality events, exhibits, and publications to engage Illinoisans in modern public policy issues.

Acquisition and Preservation

Mission Statement: The Illinois Supreme Court Historic Preservation Commission will assist and advise the Illinois Supreme Court in acquiring, collecting, preserving, and cataloging documents, artifacts, and information relating to the Illinois judiciary.

Program Goals: Objectives:
1. Collect documents, artifacts, and information relating to Illinois judicial history.
2. Disseminate information on Illinois judicial history.

Source of Funds: Supreme Court Historic Preservation Fund ***Statutory Authority:*** 705 ILCS 17

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$919.8	$579.3	$600.0	$628.8	$600.0
* Total expenditures - state appropriated funds (in thousands) (a)	$919.8	$579.3	$600.0	$628.8	$600.0
* Average monthly full-time equivalents	3.5	2.0	3.0	3.0	3.0
Output Indicators					
* Items Acquired (a)	1,350	375.0	500.0	425.0	500.0
* Exhibit/Event Attendance (b)	6,800	10,200	10,000	48,500	15,000
* Website Visits (b)	300.0	6,400	7,000	22,633	15,000
Outcome Indicators					
* Public Presentations	17.0	22.0	30.0	25.0	30.0
* Publications (c)	92.0	75.0	75.0	8.0	50.0

Footnotes

(a) The fluctuation in expenditures from fiscal year 2011 to fiscal year 2012 are the result of the Commission's reduction in staff from four to two in late fiscal year 2011. This change in personnel also affected programmatic activities, but the Commission now operates more efficiently and effectively.

(b) The retrial event, The Insanity Retrial of Mary Todd Lincoln, was broadcast on CSPAN, WTTW in Chicago, and other PBS stations across the state which obtained Nielsen ratings and an international audience.

(c) The fiscal year 2012 actual number included the publication of biographies of justices. Publication was intended to continue in fiscal year 2013, but was not due to time constraints. The goal is to resume the publications in fiscal year 2014

Procurement Policy Board
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Procurement Policy Board	$498.7	5.0	$464.3	5.0
Non-Reporting Programs				
Totals	$498.7	5.0	$464.3	5.0

Mission and Organization

The Procurement Policy Board was created by the Illinois Procurement Code [30 ILCS 500] on July 1, 1998. The Board has the authority and responsibility to review, comment upon and recommend, consistent with the Procurement Code, rules and practices governing the procurement, management, control and disposal of supplies, services, professional and artistic services, construction, and real property and capital improvement leases procured by the State. Specifically, the Board has responsibilities to review certain lease renewals and proposed contracts. The Board also operates the Procurement Information Clearinghouse, which serves as a single source of information for all proposed contracts governed by the Procurement Code.

The Board is comprised of five members, one appointed by each of the legislative leaders and one by the Governor. The appointee of the Governor serves as the Chair of the Board. Appointees to the Board receive no compensation for their duties as Board members.

Rules of the Board are located in Title 2, Parts 3000-3002 of the Illinois Administrative Code. Official copies of the Rules can be obtained through the Secretary of State's Index Division, 111 East Monroe, Springfield, IL 62756-0001.

Proposals of the Board and the Procurement Bulletin Clearinghouse can be found on the Board's website located at www.ppb.illinois.gov.

Procurement Policy Board

Mission Statement: The Procurement Policy Board was created by the Illinois Procurement Code [30 ILCS 500] on July 1, 1998. The Board has the authority and responsibility to review, comment upon and recommend, consistent with the Procurement Code, rules and practices governing the procurement, management, control and disposal of supplies, services, professional and artistic services, construction and real property and capital improvement leases procured by the State. Specifically the Board has responsibilities to review certain lease renewals and proposed contracts as well as maintain an internet clearinghouse that details all of the State's procurement transactions.

Program Goals: Objectives:

1. The Procurement Policy Board's continuing goal is the development and facilitation of statewide procurement policy and procedure through policy review, review and proposal of administrative rules, and review of proposed contracts. The Board contends that through this effort, practitioners of state agency procurement will become better informed and more skilled in their tasks.
   a. Objectively, the Procurement Policy Board reviews individual contracts and leases prior to their execution. In fiscal year 2013, 6,409 transactions were reviewed. By reviewing these transactions, the Board is able to identify an agency's interpretation of policy and procedure as they facilitate procurement and propose contracts. The Board analyzes the transactions individually and in several types of aggregation. The results of this effort range from recommending specific solutions for specialized procurements, changes in methodology for solicitation and evaluation, educational initiatives, proposal of administrative rules and ultimately proposal of legislation if warranted.
2. With the operation of the Procurement Information Clearinghouse, the Procurement Policy Board is able to alert interested parties that contract awards are pending and provide that information in a searchable and sortable manner. The Board's goal is to provide information about contract awards as soon as the award notice is published in the Procurement Bulletin. At that point, the clearinghouse information is available before the related contracts are executed.
3. Facilitate purchasing training and certification for all purchasing staff through the National Institute of Governmental Purchasing.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 30 ILCS 500

	Fiscal Year 2011 Actual	***Fiscal Year 2012 Actual***	***Fiscal Year 2013 Target/Projected***	***Fiscal Year 2013 Actual***	***Fiscal Year 2014 Target/Projected***
Input Indicators					
* Total expenditures - all sources (in thousands)	$506.6	$498.7	$474.7	$464.3	$474.7
* Total expenditures - state appropriated funds (in thousands)	$506.6	$498.7	$474.7	$464.3	$474.7
* Average monthly full-time equivalents	5.0	5.0	5.0	5.0	5.0
Output Indicators					
* Number of Board meetings held	8.0	12.0	12.0	12.0	13.0
* Number of proposed contracts and leases	7,119	6,630	6,600	6,409	6,500
* Value of proposed contracts reviewed statewide (in billions)	$16.7	$11.5	$9.5	$15.6	$13.5
Outcome Indicators					
* Percentage of contracts reviewed within 30 days	100 %	100 %	100 %	100 %	100 %
* Average number of days from agency submission of a proposed contract to Board action	1.5	2.0	2.5	1.6	2.0

Civil Service Commission
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Hearings, Investigations and Technical Reviews	$354.5	4.0	$376.3	4.0
Non-Reporting Programs				
Totals	$354.5	4.0	$376.3	4.0

Mission and Organization

History of Agency: The Commission is comprised of five members who have been appointed by the Governor and confirmed by the Senate. One of the members is appointed by the Governor to act as Chairman. No more than three members of the Commission can belong to the same political party. Terms of the members are staggered with six-year appointments.

The Illinois Personnel Code was established on July 18, 1955 under the provisions of an act to revise the law in relation to personnel administration. The main area of responsibility is the hearing of appeals from certified state employees under the jurisdiction of the Personnel Code who are discharged from their positions. Other types of appeals are from employees who have been suspended for more than 30 days in a 12-month period, or demoted. The Commission also hears appeals from certified employees who are involuntarily transferred from one geographical area to another or who question the allocation of their position under the classification plan within 15 days after receipt of the Director of Central Management Service's decision on reconsideration.

Appeals Process: When appeals are filed with the Commission, the employment records for the appellant are examined to assure the individual holds a certified appointment and thus has a right to an appeal. In discipline and demotion appeals the Commission is required by statute to convene a hearing within 30 days. Employees can represent themselves or be represented by counsel. The agency is usually represented by the Office of the Attorney General. At the close of the hearing and upon receipt of the transcript of the proceedings, the Commission has 60 days to render a final decision. Other appeals may be determined by investigation without the need for a hearing. All decisions of the Commission are subject to appeal to the Circuit Court under the terms of the Administrative Review Law.

Technical Actions: The Commission has the authority to disapprove proposed additions or amendments to the Personnel Rules and must approve amendments to the Classification Plan. The Commission also directs compliance with the requirements of the Personnel Code or Rules when violations are found. The Commission approves requests for exemption from Jurisdiction B of the Personnel Code for those positions which, in its judgment, involve either principal administrative responsibility for the determination of policy or the way in which policies are carried out. This occurs only upon agency request and after recommendation by the Director of Central Management Services.

Hearings, Investigations and Technical Reviews

Mission Statement: The mission of the Commission is to apply merit principles to public employment in the State of Illinois within the powers and duties set forth in the Personnel Code.

Program Goals: Objectives:
1. To ensure compliance with personnel merit system procedures in agencies covered by the Personnel Code.
2. Constituents involved in Civil Service Commission appeals receive timely, quality resolutions within 180 days.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 20 ILCS 415/10

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$340.4	$354.5	$378.5	$376.3	$379.0
* Total expenditures - state appropriated funds (in thousands)	$340.4	$354.5	$378.5	$376.3	$379.0
* Average monthly full-time equivalents	4.0	4.0	4.0	4.0	4.0
Output Indicators					
* Number of appeals filed with the Commission	55.0	56.0	66.0	48.0	38.0
* Number of final decisions issued by the Commission	67.0	58.0	59.0	44.0	42.0
* Number of technical reviews acted on by the Commission	122.0	136.0	170.0	160.0	161.0
* Number of disciplinary and demotion appeals filed	43.0	48.0	45.0	37.0	30.0
Outcome Indicators					
* Percent of appeals disposed of within objective	33 %	59 %	80 %	66 %	75 %
* Average number of days from filing to Commission decision (a)	218.0	178.0	180.0	202.0	160.0
* Median number of days from filing to Commission decision	200.0	163.0	100.0	130.0	100.0
* Number of class specifications approved	13.0	19.0	25.0	28.0	30.0
* Number of class specifications denied	1.0	0.0	0.0	0.0	0.0
* Number of 4d(3) exemptions granted	52.0	40.0	80.0	55.0	54.0
* Number of 4d(3) exemptions denied	8.0	2.0	20.0	7.0	5.0
* Number of 4d(3) exemptions rescinded	18.0	12.0	16.0	19.0	24.0
* Number of 4d(3) exemptions withdrawn	0.0	4.0	5.0	13.0	7.0
* Number of 4d(3) exemption rescissions denied	30.0	39.0	24.0	35.0	36.0
* Percent of disciplinary and demotion appeals commenced within 30 days of receipt of written request for hearing	100 %	100 %	100 %	100 %	100 %
* Percent of disciplinary and demotion final decisions rendered within 60 days of receipt of the transcript of proceedings	100 %	100 %	100 %	100 %	100 %
Efficiency/Cost-Effectiveness Indicators					
* Total expenditures/final decisions & technical reviews acted on=cost per Commission activity (in dollars)	$1,801.15	$2,037.29	$1,652.84	$1,872.22	$1,867.00

Footnotes

(a) The average processing time of 202 days in fiscal year 2013 would be 164 days if one large rule violation appeal was removed from the calculation.

Illinois State Board of Investment
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Illinois State Board of Investment	$0.0	11.0	$0.0	10.0
Non-Reporting Programs				
Totals	$0.0	11.0	$0.0	10.0

Mission and Organization

The Illinois State Board of Investment (ISBI or Board) manages the investment of pension assets for the General Assembly Retirement System, the Judges' Retirement System of Illinois, the State Employees' Retirement System of Illinois and the Illinois Power Agency. The fair value of those assets totaled $12.9 billion at fiscal year ended June 30, 2013. For the same period ending June 30, 2012, net assets totaled $11.3 billion. The fiscal year ended June 30, 2013 delivered solid investment returns as the portfolio grew over 14% in a period of minimal inflation.

Portfolio Issues:

In both absolute and relative terms, the portfolio enjoyed demonstrable success in fiscal year 2013. The portfolio was well positioned to succeed in the steady growth environment of last year primarily as a result of the 7.75% return assumption adopted by the State Employees Retirement System. The result has been a slight bias towards risk assets reflected by a general weighting towards various forms of equity and under-weighting of debt instruments.

While there have been no changes to the broad asset classes adjustments were made within these asset classes. The goal of these changes is to reduce portfolio volatility and reduce the portfolio's linkage to the domestic equity markets. These changes will be implemented in fiscal year 2014.

Illinois State Board of Investment

Mission Statement: The Illinois State Board of Investment (the "Board") manages, invests, and reinvests the reserves, funds, assets, securities and monies of the State Employees' Retirement System of Illinois, Judges' Retirement System of Illinois, the General Assembly Retirement System of Illinois and the Illinois Power Agency Fund, collectively "Fund."

Program Goals: Objectives:

1. Manage and invest the Funds in good faith and in the best interest of the retirement system participants and beneficiaries with prudence, care, skill, competence, diligence and risk parameters, to seek to achieve the actuarially assumed rate of return as defined by the retirement systems.
2. Develop a diversified investment program that achieves the highest rate of return for the lowest acceptable level of downside risk, while providing for the Funds' liquidity needs.
3. Enhance portfolio return without interfering with overall portfolio strategy.
4. Document decision-making and administrative processes at each department level.
5. Maintain Board's website to ensure compliance with laws and to promote transparency.
6. Maintain accurate and current accounting records to correctly report the financial status of the Board.

Source of Funds: Illinois State Board of Investments Fund ***Statutory Authority:*** 40 ILCS 5

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$979,024.0	$285,129.0	$39,203.0	$37,909.0	$39,426.0
* Total expenditures - state appropriated funds (in thousands)	$.0	$.0	$.0	$.0	$.0
* Average monthly full-time equivalents	11.0	11.0	12.0	10.0	12.0
* Total investment manager fees (in thousands)	$33,319.0	$32,249.0	$35,000.0	$33,872.0	$35,000.0
* Total investment managers	33.0	30.0	N/A	30.0	N/A
Output Indicators					
* Fixed income investment (in thousands)	$2,167,884.0	$2,000,738.0	N/A	$1,976,624.0	N/A
* Equities investment (in thousands)	$5,832,257.0	$5,492,079.0	N/A	$6,563,756.0	N/A
* Hedge funds investment (in thousands)	$1,075,585.0	$1,026,726.0	N/A	$1,166,602.0	N/A
* Real estate funds investment (in thousands) (b)	$780,336.0	$967,346.0	N/A	$1,294,601.0	N/A
* Private equity investment (in thousands)	$629,256.0	$679,423.0	N/A	$643,776.0	N/A
* Money market instruments (in thousands)	$303,501.0	$255,922.0	N/A	$237,650.0	N/A
* Real assets (in thousands) (b)	$455,984.0	$507,020.0	N/A	$550,739.0	N/A
* Bank loans (in thousands)	$253,447.0	$328,594.0	N/A	$416,649.0	N/A
* Foreign currency forward contracts (in thousands)	$.0	-$44.0	N/A	-$413.0	N/A
Outcome Indicators					
* Fixed income return	5.7 %	6.8 %	N/A	2.4 %	N/A
* U.S. equities return	33.9 %	1.3 %	N/A	23.3 %	N/A
* International equities return	32.7 %	-10.7 %	N/A	16.8 %	N/A
* Hedge funds return	12.7 %	-4.3 %	N/A	12.6 %	N/A
* Real estate return	17 %	5.3 %	N/A	13 %	N/A
* Private equity return	24 %	7.6 %	N/A	16.2 %	N/A
External Benchmarks					
* Barclays capital universal index - fixed income	4.8 %	7.4 %	N/A	0.2 %	N/A
* Russell 3000 index - U.S. equities	32.4 %	3.8 %	N/A	21.5 %	N/A
* MSCI-EAFE index - international equities	30.9 %	-14.4 %	N/A	14.4 %	N/A
* HFRX equity hedge - hedge funds	3.4 %	-10.7 %	N/A	8.3 %	N/A
* NCRIEF real estate index - real estate	16.7 %	11.3 %	N/A	11.1 %	N/A
Efficiency/Cost-Effectiveness Indicators					
* Management fees as a percent of total net assets	0.29 %	0.29 %	N/A	0.26 %	N/A
* Administrative expense as a percent of total net assets	0.03 %	0.04 %	N/A	0.03 %	N/A

Footnotes

(a) Actual fiscal year amounts reported for total expenditures - all sources include amounts received from the Office of the Comptroller. Amounts are then withdrawn and sent to each retirement system (State Employees' Retirement System of Illinois, Judges' Retirement System of Illinois, and the General Assembly Retirement System of Illinois) to fund employee retirement benefits. Actual amounts for fiscal years 2011, 2012 and 2013 include member systems' withdrawals of $941,800, $248,725 and $29,792 respectively, sent to the retirement systems. These amounts vary and therefore cannot be adequately estimated/targeted/projected and do not include compensated absences liability.

(b) Fiscal year 2011 amounts were revised from $819,053 and $417,267 to $780,336 and $455,984, respectively, due to reclassification of funds.

PART 1: ELEMENTARY AND SECONDARY EDUCATION

Elementary and Secondary Education Expenditures
(Appropriated Spending in Thousands)

Agency	FY 2012 Expenditures	FY 2013 Expenditures	Percent Change
State Board of Education	$8,820,099.8	$8,718,292.0	-1.2%
State Charter School Commisison	$42.5	$276.9	551.5%
TOTAL	**$8,820,142.3**	**$8,718,568.9**	**-1.2%**

Totals may not add due to rounding

State Board of Education
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Teaching and Learning	$8,192,943.9	83.0	$7,930,503.9	90.0
Special Education	$555,052.7	70.0	$655,152.2	70.0
School Support	$28,232.8	72.0	$101,024.4	75.0
Fiscal Support	$38,798.2	160.0	$25,991.6	157.0
General Office	$3,937.4	34.0	$4,354.7	34.0
Non-Reporting Programs				
Human Resources	$850.0	14.0	$956.5	14.0
Internal Audit	$284.8	6.0	$308.7	6.0
Totals	$8,820,099.8	439.0	$8,718,292.0	446.0

Mission and Organization

The mission of the Illinois State Board of Education is to provide leadership, assistance, resources and advocacy so that every student is prepared to succeed in careers and postsecondary education, and share accountability for doing so with Districts and Schools.

Annually, the Board of Education evaluates the "Comprehensive Strategic Plan for Elementary and Secondary Education," revising and adding changes to ensure that it remains a meaningful plan to implement the education initiatives in Illinois. The five-year strategic plan was first submitted to the Governor and the General Assembly in June 2005. The Board's strategic goals are aligned to its efforts:

- Every student will demonstrate academic achievement and be prepared for success after high school.
- Every student will be supported by highly prepared and effective teachers and school leaders.
- Every school will offer a safe and healthy learning environment for all students.

The indicators within the Public Accountability Report for this year highlight a few of the important gains made within the public education system that help to achieve these strategic goals. Illinois students rank second among all states in scoring on the ACT for those states that test 90 percent or more of their students on the college entrance exam.

Although we continue to make improvements within Illinois' public school system, we recognize that there continues to be much work to be done to ensure that every student reaches academic success.

Teaching and Learning

Mission Statement: The Illinois State Board of Education will provide leadership, assistance, resources and advocacy so that every student is prepared to succeed in careers and postsecondary education, and share accountability for doing so with districts and schools.

Program Goals: Objectives:

1. Every student will demonstrate academic achievement and be prepared for success after high school.
2. Every student will be supported by highly prepared and effective teachers and leaders.
3. Every school will offer a safe and healthy learning environment for all students.

Source of Funds: General Revenue Fund, Education Assistance Fund, State Board of Education Special Purpose Trust Fund, SBE Federal Department of Agriculture Fund, Common School Fund, SBE Federal Agency Services, SBE Federal Department of Education Fund, Charter Schools Revolving Loan Fund, School Infrastructure Fund, School Technology Revolving Loan Fund, Personal Property Tax Replacement Fund

Statutory Authority: 105 ILCS 5

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$8,509,707.1	$8,192,943.9	$8,717,615.5	$7,930,503.9	$8,000,000.0
* Total expenditures - state appropriated funds (in thousands)	$8,509,707.1	$8,192,943.9	$8,717,615.5	$7,930,503.9	$8,000,000.0
* Average monthly full-time equivalents	89.0	83.0	99.0	90.0	105.0
* Education revenues -- federal (in millions)	$3,460.8	$3,580.8	N/A	$2,976.7	N/A
* Education revenues -- local	$15,344.1	$15,815.4	N/A	N/A	N/A
* Education revenues -- state (in millions)	$9,286.8	$9,328.8	N/A	$9,401.1	N/A
* Number of public attendance centers	4,296	4,272	N/A	4,265	N/A
* Total number of operating districts	868.0	867.0	N/A	865.0	N/A
* Public school administrators (a)	7,773	7,637	N/A	N/A	N/A
* Number of full-time certified teachers (a)	133,841	128,167	N/A	N/A	N/A
* Number of full-time certified staff (a)	15,928	16,105	N/A	N/A	N/A
* Number of full-time certified pre-kindergarten teachers (a)	2,600	2,838	N/A	N/A	N/A
Output Indicators					
* Attendance rate	94 %	94.4 %	N/A	94.2 %	N/A
* Chronic truancy rate	3.2 %	8.6 %	N/A	9.8 %	N/A
* Dropout rate	2.7 %	2.5 %	N/A	2.4 %	N/A
* Graduation rate	83.8 %	82.3 %	N/A	83.2 %	N/A
* Regional improvement plans reviewed (ROE service grants)	40.0	50.0	N/A	50.0	51.0
* Average class size -- kindergarten	20.9	20.9	N/A	21.1	N/A
* Average class size -- Grade 1	21.6	21.2	N/A	21.5	N/A
* Average class size -- Grade 3	22.3	22.0	N/A	21.9	N/A
* Average class size -- Grade 6	22.0	22.4	N/A	23.1	N/A
* Average class size -- Grade 8	21.3	21.5	N/A	22.2	N/A
* Average class size -- Grades 9-12	19.2	19.2	N/A	19.3	N/A
* Limited English Proficiency enrollment	8.8 %	9.4 %	N/A	9.5 %	N/A
* Percent low income enrollment	48.1 %	49 %	N/A	49.9 %	N/A
* Number of pre-kindergarten and Preschool for All projects funded	545.0	459.0	462.0	462.0	462.0
* Number of pre-kindergarten and Preschool for All children served	83,696	80,995	N/A	78,580	70,032
* Number of children screened for pre-kindergarten and Preschool for All (b)	95,526	N/A	N/A	N/A	N/A
* Minority pre-kindergarten and Preschool for All enrollment	59 %	55 %	N/A	62 %	N/A
* Low income pre-kindergarten and Preschool for All enrollment	57 %	53 %	N/A	59 %	N/A
* NCLB formula grant applications approved (c)	3,674	1,818	N/A	1,784	N/A
* Schools granted recognition status(public/private	4,788	5,143	N/A	4,716	N/A
* Mobility rate	12.8 %	13.1 %	N/A	12.8 %	N/A
* Black, non-Hispanic enrollment	383,640	379,485	N/A	370,050	N/A
* White, non-Hispanic enrollment	1,072,165	1,058,628	N/A	1,047,236	N/A
* Hispanic enrollment	476,485	492,273	N/A	502,375	N/A
* Low income enrollment	970,316	986,979	N/A	1,001,055	N/A
* Not low income enrollment	1,117,446	1,100,649	N/A	1,080,676	N/A
* Public school enrollment	2,098,145	2,087,628	N/A	2,081,731	N/A
Outcome Indicators					
* Percent of students meeting or exceeding state goals for reading on ISAT, 3rd grade	74.7 %	76.1 %	N/A	58.8 %	N/A
* Percent of students meeting or exceeding state goals for reading on ISAT, 5th grade	76.4 %	77.8 %	N/A	58.9 %	N/A
* Percent of students meeting or exceeding state goals for reading on ISAT, 8th grade	85 %	86.2 %	N/A	59.8 %	N/A

Teaching and Learning (Concluded)	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
* Percent of students meeting or exceeding state goals for math on ISAT, 3rd grade	87.3 %	87.7 %	N/A	54.9 %	N/A
* Percent of students meeting or exceeding state goals for math on ISAT, 5th grade	84 %	83.6 %	N/A	59.4 %	N/A
* Percent of students meeting or exceeding state goals for math on ISAT, 8th grade	86.3 %	85 %	N/A	58.9 %	N/A
* Percent of Black, non-Hispanic, students meeting or exceeding state standards for 5th grade reading on ISAT	60.7 %	61.5 %	N/A	37.2 %	N/A
* Percent of Black, non-Hispanic, students meeting or exceeding state standards for 5th grade math on ISAT	69.2 %	68.2 %	N/A	36.7 %	N/A
* Percent of Hispanic students meeting or exceeding state standards for 5th grade reading on ISAT	64.8 %	67.3 %	N/A	43.8 %	N/A
* Percent of Hispanic students meeting or exceeding state standards for 5th grade math on ISAT	78.6 %	78.2 %	N/A	49 %	N/A
* Percent of White, non-Hispanic, students meeting or exceeding state standards for 5th grade reading on ISAT	86.2 %	87.3 %	N/A	71.7 %	N/A
* Percent of White, non-Hispanic, students meeting or exceeding state standards for 5th grade math on ISAT	90.9 %	90.6 %	N/A	70.1 %	N/A
* Percent of low income students meeting or exceeding state standards for 5th grade reading on ISAT	64.1 %	66.2 %	N/A	42.7 %	N/A
* Percent of low income students meeting or exceeding state standards for 5th grade math on ISAT	75.5 %	75 %	N/A	44.8 %	N/A
* Percent of not low income students meeting or exceeding state standards for 5th grade reading on ISAT	89 %	90 %	N/A	76.7 %	N/A
* Percent of not low income students meeting or exceeding state standards for 5th grade math on ISAT	92.6 %	92.7 %	N/A	75.4 %	N/A
* Percent of all students meeting or exceeding state standards for reading on PSAE	51 %	50.7 %	N/A	54.8 %	N/A
* Percent of all students meeting or exceeding state standards for math on PSAE	51.3 %	51.6 %	N/A	51.8 %	N/A
* Percent of Black, non-Hispanic, students meeting or exceeding state standards for reading on PSAE	24.8 %	24.2 %	N/A	29 %	N/A
* Percent of Black, non-Hispanic, students meeting or exceeding state standards for math on PSAE	20.2 %	20.9 %	N/A	21.2 %	N/A
* Percent of Hispanic students meeting or exceeding state standards for reading on PSAE	33.1 %	33.5 %	N/A	37.5 %	N/A
* Percent of Hispanic students meeting or exceeding state standards for math on PSAE	34.9 %	36.2 %	N/A	36.2 %	N/A
* Percent of White, non-Hispanic, students meeting or exceeding state standards for reading on PSAE	64.3 %	63.7 %	N/A	67.7 %	N/A
* Percent of White, non-Hispanic, students meeting or exceeding state standards for math on PSAE	64.9 %	64.6 %	N/A	65.1 %	N/A
* Percent low income students meeting or exceeding state standard for reading on PSAE	29.9 %	30.7 %	N/A	35.1 %	N/A
* Percent of low income students meeting or exceeding state standards for math on PSAE	28.8 %	30.3 %	N/A	31.3 %	N/A
* Percent not low income students meeting or exceeding state standards for reading on PSAE	64.8 %	64.3 %	N/A	69 %	N/A
* Percent of not low income students meeting or exceeding state standards for math on PSAE	65.9 %	66.2 %	N/A	66.6 %	N/A
* Public schools fully recognized	99 %	99 %	100 %	99 %	N/A
* Illinois ACT average score	20.9	20.9	N/A	20.3	N/A
External Benchmarks					
* National ACT average score	21.1	21.1	N/A	20.9	N/A
Efficiency/Cost-Effectiveness Indicators					
* Teacher pupil ratio for elementary level	18.8	18.9	N/A	N/A	N/A
* Child Nutrition reviews with significant findings	17.19 %	26.74 %	N/A	27.18 %	25 %

Explanatory Information

In 2013 the State changed how the ISAT is scored by implementing higher expectations for elementary and middle school students under the new Common Core State Standards, which outline the skills and content our students must master at each grade level to continue on the path to college and career readiness. This change is similar to changing a grading scale from where 90-100 was considered an A to now 94-100 is considered an A.

Footnotes

(a) In fiscal year 2013 a new system to track the number of staff, teachers and administrators was implemented. Because of the system transition, we do not have a complete data set to determine the fiscal year 2013 count.

(b) ISBE no longer collects this data.

(c) Decrease in the number of grants is due to not recieving ARRA federal funds and the end of Title IID and Title IV federal funding.

Special Education

Mission Statement: The Illinois State Board of Education will provide leadership, assistance, resources and advocacy so that every student is prepared to succeed in careers and postsecondary education, and share accountability for doing so with districts and schools.

Program Goals: Objectives:

1. Every student will demonstrate academic achievement and be prepared for success after high school.
2. Every student will be supported by highly prepared and effective teachers and school leaders.
3. Every school will offer a safe and healthy learning environment for all students.

Source of Funds: General Revenue Fund, SBE Federal Department of Education Fund ***Statutory Authority:*** 105 ILCS 5

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$688,826.6	$555,052.7	$744,900.4	$655,152.2	$650,000.0
* Total expenditures - state appropriated funds (in thousands)	$688,826.6	$555,052.7	$744,900.4	$655,152.2	$650,000.0
* Average monthly full-time equivalents	59.0	70.0	71.0	70.0	71.0
Output Indicators					
* High School graduation rates for students with disabilities	66.2 %	68.9 %	N/A	70.1 %	N/A
* Percent of students with disabilities receiving special education services within a separate educational facility	7 %	7.6 %	N/A	8 %	N/A
* Percent of White, Non-Hispanic, students receiving special education services within a separate educational facility	8 %	8.5 %	N/A	8.6 %	N/A
* Percent of Black, Non-Hispanic, students receiving special education services within a separate educational facility	8.8 %	8.9 %	N/A	9.3 %	N/A
* Percent of Hispanic students receiving special education services within a separate educational facility	4.1 %	4.2 %	N/A	5 %	N/A
* Percent of students receiving special education services meeting or exceeding state standards for reading on ISAT, 3rd grade	43.4 %	41.9 %	N/A	25.5 %	N/A
* Percent of students receiving special education services meeting or exceeding state standards for reading on ISAT, 5th grade	38.3 %	38.8 %	N/A	21 %	N/A
* Percent of students receiving special education services meeting or exceeding state standards for reading on ISAT, 8th grade	47.5 %	48.3 %	N/A	16.7 %	N/A
* Percent of students receiving special education services meeting or exceeding state standards for reading on PSAE, 11th grade	15.6 %	16.4 %	N/A	17.8 %	N/A
* Percent of students receiving special education services meeting or exceeding state standards for math on ISAT, 3rd grade (a)	69.4 %	69.1 %	N/A	29.9 %	N/A
* Percent of students receiving special education services meeting or exceeding state standards for math on ISAT, 5th grade	55.4 %	54.1 %	N/A	26 %	N/A
* Percent of students receiving special education services meeting or exceeding state standards for math on ISAT, 8th grade	51 %	47.5 %	N/A	17 %	N/A
* Percent of students receiving special education services meeting or exceeding state standards for math on PSAE, 11th grade	12.1 %	13.2 %	N/A	14.1 %	N/A
* Number of special education complaints processed	106.0	129.0	N/A	103.0	N/A
* Number of special education due process decisions	14.0	20.0	N/A	12.0	N/A
* Number of special education mediations completed	147.0	189.0	N/A	180.0	N/A
* Percent of students that receive special education services that are inside a regular class 80% or more of day	52 %	52.4 %	N/A	52.7 %	N/A
* Percent of students that receive special education services that are inside a regular class 40-79% of day	25.6 %	25.6 %	N/A	25.8 %	N/A
* Percent of students that receive special education services that are inside a regular class less than 40% of day	14.9 %	14.4 %	N/A	13.5 %	N/A

Special Education (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Percent of students that receive special education services that are inside a regular class 80% or more of day and are Black, Non-Hispanic	17.9 %	17.5 %	N/A	18.1 %	N/A
* Percent of students that receive special education services that are inside a regular class 80% or more of day and are Hispanic	19.6 %	20 %	N/A	20.6 %	N/A
* Percent of students that receive special education services that are inside a regular class 80% or more of day and are White	57.3 %	57.2 %	N/A	55.8 %	N/A
* Percent of students that receive special education services that are inside a regular class 40-79% of day and are Black, Non-Hispanic	24.7 %	24.7 %	N/A	25.4 %	N/A
* Percent of students that receive special education services that are inside a regular class 40-79% of day and are Hispanic	20.7 %	21.2 %	N/A	22.5 %	N/A
* Percent of students that receive special education services that are inside a regular class 40-79% of day and are White	50.4 %	49.9 %	N/A	47.7 %	N/A
* Percent of students that receive special education services that are inside a regular class less than 40% of day and are Black, Non-Hispanic	31.8 %	30.4 %	N/A	28.5 %	N/A
* Percent of students that receive special education services that are inside a regular class less than 40% of day and are Hispanic	22.1 %	22.2 %	N/A	22.6 %	N/A
* Percent of students that receive special education services that are inside a regular class less than 40% of day and are White, Non-Hispanic	40.8 %	41.4 %	N/A	42.7 %	N/A
External Benchmarks					
* National percent of students with IEPs (ages 6-21) inside regular classroom more than 80% of time	59.4 %	61.08 %	N/A	59.4 %	N/A
* National percent of students with IEPs (ages 6-21) inside regular classroom 40%-70% of time	20.7 %	19.8 %	N/A	20.7 %	N/A
* National percent of students with IEPs (ages 6-21) inside regular classroom less than 40% of time	15 %	14 %	N/A	14.6 %	N/A
* National percent of students with IEPs (ages 6-21) served outside a regular classroom 100% of time	5.35 %	5.07 %	N/A	5.4 %	N/A

Explanatory Information

In 2013 the State changed how the ISAT is scored by implementing higher expectations for elementary and middle school students under the new Common Core State Standards, which outline the skills and content our students must master at each grade level to continue on the path to college and career readiness. This change is similar to changing a grading scale from where 90-100 was considered an A to now 94-100 is considered an A.

Footnotes

(a) New cut scores were used to calculate fiscal year 2013 AYP for all students. The implementation of the cut scores raised the standards.

School Support

Mission Statement: The Illinois State Board of Education will provide leadership, assistance, resources and advocacy so that every student is prepared to succeed in careers and postsecondary education, and share accountability for doing so with districts and schools.

Program Goals: Objectives:

1. Every student will demonstrate academic achievement and be prepared for success after high school.
2. Every student will be supported by highly prepared and effective teachers and school leaders.
3. Every school will offer a safe and healthy learning environment for all students.

Source of Funds: General Revenue Fund, Education Assistance Fund, Teacher Certificate Fee Revolving Fund, School District Emergency Financial Assistance Fund, Capital Development Fund, School Construction Fund, SBE Teacher Certificate Institute Fund, SBE Federal Department of Agriculture Fund, SBE Federal Department of Education Fund, School Infrastructure Fund, Temporary Relocation Expenses Revolving Grant Fund, Build Illinois Bond Fund

Statutory Authority: 105 ILCS 5

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$26,177.4	$28,232.8	$133,606.6	$101,024.4	$100,000.0
* Total expenditures - state appropriated funds (in thousands) (a)	$26,177.4	$28,232.8	$133,606.6	$101,024.4	$100,000.0
* Average monthly full-time equivalents	86.0	72.0	90.0	75.0	92.0
* Phone calls fielded by Technology Support Call Center for web-based application support	36,155	40,477	N/A	46,634	N/A
Output Indicators					
* Number of school districts meeting the financial classification "Financial Recognition"	604.0	670.0	N/A	562.0	N/A
* Number of school districts meeting the financial classification "Financial Review"	188.0	143.0	N/A	191.0	N/A
* Number of school districts meeting the financial classification "Financial Early Warning"	52.0	37.0	N/A	67.0	N/A
* Number of school districts meeting the financial classification "Financial Watch"	24.0	17.0	N/A	45.0	N/A
* Teacher education institutions reviewed	59.0	59.0	59.0	58.0	58.0
* Teacher certificates issued	44,451	42,824	40,648	43,131	N/A
Outcome Indicators					
* Number of school districts who applied for Health/Life Safety Amendments	793.0	710.0	N/A	830.0	N/A
* Amount expended on Health/Life Safety Amendments (in thousands)	$308,672.4	$126,098.2	N/A	$110,090.3	N/A
* Teacher education institutions fully accredited	100 %	98 %	98 %	98 %	98 %
* Teacher preparation programs fully approved	100 %	100 %	100 %	100 %	100 %
* Cycle time for certifications (in weeks)	8.0	8.0	8.0	6.0	8.0
Efficiency/Cost-Effectiveness Indicators					
* Instructional expenditure per pupil (in dollars)	$6,773.00	$6,119.00	N/A	$6,119.00	N/A
* Operating expenditure per pupil (in dollars)	$11,537.00	$11,663.55	N/A	$11,841.33	N/A

Footnotes

(a) A one time award received for the Education Jobs Fund caused a significant increase in fiscal year 2013 expenditures.

Fiscal Support

Mission Statement: The Illinois State Board of Education will provide leadership, assistance, resources and advocacy so that every student is prepared to succeed in careers and postsecondary education, and share accountability for doing so with districts and schools.

Program Goals: Objectives:
1. Every student will demonstrate academic achievement and be prepared for success after high school.
2. Every student will be supported by highly prepared and effective teachers and school leaders.
3. Every school will offer a safe and healthy learning environment for all students.

Source of Funds: General Revenue Fund, Drivers Education Fund, School District Emergency Financial Assistance Fund, State Board of Education Special Purpose Trust Fund, SBE Federal Department of Agriculture Fund, SBE Federal Agency Services, SBE Federal Department of Education Fund, School Infrastructure Fund, Temporary Relocation Expenses Revolving Grant Fund

Statutory Authority: 105 ILCS 5

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$46,854.4	$38,798.2	$50,264.3	$25,991.6	$30,000.0
* Total expenditures - state appropriated funds (in thousands)	$46,854.4	$38,798.2	$50,264.3	$25,991.6	$30,000.0
* Average monthly full-time equivalents	168.0	160.0	185.0	157.0	179.0
Output Indicators					
* Entities receiving funds electronically	100 %	100 %	N/A	100 %	100 %
Efficiency/Cost-Effectiveness Indicators					
* Grant transactions per FTE	18,053	12,659	N/A	13,905	15,056
* Agency property unlocated	0.55 %	0.48 %	N/A	0.18 %	N/A
* Copies/impressions per FTE	6,289,437	2,300,753	N/A	2,649,636	N/A
* Contracts/commodities/purchases transactions per FTE	227.5	232.0	N/A	228.3	N/A

General Office

Mission Statement: The Illinois State Board of Education will provide leadership, assistance, resources and advocacy so that every student is prepared to succeed in careers and postsecondary education, and share accountability for doing so with districts and schools.

Program Goals: Objectives:
1. Every student will demonstrate academic achievement and be prepared for success after high school.
2. Every student will be supported by highly prepared and effective teachers and school leaders.
3. Every school will offer a safe and healthy learning environment for all students.

Source of Funds: General Revenue Fund, State Board of Education Special Purpose Trust Fund, SBE Federal Department of Education Fund

Statutory Authority: 105 ILCS 5

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,229.8	$3,937.4	$4,197.0	$4,354.7	$4,500.0
* Total expenditures - state appropriated funds (in thousands)	$3,229.8	$3,937.4	$4,197.0	$4,354.7	$4,500.0
* Average monthly full-time equivalents	29.0	34.0	34.0	34.0	34.0
* Phone calls fielded by Information Center	36,000	37,050	N/A	38,100	N/A
* Publications mailed by Information Center (a)	360.0	1,817	N/A	387.0	N/A
Output Indicators					
* Legal opinions issued	232.0	277.0	200.0	227.0	250.0
* Waiver requests processed	166.0	143.0	N/A	161.0	N/A
* Administrative rules developed or amended	31.0	33.0	25.0	38.0	30.0
* Freedom of Information Act requests processed	335.0	431.0	375.0	398.0	381.0
* Legislative Scholarships processed and logged	803.0	509.0	N/A	494.0	N/A
* Waiver requests issued	166.0	143.0	N/A	161.0	N/A
Outcome Indicators					
* Agency website "hits" (b)	104,554,748	105,002,214	N/A	1,583,464	N/A
* Rules approved and implemented	25.0	21.0	20.0	27.0	20.0
* Districts implemented approved or modified waivers	94.7 %	82.7 %	N/A	95 %	N/A
* Cycle time in days for responses to requests for legal opinions	30.0	30.0	30.0	30.0	30.0
* Mandated reports submitted to General Assembly	19.0	14.0	14.0	15.0	15.0
* Cycle time, in days, for responses to legislative requests	4.0	4.0	4.0	4.0	4.0

Footnotes

(a) Publications mailed by Information Center – An increase in participant mailers for “Those Who Excel Banquet” and the MILKEN National Educator Awards Program were mailed in fiscal year 2012.

(b) In fiscal year 2013 the ISBE began using a more accurate method of tracking website activity by moving from counting clicks in the site to counting visitors to the site. This change led to a significant change in the reported numbers but gives a more accurate representation of website usage.

PART 2: HIGHER EDUCATION

Higher Education Expenditures
(Appropriated Spending in Thousands)

Agency	FY 2012 Expenditures	FY 2013 Expenditures	Percent Change
University of Illinois	$693,903.2	$667,384.1	-3.8%
Illinois Student Assistance Commission	$687,701.9	$625,500.6	-9.0%
Illinois Community College Board	$404,303.6	$382,756.5	-5.3%
Southern Illinois University	$219,732.1	$216,253.6	-1.6%
Northern Illinois University	$99,595.4	$93,490.0	-6.1%
Illinois State University	$78,874.4	$74,082.4	-6.1%
Western Illinois University	$55,548.3	$52,167.8	-6.1%
Eastern Illinois University	$46,286.5	$44,645.8	-3.5%
Northeastern Illinois University	$40,228.5	$37,807.6	-6.0%
Chicago State University	$39,491.7	$37,019.8	-6.3%
Governors State University	$26,253.4	$24,650.5	-6.1%
Illinois Mathematics & Science Academy	$19,497.3	$19,583.6	0.4%
Board of Higher Education	$13,813.5	$12,642.7	-8.5%
State Universities Civil Service System	$1,175.8	$1,086.8	-7.6%
TOTAL	**$2,426,405.6**	**$2,289,071.8**	**-5.7%**

Totals may not add due to rounding

EDUCATION: PART 2
BOARD OF HIGHER EDUCATION SUMMARY

Created in 1961, the purpose of the Illinois Board of Higher Education (IBHE) is to plan and coordinate a system of colleges and universities at a time when enrollments in postsecondary education were taking flight. The goal was to create an agency with the expertise, credibility, and statewide perspective to map an efficient and orderly course for the dramatic growth of higher education then underway.

In 2011, 50 years later, IBHE retains the same goal while facing new challenges with the internal and external environments of declining resources, increasing educational diversity, and greater private and public expectations. These challenges have been effectively addressed in the Illinois Public Agenda for College and Career Success. The successful implementation of this master plan for education will ensure the achievement of national and international competitiveness for all Illinois higher education institutions and programs.

In 2011, the IBHE was charged with implementing performance-based funding into the higher education budget for public universities beginning in fiscal year 2013, with the Illinois Community College Board (ICCB) responsible for developing a model for community colleges. Since the inception of performance-based funding, a Steering Committee and a Refinement Committee comprised of academic and financial experts and leaders has continuously met in order to monitor and improve the progress of the model. Performance funding is an important component of the state's plan to meet the college completion goal that by 2025, 60% of Illinois adults will have a college degree or credential.

IBHE continues to advocate and advance the ideals set forth under the Illinois Public Agenda for College and Career Success. The primary goals of the Public Agenda include:

1.) Increase educational attainment to match best-performing U.S. states and world countries.

2.) Ensure college affordability for students, families, and taxpayers.

3.) Increase the number of high-quality postsecondary credentials to meet the demands of the economy and an increasingly global society.

4.) Better integrate Illinois' educational, research, and innovation assets to meet economic needs of the state and its regions.

Over the past year, the Board has worked with other agencies, foundations, and community-based groups to provide educational outreach and in-kind support. An example is the Board's work with the Illinois Latino Family Commission and the African-American Family Commission, two commissions that work with state agencies to improve and expand policies, services, programs, and opportunities.

The Board partnered with the ICCB and the State Board of Education to host workshops about the Common Core Standards throughout the state to help locals develop seamless transitions from high school into postsecondary education and career opportunities. Also, both the IBHE and ICCB are developing a clear and operational definition of what it means to be "college and career ready."

For more information about all of the IBHE's current and future initiatives, please log on to www.ibhe.org.

UNIVERSITY OF ILLINOIS AT URBANA - CHAMPAIGN

University of Illinois at Urbana-Champaign

Mission Statement: As the state's most comprehensive public university campus, the University of Illinois at Urbana-Champaign provides instruction at the baccalaureate, masters, first professional, and doctoral levels and conducts basic and applied research in a broad array of fields. Consistent with the overall mission of the University of Illinois system, the Urbana-Champaign campus is committed to excellence in all of its endeavors -- in research and scholarship, in teaching, and in public engagement, and the application of knowledge. Within this context, the campus is committed to providing faculty with the best possible environment for research and scholarship and teaching, providing students with outstanding and diverse educational experiences and support services, and providing staff with a work environment that supports and recognizes their contributions to the campus' leadership in teaching, research, and public engagement

Program Goals: Objectives:

1. To carry out its traditional land-grant mission by focusing on instruction, research and public service in agriculture and engineering, along with comprehensive programs in the arts, humanities, social and physical sciences, and other fields.
2. To offer professional education in law, veterinary medicine, business, and architecture.
3. To provide off-campus instruction and public service on a statewide basis in architecture, engineering, and other fields not generally available at other universities.

Source of Funds: General Revenue Fund, Education Assistance Fund, Fire Prevention Fund, Emergency Public Health Fund, Used Tire Management Fund, State College and University Trust Fund, Hazardous Waste Research Fund

Statutory Authority: 110 ILCS 305

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$1,803,840.6	$1,891,503.2	$1,951,837.2	$2,081,278.8	$2,160,803.1
* Total expenditures - state appropriated funds (in thousands) (a)	$332,469.7	$325,928.1	$326,312.0	$325,102.2	$324,032.9
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Total staff - all fund sources (b)	13,709	13,618	13,618	13,521	13,521
* Total staff - state appropriated fund sources (b)	6,885	6,916	6,916	6,759	6,759
Output Indicators					
* Undergraduate headcount enrollment (c)	32,256	32,281	N/A	32,695	N/A
* Total headcount enrollment (c)	44,407	44,520	N/A	44,942	N/A
* Percent: part-time student enrollment (c)	8.7 %	8.6 %	N/A	8.5 %	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Black	413.0	420.0	N/A	375.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	470.0	500.0	N/A	497.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	4,812	4,856	N/A	4,714	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	1,647	1,951	N/A	2,059	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	155.0	151.0	N/A	176.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	149.0	154.0	N/A	158.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	2,196	2,196	N/A	2,113	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	1,721	1,941	N/A	1,943	N/A
* Baccalaureate degree completions (number) by gender: Male	3,888	4,123	N/A	3,912	N/A
* Baccalaureate degree completions (number) by gender: Female	3,454	3,604	N/A	3,733	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	2,147	2,231	N/A	2,192	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	2,074	2,211	N/A	2,198	N/A
Outcome Indicators					
* Percent of graduates employed full-time (d)	86.1 %	87.4 %	N/A	N/A	N/A
* Percent of graduates employed part-time (d)	6.4 %	5.7 %	N/A	N/A	N/A
* Percent of graduates unemployed and not seeking employment (d)	7.5 %	3.5 %	N/A	N/A	N/A
* Percent of graduates employed full-time in a related field (d)	80.7 %	79.4 %	N/A	N/A	N/A
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	46.7 %	11.6 %	N/A	N/A	N/A
* Percent of graduates satisfied with career path preparation provided by the undergraduate educational experience (d)	93.1 %	90.7 %	N/A	N/A	N/A
* Percent of graduates satisfied with post-graduate employment (d,e)	81.2 %	94 %	N/A	N/A	N/A
* Institutional pass rate: Illinois Bar Examination - Law	92 %	98 %	N/A	N/A	N/A
* Six-Year graduation rate: first-time, full-time freshmen (f)	82 %	84 %	N/A	N/A	N/A
* Annual number of students completing requirements for initial teacher certification (g)	377.0	414.0	N/A	N/A	N/A

University of Illinois at Urbana-Champaign (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
External Benchmarks					
* National pass rate: State bar examinations - Law	81 %	79 %	N/A	N/A	N/A
* Six-Year graduation rate: statewide average	62.4 %	N/A	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour: all instructional levels	$436.12	$451.95	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction	21.9 %	21.2 %	21.2 %	20.4 %	20.4 %
* Percent of total expenditures (all sources) devoted to Research and Public Service	33 %	33.6 %	33.6 %	37.5 %	37.5 %
* Percent of total expenditures (all sources) devoted to Academic and Student Support	18.6 %	19.5 %	19.5 %	18.2 %	18.2 %
* Percent of total expenditures (all sources) devoted to All Other programs (h)	26.5 %	25.7 %	25.7 %	23.8 %	23.8 %
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (f)	$13,658.00	$14,414.00	$14,960.00	$14,960.00	$15,258.00
* Percent of undergraduate students receiving some form of financial aid	74.4 %	75 %	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance	51.1 %	52.3 %	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance	45.3 %	45.4 %	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance	31.2 %	31.7 %	N/A	N/A	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	N/A	N/A
* Percent of total expenditures (all sources) devoted to Research and Public service: public university average	20.8 %	21.3 %	22 %	N/A	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	N/A	N/A
* Percent of total expenditures (all sources) devoted to All Other programs: public university average (h)	27.4 %	26.2 %	27.4 %	N/A	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars) (i)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income	30.6 %	31.46 %	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending and an allocation of University Administration which are excluded from some later measures in this report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2006 five years out (fiscal year 2011) and the class of 2003 nine years out (fiscal year 2012).

(e) Of those employed full-time or part-time.

(f) Institutions with high ratios of part-time students will have lower graduation rates.

(g) Undergraduate students only.

(h) All other programs include institutional support, operations and maintenance of physical plant, Medicare, and independent operations, including student housing and food service.

(i) Entry-level tuition and fees. Includes refundable fees.

EDUCATION: PART 2
UNIVERSITY OF ILLINOIS AT CHICAGO

University of Illinois at Chicago

Mission Statement: The University of Illinois at Chicago offers instruction at the baccalaureate, master's, first professional, and doctoral levels. The University conducts research and public service in a variety of fields and ranks among the top universities nationally in attracting external support for these initiatives. The University's mission is to provide the broadest access to the highest levels of intellectual excellence.

Program Goals: Objectives:

1. To create knowledge that transforms our views of the world and, through sharing and application, transforms the world.
2. To provide a wide range of students with the educational opportunity only a leading research university can offer.
3. To address the challenges and opportunities facing not only Chicago but all Great Cities of the 21st century, as expressed by our Great Cities Commitment.
4. To foster scholarship and practices that reflect and respond to the increasing diversity of the U.S. in a rapidly globalizing world.
5. To train professionals in a wide range of public service disciplines, serving Illinois as the principal educator of health science professionals and as a major healthcare provider to underserved communities.

Source of Funds: General Revenue Fund, Education Assistance Fund, General Professions Dedicated Fund, State College and University Trust Fund

Statutory Authority: 110 ILCS 305

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$2,054,139.7	$2,177,479.4	$2,238,416.1	$2,202,928.8	$2,249,478.3
* Total expenditures - state appropriated funds (in thousands) (a)	$344,042.8	$346,437.2	$317,619.1	$318,477.3	$320,530.5
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Total staff - all fund sources (b)	14,779	14,910	14,910	14,883	14,883
* Total staff - state appropriated fund sources (b)	5,476	5,431	5,431	5,471	5,471
Output Indicators					
* Undergraduate headcount enrollment (c)	16,925	16,678	N/A	16,671	N/A
* Total headcount enrollment (c)	28,091	27,875	N/A	28,038	N/A
* Percent: part-time student enrollment (c)	18 %	17.2 %	N/A	17.1 %	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Black	232.0	263.0	N/A	296.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	538.0	680.0	N/A	725.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	1,802	1,876	N/A	1,801	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	954.0	994.0	N/A	987.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	197.0	189.0	N/A	243.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	226.0	228.0	N/A	229.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	1,441	1,570	N/A	1,596	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	1,159	1,074	N/A	1,145	N/A
* Baccalaureate degree completions (number) by gender: Male	1,666	1,719	N/A	1,694	N/A
* Baccalaureate degree completions (number) by gender: Female	1,860	2,094	N/A	2,115	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	1,259	1,186	N/A	1,293	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	1,764	1,875	N/A	1,920	N/A
Outcome Indicators					
* Percent of graduates employed full-time (d)	84.8 %	90.7 %	N/A	N/A	N/A
* Percent of graduates employed part-time (d)	8.8 %	4.5 %	N/A	N/A	N/A
* Percent of graduates unemployed and not seeking employment (d)	6.4 %	2.4 %	N/A	N/A	N/A
* Percent of graduates employed full-time in a related field (d)	77 %	81.1 %	N/A	N/A	N/A
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	32.7 %	11.3 %	N/A	N/A	N/A
* Percent of graduates satisfied with career path preparation provided by the undergraduate educational experience (d)	89 %	93.9 %	N/A	N/A	N/A
* Percent of graduates satisfied with post-graduate employment (d,e)	81.4 %	94.9 %	N/A	N/A	N/A
* Institutional pass rate: United States Medical Licensing Exam, Step 2	99 %	99 %	N/A	N/A	N/A
* Institutional pass rate: National Dental Board Examination (NDBE - Dentistry), Part 2	98.4 %	96.2 %	N/A	N/A	N/A
* Institutional pass rate: National Council Licensure Exam (NCLEX) - Nursing (RN)	87 %	89 %	N/A	N/A	N/A
* Six-Year graduation rate: first-time, full-time freshmen (f)	54 %	58 %	N/A	N/A	N/A
* Percent of baccalaureate degree recipients employed or enrolled in further education within one year of graduation (d)	N/A	N/A	N/A	N/A	N/A
* Annual number of students completing requirements for initial teacher certification (g)	136.0	150.0	N/A	148.0	N/A

University of Illinois at Chicago (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
External Benchmarks					
* National pass rate: United States Medical Licensing Exam, Step 2	99 %	99 %	N/A	N/A	N/A
* National pass rate: National Dental Board Exam (NDBE - Dentistry), Part 2	N/A	94.3 %	N/A	N/A	N/A
* National pass rate: National Council Licensure Exam (NCLEX) - Nursing (RN)	88 %	90 %	N/A	N/A	N/A
* Six-Year graduation rate: statewide average	62.4 %	N/A	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour: all instructional levels	$420.76	$438.30	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction	22.9 %	23.6 %	23.6 %	24.3 %	24.3 %
* Percent of total expenditures (all sources) devoted to Research and Public Service	21.9 %	21.5 %	21.5 %	21.3 %	21.3 %
* Percent of total expenditures (all sources) devoted to Academic and Student Support (h)	38.4 %	39.5 %	39.5 %	38.5 %	38.5 %
* Percent of total expenditures (all sources) devoted to All Other programs	16.8 %	15.4 %	15.4 %	15.9 %	15.9 %
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (i)	$12,864.00	$13,464.00	$13,938.00	$13,938.00	$14,338.00
* Percent of undergraduate students receiving some form of financial aid	84.8 %	86.5 %	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance	64.2 %	65.9 %	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance	52.7 %	54 %	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance	17.3 %	18.5 %	N/A	N/A	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	N/A
* Percent of total expenditures (all sources) devoted to All Other programs: public university average (h)	27.4 %	26.2 %	27.4 %	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars) (i)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income	30.6 %	31.46 %	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending and an allocation of University Administration which are excluded from some later measures in this report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2006 five years out (fiscal year 2011) and the class of 2003 nine years out (fiscal year 2012).

(e) Of those employed full-time or part-time.

(f) Institutions with high ratios of part-time students will have lower graduation rates.

(g) Undergraduate students only. – UIC only. Additional 114 graduate students in fiscal year 2011, 109 in fiscal year 2012 and 96 in fiscal year 2013 completed requirements for initial teacher certification.

(h) All other programs include institutional support, operations and maintenance of physical plant, Medicare, and independent operations, including student housing and food service.

(i) Entry-level tuition and fees. Includes refundable fees.

UNIVERSITY OF ILLINOIS AT SPRINGFIELD

University of Illinois at Springfield

Mission Statement: The University of Illinois at Springfield provides an intellectually rich, collaborative, and intimate learning environment for students, faculty, and staff, while serving local, regional, state, national, and international communities.

Program Goals: Objectives:

1. Academic Excellence
   a. UIS will achieve academic excellence through excellence in teaching and learning and excellence in scholarship.
   b. At UIS, teaching remains the central function and student learning is our overriding goal. UIS will create an environment that promotes engaged, active, and interdisciplinary learning with the ultimate goal of preparing students to be thinkers and leaders in the world. UIS affirms its commitment to high standards of scholarly excellence. Believing that active scholarship is essential to excellent teaching, UIS is committed to developing a faculty of teacher-scholars, students who collaborate with faculty on research and service projects, and staff who are engaged in advancing the professional practice in their fields. UIS is in the process of enhancing its undergraduate curriculum while maintaining an emphasis on providing excellent graduate programs. It is unique by reaching out aggressively to new, younger undergraduate students even as it continues to reach out to non-traditional students with on-campus and an increasing number of online programs.
2. Enriching Individual Lives
   a. The University of Illinois at Springfield seeks to establish an atmosphere that contributes to the intellectual, cultural, social, and personal enrichment of all its participants.
   b. This document offers many ways to provide an intellectually rich and culturally diverse campus environment, including expansion of arts facilities and programs. All will be exposed to a campus community that is infused with an appreciation of diverse cultural perspectives. The campus environment will be an inclusive and safe place for different perspectives to be explored.
3. Making a Difference in the World
   a. With its location in the state capital, UIS has always had a special emphasis on public affairs, citizen engagement, and effecting societal change. This goal echoes and updates those traditions through the theme of Making a Difference in the World. This theme is conceptualized as a series of activities related to reflection, dialogue, and action on public policy and civic culture, resulting in engagement with the world outside the university. The focus includes local, state, national, and global concerns. All undergraduates will participate in engagement activities; graduate students will continue to have numerous opportunities for hands-on learning and research. The campus will continue to build on its solid record of accomplishment in public affairs, applied research, and training activities – paying special attention to public policy and the civic culture.
   b. Faculty, staff, students, and alumni from every part of UIS engage in activities that make a difference in the world. Each individual and discipline provides a unique contribution: education, business, professional programs, natural sciences, social sciences, and humanities. In other words, Making a Difference in the World is a shared responsibility.
4. Strengthen Campus Culture
   a. Efforts will increase significantly to make UIS staff, faculty, students, alumni, and friends aware of the university's identity and direction. UIS will be known for its high level of responsiveness to students and as an institution where respect and civility prevail in all interactions. Tolerance for a diversity of opinions will be a hallmark of the UIS culture.
5. Enrollment and Retention
   a. By improving access and opportunity, the University of Illinois at Springfield will enroll, retain, and graduate a larger and more diverse student body engaged in classroom and technology-enhanced education. UIS plans to grow to 6,000 on-campus students and will always be a “small university” in the best sense of the word.
6. Resources and Infrastructure
   a. UIS has lofty goals and an inspiring vision. Many of the action steps to pursue our vision require the allocation of new resources and the reallocation of current resources – financial, human, and physical. UIS will make bold decisions and will find the resources to implement the goals in this strategic plan. This plan not only allows us to focus more specifically on what UIS wants to become but also gives us a framework within which to allocate and reallocate resources.

Source of Funds: General Revenue Fund, Education Assistance Fund, State College and University Trust Fund ***Statutory Authority:*** 110 ILCS 305

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)(a)	$83,413.2	$85,873.9	$89,248.8	$89,980.3	$92,666.2
* Total expenditures - state appropriated funds (in thousands) (a)	$25,710.9	$21,537.9	$23,513.5	$23,804.6	$24,098.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Total staff - all fund sources (b)	991.0	1,004	1,004	1,002	1,002
* Total staff - state appropriated fund sources (b)	726.0	717.0	717.0	708.0	708.0
Output Indicators					
* Undergraduate headcount enrollment (c)	3,112	3,054	N/A	3,039	N/A
* Total headcount enrollment (c)	5,137	5,048	N/A	5,137	N/A
* Percent: part-time student enrollment (c)	49.6 %	51.1 %	N/A	49.6 %	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Black	67.0	68.0	N/A	64.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	21.0	11.0	N/A	24.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	581.0	545.0	N/A	583.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	50.0	49.0	N/A	70.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	33.0	48.0	N/A	46.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	8.0	12.0	N/A	14.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	441.0	434.0	N/A	411.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	116.0	159.0	N/A	146.0	N/A
* Baccalaureate degree completions (number) by gender: Male	316.0	294.0	N/A	337.0	N/A
* Baccalaureate degree completions (number) by gender: Female	403.0	379.0	N/A	404.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	300.0	301.0	N/A	321.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	298.0	352.0	N/A	296.0	N/A

University of Illinois at Springfield (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Outcome Indicators					
* Percent of graduates employed full-time (d)	80 %	85.5 %	N/A	N/A	N/A
* Percent of graduates employed part-time (d)	13.3 %	10.5 %	N/A	N/A	N/A
* Percent of graduates unemployed and not seeking employment (d)	1.9 %	2.6 %	N/A	N/A	N/A
* Percent of graduates employed full-time in a related field (d)	69.1 %	78.6 %	N/A	N/A	N/A
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	10.5 %	42.9 %	N/A	N/A	N/A
* Percent of graduates satisfied with career path preparation provided by the undergraduate educational experience (d)	75.2 %	82.9 %	N/A	N/A	N/A
* Percent of graduates satisfied with post-graduate employment (d,e)	80 %	75 %	N/A	N/A	N/A
* Percent of baccalaureate degree recipients employed or enrolled in further education within one year of graduation (d)	N/A	N/A	N/A	N/A	N/A
* Number of students completing requirements for initial teacher certification (f)	50.0	55.0	N/A	44.0	N/A
* Six-year graduation rate: first-time, full-time freshmen (g)	61 %	47 %	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour: all instructional levels	$371.83	$392.47	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction	27.8 %	28.9 %	28.9 %	29.4 %	29.4 %
* Percent of total expenditures (all sources) devoted to Research and Public Service	14.3 %	14.6 %	14.6 %	14.5 %	14.5 %
* Percent of total expenditures (all sources) devoted to Academic and Student Support	25.6 %	25.6 %	25.6 %	25.8 %	25.8 %
* Percent of total expenditures (all sources) devoted to All Other programs (h)	32.3 %	30.9 %	30.9 %	30.3 %	30.3 %
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (i)	$10,374.00	$10,984.00	$11,413.00	$11,413.00	$11,776.00
* Percent of undergraduate students receiving some form of financial aid	86.9 %	89.2 %	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance	69.4 %	72.2 %	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance	59.7 %	62.4 %	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance	13.8 %	14.6 %	N/A	N/A	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	N/A
* Percent of total expenditures (all sources) devoted to All Other programs: public university average (h)	27.4 %	26.2 %	27.4 %	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income (i)	30.6 %	31.46 %	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending and an allocation of University Administration which are excluded from some later measures in this report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2006 five years out (fiscal year 2011) and the class of 2003 nine years out (fiscal year 2012).

(e) Of those employed full-time or part-time.

(f) Undergraduate students only.

(g) Institutions with high ratios of part-time students will have lower graduation rates.

(h) All other programs include institutional support, operations and maintenance of physical plant, Medicare, and independent operations, including student housing and food service.

(i) Entry-level tuition and fees. Includes refundable fees.

EDUCATION: PART 2
SOUTHERN ILLINOIS UNIVERSITY CARBONDALE

Southern Illinois University Carbondale

Mission Statement: Southern Illinois University Carbondale is a major public higher education institution dedicated to quality academic endeavors in teaching and research, to supportive programming for student needs and development, to effective social and economic initiatives in community, regional, and statewide contexts, and to affirmative action and equal opportunity. Emphasis on accessibility and regional service which creates distinctive instructional, research and public service programs gives SIUC its special character among the nation's research universities, and underlies other academic developments, such as its extensive doctoral program and the schools of medicine and law.

Program Goals: Objectives:

Source of Funds: General Revenue Fund, Education Assistance Fund, Capital Development Fund ***Statutory Authority:*** 110 ILCS 520

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$714,816.8	$752,409.0	$.0	$765,510.0	$.0
* Total expenditures - state appropriated funds (in thousands) (a)	$157,414.1	$156,168.3	$.0	$156,507.5	$.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Total staff - all fund sources (b)	4,570	4,481	N/A	4,396	N/A
* Total staff - state appropriated fund sources (b)	2,792	2,723	N/A	2,701	N/A
Output Indicators					
* Undergraduate headcount enrollment (c)	15,000	14,130	N/A	13,306	N/A
* Total headcount enrollment (c)	19,817	18,847	N/A	17,964	N/A
* Percent: part-time student enrollment (c)	20.2 %	20 %	N/A	19.4 %	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Black	520.0	556.0	N/A	614.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	198.0	227.0	N/A	217.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	2,758	2,742	N/A	2,667	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	285.0	321.0	N/A	296.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	88.0	108.0	N/A	94.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	25.0	35.0	N/A	28.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	816.0	814.0	N/A	686.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	359.0	392.0	N/A	337.0	N/A
* Baccalaureate degree completions (number) by gender: Male	2,192	2,257	N/A	2,218	N/A
* Baccalaureate degree completions (number) by gender: Female	1,569	1,589	N/A	1,576	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	630.0	685.0	N/A	569.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	641.0	664.0	N/A	576.0	N/A
Outcome Indicators					
* Percent of graduates employed full-time (d)	68 %	84 %	N/A	68 %	N/A
* Percent of graduates employed part-time (d)	15 %	7 %	N/A	15 %	N/A
* Percent of graduates unemployed and not seeking employment (d)	6 %	4 %	N/A	6 %	N/A
* Percent of graduates employed full-time in a related field (d)	69 %	73 %	N/A	68 %	N/A
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	33 %	37 %	N/A	29 %	N/A
* Percent of graduates satisfied with career path preparation provided by the undergraduate educational experience (d)	87 %	88 %	N/A	83 %	N/A
* Percent of graduates satisfied with post-graduate employment (d,e)	83 %	91 %	N/A	83 %	N/A
* Institutional pass rate: United States Medical Licensing Examination, Step 2	100 %	97 %	N/A	97 %	N/A
* Institutional pass rate: Illinois Bar Examination	87.5 %	78 %	N/A	91 %	N/A
* Six-year graduation rate: first-time, full-time freshmen (f)	N/A	44.5 %	N/A	47.5 %	N/A
* Percent of baccalaureate degree recipients employed or enrolled in further education within one year of graduation (d)	88.5 %	89 %	N/A	84 %	N/A
* Annual number of graduates completing requirements for initial teacher certification (g)	313.0	309.0	N/A	232.0	N/A

Southern Illinois University Carbondale (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
External Benchmarks					
* National pass rate: United States Medical Licensing Examination, Step 2	97.5 %	97 %	N/A	98 %	N/A
* National pass rate: state bar examinations	90 %	89 %	N/A	89 %	N/A
* Six-year graduation rate: statewide average	62.4 %	N/A	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour: all instructional levels	$353.81	$361.71	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction	29.9 %	29.7 %	N/A	30.4 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service	13.4 %	13 %	N/A	12.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support	26.5 %	27.6 %	N/A	31.2 %	N/A
* Percent of total expenditures (all sources) devoted to All Other programs (h)	30.2 %	29.8 %	N/A	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (i)	$10,468.00	$11,528.00	N/A	$11,696.00	N/A
* Percent of undergraduate students receiving some form of financial aid	84.2 %	84 %	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance	59.6 %	61 %	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance	58 %	55 %	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance	23.4 %	28 %	N/A	N/A	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	N/A
* Percent of total expenditures (all sources) devoted to All Other programs: public university average	27.4 %	26.2 %	27.4 %	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income	30.6 %	31.46 %	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending and an allocation of University Administration which are excluded from some later measures in this report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2006 five years out (fiscal year 2011) and the class of 2003 nine years out (fiscal year 2012).

(e) Of those employed full-time or part-time.

(f) Institutions with high ratios of part-time students will have lower graduation rates.

(g) Undergraduate students only. Additional 94 graduate students in fiscal year 2009 and 97 in fiscal year 2010 completed requirements for initial teacher certification.

(h) All other programs include institutional support, operations and maintenance of physical plant, Medicare, and independent operations, including student housing and food service.

(i) Entry-level tuition and fees. Includes refundable fees.

EDUCATION: PART 2
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE

Southern Illinois University Edwardsville

Mission Statement: Southern Illinois University Edwardsville is a student- centered educational community dedicated to communicating, expanding and integrating knowledge. In a spirit of collaboration enriched by diverse ideas, our comprehensive and unique array of undergraduate and graduate programs develops professionals, scholars and leaders who shape a changing world.

Program Goals: Objectives:

1. Prepared and Committed Students – Recruit and engage a diverse student body ready to accept the rigorous challenges of higher education, to persist in academic study, and to become lifelong learners.
2. Innovative High Quality Programs – Develop and enhance curricular and co-curricular programs to fully support learning and degree completion.
3. Dedicated Faculty and Staff — Recruit, support, and retain a highly committed and diverse faculty and staff who continually strive for excellence by promoting student learning, producing significant scholarship, and serving multiple constituencies.
4. Supportive Campus Community — Foster an inclusive university community characterized by integrity, civility, shared governance and openness to and respect for different backgrounds, cultures, and perspectives.
5. Outreach and Partnerships – Develop and strengthen collaborative relationships to effect positive changes in the university, region, nation and world.
6. Physical and Financial Sustainability — Develop, maintain and protect the University's assets by practicing and promoting economic, environmental, and social sustainability campus-wide.

Source of Funds: General Revenue Fund, Education Assistance Fund ***Statutory Authority:*** 110 ILCS 520

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$267,973.7	$271,099.6	$.0	$270,971.8	$.0
* Total expenditures - state appropriated funds (in thousands) (a)	$64,599.4	$63,563.8	$.0	$59,746.1	$.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Total staff - all fund sources (b)	2,686	2,706	N/A	2,708	N/A
* Total staff - state appropriated fund sources (b)	1,718	1,735	N/A	1,757	N/A
Output Indicators					
* Undergraduate headcount enrollment (c)	11,428	11,341	N/A	11,229	N/A
* Total headcount enrollment (c)	14,235	14,055	N/A	13,850	N/A
* Percent: part-time student enrollment (c)	23.4 %	23.4 %	N/A	22.3 %	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Black	165.0	175.0	N/A	196.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	51.0	60.0	N/A	61.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	1,777	1,808	N/A	1,755	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	215.0	195.0	N/A	159.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	58.0	58.0	N/A	51.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	13.0	24.0	N/A	22.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	710.0	623.0	N/A	677.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	215.0	168.0	N/A	172.0	N/A
* Baccalaureate degree completions (number) by gender: Male	962.0	1,005	N/A	1,013	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	583.0	512.0	N/A	566.0	N/A
* Baccalaureate degree completions (number) by gender: Female	1,246	1,233	N/A	1,158	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	377.0	361.0	N/A	356.0	N/A
Outcome Indicators					
* Percent of graduates employed full-time (d)	64.2 %	70.1 %	N/A	N/A	N/A
* Percent of graduates employed part-time (d)	19.2 %	16.5 %	N/A	N/A	N/A
* Percent of graduates unemployed and not seeking employment (d)	7.9 %	6.4 %	N/A	N/A	N/A
* Percent of graduates employed full-time in a related field (d)	81.1 %	84.4 %	N/A	N/A	N/A
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	34.2 %	28.4 %	N/A	N/A	N/A
* Percent of graduates satisfied with career path preparation provided by the undergraduate educational experience (d)	90.2 %	91.2 %	N/A	N/A	N/A
* Percent of graduates satisfied with post-graduate employment (d,e)	85 %	88.8 %	N/A	N/A	N/A
* Six-Year graduation rate: first-time, full-time freshmen (f)	51.9 %	51.8 %	N/A	45.8 %	N/A
* Institutional pass rate: National Dental Board Exam, Part II	97.8 %	98 %	N/A	N/A	N/A
* Institutional pass rate: National Council Licensure Exam (NCLEX) - Nursing (RN) (g)	83 %	90 %	N/A	N/A	N/A

Southern Illinois University Edwardsville (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Percent of baccalaureate degree recipients employed or enrolled in further education within one year of graduation (d)	93.5 %	94.9 %	N/A	N/A	N/A
* Annual number of students completing requirements for initial teacher certification	359.0	384.0	N/A	N/A	N/A
External Benchmarks					
* National pass rate: National Council Licensure Exam (NCLEX) - Nursing (RN)	88 %	92 %	N/A	N/A	N/A
* National pass rate: National Dental Board Exam, Part II	N/A	N/A	N/A	N/A	N/A
* Six-year graduation rate: statewide average	62.4 %	N/A	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour: all instructional levels	$276.04	$289.84	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction	27.8 %	29 %	N/A	29 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service	12.3 %	11.9 %	N/A	11.7 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support	19.5 %	19.9 %	N/A	20.2 %	N/A
* Percent of total expenditures (all sources) devoted to All Other programs (h)	40.4 %	39.2 %	N/A	39.1 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (i)	$8,401.10	$8,337.50	$9,250.90	$8,655.50	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.6	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	N/A
* Percent of total expenditures (all sources) devoted to All Other programs: public university average	27.4 %	26.2 %	27.4 %	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income	30.6 %	31.46 %	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending and an allocation of University Administration which are excluded from some later measures in this report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2006 five years out (fiscal year 2011) and the class of 2003 nine years out (fiscal year 2012).

(e) Of those employed full-time or part-time.

(f) Institutions with high ratios of part-time students will have lower graduation rates.

(g) Data for the Institutional and National pass rates on the National Council Licensure Exam (NCLEX) - Nursing (RN) is reported on a calendar year, not a fiscal year basis.

(h) All other programs include institutional support, operations and maintenance of physical plant, Medicare, and independent operations, including student housing and food service.

(i) Entry-level tuition and fees. Includes refundable fees.

EDUCATION: PART 2
NORTHERN ILLINOIS UNIVERSITY

Northern Illinois University

Mission Statement: The central mission of Northern Illinois University is the transmission, expansion, and application of knowledge through teaching, research and artistry, and public service. In fulfilling that mission, Northern Illinois University meets the needs of students for liberal, professional, technical, and lifelong education. Mindful of the changing needs of the society it serves, the university reviews its programs at regular intervals, assesses their quality and their capacity to fulfill their objectives, and expressly commits itself to their continuing development or redirection when appropriate.

Program Goals: Objectives:

1. To provide academic and professional programs that are current, responsive and of the highest quality.
2. To make significant contributions to the expansion and application of knowledge.
3. To contribute to the betterment of the society of which it is a part by preparing students for effective, responsible, and articulate membership in society as well as in their chosen professions.

Source of Funds: General Revenue Fund, Education Assistance Fund, State College and University Trust Fund

Statutory Authority: 110 ILCS 685

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$431,470.4	$471,559.7	$.0	$473,081.9	$.0
* Total expenditures - state appropriated funds (in thousands) (a)	$100,751.7	$99,595.4	$99,595.4	$93,490.0	$.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Total staff - all fund sources (b)	4,404	4,398	N/A	4,549	N/A
* Total staff - state appropriated fund sources (b)	2,757	2,756	N/A	2,898	N/A
Output Indicators					
* Undergraduate headcount enrollment (c)	17,306	16,552	N/A	15,814	N/A
* Total headcount enrollment (c)	22,990	21,869	N/A	21,138	N/A
* Percent: part-time student enrollment (c)	24.1 %	23.5 %	N/A	24 %	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Black	341.0	329.0	N/A	338.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	275.0	302.0	N/A	378.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	2,798	2,825	N/A	2,730	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	507.0	468.0	N/A	402.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	73.0	83.0	N/A	96.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	148.0	100.0	N/A	79.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	1,197	1,208	N/A	1,152	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	408.0	364.0	N/A	334.0	N/A
* Baccalaureate degree completions (number) by gender: Male	1,890	1,904	N/A	1,793	N/A
* Baccalaureate degree completions (number) by gender: Female	2,031	2,017	N/A	2,055	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	841.0	804.0	N/A	778.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	985.0	951.0	N/A	883.0	N/A
Outcome Indicators					
* Percent of graduates employed full-time (d)	59.1 %	57.8 %	N/A	58 %	N/A
* Percent of graduates employed part-time (d)	18.7 %	21.8 %	N/A	21.6 %	N/A
* Percent of graduates unemployed and not seeking employment (d)	8.4 %	8.5 %	N/A	8.4 %	N/A
* Percent of graduates employed full-time in a related field (d)	70.4 %	71.5 %	N/A	76 %	N/A
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	83.5 %	83.4 %	N/A	83.4 %	N/A
* Percent of students satisfied with career path preparation provided by the undergraduate educational experience (d)	89.2 %	87.3 %	N/A	87.3 %	N/A
* Percent of graduates satisfied with post-graduate employment (d,e)	85.8 %	87.6 %	N/A	87.6 %	N/A
* Institutional pass rate: Illinois Bar Examination	82 %	87 %	N/A	86 %	N/A
* Institutional pass rate: National Council Licensure Exam (NCLEX) - Nursing (RN)	90 %	81 %	N/A	85 %	N/A
* Six-Year graduation rate: first-time, full-time freshmen (f)	56.4 %	54.3 %	N/A	54 %	N/A
* Percent of baccalaureate degree recipients employed or enrolled in further education within one year of graduation (d)	87.4 %	88.7 %	N/A	87.7 %	N/A

Northern Illinois University (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Annual number of students completing requirements for initial teacher certification	766.0	742.0	N/A	709.0	N/A
External Benchmarks					
* National pass rate: state bar examinations	79 %	76.9 %	N/A	76.9 %	N/A
* National pass rate: National Council Licensure Exam (NCLEX) - Nursing (RN)	88 %	90 %	N/A	90 %	N/A
* Six-Year graduation rate: statewide average	62.4 %	N/A	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour: all instructional levels (in dollars)	$327.36	$349.95	N/A	$327.36	N/A
* Percent of total expenditures (all sources) devoted to Instruction	30.9 %	N/A	N/A	29.1 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service	12.8 %	N/A	N/A	15.7 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support	24.4 %	N/A	N/A	23.6 %	N/A
* Percent of total expenditures (all sources) devoted to all other programs (g)	31.9 %	N/A	N/A	31.6 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (h)	$11,795.00	N/A	N/A	$12,472.68	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	N/A
* Percent of total expenditures (all sources) devoted to all other programs: public university average	27.4 %	26.2 %	27.4 %	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable Income	30.6 %	31.46 %	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending and an allocation of University Administration which are excluded from some later measures in this report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2001 nine years out (fiscal year 2010) and the class of 2010 one year out (fiscal year 2011).

(e) Of those employed full-time or part-time.

(f) Institutions with high ratios of part-time students will have lower graduation rates.

(g) All other programs include institutional support, operations and maintenance of physical plant, Medicare, and independent operations, including student housing and food service.

(h) Entry-level tuition and fees.Includes refundable fees.

Illinois State University

Mission Statement: We at Illinois State University work as a diverse community of scholars with a commitment to fostering a small-college atmosphere with large-university opportunities. We promote the highest academic standards in our teaching, scholarship, public service and the connections we build among them. We devote all of our resources and energies to creating the most supportive and productive community possible to serve the citizens of Illinois and beyond.

Program Goals: Objectives:

1. Provide a supportive and student-centered educational experience for high-achieving, diverse, and motivated students that promotes their success.
2. Provide rigorous, innovative, and high-impact undergraduate and graduate programs that prepare students to excel in a globally competitive, culturally diverse, and changing environment.
3. Foster an engaged community and enhance the University's outreach and partnerships both internally and externally.
4. Enhance institutional effectiveness by strengthening the organizational operation and enhancing resource development.

Source of Funds: General Revenue Fund, Education Assistance Fund ***Statutory Authority:*** 110 ILCS 675

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$385,525.0	$402,058.3	$404,219.1	$395,938.4	$413,198.5
* Total expenditures - state appropriated funds (in thousands) (a)	$79,789.5	$78,874.4	$79,789.5	$74,082.4	$74,089.2
* Average monthly full-time equivalents	0.0	3,275.0	0.0	3,251.0	0.0
* Total staff - all fund sources (b)	3,875	3,725	N/A	3,563	N/A
* Total staff - state appropriated fund sources (b)	2,535	2,538	N/A	2,445	N/A
Output Indicators					
* Undergraduate headcount enrollment (c)	18,314	18,594	18,257	18,257	17,749
* Total headcount enrollment (c)	21,134	21,310	20,706	N/A	20,272
* Percent: part-time student enrollment (c)	12 %	11.7 %	13 %	N/A	11.9 %
* Baccalaureate degree completions (number) by race/ethnicity: Black	172.0	176.0	N/A	200.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	165.0	229.0	N/A	225.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	3,686	3,795	N/A	3,767	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	264.0	252.0	N/A	246.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	42.0	26.0	N/A	31.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	21.0	31.0	N/A	35.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	748.0	762.0	N/A	605.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	175.0	131.0	N/A	126.0	N/A
* Baccalaureate degree completions (number) by gender: Male	1,870	1,932	N/A	1,997	N/A
* Baccalaureate degree completions (number) by gender: Female	2,417	2,520	N/A	2,441	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	366.0	328.0	N/A	299.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	620.0	621.0	N/A	498.0	N/A
Outcome Indicators					
* Percent of graduates employed full-time (d)	74.8 %	69.3 %	N/A	71.1 %	N/A
* Percent of graduates employed part-time (d)	15.6 %	17.6 %	N/A	16.8 %	N/A
* Percent of graduates unemployed and not seeking employment (d)	3 %	5.4 %	N/A	5 %	N/A
* Percent of graduates employed full-time in a related field (d)	64.1 %	56.7 %	N/A	59.6 %	N/A
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	30.9 %	26.2 %	N/A	24.3 %	N/A
* Percent of graduates satisfied with career path preparation provided by the undergraduate educational experience (d)	92.8 %	90.1 %	N/A	89.3 %	N/A
* Percent of graduates satisfied with post-graduate employment (d,e)	89.4 %	87 %	N/A	87.7 %	N/A
* Institutional pass rate: National Council Licensure Exam (NCLEX) - Nursing (RN)	97 %	94.1 %	N/A	N/A	N/A
* Six-Year graduation rate: first-time, full-time freshmen (f)	70.9 %	70.8 %	N/A	71 %	N/A
* Percent of baccalaureate degree recipients employed or enrolled in further education within one year of graduation (d)	93.2 %	N/A	N/A	93.9 %	N/A

Illinois State University (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Annual number of students completing requirements for initial teacher certification	1,100	1,094	N/A	1,006	N/A
External Benchmarks					
* Six-Year graduation rate: statewide average	62.4 %	N/A	N/A	N/A	N/A
* National pass rate: National Council Licensure Exam (NCLEX) - Nursing (RN)	88.4 %	87.9 %	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour, all instructional levels	$327.51	$343.93	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction	29.5 %	29.6 %	N/A	30 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service	9 %	9 %	N/A	8.5 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support	22.6 %	22.8 %	N/A	23.5 %	N/A
* Percent of total expenditures (all sources) devoted to all other programs (g)	38.9 %	38.6 %	N/A	37.7 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (h)	$11,474.90	$12,230.00	N/A	$12,318.00	N/A
* Percent of undergraduate students receiving some form of financial aid	76.3 %	74.5 %	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance	42 %	42.4 %	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance	58 %	49.4 %	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance	22.9 %	22.5 %	N/A	N/A	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	N/A
* Percent of total expenditures (all sources) devoted to All Other programs: public university average	27.4 %	26.2 %	27.4 %	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income	30.6 %	31.46 %	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending and an allocation of University Administration which are excluded from some later measures in this report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2012 one year out (fiscal year 2013).

(e) Of those employed full-time or part-time.

(f) Institutions with high ratios of part-time students will have lower graduation rates.

(g) All other programs include institutional support, operations and maintenance of physical plant, Medicare, and independent operations, including student housing and food service.

(h) Entry-level tuition and fees. Includes refundable fees.

Western Illinois University

Mission Statement: By enacting our values and supporting the synergy between instruction, research, creativity and service, Western Illinois University prepares a socially responsible, diverse student, faculty, and staff population to lead in the global society.

Program Goals: Objectives:

1. Academic Excellence - To support the University's primary commitment to teaching and the individual learner, as well as supporting research, scholarly/creative activities, public service and outreach.
2. Educational Opportunity - To provide a University curriculum that is responsive to individual, regional, and societal needs, supporting learning inside and outside the classroom.
3. Personal Growth - To provide educational experiences within active and collaborative learning environments, individual attention to the learner, enriching educational experiences, and a supportive campus environment.
4. Social Responsibility - To serve as a resource for and stimulus to individual, economic, educational, cultural, environmental, and community development in our state and beyond. The University encourages diversity of perspectives, utilizes partnerships, and provides safe, accessible, responsive campus environments.

Source of Funds: General Revenue Fund, Education Assistance Fund ***Statutory Authority:*** 110 ILCS 690

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$218,342.3	$228,776.9	$.0	$228,271.3	$.0
* Total expenditures - state appropriated funds (in thousands) (a)	$56,190.7	$55,548.3	$.0	$52,167.8	$.0
* Average monthly full-time equivalents (b)	0.0	0.0	0.0	0.0	0.0
* Total staff - all fund sources (in thousands) (b)	2,235	2,245	N/A	$2,231.0	N/A
* Total staff - state appropriated fund sources (in thousands) (b)	1,535	1,544	N/A	$1,538.0	N/A
Output Indicators					
* Undergraduate headcount enrollment (c)	10,474	10,520	10,263	10,263	9,873
* Total headcount enrollment (c)	12,585	12,554	12,205	12,205	11,707
* Percent: part-time student enrollment (c)	17.43 %	17.27 %	18.06 %	18.06 %	17.96 %
* Baccalaureate degree completions (number) by race/ethnicity: Black	151.0	145.0	N/A	200.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	119.0	126.0	N/A	123.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	1,904	1,896	N/A	1,875	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	239.0	197.0	N/A	155.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	29.0	25.0	N/A	34.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	16.0	16.0	N/A	18.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	530.0	525.0	N/A	487.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	154.0	152.0	N/A	127.0	N/A
* Baccalaureate degree completions (number) by gender: Male	1,248	1,282	N/A	1,257	N/A
* Baccalaureate degree completions (number) by gender: Female	1,165	1,082	N/A	1,096	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	298.0	295.0	N/A	260.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	431.0	423.0	N/A	406.0	N/A
Outcome Indicators					
* Percent of graduates employed full-time (d)	87.4 %	87.4 %	N/A	87 %	N/A
* Percent of graduates employed part-time (d)	7.1 %	7.1 %	N/A	5.8 %	N/A
* Percent of graduates unemployed and not seeking employment (d)	3.2 %	3.2 %	N/A	5.2 %	N/A
* Percent of graduates employed full-time in a related field (d)	68.8 %	73.6 %	N/A	75.8 %	N/A
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	29.1 %	29.1 %	N/A	37.5 %	N/A
* Percent of graduates satisfied with career path preparation provided by the undergraduate educational experience (d)	83.6 %	83.8 %	N/A	89.7 %	N/A
* Percent of graduates satisfied with post-graduate employment (d,e)	87.6 %	93.1 %	N/A	94.1 %	N/A
* Six-year graduation rate: first-time, full-time freshmen (f)	53.4 %	53.4 %	N/A	54 %	56 %
* Percent of baccalaureate degree recipients employed or enrolled in further education within one year of graduation (d)	N/A	95.1 %	N/A	89.7 %	N/A

Western Illinois University (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Annual number of students completing requirements for initial teacher certification (g)	N/A	274.0	N/A	229.0	N/A
External Benchmarks					
* Six-year graduation rate: statewide average	62.4 %	N/A	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour: all instructional levels	$310.48	$322.78	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction	34.2 %	34.73 %	N/A	33.89 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service	7.9 %	9.11 %	N/A	7.11 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support (h)	23.2 %	21.75 %	N/A	22.67 %	N/A
* Percent of total expenditures (all sources) devoted to All Other programs	34.7 %	34.41 %	N/A	36.32 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (i)	$10,149.00	$10,719.00	N/A	$11,182.00	N/A
* Percent of undergraduate students receiving some form of financial aid	79 %	80 %	N/A	80 %	N/A
* Percent of undergraduate students receiving grant or scholarship assistance	55 %	57 %	N/A	57 %	N/A
* Percent of undergraduate students receiving loan assistance	62 %	66 %	N/A	67 %	N/A
* Percent of undergraduate students receiving employment assistance	17 %	17 %	N/A	18 %	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	N/A
* Percent of total expenditures (all sources) devoted to All Other programs: public university average (h)	27.4 %	26.2 %	27.4 %	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars) (i)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income	30.6 %	$31.46	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending and an allocation of University Administration which are excluded from some later measures in this report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2006 five years out (fiscal year 2011) and the class of 2003 nine years out (fiscal year 2012).

(e) Of those employed full-time or part-time.

(f) Institutions with high ratios of part-time students will have lower graduation rates.

(g) Undergraduate students only.

(h) All other programs include institutional support, operations and maintenance of physical plant, Medicare, and independent operations, including student housing and food service.

(i) Entry-level tuition and fees. Includes refundable fees.

Eastern Illinois University

Mission Statement: Eastern Illinois University is a public comprehensive university that offers superior, accessible undergraduate and graduate education. Students learn the methods and results of free and rigorous inquiry in the arts, humanities, sciences, and professions, guided by a faculty known for its excellence in teaching, research, creative activity, and service. The University community is committed to diversity and inclusion and fosters opportunities for student-faculty scholarship and applied learning experiences within a student-centered campus culture. Throughout their education, students refine their abilities to reason and to communicate clearly so as to become responsible citizens and leaders.

Program Goals: Objectives:

1. Enhance support for academic excellence to facilitate enhanced academic outcomes by students, increased affordability and accessibility, and continued cultivation of a vibrant scholarly community for faculty.
2. Continue efforts to assure financial sustainability through ongoing program analysis, strategic enrollment management, fundraising, and analysis of budgeting practices.
3. Embrace technological advances in an effective and efficient manner that supports student learning, teaching, research, and business practices.
4. Engage in efforts to improve campus and community life by strategically identifying opportunities related to community service, event planning, campus activities, and engagement with the broader Charleston community.
5. Further cultivate a campus environment composed of individuals well-versed in the value of diversity and knowledgeable about demographic shifts in society that will continue to make Eastern a campus that serves a globalized society.
6. Strengthen marketing and communications efforts by developing a well-defined brand for use in building relationships with students, alumni, donors, and external audiences.

Source of Funds: General Revenue Fund, Education Assistance Fund ***Statutory Authority:*** 110 ILCS 665

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$211,539.0	$203,135.4	$206,988.7	$202,892.2	$197,451.1
* Total expenditures - state appropriated funds (in thousands) (a)	$48,389.3	$46,286.5	$44,041.1	$44,645.8	$44,078.1
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Total staff - all fund sources (b)	1,962	1,954	1,900	1,913	1,906
* Total staff - state appropriated fund sources (b)	1,245	1,241	1,200	1,236	1,228
Output Indicators					
* Undergraduate headcount enrollment (c)	9,657	8,975	9,836	8,347	8,000
* Total headcount enrollment (c)	11,178	10,417	11,841	9,775	9,000
* Percent: part-time student enrollment (c)	17 %	18 %	18 %	18 %	18 %
* Baccalaureate degree completions (number) by race/ethnicity: Black	170.0	209.0	N/A	197.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	63.0	70.0	N/A	61.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	1,830	1,723	N/A	1,839	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	194.0	187.0	N/A	141.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	27.0	33.0	N/A	40.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	6.0	2.0	N/A	2.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	553.0	502.0	N/A	485.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	64.0	56.0	N/A	72.0	N/A
* Baccalaureate degree completions (number) by gender: Male	929.0	936.0	N/A	916.0	N/A
* Baccalaureate degree completions (number) by gender: Female	1,328	1,253	N/A	1,322	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	294.0	218.0	N/A	275.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	356.0	375.0	N/A	324.0	N/A
Outcome Indicators					
* Percent of graduates employed full-time (d)	87 %	N/A	N/A	N/A	N/A
* Percent of graduates employed part-time (d)	6 %	N/A	N/A	N/A	N/A
* Percent of graduates unemployed and not seeking employment (d)	3 %	N/A	N/A	N/A	N/A
* Percent of graduates employed full-time in a related field (d)	78 %	N/A	N/A	N/A	N/A
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	N/A	N/A	N/A	N/A	N/A
* Percent of graduates satisfied with career path preparation provided through the undergraduate educational experience (d)	89 %	N/A	N/A	N/A	N/A
* Percent of graduates satisfied with post-graduate employment (d,e)	94 %	N/A	N/A	N/A	N/A
* Six-year graduation rate: first-time, full-time freshmen (f)	59 %	60 %	N/A	N/A	N/A

Eastern Illinois University (Concluded)	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
* Percent of baccalaureate degree recipients employed or enrolled in further education within one year of graduation (d)	96 %	N/A	N/A	N/A	N/A
* Annual number of students completing requirements for initial teacher certification	661.0	563.0	500.0	474.0	N/A
External Benchmarks					
* Six-year graduation rate: statewide average	62.4 %	N/A	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour, all instructional levels	$348.13	$349.21	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction	30.1 %	31.5 %	31.5 %	32.1 %	32.1 %
* Percent of total expenditures (all sources) devoted to Research and Public Service	4.9 %	5.3 %	5.3 %	4.7 %	4.3 %
* Percent of total expenditures (all sources) devoted to Academic and Student Support	22.1 %	23.8 %	23.8 %	23.7 %	24.5 %
* Percent of total expenditures (all sources) devoted to all other programs (g)	42.9 %	39.4 %	39.4 %	39.5 %	39.1 %
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (h)	$9,987.00	$10,534.00	$10,930.00	$11,144.00	$11,144.00
* Percent of undergraduate students receiving some form of financial aid	79 %	82.5 %	N/A	80.2 %	N/A
* Percent of undergraduate students receiving grant or scholarship assistance	52 %	54.8 %	N/A	58.7 %	N/A
* Percent of undergraduate students receiving loan assistance	63 %	64.4 %	N/A	63.7 %	N/A
* Percent of undergraduate students receiving employment assistance	23 %	22.4 %	N/A	22 %	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	N/A
* Percent of total expenditures (all sources) devoted to all other programs: public university average	27.4 %	26.2 %	27.4 %	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income	30.6 %	31.46 %	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include an allocation of University Administration which are excluded from some later measures in this report but exclude appropriated capital spending.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2006 five years out (fiscal year 2011).

(e) Of those employed full-time or part-time.

(f) Institutions with high ratios of part-time students will have lower graduation rates.

(g) All other programs include Instutional Support, Operation and Maintenance of Physical Plant, Independent Operations, including student housing and food service operations, Group Health Insurance, and FICA/Medicare.

(h) Entry-level tuition and fees.

EDUCATION: PART 2
NORTHEASTERN ILLINOIS UNIVERSITY

Northeastern Illinois University

Mission Statement: Northeastern Illinois University, as a public comprehensive university with locations throughout Chicago, provides an exceptional environment for learning, teaching, and scholarship. We prepare a diverse community of students for leadership and service in our region and in a dynamic multicultural world.

Program Goals: Objectives:

1. Student Success: Ensure student success from recruitment through graduation by creating a culture in which all members of the University community are engaged in attracting, educating, and graduating students who achieve the objectives for baccalaureate and graduate degrees.
2. Academic Excellence and Innovation: Develop an environment that supports curricular and pedagogical innovation aligned with the mission of the institution, the standards of the disciplines, student needs, and career and civic opportunities in a global society.
3. Urban Leadership: Work collaboratively with educational, social service, governmental, and business institutions in Chicago and the region to build upon NEIU's tradition of community involvement.
4. Exemplary Faculty and Staff: Invest in faculty and staff to make NEIU a world-class metropolitan university and an employer of choice.
5. Enhanced University Operations: Provide a supportive learning, teaching and working environment by improving operating productivity, physical infrastructure, and environmental sustainability.
6. Fiscal Strength: Enhance the University's financial position by reducing reliance on state general funds and student tuition, diversifying revenue sources, and strengthening institutional relationships with federal, state, and local governments, and private sponsors.

Source of Funds: General Revenue Fund, Education Assistance Fund ***Statutory Authority:*** 110 ILCS 680

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$131,412.4	$135,198.6	$143,036.8	$140,680.6	$154,620.4
* Total expenditures - state appropriated funds (in thousands) (a)	$40,711.2	$40,228.5	$37,807.6	$37,807.6	$37,847.4
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Total staff - all fund sources (b)	1,508	1,513	1,513	1,511	1,511
* Total staff - state appropriated fund sources (b)	1,186	1,196	1,196	1,149	1,149
Output Indicators					
* Undergraduate headcount enrollment (c)	9,421	9,140	N/A	8,950	N/A
* Total headcount enrollment (c)	11,580	11,149	N/A	10,821	N/A
* Percent: part-time student enrollment (c)	48.2 %	47.6 %	N/A	50 %	N/A
* Baccalaureate degree completions (number) by race/ethnicity: African American	157.0	146.0	N/A	157.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	418.0	427.0	N/A	404.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	773.0	835.0	N/A	778.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	345.0	332.0	N/A	297.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: African American	51.0	40.0	N/A	36.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	55.0	68.0	N/A	67.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	337.0	333.0	N/A	252.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	84.0	97.0	N/A	133.0	N/A
* Baccalaureate degree completions (number) by gender: Male	688.0	718.0	N/A	686.0	N/A
* Baccalaureate degree completions (number) by gender: Female	1,005	1,022	N/A	950.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	161.0	170.0	N/A	159.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	366.0	368.0	N/A	329.0	N/A
Outcome Indicators					
* Percent of graduates employed full-time (d)	75 %	79.1 %	N/A	N/A	N/A
* Percent of graduates employed part-time (d)	10.9 %	9.3 %	N/A	N/A	N/A
* Percent of graduates unemployed and not seeking employment (d)	3.8 %	4.7 %	N/A	N/A	N/A
* Percent of graduates employed full-time in a related field (d)	55.1 %	58.1 %	N/A	N/A	N/A
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	42.3 %	57 %	N/A	N/A	N/A
* Percent of graduates satisfied with career path preparation provided by the undergraduate educational experience (d)	90.1 %	93 %	N/A	N/A	N/A
* Percent of graduates satisfied with post-graduate employment (d,e)	90.3 %	94.7 %	N/A	N/A	N/A
* Six-year graduation rate: first-time, full-time freshmen (f)	23.1 %	20.9 %	N/A	N/A	N/A
* Percent of baccalaureate degree recipients employed or enrolled in further education within one year of graduation (d)	88.5 %	87.7 %	N/A	N/A	N/A

Northeastern Illinois University (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Annual number of students completing requirements for initial teacher certification	414.0	442.0	N/A	369.0	N/A
External Benchmarks					
* Six-Year graduation rate: statewide average	62.4 %	N/A	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour, all instructional levels	$306.52	$331.17	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction	39.5 %	41.3 %	39.6 %	39 %	38.5 %
* Percent of total expenditures (all sources) devoted to Research and Public Service	10.2 %	9.2 %	9.6 %	11.6 %	11.9 %
* Percent of total expenditures (all sources) devoted to Academic and Student Support	28.5 %	27.7 %	28.5 %	27.2 %	24.7 %
* Percent of total expenditures (all sources) devoted to all other programs (g)	21.8 %	21.7 %	22.3 %	22.2 %	24.9 %
* Undergraduate tuition and mandatory fees: Annual based on thirty credit hours (in dollars) (h)	$10,697.50	$11,563.50	$11,908.50	$11,434.50	$11,833.50
* Percent of undergraduate students receiving some form of financial aid (i)	72.9 %	68.8 %	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance (i)	48.4 %	50.5 %	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance (i)	30.4 %	31.2 %	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance (i)	6.1 %	1 %	N/A	N/A	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	N/A
* Percent of total expenditures (all sources) devoted to all other programs: public university average (f)	27.4 %	26.2 %	27.4 %	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars) (g)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income	30.6 %	31.46 %	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending which is excluded from some later measures in the report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources. State appropriated fund sources include university income funds.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2006 five years out (Fiscal year 2011 Actual) and the class of 2003 nine years out (Fiscal year 2012 Actual). The exception to this rule is the indicator for employment or enrollment within one year of graduation, which reflects additional survey data taken from the classes of 2010 and 2011, 1 year out. For the indicator on students pursuing or enrolled in a post-baccalaureate degree program, respondents to this question include alumni who pursue or earn an associate's or another baccalaureate degree.

(e) Of those employed full-time or part-time.

(f) Institutions with high ratios of part-time students will have lower graduation rates.

(g) All other programs include Institutional Support, Operation and Maintenance of Physical Plant, and Independent Operations, including student housing and food service operations.

(h) Entry-level tuition and fees. The fiscal year 2013 target projected figure has been improperly entered in last year's report.

(i) The total population for the percentage is made up of students enrolled during the fall term of the fiscal year in question.

Chicago State University

Mission Statement: Chicago State University (CSU) is a public comprehensive University that provides access to higher education for students of diverse backgrounds and educational needs. The University fosters the intellectual development and success of its student population through a rigorous, positive and transformative educational experience. Chicago State University is committed to teaaching, research, service and community development including social justice, leadership and entrepreneuship.

Program Goals: Objectives:

1. Intellectual Development
2. Creative and Innovative Thinking and Learning
3. Dignity and Unique talents of all persons
4. Responsible Choices and Actions
5. Personal and Academic Excellence
6. Personal, Professional and Academic Integrity
7. Diversity
8. Leadership, Service, Philanthropy, Social Justice, and Entrepreneurship
9. Pride in Self, Community and the University
10 Lifelong Learning

Source of Funds: Education Assistance Fund, General Professions Dedicated Fund ***Statutory Authority:*** 110 ILCS 660

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$124,386.4	$116,252.8	$140,642.3	$122,665.2	$134,552.3
* Total expenditures - state appropriated funds (in thousands) (a)	$40,014.8	$39,491.7	$36,305.6	$37,019.8	$41,669.8
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
* Total staff - all fund sources (b)	1,350	1,371	N/A	1,217	1,059
* Total staff - state appropriated fund sources (b)	1,057	1,065	1,201	1,068	1,114
Output Indicators					
* Undergraduate headcount enrollment (c)	5,676	5,280	5,183	5,280	4,340
* Total headcount enrollment (c)	7,362	6,882	6,761	6,882	5,701
* Percent: part-time student enrollment (c)	38 %	41 %	40 %	41 %	67 %
* Baccalaureate degree completions (number) by race/ethnicity: Black	614.0	709.0	706.0	602.0	608.0
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	31.0	62.0	61.0	49.0	49.0
* Baccalaureate degree completions (number) by race/ethnicity: White	22.0	45.0	44.0	36.0	36.0
* Baccalaureate degree completions (number) by race/ethnicity: All Other	33.0	48.0	47.0	38.0	38.0
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	184.0	2.0	N/A	N/A	2.0
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	14.0	N/A	N/A	N/A	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	76.0	2.0	N/A	N/A	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	9.0	N/A	N/A	N/A	N/A
* Baccalaureate degree completions (number) by gender: Male	190.0	243.0	241.0	175.0	177.0
* Baccalaureate degree completions (number) by gender: Female	510.0	621.0	617.0	550.0	556.0
* Post-Baccalaureate degree completions (number) by gender: Male	71.0	4.0	N/A	N/A	1.0
* Post-Baccalaureate degree completions (number) by gender: Female	212.0	N/A	N/A	N/A	1.0
Outcome Indicators					
* Percent of graduates employed full-time (d)	57.2 %	57 %	57 %	57.2 %	74.1 %
* Percent of graduates employed part-time (d)	17.9 %	18 %	18 %	17.9 %	7.4 %
* Percent of graduates unemployed and not seeking employment (d)	2.8 %	3 %	3 %	2.8 %	7.4 %
* Percent of graduates employed full-time in a related field (d)	85.5 %	49 %	49 %	49 %	47.8 %
* Percent of graduates pursuing or earning a post-baccalaureate degree (d)	44.1 %	45 %	45 %	45.4 %	61.5 %
* Percent of graduates satisfied with career path preparation provided through the undergraduate educational experience (d)	61.4 %	85 %	85 %	84.8 %	80.8 %
* Percent of graduates satisfied with post-graduate employment (d,e)	81.7 %	61.4 %	N/A	61.4 %	70.8 %
* Six-Year graduation rate: first-time, full-time freshmen (f)	20.9 %	20 %	20 %	20.9 %	20.7 %

Chicago State University (Concluded)	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
* Percent of baccalaureate degree recipients employed or enrolled in further education within one year of graduation (d)	88.3 %	75 %	75 %	75.1 %	81.5 %
* Annual number of students completing requirements for initial teacher certification	131.0	135.0	125.0	103.0	100.0
* Institutional pass rate: National Council Licensure Exam (NCLEX) Nursing (RN)	95 %	95 %	95 %	78 %	88 %
External Benchmarks					
* Six-Year graduation rate: statewide average	62.4 %	N/A	N/A	51.1 %	N/A
* National Pass Rate: National Council Licensure Exam (NCLEX)-Nursing (RN)	N/A	88 %	N/A	90 %	90 %
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour, all instructional levels (in dollars)	$363.72	$389.87	$369.40	$409.48	$425.77
* Percent of total expenditures (all sources) devoted to Instruction	31.1 %	49 %	49 %	49 %	52 %
* Percent of total expenditures (all sources) devoted to Research and Public Service	8.11 %	12 %	10 %	12 %	8 %
* Percent of total expenditures (all sources) devoted to Academic and Student Support	34.9 %	20 %	22 %	20 %	19 %
* Percent of total expenditures (all sources) devoted to all other programs (g)	25.8 %	19 %	19 %	19 %	21 %
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (h)	$9,062.00	$10,366.00	$10,739.00	$10,366.00	$10,366.00
* Percent of undergraduate students receiving some form of financial aid	83.9 %	95 %	89 %	95 %	99 %
* Percent of undergraduate students receiving grant or scholarship assistance	64.9 %	68 %	69 %	68 %	83 %
* Percent of undergraduate students receiving loan assistance	65 %	54 %	85 %	54 %	95 %
* Percent of undergraduate students receiving employment assistance	2.7 %	10 %	12 %	10 %	4 %
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	N/A
* Percent of total expenditures (all sources) devoted to all other programs: public university average	27.4 %	26.2 %	27.4 %	25.9 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income	30.6 %	31.46 %	N/A	N/A	N/A

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending and an allocation of University Administration which are excluded from some later measures in this report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Based on Fall enrollments.

(d) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2006 five years out (fiscal year 2011) and the class of 2003 nine years out (fiscal year 2012).

(e) Of those employed full-time or part-time.

(f) Institutions with high ratios of part-time students will have lower graduation rates.

(g) All other programs include institutional support, operations and maintenance of physical plant, Medicare, and independent operations, including student housing and food service.

(h) Entry-level tuition and fees. Includes refundable fees.

Governors State University

Mission Statement: Governors State University is committed to offering an exceptional and accessible education that imbues students with the knowledge, skills, and confidence to succeed in a global society. GSU is dedicated to creating an intellectually stimulating public square, serving as an economic catalyst for the region, and being a model of diversity and responsible citizenship.

Program Goals: Objectives:

1. Academic Excellence:
   Provide distinctive academic programs that effectively prepare students to become leaders and productive citizens in the global community.
   a. Increase the number of programs that are nationally recognized for providing a demonstrably excellent education to a diverse population. Promote best practices in multiple modes of course delivery. Incorporate international/global concepts into the appropriate curriculum areas to expand the knowledge, awareness, and experience of our students.
   b. Become the nation's model for an effective, integrative approach to undergraduate transfer between institutions of higher education. Create a special GSU Community College Team that works collaboratively with community college faculty and advisors to identify and implement best transfer and articulation practices. Strengthen our academic support for students needing assistance to succeed in their courses to improve retention and graduation rates. Increase to 1000 the number of students enrolled under dual admission agreements with partner community colleges. Create partnerships to increase freshman/sophomore programming on campus with community college delivery. Articulate how we have been successful in increasing diversity and share these best practices with the higher education community.
   c. Increase campus full time equivalent (FTE) students from 4,475 (41,794 student credit hours (SCH)) in Fall 2007 to 7,000 (65,376 SCH) by Fall 2014. Develop and implement an enrollment management plan for the entire university. Develop a long term plan to increase the percentage of undergraduates. Develop and implement new support programs that increase student retention while sustaining successful activities and programs already in place. Develop and implement a comprehensive marketing and promotion plan. Promote interdepartmental development and cross-curriculum collaboration to develop, strengthen, and sustain emerging program areas. Develop and implement plans that address the needs of residential students.
   d. Enhance and maintain high quality graduate programs while exploring opportunities for new program development.
2. High Quality Faculty and Staff:
   Provide students access to a highly qualified, motivated, and diverse faculty and staff.
   a. Develop and implement plans and processes to hire, retain, and reward faculty and staff of exceptional quality.
   b. Advance faculty and staff development to provide and support: Best pedagogic and professional practices; Scholarly and career development; Grant-related activities; Rewards for professional public service; and Increased use of technology.
   c. Reduce reliance on adjunct faculty.
   d. Increase the number of faculty and staff holding a terminal degree.
   e. Increase GSU's online course/program presence.
3. Continuous Process Improvement:
   Develop and sustain a climate of continuous improvement that is defined by evidence-based decision-making focused on enriching the student experience.
   a. Assess the quality of programs and services offered by all units in the university and use the findings for continuous improvement.
   b. Increase and refine the assessment of student learning to enhance academic program quality and curriculum development and revision.
   c. Continue to seek and attain specialized accreditation for all programs where available and appropriate, or require outside review of those programs where no specialized accreditation process exists.
   d. Increase the use of technology by faculty and staff to deliver high quality instruction and services.
   e. Develop and administer regular satisfaction surveys including, but not limited to applicants, current students, alumni, employers, and other stakeholders.
   f. Continue to build on past successes the university has made regarding diversity, which already exceed national averages in most areas. Increase community knowledge and awareness of diversity and acceptance of cultural differences. Increase faculty and staff diversity. Increase student diversity in a manner that is compatible with and reflective of the populations we serve. Internationalize campus to better serve students and expand opportunities.
4. Visibility, Outreach, and Economic Catalyst:
   Pursue initiatives that make GSU a preferred destination in the region, that create a vibrant public dialogue, and that increase the university's effectiveness as an economic catalyst in the region.
   a. Build regional community awareness of campus activities through effective outreach and communications programs. Increase community service projects that build connections to the university. Share expertise of the university with members of our regional community. Increase our external presence through media coverage and proactive engagement with journalists. Expand and promote university outreach.
      Establish the university as a recognized regional destination for conferences.
   b. Increase programming and promotion to include the wider community and to create a place for vibrant public dialogue. Increase use of campus assets such as The Center for Performing Arts, the Family Development Center, and the Nathan Manilow Sculpture Park. Create and expand collaborative relationships among all college's constituent groups. Offer non-credit programming. Use technology as a tool in GSU's efforts to create a virtual public square that serves our regional community. Ensure a safe and secure campus setting.
   c. Enrich the student experience at GSU. Be a model for high quality student services for adult learners. Develop a new student center that includes: Library facilities; Computing facilities; Small group spaces; Recreational facilities; and Bookstore and other retail options. Develop residential life opportunities.
   d. Expand the role of GSU in the regional network supporting economic development. Expand the role of Center Point services. Bring together education, business, and government to develop network of support services. Continue to support the growth and retention of business in the region. Develop business relationships that support students and academic programs and involve students in solving real world problems.
5. Social, Ethical, and Environmental Responsibility:
   Build an institution that is socially, ethically, and environmentally responsible.
   a. Increase outreach into the poorest areas of our region and increase service to those who are traditionally underserved by higher education.
   b. Ensure that ethics and social justice concepts are incorporated into program curricula.
   c. Create opportunities to offer institutional expertise to help solve regional problems.
   d. Provide regional leadership and serve as a model for sustainable development, minimization of global warming emissions, and maintenance and improvement of environmental quality.
   e. Develop a comprehensive, institutional action plan to achieve climate neutrality and fulfill the American College and University Presidents Climate Commitment.
   f. Become a model of sustainable construction and development, best land use practices, and best practices for storm water management that is consistent with the Illinois Sustainable University Compact.

6. Financial Growth and Sustainability:
   Diversify GSU's revenue streams to ensure resources that are necessary for institutional growth and fiscal sustainability.
   a. Develop and implement effective infrastructure and strategies to advance a relationship-based philanthropy model, resulting in increased donations to the Foundation.
   b. Systematically identify objectives and activities for sustainable unit-level advancement activities.
   c. Establish, support, and continuously assess the university's infrastructure for increased sponsored research activities among faculty and staff members.
   d. Pursue new financial opportunities and sources of revenue through increased contracts, grants, extramural funding, and diversified investment strategies.
   e. Maintain and expand governmental relations at both the state and federal levels to enable access to and opportunities for increased funding in support of the university's mission.
   f. Optimize future enrollment management strategies and adjustments to student tuition and fees to ensure an appropriate, sustainable balance with GSU's ongoing commitments to accessibility, affordability, and academic quality.

Source of Funds: Education Assistance Fund ***Statutory Authority:*** 110 ILCS 670

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$116,446.5	$125,000.0	$.0	$130,000.0	$.0
* Total expenditures - state appropriated funds (in thousands) (a)	$26,558.0	$26,253.4	$.0	$24,650.5	$.0
* Average monthly full-time equivalents (b,c)	884.7	888.2	0.0	886.6	0.0
* Total staff - all fund sources (b,c)	884.7	888.2	N/A	888.6	N/A
* Total staff - state appropriated fund sources (b,c)	599.8	597.7	N/A	603.5	N/A
Output Indicators					
* Undergraduate headcount enrollment (d)	3,159	3,116	N/A	3,164	N/A
* Total headcount enrollment (d)	5,564	5,541	N/A	5,609	N/A
* Percent: part-time student enrollment (d)	65.6 %	65.4 %	N/A	66.3 %	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Black	316.0	348.0	N/A	339.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: Hispanic	74.0	76.0	N/A	89.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: White	471.0	479.0	N/A	459.0	N/A
* Baccalaureate degree completions (number) by race/ethnicity: All Other	63.0	47.0	N/A	65.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Black	285.0	240.0	N/A	242.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: Hispanic	80.0	47.0	N/A	43.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: White	434.0	361.0	N/A	349.0	N/A
* Post-Baccalaureate degree completions (number) by race/ethnicity: All Other	109.0	58.0	N/A	91.0	N/A
* Baccalaureate degree completions (number) by gender: Male	303.0	304.0	N/A	301.0	N/A
* Baccalaureate degree completions (number) by gender: Female	621.0	646.0	N/A	649.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Male	282.0	196.0	N/A	190.0	N/A
* Post-Baccalaureate degree completions (number) by gender: Female	626.0	510.0	N/A	526.0	N/A
Outcome Indicators					
* Percent of graduates employed full-time (e)	68.3 %	71.9 %	N/A	70.1 %	N/A
* Percent of graduates employed part-time (e)	13.8 %	10.4 %	N/A	16.9 %	N/A
* Percent of graduates unemployed and not seeking employment (e)	3 %	8.5 %	N/A	7.8 %	N/A
* Percent of graduates employed full-time in a related field (e)	62.4 %	75.1 %	N/A	73.6 %	N/A
* Percent of graduates pursuing or earning a post- baccalaureate degree (e)	40.2 %	44.1 %	N/A	50 %	N/A
* Percent of graduates satisfied with career path preparation provided by the undergraduate educational experience (e)	89.5 %	86.1 %	N/A	88.1 %	N/A
* Percent of graduates satisfied with post-graduate employment (e,f)	84.8 %	81 %	N/A	89.4 %	N/A

Governors State University (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Efficiency/Cost-Effectiveness Indicators					
* Instructional cost per credit hour: all instructional levels (in dollars) (c)	$366.67	$407.57	N/A	$380.00	N/A
* Percent of total expenditures (all sources) devoted to Instruction	25.5 %	26.4 %	N/A	26.6 %	N/A
* Percent of total expenditures (all sources) devoted to Research and Public Service	10.4 %	5.5 %	N/A	7.1 %	N/A
* Percent of total expenditures (all sources) devoted to Academic and Student Support	45.4 %	50.1 %	N/A	47 %	N/A
* Percent of total expenditures (all sources) devoted to all other programs (g)	18.7 %	18 %	N/A	19.3 %	N/A
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours (in dollars) (h)	$8,746.00	$8,936.00	N/A	$9,116.00	N/A
* Percent of undergraduate students receiving some form of financial aid	87.2 %	93 %	N/A	96.4 %	N/A
* Percent of undergraduate students receiving grant or scholarship assistance	72.2 %	70.9 %	N/A	72.8 %	N/A
* Percent of undergraduate students receiving loan assistance	71.9 %	72.7 %	N/A	75.5 %	N/A
* Percent of undergraduate students receiving employment assistance	3.6 %	5.2 %	N/A	4.8 %	N/A
External Benchmarks					
* Instructional cost per credit hour: public university average, all instructional levels	$367.63	$384.80	N/A	N/A	N/A
* Percent of total expenditures (all sources) devoted to Instruction: public university average	25 %	25.1 %	25.5 %	25 %	0 %
* Percent of total expenditures (all sources) devoted to Research and Public Service: public university average	20.8 %	21.3 %	22 %	22.4 %	0 %
* Percent of total expenditures (all sources) devoted to Academic and Student Support: public university average	26.8 %	27.4 %	28 %	26.7 %	0 %
* Percent of total expenditures (all sources) devoted to all other programs: public university average	27.4 %	26.2 %	27.4 %	25.9 %	0 %
* Undergraduate tuition and mandatory fees: annual based on thirty credit hours, public university average (in dollars)	$11,783.44	$12,493.87	$13,204.30	$12,990.07	$13,343.13
* Undergraduate tuition and mandatory fees as a percentage of Illinois per capita disposable income	30.6 %	31.46 %	N/A	N/A	N/A
* Percent of undergraduate students receiving some form of financial aid: public university average	81.1 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving grant or scholarship assistance: public university average	32.8 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving loan assistance: public university average	49.9 %	N/A	N/A	N/A	N/A
* Percent of undergraduate students receiving employment assistance: public university average	6.5 %	N/A	N/A	N/A	N/A

Explanatory Information

Prior year data for Average monthly full-time equivalents, Total staff - all fund sources, Total staff - state appropriated fund sources, and Instructional cost per credit hour: all instructional levels has been corrected due to error.

Footnotes

(a) Total expenditures include expenditures from all appropriated funds (primarily general funds), as well as from restricted and non-appropriated fund sources. Expenditures here include appropriated capital spending and an allocation of University Administration which are excluded from some later measures in this report.

(b) Staff levels reference the number of staff years (a measure of staff effort over a twelve-month period) supported by respective fund sources as well as an allocation of University Administration staff. State appropriated fund sources include university income fund.

(c) Prior year data for Average monthly full-time equivalents, Total staff - all fund sources, Total staff - state appropriated fund sources, and Instructional cost per credit hour: all instructional levels has been corrected due to error.

(d) Based on Fall enrollments.

(e) Data regarding graduate employment, attainment, and career path preparation/satisfaction was obtained from the Illinois Board of Higher Education's Baccalaureate Follow-Up Survey. The Survey is conducted annually on a rolling cycle that surveys graduates one, five, and nine years after graduation. Data provided reflects the class of 2006 five years out (fiscal year 2011) and the class of 2003 nine years out (fiscal year 2012).

(f) Of those employed full-time or part-time.

(g) All other programs include institutional support, operations and maintenance of physical plant, Medicare, and independent operations, including student housing and food service.

(h) Entry-level tuition and fees. Includes refundable fees.

Illinois Student Assistance Commission
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Scholarship and Grant Programs	$447,113.9	53.0	$387,279.7	63.0
Student Loans	$227,697.5	144.0	$223,879.1	138.0
Outreach	$12,890.5	53.0	$11,894.0	29.0
Prepaid Tuition Program	$0.0	0.0	$2,447.8	19.0
Non-Reporting Programs				
Totals	$687,701.9	250.0	$625,500.6	249.0

Mission and Organization

The Illinois Student Assistance Commission (ISAC) was created in 1957 to ensure that financial considerations did not prevent Illinois students from realizing their postsecondary educational goals. More than 50 years later, that mission is being furthered through ISAC's support of the nationwide goal of college completion, "Increase to 60% the proportion of adults with a postsecondary credential by 2025." In conjunction, ISAC is working to increase to at least 45% the postsecondary completion rate of low-income Illinois students.

ISAC offers a comprehensive array of programs and services; acts as a centralized source of information and guidance; and administers most of the key state and federal grant, scholarship, and loan repayment/forgiveness programs available to postsecondary students in Illinois. Additionally the agency administers a prepaid tuition program, making ISAC a one-stop financial aid center, helping to ensure millions of dollars of aid are appropriately awarded to qualified applicants.

Illinois' primary grant program is the Monetary Award Program (MAP). In fiscal year 2013, MAP provided $370 million to more than 140,000 Illinois students for use in meeting tuition and fee costs at community colleges, public universities and private institutions. The effective fiscal year 2013 maximum MAP award was $4,720, representing about 37 percent of the fiscal year 2013 mean weighted tuition and fees of $12,732 at an Illinois public university. In fiscal year 2013, MAP awards were provided to about 20 percent of Illinois' approximately 680,000 in-state undergraduate students. The average taxable income of families eligible for fiscal year 2013 MAP grants was $21,195.

ISAC continues to explore providing Illinois students and families with low-interest educational loan programs that have reasonable repayment terms. Although it does not currently originate loans, ISAC has guaranteed billions in federally reinsured FFEL loans for qualified students and parents, manages an outstanding loan portfolio, and works with borrowers to avert loan default. ISAC continues to operate a secondary market for student loans, which was created in 1977 to ensure the continued availability of educational loan capital.

ISAC is heavily engaged in outreach activities intended to help families navigate the numerous steps of the financial aid delivery process and to make better choices in selecting the right school. Each year ISAC provides support to thousands of families at college fairs, workshops, and other outreach events. Numerous training sessions, which reach hundreds of high school counselors, advisors, and college financial aid administrators – partners in ISAC's mission - are conducted annually. Through ISAC's website (isac.org), families are guided through the college process, learning about "before college," "during college," and "after college" activities and procedures. With ISAC's web presence, students can be advised about the availability of financial aid programs, their potential eligibility, and the status of their application(s).

For over fifty years, students have been the number one priority at ISAC. Time has not altered or obscured ISAC's focus and has only served to sharpen it. College costs continue to rise and the need for a workforce with postsecondary training continues to grow. ISAC remains committed to college completion and to making higher education accessible and affordable for all Illinois students.

Scholarship and Grant Programs

Mission Statement: To reduce financial barriers to post-secondary education for students who might otherwise be prohibited from attending college and to assist specific segments of the Illinois population with college costs.

Program Goals: Objectives:

1. Provide equal educational opportunity to post-secondary education of Illinois citizens.
   a. Grant dollars awarded per full-time enrollment will increase at least as much as the mean weighted increase in tuition and fees.
   b. The administrative to program dollar ratio will not fall below a level sufficient to support the successful and timely delivery of program dollars to qualified recipients.
2. Improve college affordability for low and middle-income families.
   a. Need-based grant aid as a proportion of college costs will increase annually.
   b. The average constant dollar taxable income of Monetary Award Program (MAP) eligible students and families will increase annually.
3. Reward military service, provide for the families of those who have served the State of Illinois, and provide a recruitment incentive for the Illinois National Guard.
   a. The number of recipients in the Illinois Veteran Grant, Illinois National Guard Grant, Grant Program for the Dependents of Correctional Officers, and Grant Program for the Dependents of Police or Fire Officers will remain equal to 14,000 per year or increase.
4. Increase the number of teachers and workers in designated shortage fields.
   a. The number of students receiving scholarships to pursue careers in worker shortage areas will increase annually.

Source of Funds: General Revenue Fund, Student Loan Operating Fund ***Statutory Authority:*** 110 ILCS 947/35

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$453,287.3	$447,113.9	$418,267.7	$387,279.7	$406,054.1
* Total expenditures - state appropriated funds (in thousands)	$453,287.3	$447,113.9	$418,267.7	$387,279.7	$406,054.1
* Average monthly full-time equivalents	26.0	53.0	63.0	63.0	75.0
Output Indicators					
* Number of students receiving MAP awards	147,210	158,349	142,000	140,973	135,000
* Number of students benefitting from service programs	11,934	10,779	11,000	10,099	10,100
* Number of persons participating in teacher and worker shortage programs	1,220	1,215	1,250	1,274	1,270
* Number of applications filed	822,521	841,447	840,000	853,397	845,000
Outcome Indicators					
* Grant aid as a proportion of tuition and fees	23 %	20.5 %	20 %	19.6 %	19.6 %
* Grant aid per undergraduate full-time-equivalent student (in dollars)	$813.00	$847.00	$800.00	$728.00	$725.00
* Percentage of undergraduates at Illinois colleges receiving state need-based aid	24 %	24 %	24 %	20.7 %	20 %
* Average taxable income of families eligible for MAP grants (in dollars)	$23,375.00	$23,208.00	$23,000.00	$21,195.00	$21,200.00
External Benchmarks					
* National ranking of maximum award	14.0	14.0	14.0	15.0	15.0
* National ranking of need-based aid per undergraduate student	7.0	7.0	7.0	7.0	7.0
* National ranking of total grant dollars per population aged 18-24	17.0	16.0	16.0	16.0	16.0
* National ranking of student aid as a percentage of Higher Education budget	15.0	15.0	15.0	13.0	13.0
Efficiency/Cost-Effectiveness Indicators					
* Proportion of administrative spending per dollar awarded	1.2 %	1.7 %	1.7 %	1.9 %	1.9 %

Student Loans

Mission Statement: To counsel and educate delinquent borrowers on student loan repayment, resolve student loan debt issues, collect outstanding loan payments, and rehabilitate student borrower credit in order to ensure Illinois students continue to have access to student loans for financing college costs.

Program Goals: Objectives:

1. Explore different means for students and schools to apply for and receive loan funds from participating lenders.
   a. Borrowers will be satisfied with ISAC services.
2. Counsel and educate student borrowers who are delinquent on their loan payments.
3. Collect outstanding loan balances from defaulted borrowers.
   a. Collections on individual defaulted accounts will be optimized.
4. Explore provision of loan funds to students through institutions and the secondary market.

Source of Funds: Federal Student Loan Fund, Student Loan Operating Fund ***Statutory Authority:*** 110 ILCS 947/80

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$257,568.6	$227,697.5	$341,759.2	$223,879.1	$332,257.2
* Total expenditures - state appropriated funds (in thousands)	$257,568.6	$227,697.5	$341,759.2	$223,879.1	$332,257.2
* Average monthly full-time equivalents	179.0	144.0	170.0	138.0	164.0
Output Indicators					
* Total number of loans in guarantee portfolio	814,904	720,845	N/A	616,960	555,264
* Number of delinquencies resolved	79,689	73,106	N/A	59,063	60,000
* Dollar value of loans in guarantee portfolio (in millions)	$5,634.1	$5,272.8	$4,746.0	$4,746.4	$4,271.7
Outcome Indicators					
* Number of default claims	28,162	22,092	23,000	19,809	20,000
* Percent of delinquent accounts resolved	73.9 %	73.4 %	73 %	74.9 %	75 %
* Total value of default loan recoveries (in millions)	$100.3	$117.3	N/A	$125.2	$125.2
Efficiency/Cost-Effectiveness Indicators					
* Costs per dollar collected (in dollars) (a)	$0.08	$0.16	$0.16	$0.15	$0.15

Footnotes

(a) Difference in cost per dollar collected between 2011 and 2012 reflects inclusion of indirect costs.

Outreach

Mission Statement: To ensure that students and families have the information and support services they need to plan for their post-secondary education.

Program Goals: Objectives:

1. Increase awareness of college costs and aid availability among potential college students.
   a. The number of potential students and parents utilizing the Student Assistance Commission's website will increase annually.
2. Serve as single point of contact for Illinois citizens for information regarding student aid programs.
   a. An increased number of outreach activities will be conducted throughout Illinois to help students and families with college planning.
   b. Participation in outreach activities in non-traditional venues such as community-based organizations will be increased.

Source of Funds: Student Loan Operating Fund, Federal Student Incentive Trust Fund ***Statutory Authority:*** 110 ILCS 947/20

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands) (a)	$7,821.9	$12,890.5	$15,000.0	$11,894.0	$25,618.4
* Total expenditures - state appropriated funds (in thousands) (a)	$7,821.9	$12,890.5	$15,000.0	$11,894.0	$25,618.4
* Average monthly full-time equivalents	53.0	53.0	62.0	29.0	34.0
Output Indicators					
* Financial Aid Awareness Month workshop participants	4,998	6,319	6,300	6,183	6,300
* Number of training seminars	90.0	135.0	135.0	134.0	135.0
* Attendance at training seminars	2,615	3,456	3,500	2,192	3,000
* FAFSA completion workshops held	501.0	731.0	N/A	739.0	750.0
* Number of cities in which events were held	378.0	417.0	N/A	431.0	450.0
Outcome Indicators					
* Number of website visitors	944,078	1,060,269	1,000,000	934,707	1,000,000
* Requests for presentations	2,113	3,047	3,100	4,598	4,500
Efficiency/Cost-Effectiveness Indicators					
* Financial aid sessions per month	176.0	254.0	250.0	371.0	375.0
* Total Internet site page views per month	745,629	855,443	750,000	801,119	800,000

Footnotes

(a) Difference in Outreach expenditures between 2011 and 2012 directly related to College Access Challenge Grant expenditures as well as a change in methodology used to allocate indirect costs.

Prepaid Tuition Program

Mission Statement: To encourage and better enable Illinois families to finance the future cost of higher education in an affordable manner.

Program Goals: Objectives:

Source of Funds: Illinois Prepaid Tuition Trust Fund ***Statutory Authority:*** 110 ILCS 947/20

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$.0	$.0	$2,447.8	$3,000.0
* Total expenditures - state appropriated funds (in thousands)	$.0	$.0	$.0	$2,447.8	$3,000.0
* Average monthly full-time equivalents	0.0	0.0	0.0	19.0	22.0
Output Indicators					
* Website visits	185,326	87,114	0.0	73,372	90,460
Outcome Indicators					
* New contracts established	1,746	141.0	0.0	883.0	N/A
* Cumulative College Illinois! contracts purchased	70,164	70,305	0.0	71,188	N/A
* Purchased value of new contract sales (in millions)	$69.0	$6.5	$.0	$32.9	N/A
* Estimated years of college purchased	2,100	300.0	0.0	2,000	N/A
* Cumulative years of college purchased	209,700	210,000	0.0	212,000	N/A
* Total number of beneficiaries (students) who used benefits (annual)	8,866	9,934	0.0	10,873	N/A
* Amount paid to schools (annual) (in millions)	$71.9	$93.0	$.0	$103.6	N/A
External Benchmarks					
* College Illinois! national rank (total assets) among other prepaid tuition programs	4.0	4.0	0.0	4.0	N/A
Efficiency/Cost-Effectiveness Indicators					
* Total marketing cost per contract (in dollars)	$813.84	$0.00	$0.00	$1,796.83	N/A

Illinois Community College Board
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Illinois Community College Board	$404,303.6	49.0	$382,756.5	49.0
Non-Reporting Programs				
Totals	$404,303.6	49.0	$382,756.5	49.0

Mission and Organization

In 1965, the Illinois General Assembly established the Illinois Community College Board (ICCB) to create a system of public community colleges within easy reach of every resident. In carrying out its mission, the Illinois Community College Board affirms its commitment to provide leadership and direction to the community college system in ways that maximize local autonomy, and ensure that each local institution is allowed an equal chance of success.

The Illinois community college system:

• Covers the entire state and serves nearly one million individuals each year through credit and noncredit courses.

• Shares a common mission yet each college is unique because the communities they serve have unique needs.

• Is coordinated by the statewide Board which is comprised of citizens appointed by the Governor.

In thirty-nine districts, locally-elected Boards of Trustees set policies that guide colleges in achieving local and statewide goals. The City Colleges of Chicago is the remaining district which has a Board of Trustees appointed by the Mayor of Chicago.

Within the System, organized groups represent students, faculty, trustees, staff, administrators, and college presidents. All of these groups are actively involved in the decision-making process for the System.

Each Illinois Community College will continue to:

• Deliver accessible education and training to individuals of all ages and skill levels.

• Charge affordable tuition and fees.

• Enable students to earn the first two years of a bachelor's degree.

• Equip students with academic and technical skills for the workforce.

• Address business needs with customized workforce education and training programs.

• Promote lifelong learning.

• Empower students to live and work in an international economy and a multi-cultural society.

• Support diversity within community and college life.

• Embrace technology as a critical instructional tool.

• Eliminate barriers of distance, location, and time through distance-learning opportunities.

• Support homeland security and emergency response efforts.

• Maximize resources and use them effectively and efficiently.

• Collaborate within and among institutions to enhance and expand services and leverage resources.

• Validate results and achievements to the public.

Illinois Community College Board

Mission Statement: The Illinois Community College Board (ICCB) is the statewide coordinating board for the community college system. As identified in the system's strategic plan -- The Promise for Illinois Revisited -- its mission is to administer the Public Community College Act in a manner that maximizes the ability of community college districts to serve their communities, to promote system wide cooperation, and to accommodate State of Illinois initiatives that are appropriate for community colleges. As an integral part of the state's system of higher education, community colleges are committed to providing high quality, accessible, and cost-effective educational opportunities for the individuals and communities they serve. The Illinois community college system covers the entire state with 48 colleges and one multi-community college center in 39 community college districts. Community colleges serve nearly one million Illinois residents each year in credit and noncredit courses.

Program Goals: Objectives:

1. COMPETITIVE WORKFORCE -- Address workforce development needs with flexible, responsive and progressive programs.
2. EFFECTIVE TRANSITIONS -- Offer rigorous courses, programs, and services designed to enable students to transition from one learning environment and level to another.
3. ENHANCED ADULT EDUCATION -- Enhance Adult Education and Literacy programs necessary for individuals and families to allow them to have a high quality standard of living in Illinois.
4. SERVICES FOR STUDENT SUCCESS -- Provide programs and services to assist students to succeed in their educational endeavors.
5. HIGH QUALITY -- Emphasize high quality in all programs, services, and operations.
6. AFFORDABLE ACCESS -- Deliver accessible and affordable learning opportunities for all residents of Illinois.

Source of Funds: General Revenue Fund, Education Assistance Fund, ICCB Instructional Development and Enhancemnt Applications Fund, Capital Development Fund, SBE GED Testing Fund, Illinois Community College Board Contracts and Grants Fund, ICCB Federal Trust Fund, ICCB Adult Education Fund, Career and Technical Education Fund, Build Illinois Bond Fund

Statutory Authority: 110 ILCS 805

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$405,002.3	$404,303.6	$390,484.3	$382,756.5	$382,756.5
* Total expenditures - state appropriated funds (in thousands)	$405,002.3	$404,303.6	$390,484.3	$382,756.5	$382,756.5
* Average monthly full-time equivalents	48.0	49.0	50.0	49.0	48.0
* Teaching faculty employed in Illinois public community colleges, full-time equivalent staff, fall semester	11,313	11,606	11,606	11,456	11,456
* Administrative staff employed in Illinois public community colleges, full-time equivalent staff, fall semester	1,344	1,388	1,388	1,445	1,445
* Non-teaching professional staff employed in Illinois public community colleges, full-time equivalent staff, fall semester	5,342	5,388	5,388	5,528	5,528
* Civil service/classified staff employed in Illinois public community colleges, full-time equivalent staff, fall semester	6,361	6,312	6,312	6,254	6,254
Output Indicators					
* Fall term headcount enrollment: community college system total	379,736	372,566	372,566	358,562	358,562
* Twelve-Month Unduplicated Headcount: all students enrolled for credit, occupational and vocational programs, annual	730,335	716,797	716,797	713,396	713,396
* Percentage of college students seeking a degree or certificate	52.3 %	54 %	54 %	53.1 %	53.1 %
* Twelve-Month Unduplicated Headcount: all students enrolled in non-credit courses, annual	254,675	233,022	233,022	198,622	198,622
* Pre-Baccalaureate degree completions (number) by race/ethnicity: African American	8,427	8,381	8,381	8,380	8,380
* Pre-Baccalaureate degree completions (number) by race/ethnicity: Latino	5,633	6,121	6,121	7,274	7,274
* Pre-Baccalaureate degree completions (number) by race/ethnicity: White	38,015	41,316	41,316	42,439	42,439
* Pre-Baccalaureate degree completions (number) by race/ethnicity: All Other	4,809	5,720	5,720	6,153	6,153
* Pre-Baccalaureate degree completions (number) by gender: Male	24,620	26,625	26,625	28,184	28,184
* Pre-Baccalaureate degree completions (number) by gender: Female	32,264	34,913	34,913	36,062	36,062
Outcome Indicators					
* Number of businesses provided technical assistance through Community College Business and Industry Centers, annual	9,771	9,647	9,647	10,200	10,200

Illinois Community College Board (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Number of persons receiving technical assistance through Community College Business and Industry Centers, annual	191,725	189,005	189,005	190,989	190,989
* Percent of degree/certificate recipients employed or enrolled in further education within one year of graduation (average)	89.4 %	84.2 %	84.2 %	89.9 %	89.9 %
* Institutional pass rate: National Council Licensure Exam (NCLEX) - Nursing (RN/ADN)	86.3 %	89.3 %	89.3 %	90.2 %	90.2 %
* Institutional pass rate: Dental Hygiene certification exam	98.4 %	96.5 %	96.5 %	97.6 %	97.6 %
* Institutional pass rate: Radiologic Technology	94.6 %	93.2 %	93.2 %	97.2 %	97.2 %
* Institutional pass rate: Emergency Medical Technician certification exam	86 %	85.4 %	85.4 %	88.8 %	88.8 %
* Three-year graduation, transfer, retained rate: first time, full time freshmen	65.2 %	60.3 %	60.3 %	58.9 %	58.9 %
External Benchmarks					
* National pass rate: National Council Licensure Exam (NCLEX) - Nursing (RN/ADN)	86.5 %	87 %	87 %	89.3 %	89.3 %
* National pass rate: Dental Hygiene certification exam	96.2 %	94.8 %	94.8 %	95.8 %	95.8 %
* National pass rate: Radiologic Technology	92.4 %	92.7 %	92.7 %	93 %	93 %
* National pass rate: Emergency Medical Technician certification exam	67 %	70 %	70 %	72 %	72 %
Efficiency/Cost-Effectiveness Indicators					
* Percent of total expenditures (all sources) devoted to Instruction	25 %	25 %	25 %	25 %	25 %
* Percent of total expenditures (all sources) devoted to Public Service and Organized Research	3 %	3 %	3 %	3 %	3 %
* Percent of total expenditures (all sources) devoted to Academic and Student Support	11 %	11 %	11 %	11 %	11 %
* Percent of total expenditures (all sources) devoted to All Other programs	61 %	61 %	61 %	61 %	61 %
* Tuition and mandatory fees: annual, statewide high based on 30 credit hours (in dollars)	$4,035.00	$4,140.00	$4,140.00	$4,140.00	$4,140.00
* Tuition and mandatory fees: annual, statewide low based on 30 credit hours (in dollars)	$2,272.50	$2,505.60	$2,670.00	$2,670.00	$2,670.00
External Benchmarks					
* Tuition and mandatory fees: annual, statewide average based on 30 credit hours (in dollars)	$2,948.00	$3,116.85	$3,249.39	$3,236.85	$3,236.85
* Tuition and mandatory fees as a percentage of Illinois Per Capita Disposable Income	8.3 %	7.9 %	7.9 %	7.9 %	7.9 %
* Instructional cost per credit hour: statewide average (in dollars)	$114.27	$114.27	$114.27	$114.27	$114.27

ILLINOIS MATHEMATICS AND SCIENCE ACADEMY

Illinois Mathematics and Science Academy
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Illinois Mathematics and Science Academy	$19,497.3	229.0	$19,583.6	228.0
Non-Reporting Programs				
Totals	$19,497.3	229.0	$19,583.6	228.0

Mission and Organization

The internationally recognized Illinois Mathematics and Science Academy® (IMSA) develops creative, ethical leaders in science, technology, engineering and mathematics. As a teaching and learning laboratory created by the State of Illinois, IMSA enrolls academically talented Illinois students (grades 10-12) in its advanced, residential college preparatory program, and it serves thousands of educators and students in Illinois and beyond through innovative instructional programs that foster imagination and inquiry. IMSA also advances education through research, groundbreaking ventures and strategic partnerships.

• Student Inquiry and Research (SIR) is an integral part of the academic program that enables students to work independently with peers, prominent scholars, and world-renowned scientists to pursue their own compelling questions of interest, conduct research, or invent products.

• Members of the class of 2013 won gold in the International Mathematics Olympiad, received an outstanding award at the High School Mathematical Contest in Modeling (HiMCM), and captured the IHSA Scholastic Bowl State Championship for the third year in a row. They also received recognition from national and international competitions including the Intel Science Talent Search, Illinois Council of Teachers of Mathematics Contest, Siemens Award Competition, Harvard/MIT Math Tournament, and the National Hispanic Recognition Program.

• IMSA FUSION, which works with underrepresented students, was recognized by Bayer Corporation and Change the Equation as one of the nation's top STEM (science, technology, engineering and math) programs.

• IMSA Alumni include co-founders of YouTube, PayPal, Netscape, and Yelp, as well as technology experts at Google, Microsoft, and Facebook. They are also doctors leading breakthroughs in neurological disorders and cancer treatments and scientists at national laboratories. They are leaders in education at Harvard, MIT, the University of Illinois, and other prestigious universities, recent Rhodes scholarship winners and Fulbright grantees.

• The Academy delivers statewide professional development programs to teachers and enrichment programs to students in grades 3-12 at the Aurora campus and throughout Illinois, integrating science, mathematics and engineering with the use of technology. IMSA has three field offices, located in Chicago, the Metro East region, and Rock Island, that offer inquiry-based, hands-on programs to Illinois teachers and students. Since 1997, IMSA has served more than 86,582 students in its student outreach programs and more than 23,344 educators in its educator programs.

• The IMSA Problem-Based Learning Network customizes professional development for K-16 teachers in problem-based learning (PBL), which engages students in a deep understanding of STEM concepts through relevant, authentic problems.

Illinois Mathematics and Science Academy

Mission Statement: The mission of IMSA, the world's leading teaching and learning laboratory for imagination and inquiry, is to ignite and nurture creative, ethical scientific minds that advance the human condition, through a system distinguished by profound questions, collaborative relationships, personalized experiential learning, global networking, generative use of technology and pioneering outreach.

Program Goals: Objectives:

1. All learners achieve their personal aspirations and explore their potential to contribute to the common good.
2. Each IMSA graduate will live a life of exemplary service to humanity.
3. Educators use teaching and learning strategies grounded in imagination and inquiry.

Source of Funds: Education Assistance Fund, IMSA Special Purposes Trust Fund, Illinois Mathematics and Science Academy Income Fund, Operating Fund-IMSA

Statutory Authority: 105 ILCS 305

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$20,961.0	$21,870.0	$22,209.0	$21,259.0	$22,504.0
* Total expenditures - state appropriated funds (in thousands)	$19,379.4	$19,497.3	$20,748.0	$19,583.6	$21,496.0
* Average monthly full-time equivalents	228.0	229.0	229.0	228.0	229.0
Output Indicators					
* ALL STUDENTS: applications received	657.0	709.0	662.0	642.0	659.0
* ALL STUDENTS: invitations extended	242.0	242.0	244.0	241.0	244.0
* ALL STUDENTS: number enrolled	224.0	230.0	230.0	236.0	231.0
* ALL STUDENTS: percent of enrolled students graduating IMSA	81.6 %	86.7 %	86.4 %	85.3 %	86.3 %
* UNDERREPRESENTED POPULATIONS: applications received	132.0	133.0	118.0	104.0	118.0
* UNDERREPRESENTED POPULATIONS: invitations extended	39.0	49.0	43.0	47.0	44.0
* UNDERREPRESENTED POPULATIONS: number enrolled	39.0	47.0	36.0	44.0	38.0
* UNDERREPRESENTED POPULATIONS: percent of enrolled students graduating IMSA	71.4 %	80.4 %	81.9 %	69.2 %	80.6 %
* Illinois Student Programs: participating students (a)	771.0	1,227	831.0	1,564	907.0
* Illinois Educator Programs: participating educators (b)	605.0	446.0	463.0	507.0	468.0
* Illinois Educator Programs: participating schools (c)	199.0	134.0	173.0	139.0	165.0
* Illinois School-based Systemic Programs: participating students (d)	1,935	2,320	N/A	2,646	N/A
* Illinois School-based Systemic Programs: participating schools	66.0	96.0	N/A	96.0	N/A
* Illinois School-based Systemic Programs: participating educators	172.0	281.0	N/A	306.0	N/A
* Illinois Student Enrichment Events: participating students (e)	7,375	7,692	6,083	1,704	N/A
* Illinois Student Enrichment Events: participating schools (e)	361.0	427.0	423.0	55.0	N/A
* Admissions Office: student participants in outreach programs attracting underrepresented student populations (f)	785.0	1,061	872.0	839.0	539.0
* IMSA Research: dollar value of external grants and contracts awarded (in thousands)	$986.4	$1,368.4	N/A	$1,043.6	N/A
* IMSA Participant Fees and Contracts (in thousands)	$606.8	$781.9	N/A	$803.0	N/A
* Field Offices operated by Office of Professional Field Services	3.0	3.0	3.0	3.0	3.0
Outcome Indicators					
* Student performance: exiting SAT Mathematics score, IMSA average	717.0	718.9	N/A	721.3	N/A
* Student performance: exiting SAT score, IMSA average	2,029	2,043	N/A	2,076	N/A
* Student performance: exiting ACT Mathematics score, IMSA average	32.0	33.0	N/A	32.7	N/A
* Student performance: exiting ACT composite score, IMSA average	31.1	31.8	N/A	31.9	N/A
* Student reported: percent of graduates enrolling in four-year college	98.9 %	98.6 %	N/A	99 %	N/A
* Student reported: percent of graduates enrolling in college in a math or science major	72.7 %	82.1 %	N/A	81.3 %	N/A
* Student reported: percent of graduates enrolling at an Illinois college	44.7 %	37.7 %	N/A	43.5 %	N/A

Illinois Mathematics and Science Academy (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
External Benchmarks					
* Student performance: SAT Mathematics score for high school graduates, statewide average	617.0	615.0	N/A	617.0	N/A
* Student performance: SAT score for high school graduates, statewide average	1,807	1,798	N/A	1,807	N/A
* Student performance: ACT Mathematics score for high school students, statewide average	20.9	21.0	N/A	20.7	N/A
* Student performance: composite ACT score for high school students, statewide average	20.9	20.9	N/A	20.6	N/A
* Student performance comparator schools: mean ACT Mathematics score (g)	26.2	26.6	N/A	26.7	N/A
* Student performance comparator schools: mean composite ACT score (g)	25.9	26.2	N/A	26.3	N/A
Efficiency/Cost-Effectiveness Indicators					
* Operational cost per student per year - excludes residential (h)	$17,805.00	$17,905.00	$18,027.00	N/A	N/A
* Operational cost per student per year - includes residential (h)	$22,970.00	$22,837.00	$23,212.00	N/A	N/A
* Instruction/Academic Support programs: expenditures as a percentage of total expenditures (h)	62 %	60 %	66 %	60 %	62 %
* Academy operational cost per student per year, all funds -- excludes residential (in dollars) (h)	$20,000.00	$19,600.00	N/A	$19,700.00	$21,450.00
* Academy operational cost per student per year, all funds -- includes residential (in dollars) (h)	$28,000.00	$26,900.00	N/A	$26,900.00	$28,450.00
External Benchmarks					
* Operational cost per student per year, comparator schools (i)	$18,167.48	$18,880.02	N/A	N/A	N/A
* Operational cost per student per year, statewide average, high schools (i)	$14,680.96	$15,138.22	N/A	N/A	N/A

Explanatory Information

Figures for fiscal year 2011 and 2012 exiting SAT scores were adjusted after the removal of non-IMSA graduates from the data received from the College Board.

External ACT benchmarks for fiscal year 2011 and 2012 were adjusted to reflect performance only of high school districts: one unified district was removed from the group to maintain consistency in the budget comparisons.

Footnotes

(a) Program included in this figure is IMSA Statewide Student Initiatives.

(b) Programs included in this figure are Problem Based Learning, Statewide Educator Initiatives, Teacher Candidate Institutes, and professional development delivered through the Chicago professional field office.

(c) Programs included in this figure are Problem Based Learning, Statewide Educator Initiatives, Teacher Candidate Institutes, and professional development delivered through the Chicago professional field office; includes districts and ROEs.

(d) Program included in this figure is IMSA FUSION.

(e) Programs included in this figure are Mathematics Inquiry Workshops, Science Inquiry Workshops, and STEM Webinars. IMSA on Wheels and CyberQuiz 4 Kids were discontinued in fiscal year 2013.

(f) Admissions office programs included in this figure are Summer Enrichment for Academics in Mathematics and Science (SEAMS), Early Involvement Program (EIP), Project School Visit (PSV), and Leading Students to Success (LS2S).

(g) Comparator schools are the ten highest performing suburban high school districts according to the 2008 ISAT mathematics scores reported by the Illinois State Board of Education (ISBE).

(h) In fiscal year 2013, operating expenses for academy programs were reclassified in response to operating and program changes over the past 10 years. These changes better reflect current programs and operations. Operational costs for fiscal years 2011 and 2012 have been calculated using the new classifications; prior reported figures are being left in the report this year for continuity in reporting.

(i) Statistical reporting by ISBE on school expenditures occurs in the year following the closure of the reported fiscal year. The most recent data available at the time of this report is for fiscal year 2012 expenditures.

STATE UNIVERSITIES CIVIL SERVICE SYSTEM

State Universities Civil Service System
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Civil Service System for Higher Education	$1,175.8	16.0	$1,086.8	13.0
Non-Reporting Programs				
Totals	$1,175.8	16.0	$1,086.8	13.0

Mission and Organization

The State Universities Civil Service System (University System) is empowered by statute through the University Civil Service Merit Board to develop, maintain, and administer a comprehensive and efficient program of human resource administration for the higher education community, most specifically related to the employment relationship with their auxiliary and support staff positions. In accomplishing this task, the University System has developed a comprehensive set of administrative rules and procedures, which effectively facilitates the human resource administration at each employment location. Our mission is to champion excellence in education and auxiliary programs by providing a comprehensive foundation of human resource practices and standards that facilitate the recruitment, retention, and development of a quality staff in support of the teaching and research mission of each university/agency. Recognizing that the overall student educational experience is significantly impacted by supporting staff and programs, we strive to create human resource programs that set the standard for excellence, quality, and efficiency.

Among its many responsibilities, the University System provides direct guidance and support services to universities/agencies in such areas as employment, pre-employment examinations, classification plan management, salary administration, compliance audit reviews, disciplinary procedures, administrative appeals, and other human resource activities most specifically related to their professional (non-academic), technical, and support staff. Direct oversight authority spans across approximately 55,000 employees, including 25,016 civil service employees. Classification plan management activities span across a comprehensive system of around 1,100 individual job classifications. The agency has oversight authority over thousands of basic employment and personnel transactions performed through the various university/agency human resource offices throughout the system.

Our biggest challenge at this point is to review and modernize our business operations and policies to incorporate many new 'best practice' models of human resource administration and to introduce those programs in a collaborative constituency environment. To a large degree, we are attempting to change the cultural perspectives surrounding our business operations and this has proved to be a difficult task in some respects.

However, we have progressed significantly over the past few years in achieving some recognized goals and have introduced programs that have made the University System business operations much more efficient, effective, and customer oriented. These programs have not only positively impacted our agency, but have also positively impacted each and every employment location. More significant progress in terms of efficiency and customer service is predicted for the very near future.

Civil Service System for Higher Education

Mission Statement: The State Universities Civil Service System strives to champion excellence in education and auxiliary programs by providing a comprehensive foundation of human resource practices and standards that facilitate the recruitment, retention, and development of a quality staff, in support of the teaching and research mission of each university and affiliated agency. We are committed to providing an environment of equal opportunity and access to all services and thereby establishing a foundation for each university/agency to fulfill their mission and each individual to reach their potential. We endeavor to build a quality of life that sets the standard for the nation.

Program Goals: Objectives:

1. To develop, administer, and maintain a comprehensive program of human resource administration at state supported institutions of higher education and at certain allied agencies, in accordance with statutory and legal requirements.
   a. To efficiently and effectively manage a comprehensive classification plan and related employment protocols.
   b. To effectively maintain and provide interpretation of Act, Code, policies and procedures.
   c. To coordinate and manage formalized complaint and appeal process.
   d. To provide a wide array of constituency services, including training, coordination of advisory committees, board relations, and employer/employee relations.
   e. Coordinate development and implementation of new customer service initiatives and business procedures.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 110 ILCS 70/36b et seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,134.9	$1,175.8	$1,205.0	$1,086.8	$1,205.0
* Total expenditures - state appropriated funds (in thousands)	$1,134.9	$1,175.8	$1,205.0	$1,086.8	$1,205.0
* Average monthly full-time equivalents	13.0	16.0	15.0	13.0	14.0
Output Indicators					
* Discharge/demotion/appeals filed	70.0	58.0	65.0	59.0	65.0
* Position audit appeals	9.0	12.0	15.0	5.0	5.0
* Job analysis conducted (C-JASI) (a,b)	29.0	207.0	250.0	210.0	200.0
* Examinations administered	25,545	33,125	27,000	31,288	30,000
* Salary data system transactions	1,773	2,651	2,500	3,375	2,750
* Customer relations website interactions	333,966	314,556	350,000	319,762	350,000
* Personnel transactions audited (c)	156,875	90,324	123,120	130,046	100,000
* Classifications/examinations new, reviewed/revised, or deleted	124.0	91.0	100.0	161.0	125.0
* Other personnel transactions	319.0	480.0	500.0	446.0	450.0
* Police testing/applicants processed	66.0	57.0	60.0	38.0	50.0
Outcome Indicators					
* Appeals-hearings administered	18.0	10.0	17.0	17.0	17.0
* Examination pass percentage	84.7 %	83.7 %	85 %	86 %	88 %
* Total classifications managed	1,136	1,086	1,000	1,074	1,070
* Compliance audits conducted	12.0	10.0	10.0	11.0	10.0
* Employees served	56,070	55,212	55,000	55,008	55,000
* Elongated oral boards conducted	15.0	7.0	8.0	7.0	10.0
Efficiency/Cost-Effectiveness Indicators					
* Percentage of web-based examinations	51 %	51 %	55 %	64 %	65 %

Footnotes

(a) C-JASI, Computerized Job Analysis Instrument.

(b) In fiscal year 2011, the Agency concentrated on transition of paper-based processes related to current class exam instruments vs. new in-depth complete revisions of class exam instruments.

(c) Beginning in fiscal year 2011, the Agency included other additional appropriate personnel transactions into the pool as counted to give a more complete picture of agency activity in this respect.

PART 1: INFRASTRUCTURE

Infrastructure Expenditures
(Appropriated Spending in Thousands)

Agency	FY 2012 Expenditures	FY 2013 Expenditures	Percent Change
Department of Transportation	$5,646,112.7	$5,475,761.2	-3.0%
Illinois State Toll Highway Authority	$0.0	$0.0	N/A
TOTAL	**$5,646,112.7**	**$5,475,761.2**	**-3.0%**

Department of Transportation
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Highway Construction	$3,837,952.6	2,746.0	$3,614,385.7	3,168.0
Public Transportation	$919,194.3	26.0	$1,012,705.1	28.0
Roadway Maintenance/Repair	$492,019.0	2,211.0	$468,939.9	2,293.0
Rail	$242,736.5	8.0	$216,211.1	12.0
Aeronautics	$102,771.8	70.0	$116,031.9	73.0
Traffic Safety	$51,438.5	139.0	$47,487.5	148.0
Non-Reporting Programs				
Totals	$5,646,112.7	5,200.0	$5,475,761.2	5,722.0

Mission and Organization

The accompanying performance report for the Illinois Department of Transportation (IDOT) outlines and encompasses the performance of the entire agency as defined among six program categories: Aeronautics, Highway Construction, Public Transportation, Rail, Roadway Maintenance, and Traffic Safety.

The six programs all support the ongoing mission of IDOT: To provide safe, cost-effective transportation for Illinois in ways that enhance quality of life, promote economic prosperity and demonstrate respect for our environment.

Goals and objectives outlined in the report are designed to meet IDOT's statutory mandates and performance expectations under state and federal law, including requirements for all four modal divisions – Aeronautics, Highways, Public and Intermodal Transportation, and Traffic Safety. The Division of Highways is divided into two programs, representing construction and roadway maintenance responsibilities. The Division of Public and Intermodal Transportation is divided into two programs, representing public transit and rail responsibilities.

More than 65 percent of the state's transportation-related resources for the highway program are directed to maintain and improve the state system of highways and bridges. The department is responsible for more than 16,000 highway miles, including 1,890 miles of Interstate highways and nearly 7,800 bridges. The IDOT highway construction program in fiscal year 2013 totaled about $2.5 billion and helped create or support thousands of private-sector jobs in construction and related categories across the state.

Fiscal year 2013 outcomes show that IDOT's highway construction and maintenance activities continue to ensure that 82 percent of state roads and about 93 percent of state bridges are rated in acceptable condition. The department's goal is to keep at least 93 percent of state bridges in acceptable condition or better, and at least 85 percent of state roadway pavements in acceptable condition or better. IDOT in 2013 improved more than 660 miles of pavement and more than 180 bridges, and accomplished more than 150 specific highway safety improvements. Performance success during fiscal year 2013 was a direct result of additional program funding from a state capital program, Illinois Jobs Now!, enacted in June 2009 to provide nearly $14 billion in transportation funding overall through 2015.

Pavement condition is measured through the use of the state's Condition Rating Survey and a computerized estimate of deterioration. This rating system takes into account factors such as pavement roughness, rutting and faulting and incor-

porates predominant pavement distresses. The average rating for all state highways in fiscal year 2013 placed the state's overall road system in the "good" descriptive category.

Illinois motorists, in an annual survey for 2013, gave IDOT strong approval ratings for its work overall. These survey ratings in individual service categories translate to about 56 percent of motorists describing IDOT as doing a "good" or "excellent" job overall, while a total of 95 percent of motorists say IDOT is doing a "fair" to "excellent" job overall. About 67 percent gave IDOT "good" or "excellent" ratings on snow and ice control, and 64 percent rated IDOT employees "good" or "excellent" for their conduct on the job. In addition, a total of 66 percent of motorists said they trust IDOT "most of the time" or "just about always" to do the right thing on transportation issues.

IDOT's support-service goals in areas such as aeronautics, rail and public transportation target the efficient delivery of state and federal transportation grants and other funds to eligible local and regional service providers across Illinois. Outcome indicators for these areas show generally strong levels of service regarding the availability, reliability and public use of airports, passenger rail and public transit services.

IDOT also promotes highway safety through programs providing extra enforcement and educational activities to encourage safe driving and the use of safety belts and child safety seats, and to discourage driving under the influence of alcohol and drugs. The year 2013 is expected to mark the fifth straight year in which Illinois traffic fatalities totaled less than 1,000, and the first time that has happened in more than 90 years.

Challenges for the future primarily center on ensuring the consistency and growth of resources to meet increasing statewide transportation needs. These include the federal discussion of future revenues going to states for surface transportation improvements, along with the Illinois challenge to provide sufficient state resources to match all available federal revenues and continue the statewide effort to rebuild and preserve the state transportation system, including roads, bridges, transit, rail and aviation facilities.

Highway Construction

Mission Statement: The mission of the Division of Highways is to plan, design, construct and maintain a safe highway system with a diverse and professional work force, within available resources, and to the highest nationwide standards for all of the citizens of Illinois.

Program Goals: Objectives:

1. To preserve and modernize the Illinois highway system to make it safe and efficient for motorists.
   a. To utilize available resources to ensure that at least 85 percent of Illinois state highways and 93 percent of state bridges are in acceptable condition or better.
   b. To use cost-efficient, effective and creative procedures and technologies to design and construct high-quality roads and bridges that will last longer and serve users satisfactorily.
   c. To provide professional, courteous and service-oriented performance by coordinating with state, regional and local stakeholders, communicating better with highway users, and annually seeking feedback to measure motorist satisfaction and further improve service.
   d. To coordinate with trade associations to develop better standards and policies for safe, cost-effective roads and bridges.
   e. To place under contract at least 85% of the annual construction program by the end of the program year.
2. To improve highway infrastructure to help communities and regions provide for economic prosperity and jobs.
   a. To coordinate with elected officials, the public, local governments and agencies in programming and developing improvements by: 1) Helping to research, understand and outline area priorities; 2) Helping to evaluate public opinion on target priorities; 3) Helping to seek and develop funding for local and regional priorities.

Source of Funds: General Revenue Fund, Road Fund, Motor Fuel Tax - State Fund, Grade Crossing Protection Fund, Capital Development Fund, Transportation Bond Series A Fund, State Construction Account Fund, Build Illinois Bond Fund

Statutory Authority: 605 ILCS 5/1-101;30 ILCS 500

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$4,063,166.7	$3,837,952.6	$4,083,938.5	$3,614,385.7	$5,225,546.5
* Total expenditures - state appropriated funds (in thousands)	$4,063,166.7	$3,837,952.6	$4,083,938.5	$3,614,385.7	$5,225,546.5
* Average monthly full-time equivalents	2,741.0	2,746.0	2,840.0	3,168.0	2,842.0
* State construction capital program expenditures (in thousands)	$2,955,925.2	$2,696,411.4	$3,279,641.2	$2,468,464.5	$4,238,595.3
Output Indicators					
* Highway safety improvements accomplished	239.0	189.0	79.0	158.0	77.0
* Miles of pavement maintained/improved	931.0	921.0	379.0	661.0	599.0
* Number of bridges maintained/improved	263.0	262.0	162.0	183.0	226.0
* Percent of annual program under contract	78.7 %	79.5 %	80 %	85.2 %	85 %
Outcome Indicators					
* Percent of state roads in acceptable condition (a)	88 %	85 %	90 %	82 %	85 %
* Percent of roads in need of repair (b)	12 %	15 %	10 %	18 %	15 %
* Percent of bridges in acceptable condition (c)	92 %	92 %	93 %	93 %	93 %
* Percent of bridges in need of repair (d)	8 %	8 %	7 %	7 %	7 %
* Illinois motorist survey rating of overall job IDOT is doing (e)	3.5	3.5	3.6	3.6	3.7
* Illinois motorist survey rating of IDOT road repair and construction overall (e)	3.3	3.4	3.5	3.3	3.4
* Illinois motorist survey rating of IDOT employees	3.8	3.8	3.9	3.6	3.7
Efficiency/Cost-Effectiveness Indicators					
* Construction investment per lane mile of state-controlled road (Ill.) (in dollars)	$68,925.00	$62,890.00	$76,493.00	$57,406.00	$98,572.00
* Construction investment per 1,000 Illinois vehicle miles traveled (VMT) (in dollars)	$27.95	$26.09	$31.72	$23.35	$39.99
External Benchmarks					
* U.S. construction investment per lane mile of state-controlled highway (in dollars) (f)	$35,482.00	$44,610.00	N/A	$40,909.00	N/A
* U.S. construction investment per 1,000 vehicle miles traveled (VMT) (in dollars) (f)	$29.46	$30.57	N/A	$30.00	N/A

Explanatory Information

Lane-miles of state-controlled highways in Illinois, not including local roads and streets (2012) - 43,000; Illinois Vehicle Miles Traveled (VMT, 000s, 2012) - 105,700,000; US Capital Road Construction, not including local road funds (000s, 2011) - $90,627,000; Lane-miles of state-controlled highways in U.S., not including local roads and streets (2011) - 2,031,542; U.S. Vehicle Miles Traveled (VMT, 000s, 2011) - 2,964,720; (Source of U.S. Data: FHWA, Highways Statistics, 2011)

Footnotes

(a) The target of 85% for roads in acceptable condition represents the minimum acceptable for condition ratings in Illinois overall.

(b) The target of 15% for roads in need of repair represents the maximum acceptable for condition ratings in Illinois overall.

(c) The target of 93% for bridges in acceptable condition represents the minimum acceptable for condition ratings in Illinois overall.

(d) The target of 7% for bridges in need of repair represents the maximum acceptable for condition ratings in Illinois overall.

(e) Illinois annual motorist survey ratings are based on a scale of 1.0 to 5.0: 1.0-1.7 = Very Poor; 1.8-2.5 = Poor; 2.6-3.3 = Fair; 3.4-4.1 = Good; 4.2-5.0 = Excellent

(f) Actuals for the fiscal years 2011 and 2012 reflect U.S. data from calendar years 2010 and 2011 respectively, the latest federal data available for all states, and are updated for accuracy in subsequent years. The measure for fiscal year 2013 is a projection based on latest data available.

Public Transportation

Mission Statement: To provide support for eligible local and regional agencies operating public transportation services for customers in Northeast Illinois and urban downstate Illinois communities.

Program Goals: Objectives:

1. To improve transit customer service, safety and convenience.
   a. To provide grant funds and support for local agencies to replace or rehabilitate rolling stock within the program year.
   b. To provide grant funds and support for track and structure improvements within the program year.
   c. To provide grant funds and support for station improvements within the program year.
2. To improve transit services in rural areas.
   a. To provide support for rural transit agencies needing technical repair assistance within the program year.

Source of Funds: General Revenue Fund, Transportation Bond Series B Fund, Public Transportation Fund, Downstate Public Transportation Fund, Metro-East Public Transportation Fund, Federal Mass Transit Trust Fund, Build Illinois Fund

Statutory Authority: 30 ILCS 740; 20 ILCS 2705/49.1

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$768,807.8	$919,194.3	$1,146,717.2	$1,012,705.1	$1,429,390.2
* Total expenditures - state appropriated funds (in thousands)	$768,807.8	$919,194.3	$1,146,717.2	$1,012,705.1	$1,429,390.2
* Average monthly full-time equivalents	24.0	26.0	34.0	28.0	34.0
Output Indicators					
* Capital/operating expenditures (Northeast Illinois) (in thousands)	$617,709.5	$753,004.7	$960,000.0	$835,915.6	$1,172,100.0
* Capital/operating expenditures (Downstate Illinois) (in thousands)	$151,098.3	$166,189.5	$186,717.2	$176,789.4	$257,290.2
* Percent of annual program complete	95 %	95 %	95 %	95 %	95 %
Outcome Indicators					
* Overall bus and rail ridership (Northeast Illinois, millions) (a)	604.1	711.6	720.0	659.1	700.0
* Bus ridership (Northeast Illinois, millions) (a)	341.2	400.0	405.0	377.9	400.0
* Rail ridership (Northeast Illinois, millions) (a)	262.9	311.6	315.0	281.2	300.0
* Bus ridership (Downstate Illinois, millions) (a)	37.1	40.7	47.0	41.9	42.0
* Rail-car miles (Northeast Illinois, millions)	105.2	115.8	120.0	124.7	130.0
* Bus miles (Northeast Illinois, millions)	130.0	154.8	160.0	155.0	160.0
* Bus miles (Downstate Illinois, millions)	43.5	44.5	115.0	45.7	47.0
Efficiency/Cost-Effectiveness Indicators					
* Overall capital/operating investment per bus and rail rider (in dollars)	$1.20	$1.21	$1.50	$1.45	$1.91

Footnotes

(a) Ridership measures for fiscal year 2013 are estimated based on latest data available. Measures for fiscal year 2011 and 2012 reflect the most recent updates from the transit agencies.

Roadway Maintenance/Repair

Mission Statement: The mission of the Division of Highways is to plan, design, construct and maintain a safe highway system with a diverse and professional work force, within available resources, and to the highest nationwide standards for all of the citizens of Illinois.

Program Goals: Objectives:

1. To preserve and improve the Illinois highway system to make it safer and better for motorists.
   a. To maximize the percentage of state highway lane miles rated "fair" to "excellent."
   b. To maximize the percentage of state bridges rated "fair" to "excellent."
   c. To perform snow and ice control on state roads from beginning to end during a winter weather event, and to continue working to clear pavements as quickly as possible following weather events.
   d. To perform 24-hour roadway maintenance and to monitor road conditions, clear debris and other driving hazards, and provide for temporary repairs on pavements as needed, beginning immediately after reports of problems are received and continuing until pavements are safe for traffic.
   e. To perform traffic management including sign maintenance and traffic patrol in a cost-effective manner and within annual maintenance budget.
   f. To perform roadside management services, including mowing, litter pickup, and rest area services in a cost-effective manner within annual maintenance budget.
   g. To monitor motorist satisfaction with maintenance activities and strive to improve motorist satisfaction.
2. To coordinate with state and local agencies to provide local support and emergency response during times of disaster or emergencies.
   a. To provide support and aid in emergency maintenance and cleanup activities as appropriate and necessary during and after disasters and emergencies.

Source of Funds: Road Fund

Statutory Authority: 605 ILCS 5/1-101;225 ILCS 440/

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$462,709.7	$492,019.0	$509,914.1	$468,939.9	$511,703.9
* Total expenditures - state appropriated funds (in thousands)	$462,709.7	$492,019.0	$509,914.1	$468,939.9	$511,703.9
* Average monthly full-time equivalents	2,271.0	2,211.0	2,227.0	2,293.0	2,227.0
Output Indicators					
* Acres mowed	176,949	187,149	180,966	178,507	170,302
* Tons of asphalt applied for pavement repair	17,717	13,053	23,437	16,760	22,430
* Tons of road salt applied (snow/ice control)	563,517	238,005	605,611	460,768	550,186
* Number of lane miles of pavement maintained	42,875	42,875	42,875	43,000	43,000
Outcome Indicators					
* Percent of roads rated in acceptable condition (a)	88 %	85 %	90 %	82 %	85 %
* Percent of bridges rated in acceptable condition (b)	92 %	92 %	93 %	93 %	93 %
* Illinois motorist survey rating of highway maintenance and traffic flow (c)	3.6	3.6	3.7	3.7	3.8
* Illinois motorist survey rating of snow/ice removal (c)	3.7	3.8	3.9	3.8	3.9
* Illinois motorist survey rating of safety/cleanliness of rest areas (c)	3.8	3.8	3.9	3.8	3.9
* Illinois motorist survey rating of IDOT employee conduct on the job (c)	3.7	3.8	3.9	3.7	3.8
* Illinois motorist survey rating of roadside landscaping and appearance (c)	3.5	3.5	3.6	3.5	3.6
Efficiency/Cost-Effectiveness Indicators					
* Roadway maintenance cost per lane mile (in dollars)	$4,580.00	$3,763.00	$4,839.00	$4,360.00	$3,982.00
* Snow removal cost per lane mile (in dollars)	$2,041.00	$867.00	$1,901.00	$1,455.00	$862.00
* Mowing cost per lane mile (in dollars)	$295.00	$328.00	$257.00	$333.00	$348.00

Footnotes

(a) The target of 85% for roads in acceptable condition represents the minimum acceptable for condition ratings in Illinois overall.

(b) The target of 93% for bridges in acceptable condition represents the minimum acceptable for condition ratings in Illinois overall.

(c) Illinois annual motorist survey ratings are based on a scale of 1.0 to 5.0: 1.0-1.7 = Very Poor; 1.8-2.5 = Poor; 2.6-3.3 = Fair; 3.4-4.1 = Good; 4.2-5.0 = Excellent.

Rail

Mission Statement: To plan and implement rail freight and rail passenger programs for Illinois.

Program Goals: Objectives:

1. To improve the speed, reliability and convenience of Illinois rail passenger service.
   a. To place under contract track planning and construction improvements for the high-speed rail passenger service line between Chicago and St. Louis by the end of the programmed fiscal year.
   b. To place under contract track planning and construction improvements for the extension of conventional rail passenger service between Chicago and Quad Cities, and between Chicago and Rockford, by the end of the programmed fiscal year.
   c. To ensure on-time rail passenger service by Amtrak for at least 80% of all departures.
2. To reduce rail freight congestion, especially in the Chicago area, through funding for projects to add track and yard capacity, to reduce congestion, to better coordinate train control, and to improve communications.
   a. To have all programmed rail freight projects under contract by the end of the programmed fiscal year.
   b. To implement and accomplish all CREATE program rail capital improvements programmed for letting during the fiscal year.

Source of Funds: General Revenue Fund, State Rail Freight Loan Repayment Fund, Federal High Speed Rail Trust Fund, Transportation Bond Series B Fund, Rail Freight Loan Repayment Fund

Statutory Authority: 20 ILCS 2705/49.25d, 49.25g-1

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$165,863.6	$242,736.5	$335,124.1	$216,211.1	$447,388.6
* Total expenditures - state appropriated funds (in thousands)	$165,863.6	$242,736.5	$335,124.1	$216,211.1	$447,388.6
* Average monthly full-time equivalents	9.0	8.0	13.0	12.0	13.0
Output Indicators					
* High-speed rail track improvements (Chicago-St. Louis route planning and construction; work beginning in fiscal year 2011) (in thousands) (a)	$97,000.0	$129,697.7	$180,430.2	$121,817.6	$180,000.0
* Conventional passenger rail service expansions (Extends Amtrak service to Quad Cities/Iowa City and Rockford/Dubuque; work beginning in fiscal year 2011) (in thousands) (b)	$350.0	$707.7	$1,700.0	$1,780.2	$10,000.0
* CREATE rail-freight congestion reduction projects accomplished (as a percent of CREATE projects planned for the fiscal year)	100 %	80 %	90 %	100 %	90 %
* Rail freight service projects accomplished	60 %	100 %	100 %	100 %	90 %
* Percent of annual program under contract	83 %	75 %	95 %	100 %	80 %
* State operations investments in Amtrak downstate service (in thousands)	$26,163.0	$26,000.0	$28,900.0	$26,540.0	$38,000.0
Outcome Indicators					
* On-time performance of passenger rail service (Amtrak)	80 %	80.5 %	80 %	80 %	80 %
* Amtrak ridership on state-supported routes	1,749,870	1,824,113	1,900,000	1,821,117	1,900,000
Efficiency/Cost-Effectiveness Indicators					
* State capital investment per Amtrak rider (in dollars)	$14.95	$14.25	$15.21	$14.57	$20.00

Footnotes

(a) High-speed rail indicators incorporate federal and state funding, and include all expenditures for Positive Train Control. High-speed rail work in Illinois is reflected in the current indicator including planning and construction work. Funding was made available starting in fiscal year 2011.

(b) Indicators incorporate federal and state funding earmarked for service expansions of conventional Amtrak passenger rail service from Chicago to Quad Cities, and Chicago to Rockford/Dubuque. Funding was made available starting in fiscal year 2011.

Aeronautics

Mission Statement: The Division of Aeronautics will encourage and provide the necessary assistance to maintain a safe, efficient and effective aviation system for Illinois that enhances economic growth, offers mobility for people and goods, and ensures environmental quality.

Program Goals: Objectives:

1. To maintain and improve the quality and capacity of airport landing facilities.
   a. To perform operational safety inspections for Illinois public-use airports each year.
   b. To ensure that as many programmed airport improvement projects as possible are under contract by the end of the fiscal year.
   c. To ensure that a minimum condition rating score of 70 (satisfactory rating) is maintained on all runways, taxiways and aprons by the end of the fiscal year.
2. To provide safe and effective air transportation services in support of state programs and operations.
   a. To meet and satisfy all rules for air service under Parts 91 and 135 of Federal Aviation Administration (FAA) standards with no violations reported by FAA. (Parts 91 and 135 pertain to rules governing the maintenance of aircraft and the training of pilots.)

Source of Funds: General Revenue Fund, Road Fund, Aeronautics Fund, Federal/Local Airport Fund, Air Transportation Revolving Fund, Transportation Bond Series B Fund, Airport Land Loan Revolving Fund, Build Illinois Bond Fund

Statutory Authority: 620 ILCS 5/1et seq; 25/1et seq

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$108,486.7	$102,771.8	$125,851.0	$116,031.9	$178,455.6
* Total expenditures - state appropriated funds (in thousands)	$108,486.7	$102,771.8	$125,851.0	$116,031.9	$178,455.6
* Average monthly full-time equivalents	72.0	70.0	74.0	73.0	74.0
Output Indicators					
* Airport safety inspections	104.0	154.0	170.0	211.0	250.0
* Percent of annual airport program under contract (a)	42.2 %	46 %	50 %	46 %	50 %
* Total airport improvement projects under contract (a)	19.0	28.0	30.0	42.0	45.0
Outcome Indicators					
* Commercial air passengers enplaned at Chicago airports (b)	40,690,788	41,026,877	41,500,000	41,608,182	42,000,000
* Commercial air passengers enplaned outside Chicago (b)	1,264,929	1,233,527	1,250,000	1,216,478	1,300,000
* Percent of public airport runway pavements rated satisfactory or better	88 %	80 %	85 %	68 %	80 %
* Percent of taxiways rated satisfactory or better	78 %	76 %	80 %	81 %	80 %
* Percent of airport aprons rated satisfactory or better	80 %	78 %	80 %	81 %	80 %
* Air operations (takeoffs/landings) at Chicago airports (b)	1,129,000	1,138,000	1,200,000	1,128,521	1,200,000
* Air operations (takeoffs/landings) at public airports with traffic control towers other than Chicago O'Hare and Midway (b)	332,000	340,000	350,000	730,616	750,000
* Percent compliance following IDOT airport safety inspections	86 %	90 %	85 %	76 %	85 %
Efficiency/Cost-Effectiveness Indicators					
* State capital investment per commercial air passenger (in dollars)	$2.59	$2.43	$2.94	$2.71	$4.07

Footnotes

(a) The Aeronautics program accomplishment rate refers only to projects programmed for, funded and placed under contract in the same state fiscal year. Because the federal and state fiscal years overlap for only three quarters, the percent of program under contract is artificially lowered for the state fiscal year.

(b) Source: Federal Aviation Administration (FAA) reports on enplanements and operations for the previous calendar year at Illinois public airports with commercial air service and air-traffic-control capacity.

Traffic Safety

Mission Statement: To formulate, coordinate and deliver information, services and programs which will mobilize public and private resources to establish effective public policy and integrated programs to improve highway safety in Illinois.

Program Goals: Objectives:

1. To improve highway safety for motorists and passengers.
   a. To carry out as many motor-carrier and hazmat compliance reviews/Notice of Apparent Violation (NAV) reviews as possible each year and issue appropriate citations as needed to ensure that commercial trucking firms comply with motor-carrier safety and hazardous materials (hazmat) safety regulations.
   b. Maintain occupant restraint usage rate at a minimum of 94% statewide by providing resources to our traffic safety partners.
   c. Increase overall awareness of occupant protection related traffic safety issues in Illinois.
   d. Provide resources to IDOT's traffic safety partners sufficient to reduce alcohol-related crash fatalities by at least 5 percent for fiscal year 2014 in Illinois.
   e. Increase overall awareness of alcohol-related traffic safety issues in Illinois.
   f. To reduce the statewide fatality rate per 100 million vehicle miles traveled (VMT) to at or below 0.9 in fiscal year 2014.
   g. To reduce the statewide alcohol-related fatality rate per 100 million vehicle miles traveled (VMT) to less than 0.40 in fiscal year 2014.

Source of Funds: General Revenue Fund, Road Fund, Cycle Rider Safety Training Fund

Statutory Authority: 625 ILCS 5/1-100; 430 ILCS 30/

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$49,551.4	$51,438.5	$62,949.6	$47,487.5	$59,393.3
* Total expenditures - state appropriated funds (in thousands)	$49,551.4	$51,438.5	$62,949.6	$47,487.5	$59,393.3
* Average monthly full-time equivalents	153.0	139.0	160.0	148.0	158.0
Output Indicators					
* Commitments from law enforcement agencies within specified counties (grantees)	179.0	174.0	180.0	172.0	180.0
* Commitments from law enforcement agencies during mobilizations within specified counties (grantees)	240.0	198.0	200.0	206.0	200.0
* Total commitments from law enforcement agencies during holiday mobilizations	485.0	349.0	400.0	350.0	375.0
* Number of school bus inspections at testing stations	49,336	55,000	55,000	48,062	50,000
* Number of motor carrier/hazmat compliance or Notice of Apparent Violation inspections	5,466	5,194	5,200	3,298	4,500
* Number of non-scheduled school bus inspections	5,267	4,454	4,500	5,200	5,000
* Number of motorcycle riders trained	20,217	20,000	21,000	18,267	20,000
Outcome Indicators					
* Statewide fatality rate per 100 million VMT in IL	0.9	0.9	0.9	0.9	0.9
* Statewide alcohol-related fatality rate per 100 million VMT in IL	0.4	0.4	0.4	0.3	0.3
* Statewide safety belt usage rate in Illinois	92.9 %	93.6 %	94 %	93.7 %	94 %
* Overall Illinois traffic fatalities	927.0	918.0	900.0	955.0	900.0
* Alcohol-related fatalities in Illinois	278.0	321.0	350.0	304.0	300.0
* Percent of Illinoisans who have seen/heard about safety belts during safety belt mobilizations	92 %	92 %	92 %	92 %	93 %
* Percent of Illinoisans who have seen/heard about safety belt slogan during safety belt mobilizations	93 %	91 %	92 %	92 %	93 %
* Percent of Illinoisans who have seen/heard about impaired driving during alcohol mobilizations	91 %	91 %	91 %	73 %	75 %
* Percent of Illinoisans who have seen/heard anti-impaired driving slogan during alcohol mobilizations	74 %	46 %	50 %	46 %	50 %
External Benchmarks					
* U.S. fatality rate per 100 million VMT	1.1	1.2	1.0	1.2	1.0
* U.S. alcohol-related fatality rate per 100 million VMT	0.4	0.4	0.5	0.3	0.3
Efficiency/Cost-Effectiveness Indicators					
* Percent change in fatal crashes compared to previous 5-year average in Illinois	-18.7 %	-14.8 %	-5 %	-3.7 %	-5 %
* Percent change in traffic fatalities compared to previous 5-year average in Illinois	-20.4 %	-14.8 %	-5 %	-5.3 %	-5 %
* Percent change in alcohol-related fatal crashes compared to previous 5-year average in Illinois (a)	-23.2 %	-30.9 %	-5 %	-5 %	-5 %

Footnotes

(a) Change in fiscal year 2013 alcohol-related fatal crashes is estimated pending final federal estimates for the most recent year.

PART 2: ECONOMIC DEVELOPMENT

Economic Development Expenditures
(Appropriated Spending in Thousands)

Agency	FY 2012 Expenditures	FY 2013 Expenditures	Percent Change
Department of Commerce & Economic Opportunity	$1,024,039.9	$978,810.6	-4.4%
Department of Employment Security	$281,666.5	$291,292.2	3.4%
Metropolitan Pier and Exposition Authority	$138,929.5	$167,773.4	20.8%
Department of Agriculture	$86,676.7	$85,435.3	-1.4%
Illinois Sports Facilities Authority	$43,100.0	$43,100.0	0.0%
Illinois Historic Preservation Agency	$20,811.9	$22,966.0	10.4%
Illinois Department of Labor	$8,388.2	$9,511.9	13.4%
Illinois Arts Council	$9,702.6	$8,890.2	-8.4%
Illinois Finance Authority	$0.0	$3,620.0	3620.0%
Southwestern Illinois Development Authority	$2,460.7	$2,781.8	13.0%
Upper Illinois River Valley Development Authority	$288.2	$288.3	0.0%
East St. Louis Financial Advisory Authority	$104.5	$112.6	7.8%
TOTAL	**$1,616,168.7**	**$1,614,582.3**	**-0.1%**

Totals may not add due to rounding

Department of Commerce and Economic Opportunity
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Energy Assistance	$347,184.9	62.0	$300,322.9	52.1
Community Development	$93,290.0	28.2	$140,978.6	35.8
Employment & Training	$152,200.0	83.1	$132,525.5	83.4
Grant Management	$145,895.6	16.9	$121,690.1	19.4
Energy & Recycling	$142,479.7	53.6	$102,187.8	37.2
Tourism	$40,536.3	22.6	$50,825.9	23.8
Entrepreneurship, Innovation & Technology	$30,947.5	40.9	$50,534.4	29.8
Business Development	$31,102.3	36.6	$46,409.0	32.8
Coal Development	$31,326.2	12.7	$17,632.6	11.9
Urban Assistance	$3,367.5	5.6	$8,681.9	8.9
Trade & Investment	$4,389.0	18.3	$5,583.6	20.9
Illinois Film Office	$1,320.9	8.5	$1,438.3	8.9
Non-Reporting Programs				
Totals	$1,024,039.9	389.0	$978,810.6	364.9

Mission and Organization

The Illinois Department of Commerce and Economic Opportunity (DCEO)continues to focus on all of our economic development goals, with an emphasis on job creation across the state. DCEO programs supported the creation of 12,958 jobs in fiscal year 2013. Business Development (BD) incentive projects (4,300) and Small Business Development Centers (3,465) accounted for 60% of the fiscal year 2013 jobs created. The Small Business Jobs Tax Credit (1,813) and the Grant Management Program (1,698) accounted for most of the rest.

DCEO programs supported the retention of 26,032 jobs in fiscal year 2013. Business Development Incentive projects accounted for 12,901 jobs retained, or 50% of the total. Other leading contributors included Small Business Development Centers (4,812), Grant Management (2,992), Procurement Technical Assistance Centers (2,687), and Energy projects (1,762). Energy jobs were down from record highs in fiscal year 2012 due to the expiration of American Recovery & Reinvestment Act (ARRA) funding.

Composite Private Investment Leveraged in fiscal year 2013 was $1.8 billion, which was 33% below the annual target and the lowest total in recent years. Business Development investment leveraged through business incentives deals typically accounts for the lion's share of investment each quarter. This metric is fairly volatile, and is driven by capital-intensive projects like wind farms and auto production expansions. A relative lack of such projects during the year led to the decline. Energy Private Investment Leveraged also fell 67% from fiscal year 2012 due to the expiration of ARRA funding. This was partly offset by an eight-fold increase in Business Finance Assistance Leveraged due largely to the Advantage Illinois program.

Other indicators, however, showed improvement. New businesses started increased 5% to 371, the highest level since fiscal year 2010. Travel expenditures grew for the second consecutive year (by 5%) to $33.5 billion, while hotel-motel tax receipts grew by 11%. Film industry expenditures increased by 12% to $245 million, Illinois' high-

est-ever total. The adult employment retention rate (83.5%) and adult entered employment rate (74.3%) through the Workforce Investment Act program showed improvement over fiscal year 2012 results as well.

In addition, DCEO's various programs trained 170,446 workers during fiscal year 2013, well above the annual target. The Employer Training Investment Program accounted for the largest number of trainees at 75,340, more than double the fiscal year 2012 total. Community Technology Centers (66,076), Small Business Development Centers (15,617), and the Workforce Investment Act program (9,799) accounted for most of the remaining trainees.

Illinois' World Institute for Strategic Economic Research (WISER) Exports, which had exhibited strong growth in fiscal year 2011-fiscal year 2012, declined by 5% in fiscal year 2013 to $64.8 billion. The decline can be largely attributed to a fall in exports to Asia-Pacific nations, especially in the machinery sector. DCEO believes conditions are promising for a rebound in fiscal year 2014.

A number of DCEO programs intended to address economic disparities showed improved results in fiscal year 2013. For example, CSBG Quality of Life Services — a measure that is sensitive to the mix and nature of services provided by Community Action Agency partners — increased 22% to 737,597. The number of Households Assisted by the Low Income Heating Assistance Program (LIHEAP) increased by 18% to 449,651. The 109,689 households provided infrastructure assistance through the Community Development Assistance Program or Emergency Shelter Program represented a 31% increase over fiscal year 2012.

Energy Assistance

Mission Statement: Provide energy assistance to make energy more affordable for as many low income households as possible, while giving priority to the most vulnerable and those that have the greatest energy burden.

Program Goals: Objectives:

1. Target energy assistance to low-income households with the greatest home energy burden.
   a. Promote timely payment of energy bills.
   b. Advocate for clients when there are issues with energy service providers.
   c. Reduce the number of low income households that suffer a loss of energy service.
   d. Provide assistance with repair or replacement of non-functional home heating equipment.
   e. Provide education on energy saving practices to program participants.
2. Ensure long-term energy savings for targeted low income households.
   a. Enhance indoor air quality for weatherized homes.
   b. Lower the baseload energy usage in weatherized homes.
   c. Ensure safety and maximum efficiency of current heating systems in weatherized homes.
   d. Require the highest level of work standards for weatherization workers.

Source of Funds: General Revenue Fund, Supplemental Low Income Energy Assistance Fund, Good Samaritan Energy Trust Fund, Energy Administration Fund, Tourism Promotion Fund, Low Income Home Energy Assistance Block Grant Fund, Intra-Agency Services Fund

Statutory Authority: 305 ILCS 20/1 et seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected(e)*
Input Indicators					
* Total expenditures - all sources (in thousands)	$399,495.5	$347,184.9	$539,547.8	$300,322.9	$515,428.1
* Total expenditures - state appropriated funds (in thousands)	$399,495.5	$347,184.9	$539,547.8	$300,322.9	$515,428.1
* Average monthly full-time equivalents	54.9	62.0	65.1	52.1	62.0
Outcome Indicators					
* LIHEAP households that received heating assistance (a)	421,078	380,206	285,951	375,328	418,000
* LIHEAP households that received cooling assistance (a,b)	69,842	N/A	74,323	74,323	0.0
* LIHEAP households that received an emergency reconnection for heating assistance (a)	60,730	54,982	23,878	60,526	60,000
* LIHEAP heating systems repaired/replaced (a)	2,218	1,666	1,664	1,819	2,088
* IHWAP number of units weatherized (c)	22,468	19,755	6,000	6,053	6,500
* IHWAP number of jobs supported	N/A	1,242	400.0	629.0	425.0
* IHWAP hours of training provided (d)	N/A	N/A	N/A	N/A	7,300

Footnotes

(a) LIHEAP households are estimated each quarter and then added for the year. Therefore, a single household can be counted up to four times per fiscal year. There is also a limited amount of double-counting (perhaps up to 10%) of LIHEAP services due to client participation in both the regular and Percentage of Income Payment Plan (PIPP) programs.

(b) The LIHEAP Cooling program is offered in some years but not others, depending on funding availability. Cooling programs were offered in fiscal year 2011 and fiscal year 2013, but not in fiscal year 2012 or fiscal year 2014.

(c) The number of homes weatherized declined sharply after fiscal year 2012 due to the expiration of ARRA funding.

(d) This is a new performance measure for fiscal year 2014.

(e) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all). The fiscal year 2014 appropriation for Energy Assistance includes excess federal spending authority for the IHWAP and LIHEAP programs.

Community Development

Mission Statement: To improve the physical and social infrastructure, quality of life, and overall economic health of Illinois communities by providing financial assistance, technical assistance and supporting programs which provide core support on behalf of Illinois communities.

Program Goals: Objectives:

1. Improve the physical infrastructure within local communities.
   a. Improve water and sewer service for residents in local communities demonstrating health and safety compliance issues.
   b. Provide rental assistance and safe/decent housing through the Section 8 program to clients.
   c. Improve substandard housing units occupied by low-to-moderate income persons.
2. Improve the social infrastructure within local communities.
   a. Improve the quality of life for homeless and very low-income families.
   b. Encourage volunteerism in local communities resulting in communities being selected to receive a Governor's Hometown Award.
   c. Improve the quality of life for low-income families by increasing the number of jobs created.
3. Improve the capacity of local communities to meet their community and economic development objectives.
   a. Expand the number of businesses assisted with Community Development Block Grant (CDBG)-Disaster Recovery by providing financial assistance on behalf of businesses planning to locate or expand in areas impacted by a natural disaster.

Source of Funds: General Revenue Fund, Economic Research and Information Fund, Charitable Trust Stabilization Fund, Tourism Promotion Fund, Federal Moderate Rehabilitation Housing Fund, Community Services Block Grant Fund, Community Development/Small Cities Block Grant Fund, Intra-Agency Services Fund

Statutory Authority: 20 ILCS 605/605-940, 24 CFR 57

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected(e)
Input Indicators					
* Total expenditures - all sources (in thousands)	$109,504.8	$93,290.0	$507,141.0	$140,978.6	$620,316.7
* Total expenditures - state appropriated funds (in thousands)	$109,504.8	$93,290.0	$507,141.0	$140,978.6	$620,316.7
* Average monthly full-time equivalents	37.6	28.2	43.4	35.8	37.2
Outcome Indicators					
* Jobs created through Community Services Grant Program (CSBG) small business loans	70.0	164.0	60.0	50.0	45.0
* CSBG services provided (a)	N/A	603,086	400,000	737,597	715,000
* IKE Midwest Disaster Recovery persons served (b)	N/A	167,732	980,000	1,706,164	0.0
* IKE Economic Development (ED)/Business Assistance Program (BAP) jobs created (b)	N/A	49.3	100.0	32.0	300.0
* Individuals benefitting from Section 8 Housing Choice Voucher Program (c)	556.0	926.0	500.0	556.0	N/A
* Projected individuals served by Emergency Solutions Grant Program (d)	144,107	30,605	88,000	49,468	37,000
* Projected individuals served by Community Development Assistance Program (CDAP) public infrastructure (Water & Sewer)	47,560	53,193	25,757	60,221	31,200
* Projected homes rehabilitated by CDAP	45.0	168.0	133.0	94.0	128.0

Footnotes

(a) The number of CSBG Services provided is sensitive to the mix of services provided, which is determinined annually by Community Action Agencies based on local needs. For example, supporting a food pantry program might generate a large number of services one year, while more intensive programs like dental assistance or scholoarship work programs might provide fewer services at higher cost the following year.

(b) The IKE component of the Disaster Assistance program is winding down, as available funding has been largely exhausted and services have been rendered. Fiscal year 2014 jobs created from the program are likely to increase markedly due to some significant projects that are in the pipeline.

(c) The Section 8 Housing Voucher program is being transitioned to local housing authorities by January 1, 2014.

(d) The fiscal year 2014 target for individuals served by emergency solutions reflects a shift in emphasis towards rapid re-housing and homeless prevention services, which are more intensive and expensive, so fewer people will likely be served.

(e) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all). The fiscal year 2014 target for Community Development includes excess spending authority associated with the CDAP,IKE, Disaster Recovery, and Section 108 programs.

Employment & Training

Mission Statement: To encourage statewide economic development by: providing leadership to strengthen local and regional partnerships; engaging employers to meet their workforce needs; and expanding employment and training opportunities to allow individuals to achieve financial independence and improve their quality of life.

Program Goals: Objectives:

1. Increase the retention in employment of participants in Title I Adult and Dislocated Workers.
   a. Ensure at least 85% of adult program participants who enter employment are retained in employment in the third quarter following program exit.
   b. Ensure at least 91% of dislocated worker program participants who enter employment are retained in employment in the third quarter following program exit.
2. Increase the employment of participants in Title I Adult, Dislocated Worker, and Youth programs.
   a. Ensure at least 74% of adult program participants enter employment in the first quarter following program exit.
   b. Ensure at least 83% of dislocated worker participants enter employment in the first quarter following program exit.
   c. Ensure at least 70% of youth are placed in employment or education.
   d. Improve literacy and numeracy of youth program participants.
3. Provide training for economically disadvantaged individuals through the Job Training for Economic Development (JTED) program.
   a. Increase the number of individuals enrolled in the JTED program.
   b. Increase the number of individuals completing training through the JTED program.
   c. Increase the number of JTED trainees retaining employment (90 days consecutive or 150 days non-consecutive).
4. Provide oversight to the Workforce Investment Act (WIA) Title I Program in Illinois.
   a. Negotiate system performance goals with U.S. Department of Labor and 23 Local Workforce Boards.
   b. Monitor the program performance of 26 Local Workforce Areas.
   c. Allocate dollars to Workforce Areas (by Federal formula) and monitor expenditures.
   d. Issue grants to Local Workforce Boards to ensure capacity building of local workforce systems.
   e. Analyze program performance and submit reports for the Governor to U.S. Department of Labor.
   f. Develop a State Plan for delivery of workforce services.

Source of Funds: General Revenue Fund, FY09 Budget Relief Fund, Tourism Promotion Fund, Intra-Agency Services Fund, Federal Workforce Training Fund

Statutory Authority: 20 ILCS 3975/1-8

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected(d)*
Input Indicators					
* Total expenditures - all sources (in thousands)	$230,086.4	$152,200.0	$287,930.4	$132,525.5	$302,353.0
* Total expenditures - state appropriated funds (in thousands)	$230,086.4	$152,200.0	$287,930.4	$132,525.5	$302,353.0
* Average monthly full-time equivalents	102.5	83.1	88.3	83.4	91.4
Output Indicators					
* Economically disadvantaged trainees completing JTED training (a)	712.0	636.0	500.0	462.0	184.0
Outcome Indicators					
* Adult employment retention rate (b)	82.8 %	83 %	85 %	83.5 %	85 %
* Adult entered employment rate (b)	61.8 %	72.6 %	75 %	74.3 %	74 %
* Dislocated worker entered employment rate (b)	75.6 %	82.8 %	85 %	80.3 %	83 %
* Dislocated worker employment retention rate (b)	89.7 %	90.9 %	91 %	89.2 %	91 %
* Economically disadvantaged JTED trainees retaining employment (a)	420.0	405.0	368.0	271.0	148.0
* Economically disadvantaged JTED trainees receiving employment (a)	255.0	254.0	268.0	185.0	96.0
* Economically disadvantaged low wage/low skilled employed JTED trainees receiving a wage/benefit increase (a)	98.0	84.0	56.0	41.0	32.0
* WIA/Trade Adjustment Assistance (TAA) total workers completing training	15,642	12,612	10,400	9,799	9,600
* Youth placed in summer employment (c)	N/A	N/A	N/A	N/A	2,800
* Youth showing improvement in work skills (c)	N/A	N/A	N/A	N/A	1,960

Footnotes

(a) JTED was not funded in fiscal year 2013. Since JTED is designed as a two-year program, this factor is reducing the fiscal year 2014 targets by roughly half.

(b) WIA targets are determined by negotiating with the US Department of Labor, which considers the state outcomes to be in compliance if they are within 20% of the target.

(c) New performance measure for the new Summer Youth Employment program.

(d) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all). Employment and Training's fiscal year 2014 appropriation includes excess spending authority for the Workforce Investment Act program. In addition, appropriations for the new Summer Youth Employment program are included beginning in fiscal year 2014.

Grant Management

Mission Statement: Enhance the quality of life for Illinois citizens by improving the social and physical infrastructure in Illinois communities.

Program Goals: Objectives:

1. To administer grants that build, repair and upgrade Illinois' critical infrastructure.
   a. To work effectively and efficiently with grantees for an outcome of a grant agreement and funding.
   b. To continually monitor grants as they move to completion.
2. To provide project funds that lead to the creation and retention of jobs.
   a. Increase the number of actual permanent jobs created as reported by the grantee.
   b. Increase the number of actual permanent jobs retained as reported by the grantee.

Source of Funds: General Revenue Fund, Agricultural Premium Fund, Capital Development Fund, DCEO Projects Fund, Fund for Illinois' Future, Tourism Promotion Fund, Intra-Agency Services Fund, Build Illinois Bond Fund

Statutory Authority: 30 ILCS 750

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected(a)
Input Indicators					
* Total expenditures - all sources (in thousands)	$189,663.2	$149,143.4	$840,859.4	$122,502.2	$735,127.9
* Total expenditures - state appropriated funds (in thousands)	$184,403.2	$145,895.6	$826,549.5	$121,690.1	$724,127.0
* Average monthly full-time equivalents	14.4	16.9	18.8	19.4	20.1
Outcome Indicators					
* Actual permanent jobs created reported by the grantee	724.0	866.0	N/A	1,698	N/A
* Actual permanent jobs retained reported by the grantee	167.0	1,253	N/A	2,992	N/A

DCEO does not set targets for Grant Management projects because the substance and pace of these mostly bond-funded projects are outside of agency control.

Footnotes

(a) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all). Grants Management Unit fiscal year 2014 appropriation includes state capital funding which will extend over multiple years.

Energy & Recycling

Mission Statement: The Office of Energy and Recycling creates jobs and stimulates economic development in Illinois through programs and policies that spur investment in Illinois' Green Economy. These programs and policies benefit our economy, improve our environment and increase our energy independence.

Program Goals: Objectives:

1. To administer renewable energy and energy efficiency programs focusing resources on the most efficient and cost-effective programs to help all sectors of the Illinois economy realize energy and financial savings through improved efficiency and through the use of renewable energy technologies while protecting the environment.
   a. Increase the number of E-85 refueling stations in Illinois.
   b. Increase residential, commercial and industrial energy efficiency through energy efficiency programs.
   c. Increase the use of renewable energy technologies through grants and rebates.
2. To divert materials from municipal solid waste landfills through recycling, reuse, source reduction and waste reduction programs.
   a. Financially assist Illinois manufacturers producing products with recycled content.
   b. Financially assist collection and processing entities in order to increase the availability of recycled feedstock.
   c. Divert materials from the Illinois solid waste stream.

Source of Funds: General Revenue Fund, Solid Waste Management Fund, Alternate Fuels Fund, Renewable Energy Resources Trust Fund, Energy Efficiency Trust Fund, Tourism Promotion Fund, DCEO Energy Projects Fund, Federal Energy Fund, Intra-Agency Services Fund, Petroleum Violation Fund, Build Illinois Bond Fund

Statutory Authority: 20 ILCS 1105/3

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected(e)*
Input Indicators					
* Total expenditures - all sources (in thousands)	$110,233.4	$142,479.7	$248,179.1	$102,187.8	$241,487.2
* Total expenditures - state appropriated funds (in thousands)	$110,233.4	$142,479.7	$248,179.1	$102,187.8	$241,487.2
* Average monthly full-time equivalents	44.8	53.6	46.3	37.2	43.4
Outcome Indicators					
* Private investment leveraged (in millions) (a)	N/A	$349.8	$94.0	$116.5	$75.0
* Recycling jobs created	69.0	68.0	19.0	23.0	40.0
* Recycling jobs retained	N/A	0.0	0.0	8.0	12.0
* Energy jobs supported (a)	1,583	11,680	999.0	1,785	1,368
* Value of energy efficiency savings (b)	$.0	$.0	N/A	N/A	$26,540.0
* Value of renewable energy production (c)	$.0	$.0	N/A	N/A	$138.1
* Materials diverted from solid waste stream (tons) (d)	113,986	N/A	N/A	N/A	60,000

Footnotes

(a) Fiscal year 2012 results were strongly affected by a surge in ARRA-funded energy projects. The funding expired in fiscal year 2013.

(b) This is a new performance measure for fiscal year 2014, placing a monetary value on the energy saved through energy efficiency projects.

(c) This is a new performance measure for fiscal year 2014, placing a monetary value on renewable energy production.

(d) This performance measure is being reintroduced for fiscal year 2014. Results will be sensitive to the type of materials being recycled. For example, aggregate materials are much heavier than electronics.

(e) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all). Energy and Recycling's fiscal year 2014 appropriation includes excess federal spending authority.

Tourism

Mission Statement: To manage efforts that drive travel to and within Illinois, both domestic and internationally, while enhancing the quality of life for Illinois residents.

Program Goals: Objectives:

1. Promote Illinois as a travel destination for domestic travelers.
   a. Increase the total number of traveler inquiries generated by the advertising campaign.
2. Strengthen the Illinois Tourism industry through collaborative partnerships.
   a. Maximize the amount of local/private sector funds generated for tourism projects.
3. To maximize international awareness of Illinois as a travel destination in key markets.
   a. To increase the number of international visitors to Illinois.

Source of Funds: General Revenue Fund, International Tourism Fund, Tourism Promotion Fund, Metropolitan Pier and Exposition Authority Incentive Fund, Illinois Route 66 Fund, Intra-Agency Services Fund, Local Tourism Fund

Statutory Authority: 20 ILCS 665/1-20, 605/605-710

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected(a)
Input Indicators					
* Total expenditures - all sources (in thousands)	$33,155.5	$40,536.3	$57,178.5	$50,825.9	$56,357.0
* Total expenditures - state appropriated funds (in thousands)	$33,155.5	$40,536.3	$57,178.5	$50,825.9	$56,357.0
* Average monthly full-time equivalents	24.6	22.6	23.1	23.8	24.8
Outcome Indicators					
* Illinois hotel-motel tax receipts (in millions)	$191.1	$199.3	$204.5	$221.1	$224.0
* Illinois travel related employment	287,500	291,990	295,000	298,700	300,000
* Domestic and international travel expenditures (in billions)	$29.3	$31.8	$33.0	$33.5	$34.5
* International visitors to Illinois	1,700,000	1,863,000	2,000,000	2,100,000	2,100,000
* Domestic travelers to and within Illinois (millions)	84.7	93.3	98.0	99.0	104.0

Footnotes

(a) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all).

Entrepreneurship, Innovation & Technology

Mission Statement: To help enhance small businesses, entrepreneurs and citizens in Illinois by providing guidance for business growth; by assisting in the commercialization of new technologies; and by increasing access to computers, telecommunications technologies, and related training for populations residing in low-income communities.

Program Goals: Objectives:

1. Stimulate economic activity as measured by new venture development, business expansion, capital formation, and job creation and retention.
   a. Increase the number of new business starts assisted.
   b. Increase the number of business expansions assisted.
   c. Increase the dollar value of capital accessed.
   d. Increase the number of jobs created and retained.
2. Provide client focused, expert counseling, training, information, and other business services through an integrated regional delivery network utilizing resource partners and information technology to assist small businesses owned by minorities, women, veterans and persons with disabilities.
   a. Increase the number of Business Information Center (BIC) customers assisted.
   b. Increase the number of clients counseled.
   c. Increase the number of clients trained.
3. Increase access to computers and telecommunications technology and related training for populations residing in underserved communities.
   a. Increase the number of individuals completing training at Community Technology Centers.

Source of Funds: General Revenue Fund, Small Business Environmental Assistance Fund, High Speed Internet Services and Information Technology Fund, Commerce and Community Affairs Assistance Fund, Federal Research and Technology Fund, Tourism Promotion Fund, Digital Divide Elimination Fund, Intra-Agency Services Fund, Build Illinois Bond Fund

Statutory Authority: 30 ILCS 750/9

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected(f)*
Input Indicators					
* Total expenditures - all sources (in thousands)	$40,983.5	$30,947.5	$131,288.8	$50,534.4	$55,325.7
* Total expenditures - state appropriated funds (in thousands)	$40,983.5	$30,947.5	$131,288.8	$50,534.4	$55,325.7
* Average monthly full-time equivalents	57.8	40.9	34.7	29.8	35.6
Output Indicators					
* Business Information Center customers assisted	7,735	6,955	7,750	6,302	7,500
* Small businesses receiving environmental counseling	N/A	1,792	1,680	2,031	2,000
* Small businesses receiving environmental training (a)	N/A	787.0	800.0	152.0	150.0
* Individuals completing training at Community Technology Centers (b)	47,027	57,887	44,132	66,076	10,000
* IIVF deals invested	N/A	6.0	25.0	12.0	8.0
Outcome Indicators					
* Actual jobs created attributable to SBDC assistance	3,812	4,752	4,751	3,465	3,300
* Actual jobs retained attributable to SBDC assistance	6,113	4,776	6,700	4,812	4,400
* Dollar value of capital accessed attributable to SBDC assistance (in millions)	$175.5	$194.1	$195.0	$122.4	$175.0
* New business starts attributable to SBDC assistance	290.0	355.0	400.0	368.0	400.0
* Actual jobs created attributable to PTAC assistance (c)	1,803	974.0	1,000	648.0	750.0
* Actual jobs retained attributable to PTAC assistance	4,289	3,002	3,000	2,687	2,750
* Dollar value of secured contracts attributable to PTAC assistance (in millions) (c,d)	$1,354.5	$1,419.0	$1,400.0	$840.0	$900.0
* Dollar value of private or indirect capital related to IIVF investments (in thousands) (e)	$.0	$9,736.3	$90,263.7	$15,270.9	$16,000.0
* New Broadband users connected	N/A	N/A	N/A	4,632	12,206
* Emerging technology private investment leveraged (in millions)	N/A	N/A	N/A	$7.2	$10.0

Footnotes

(a) Training numbers were down in fiscal year 2013 because multiple construction and demolition workshops conducted in fiscal year 2012 were not repeated, leading to much lower than expected totals. Training topics and frequency are determined through consultation with IEPA and are based on current environmental compliance needs. The needs are difficult to predict.

(b) The target for individuals completing training in fiscal year 2014 is down sharply since the Eliminate the Digital Divide program was not funded in fiscal year 2013, and there is therefore very limited activity in the pipeline.

(c) PTAC activity was down in fiscal year 2013, probably due to budget restrictions at the federal level. In addition, there were few outlier projects in fiscal year 2013 to stoke results, unlike the several years prior.

(d) Value of capital accessed was off somewhat in fiscal year 2013 due to a relative lack of large projects.

(e) The dollar value of private or indirect capital related to IIVF investments was considerably lower than expected due to fewer deals being closed than expected and at lesser investment amounts.

(f) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all). EIT's fiscal year 2014 appropriation includes Advantage Illinois federal spending authority.

Business Development

Mission Statement: The Office of Business Development (BD) works with business officials to ensure that Illinois is at the top of the list when they consider locations for expansion and growth. Office staff helps business leaders access programs targeted to meet their needs, from technical assistance to tax credit programs and financing that helps businesses locate and expand in Illinois.

Program Goals: Objectives:

1. To retain employment through current producers and suppliers in Illinois.
2. To expand employment at existing producers and suppliers in Illinois and attract additional producers and suppliers to Illinois.
3. Provide access to capital (state and private sector financing) for business growth and expansion and stimulate private investment for new and expanding businesses.
4. Provide jobs training resources to existing and prospective Illinois employers through the Competitive and Incentive Employer Training Investment Programs (ETIP).

Source of Funds: General Revenue Fund, Port Development Revolving Loan Fund, Corporate Headquarters Relocation Assistance Fund, Tourism Promotion Fund, Intermodal Facilities Promotion Fund, Intra-Agency Services Fund, Build Illinois Bond Fund, Illinois Capital Revolving Loan Fund, Illinois Equity Fund, Large Business Attraction Fund, Public Infrastructure Construction Loan Revolving Fund

Statutory Authority: 30 ILCS 750/8, 750/9, 750/10

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected(c)
Input Indicators					
* Total expenditures - all sources (in thousands)	$22,274.2	$31,102.3	$169,793.6	$46,409.0	$208,628.3
* Total expenditures - state appropriated funds (in thousands)	$22,274.2	$31,102.3	$169,793.6	$46,409.0	$208,628.3
* Average monthly full-time equivalents	30.3	36.6	34.7	32.8	32.5
Output Indicators					
* Businesses assisted with financing	14.0	14.0	48.0	68.0	48.0
* ETIP trainees (a)	52,619	33,336	18,000	75,340	52,000
* ETIP firms served (a)	1,636	553.0	50.0	333.0	12,000
Outcome Indicators					
* Business Development projected jobs created	4,442	5,317	5,000	4,300	5,000
* Business Development projected jobs retained	15,519	11,437	13,000	12,901	13,000
* Projected private investment (in millions)	$5,679.3	$2,043.9	$2,150.0	$1,367.0	$2,200.0
* Business financing assistance leveraged (in millions)	$2.3	$13.9	$72.0	$125.1	$72.0
* Business financing jobs created	N/A	N/A	432.0	881.0	408.0
* Business financing jobs retained	N/A	N/A	192.0	227.0	225.0
* Small Business Jobs Tax Credit jobs created (b)	5,359	0.0	5,000	1,813	2,000

Footnotes

(a) Actual ETIP Trainees and Firms served were well above the target in fiscal year 2013 because more funding was available than had been expected.

(b) The small business jobs tax credit was reinstituted in fiscal year 2013 after not being in effect in fiscal year 2012. Fiscal year 2013 activity was lower than expected in part because the program did not receive as much marketing exposure as it did in fiscal year 2011.

(c) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all). Business Development's fiscal year 2014 appropriation includes a significant amount of the Prime Sites bond funding which will likely be spent over a number of years. In addition, Business Development captures the entirety of the Advantage Illinois appropriation, which had been shared with Entrepreneurship, Innovation & Technology.

Coal Development

Mission Statement: To preserve and strengthen Illinois coal production and related industries by providing infrastructure support, coal education programs, technical and financial support for basic research and development, and commercial-scale demonstration of promising coal utilization technologies.

Program Goals: Objectives:

1. To preserve and strengthen coal production and related industries in Illinois.
   a. Leverage private investment in coal industry infrastructure and coal technology demonstration.
   b. Create new coal industry jobs.
   c. Publicize recent advances in coal research and mining methods.

Source of Funds: General Revenue Fund, Coal Development Fund, Tourism Promotion Fund, Intra-Agency Services Fund, Coal Technology Development Assistance Fund

Statutory Authority: 20 ILCS 1105,1110, 30 ILCS 730

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected(d)
Input Indicators					
* Total expenditures - all sources (in thousands)	$17,385.1	$31,326.2	$96,980.3	$17,632.6	$94,835.4
* Total expenditures - state appropriated funds (in thousands)	$17,385.1	$31,326.2	$96,980.3	$17,632.6	$94,835.4
* Average monthly full-time equivalents	13.0	12.7	11.6	11.9	12.4
Output Indicators					
* Research and development projects published	89.0	100.0	40.0	77.0	60.0
Outcome Indicators					
* Total participants in coal education activities (a)	7,273	5,535	6,000	8,635	4,500
* Production of Illinois mines (tons in millions) (b)	34.0	39.5	36.4	51.7	40.0
* Coal jobs created (c)	82.0	183.0	50.0	34.0	60.0
* Private/Public investment ratio (private dollars leveraged per public dollar committed)	N/A	16.9	6.7	7.1	6.7

Footnotes

(a) The fiscal year 2014 target for total participants in coal education activities has been reduced to account for the lack of a coal exhibit at the State Fair, which had accounted for as many as 4,000 participants in prior years.

(b) Production of Illinois mines is dependent upon energy market conditions, geological conditions in the mines, and natural disasters affecting transportation and infrastructure.

(c) Coal jobs created is dependent upon the amount of funding available for coal infrastructure projects, and can exhibit spikes due to large outlier projects (as occurred in fiscal year 2012).

(d) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all). This total includes appropriations for the Coal Development Bond Fund, though the actual spending will likely be spread out over a number of years.

Urban Assistance

Mission Statement: To create and implement policies designed to address the pressing economic needs of residents, businesses and stakeholders in the state's urban areas.

Program Goals: Objectives:
1. Provide opportunities for Building Trades training to underserved urban populations.
2. Provide a new career path for under/unemployed individuals, decrease energy costs, and stimulate local economies.
3. Increase the availability of fresh foods in eligible communities throughout Illinois.

Source of Funds: General Revenue Fund, Build Illinois Bond Fund ***Statutory Authority:*** 20 ILCS 605/605-400

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected(c)
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,206.8	$3,367.5	$432,322.6	$8,681.9	$416,046.9
* Total expenditures - state appropriated funds (in thousands)	$1,206.8	$3,367.5	$432,322.6	$8,681.9	$416,046.9
* Average monthly full-time equivalents	2.9	5.6	7.2	8.9	12.4
Outcome Indicators					
* EOGP number of individuals who complete the program	340.0	142.0	100.0	233.0	166.0
* EOGP number of program participants placed in building trades employment	114.0	50.0	60.0	79.0	83.0
* EOGP number of program participants placed in non-building trades employment	113.0	27.0	25.0	20.0	29.0
* UWI number of persons certified as building analyst	N/A	N/A	291.0	103.0	730.0
* UWI number of persons certified as whole house air leakage installer	N/A	N/A	395.0	318.0	468.0
* UWI number of homes weatherized (a)	N/A	N/A	315.0	0.0	410.0
* Illinois Fresh Food (IFF) number of jobs created (b)	N/A	N/A	175.0	14.0	250.0
* Illinois Fresh Food (IFF) number of communities served (b)	N/A	N/A	14.0	4.0	14.0

Footnotes

(a) Weatherization of homes, once expected to begin during fiscal year 2013, is now slated to begin during the second quarter of fiscal year 2014.

(b) IFF activity was lower than expectedin fiscal year 2013 due to challenges in identifying qualified developers.

(c) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all). Urban Assistance's fiscal year 2014 appropriation includes bond funding for the Urban Weatherization program which will likely occur over a number of years.

Trade & Investment

Mission Statement: To promote and support the export of Illinois goods and services and attract foreign direct investment.

Program Goals: Objectives:
1. To expand the sales volume of Illinois products in overseas markets.
   a. Increase the amount of export sales by Office of Trade and Investment (OTI) client companies.
2. To facilitate the attraction and expansion of businesses through foreign direct investment.
   a. Increase the number of foreign owned companies locating in Illinois.
   b. Spur additional investment and expansion by foreign owned companies with existing locations in Illinois.

Source of Funds: General Revenue Fund, Agricultural Premium Fund, International Tourism Fund, International and Promotional Fund ***Statutory Authority:*** 20 ILCS 605/605-615

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected(a)
Input Indicators					
* Total expenditures - all sources (in thousands)	$4,386.8	$4,389.0	$15,294.8	$5,583.6	$11,848.1
* Total expenditures - state appropriated funds (in thousands)	$4,386.8	$4,389.0	$15,294.8	$5,583.6	$11,848.1
* Average monthly full-time equivalents	23.1	18.3	23.1	20.9	23.2
Output Indicators					
* Companies participating in trade missions	N/A	253.0	250.0	261.0	250.0
Outcome Indicators					
* Dollar value of export sales World Insititute for Strategic Economic Research (WISER) (in billions)	$57.4	$68.2	$75.1	$64.8	$72.0
* Jobs added due to foreign companies locating in Illinois	N/A	422.0	200.0	267.0	300.0

Footnotes

(a) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all).

Illinois Film Office

Mission Statement: To promote Illinois as a center for film, television, and commercial production in order to create and retain jobs as well as bring revenue into the state.

Program Goals: Objectives:

1. Promote and facilitate the film and TV production industry to and within Illinois.
   a. Increase the dollar amount of film and TV project tax credits in Illinois.
   b. Increase the level of TV and film production expenditures.
   c. Increase the number of TV and film job hires in Illinois.
2. Support and develop the commercial production industry in Illinois.
   a. Increase the dollar amount of commercial project tax credits in Illinois.

Source of Funds: General Revenue Fund, Tourism Promotion Fund, Intra-Agency Services Fund ***Statutory Authority:*** 20 ILCS 665/4, 35 ILCS 16/48

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected(c)*
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,261.9	$1,320.9	$2,215.1	$1,438.3	$2,180.1
* Total expenditures - state appropriated funds (in thousands)	$1,261.9	$1,320.9	$2,215.1	$1,438.3	$2,180.1
* Average monthly full-time equivalents	10.1	8.5	11.6	8.9	10.8
Outcome Indicators					
* Estimated total production expenditures in Illinois (in millions) (a)	$116.7	$188.6	$156.4	$245.1	$180.0
* Estimated Illinois film wages (in millions) (a)	$.0	N/A	$78.2	$136.9	$82.6
* Estimated live theater expenditures (in millions) (b)	N/A	N/A	$10.0	$.0	$10.0
* Estimated live theater Illinois wages (in millions) (b)	N/A	N/A	$5.0	$.0	$5.0

Explanatory Information

Film and live theater performance measures are based on an analysis of applications data (vetted for reasonableness). Actual expenditures and wages will not be known for some time, as companies have up to two years to submit their data.

Footnotes

(a) Film production activity can be volatile, and is driven in part by industry economics, the nature of projects in the pipeline, and the attractiveness of film tax credits.

(b) The live theater credit was offered for the first time in fiscal year 2013, but was slow to get off the ground. Targets are based on statutory limits, and there is no baseline to go by as of yet.

(c) Fiscal year 2014 targeted expenditures includes the full amount appropriated for the fiscal year, even if those funds will be spent over multiple years (or not at all). The Film Office appropriation is significantly higher than anticipated spending because it is assigned a prorated share of excess administrative spending authority.

Department of Employment Security
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Unemployment Insurance	$234,601.4	1,434.7	$222,439.2	1,270.3
Employment Service	$43,026.0	336.9	$40,000.0	352.4
Labor Market Information	$4,039.1	33.3	$3,103.0	23.1
Non-Reporting Programs				
Interfund Transfer	$0.0	N/A	$25,750.0	N/A
Totals	$281,666.5	1,804.9	$291,292.2	1,645.8

Mission and Organization

The Illinois Department of Employment Security (IDES) supports economic stability in Illinois by paying unemployment benefits, maintaining reserves, collecting taxes, connecting employers with qualified job seekers, and providing economic information to assist in career planning and economic development. The U.S. Department of Labor provides funding to IDES for administration of its three programs, Unemployment Insurance, Employment Service, and Labor Market Information.

In fiscal year 2013, the Department collected more than $3.1 billion in employer taxes and paid more than $2.3 billion in benefits to unemployed workers, including former Federal employees and ex-military personnel. The Department serves the public through a central office in Chicago, six regional offices, and a statewide network of 30 Illinois WorkNet Centers.

Unemployment Insurance

Since the Unemployment Insurance (UI) program is intended to serve as an income stabilizer, it is crucial that benefit payments be made in a timely manner. In fiscal year 2013, the percentage of all first payments made within 14 days was 83.4 percent (under Illinois law, the first week of unemployment is considered a "waiting week," during which benefits are not payable). Similarly, the promptness of payments on a continuing basis is also crucial to providing economic stability. In fiscal year 2013, the percentage of continued payments made within 14 days was 94.5 percent. Illinois exceeded the national averages in both its initial and continued payment promptness.

Illinois' UI program is designed to contribute to the overall economic stability by partially protecting workers eligible for UI benefits against a total loss of income during periods of unemployment. UI benefits are paid from a reserve fund, the UI Trust Fund and taxes that IDES collects from liable employers. Individuals who are unemployed through no fault of their own and meet all requirements set forth in the UI Act may receive benefits for the maximum number of weeks payable under the law or until the worker either becomes employed or is otherwise ineligible.

The Department's fiscal year 2014 goals are to reduce improper UI payments, address worker misclassification, facilitate the reemployment of UI claimants, and improve program performance.

In February 2012, the Department implemented the Treasury Offset Program (TOP) to recover improper payments made to ineligible claimants. TOP is a centralized offset process that intercepts Federal Income Tax refunds of claimants who owe delinquent debts. To date, the Department recovered over $89 million in UI overpayments as a result of this initiative. IDES will continue using TOP in fiscal year 2014.

In order to prevent and detect improper payments, IDES will continue using its 3 current cross-match systems in fiscal year 2014: the Inmate Cross-Match program, the State Directory of New Hires (SDNH), and the National Directory of New Hires (NDNH). The pilot for the cross-match with the Social Security Administration that was implemented in fiscal year 2013 will continue in fiscal year 2014 as well. IDES has plans to implement a fourth cross-match between IDES and the Secretary of State in fiscal year 2014.

In 2012, Illinois ranked second in the United States for identifying misclassified workers. IDES plans on continuing this success in fiscal year 2014 with initiatives implemented in fiscal year 2013, which include a new auditing system and the use of shared data agreements.

Employment Service

The Employment Service (ES) program is IDES' labor exchange program, which provides employers with qualified workers for their job openings and assists job seekers in finding new employment, therefore decreasing the length of time they are unemployed. Through cooperation with other state agencies and community organizations, ES also assists individuals in accessing training, employability development services, and other needed supportive services to realize their employment goals.

IDES strives to help people obtain gainful employment through hiring fairs and facilitating the reemployment of UI claimants with employment services via www.IllinoisJobLink.com, the Department's online labor exchange system. Hiring events leverage IDES innovative pre-registration and outreach efforts, and promote self-serve IllinoisJobLink.com (IJL) employer and job seeker registrations. Currently, there are 128,646 jobs and 71,557 active resumes in IJL. IDES 2014 goal is to organize at least 12 hiring events throughout Illinois, and work with claimants and employers directly to achieve reemployment. Additionally, IDES will add additional Business Services Team members in an effort to cut waste, fraud and abuse.

Labor Market Information

Labor Market Information (LMI) is collected, analyzed and distributed by IDES for use by employers and public and private sector organizations in planning, training, and economic development programs. LMI is also developed into products that can be used by students and job seekers in choosing careers and vocational training.

Unemployment Insurance

Mission Statement: To promote economic stability in Illinois by collecting employer taxes and paying Unemployment Insurance (UI) benefits promptly to eligible claimants.

Program Goals: Objectives:

1. Collect taxes from employers in order to make timely and appropriate benefit payments to the eligible unemployed.
   a. Ensure at least 87.0 percent of first payments are made within 14 days of first compensable week (U.S. Secretary of Labor Standard).
   b. Resolve 58.0 percent of benefit appeals within 30 days of appeal date (U.S. Secretary of Labor Standard).
   c. Maintain at 95.7 percent the number of employers submitting tax payments within 30 days of the quarter end date.
   d. Ensure at least 90.0 percent of employer status determinations (account setup) are made within 180 days of the quarter end date in which liability occurred.
   e. Maintain Average Age of Pending Lower Authority Appeals is completed in less than or equal to 30 days. (U.S. Secretary of Labor Standard).

Source of Funds: General Revenue Fund, Road Fund, Federal Title III Social Security and Employment Service Fund, Federal Unemployment Compensation Special Administration Fund

Statutory Authority: 820 ILCS 405/100-3200

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$265,877.3	$234,601.4	$204,999.0	$222,439.2	$159,411.0
* Total expenditures - state appropriated funds (in thousands)	$265,877.3	$234,601.4	$204,999.0	$222,439.2	$159,411.0
* Average monthly full-time equivalents	1,534.9	1,434.7	1,054.0	1,270.3	1,157.0
Output Indicators					
* Continued weeks claimed	10,427,452	8,715,948	8,874,930	8,005,568	7,493,794
* Initial (first) claims filed	810,011	747,727	756,327	751,870	741,849
* First payments made within 14 days	428,457	418,490	418,490	406,912	401,489
* Total adjudication	343,666	324,572	324,572	289,851	282,738
* Tax receipts (in thousands)	$2,413,285.0	$3,228,755.0	$3,228,323.0	$2,980,829.0	$2,738,455.0
* Employer reports received	1,135,322	1,144,794	1,144,794	1,161,286	1,149,673
* Benefits paid (in thousands)	$2,663,856.0	$2,383,004.0	$2,383,004.0	$2,303,862.0	$2,204,553.0
Outcome Indicators					
* Percent first payments within 14 days	89.9 %	85.9 %	87 %	84.4 %	87 %
* Percent continued payments within 14 days	96.4 %	94.9 %	94.9 %	94.5 %	87 %
* Separation adjudication - 21 days	73.7 %	84 %	84 %	67.7 %	80 %
* Appeals decisions - 30 days	64.7 %	81 %	81 %	35.9 %	58 %
* New employer account setup timeliness	92 %	91.7 %	91.7 %	92.3 %	90 %
* Percent employers submitting tax payment within 30 days	98 %	97.3 %	97.8 %	95.7 %	95.7 %
* Appeals quality	98.1 %	98.7 %	98.7 %	95 %	95 %
* Average age of pending lower authority appeals (days)	27.0	19.0	19.0	30.0	30.0
External Benchmarks					
* National percent first payments within 14 days	83.5 %	83.2 %	N/A	80.9 %	87 %
* National separation adjudicaton - 21 days	67.7 %	68.2 %	68.2 %	66.3 %	80 %
* National appeals decisions 30 days	35.8 %	46.6 %	60 %	58.6 %	60 %
* National status determination timeliness	92.3 %	93 %	93 %	93.2 %	87 %
* National appeals quality	95.7 %	96 %	80 %	95.4 %	95 %
* National average age of pending lower authority appeals (days)	37.4	31.8	30.0	39.1	30.0
* National percent continued payments within 14 days	92 %	91.9 %	91.9 %	90.9 %	87 %

Employment Service

Mission Statement: To meet the employment needs of Illinois businesses by being the primary source of qualified job candidates.

Program Goals: Objectives:

1. Connect employers with qualified job seekers.
   a. Maintain the percentage of U.I. recipients exhausting benefits at 44.7%.
   b. Increase the percentage of Veterans receiving staff assisted services to 49.0%.
   c. Increase the entered employment rate (all) to 53.0%.
   d. Increase the employment rentention rate (all) to 82.8%.

Source of Funds: Federal Title III Social Security and Employment Service Fund ***Statutory Authority:*** 20 ILCS 1015/0.01-15

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$49,875.4	$43,026.0	$42,447.0	$40,000.0	$36,445.0
* Total expenditures - state appropriated funds (in thousands)	$49,875.4	$43,026.0	$42,447.0	$40,000.0	$36,445.0
* Average monthly full-time equivalents	371.2	336.9	271.0	352.4	321.0
Output Indicators					
* Job orders received	49,391	36,690	45,000	N/A	N/A
* Total participants	588,782	753,288	760,000	702,234	750,000
* Federal contractor orders	9,536	5,944	7,000	N/A	N/A
* Job openings (a)			N/A	39,446	44,400
* Federal contractor openings (a)			N/A	5,303	6,300
Outcome Indicators					
* Entered employments (applicants)	225,204	303,384	310,000	349,892	310,000
* U.I. recipents exhausting benefits	50.2 %	45.6 %	45.6 %	44.7 %	44.7 %
* Veterans receiving staff assisted services	70.3 %	58.3 %	75 %	48.7 %	49 %
* Entered employment rate (all)	49.9 %	49.7 %	52.5 %	50.6 %	53 %
* Employment retention rate (all)	77.6 %	80.6 %	82.8 %	80 %	82.8 %
* Entered employment rate (veterans)	44.6 %	44.5 %	46 %	45.5 %	46 %
* Six months average earnings (in dollars)	$16,650.00	$15,930.00	$15,700.00	$15,038.00	$16,000.00

Footnotes

(a) New indicator for 2013.

Labor Market Information

Mission Statement: To establish a comprehensive, coordinated and effective system for the production, analysis and dissemination of high quality Labor Market and Career Information that is accessible and responsive to all users. Information is used in making informed economic and career decisions by individuals and businesses.

Program Goals: Objectives:

1. Provide economic data necessary for career and economic development planning.
   a. Maintain number of customer interactions for economic analysis information at 350,000.
   b. Ensure accurate economic analysis information is provided by verifying 82% of area and industry codes for Illinois employers.

Source of Funds: Federal Title III Social Security and Employment Service Fund ***Statutory Authority:*** 820 ILCS 405

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,449.1	$4,039.1	$4,190.0	$3,103.0	$2,827.0
* Total expenditures - state appropriated funds (in thousands)	$3,449.1	$4,039.1	$4,190.0	$3,103.0	$2,827.0
* Average monthly full-time equivalents	35.8	33.3	28.7	23.1	21.0
Output Indicators					
* Quarterly employer accounts received	1,514,499	1,541,966	1,569,752	1,759,892	1,750,000
* Illinois employers sampled to collect Occupational Employment and wage information (OES)	12,962	13,488	13,543	13,567	12,384
* Employer surveys of employment, hours and earnings or Current Employment Statistics (CES)	205,848	195,564	195,000	193,256	193,000
* Verifications of area and industry codes for Illinois employers (ES-202)	86,130	88,351	80,643	79,277	79,000
Outcome Indicators					
* Response rate for verification of employer area and industry codes	93 %	85 %	80 %	81.63 %	82 %
* Response rate for the Occupational Employment Statistical Sample	75 %	75 %	75 %	69.26 %	75 %
* Response rate for employer surveys of employment, hours and earnings	70.9 %	70.9 %	70 %	70.9 %	70 %
* Percent of accuracy of rate for employer surveys of employment, hours and wages as confirmed by follow-up surveys (CES)	99.8 %	99.9 %	99.6 %	99.6 %	99.6 %
* Percent of quarterly employer accounts reviewed	100 %	100 %	100 %	100 %	100 %
* Number of users (career and job counselors) receiving LMI training	2,200	3,056	2,500	1,036	1,100
* Customer Web "hits" for economic analysis information	426,300	334,450	350,000	403,000	350,000
* Percentage of ES202 reports reviewed for accuracy	100 %	100 %	100 %	100 %	100 %

Metropolitan Pier and Exposition Authority
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
MPEA Debt Service	$138,929.5	0.0	$167,773.4	0.0
MPEA Operations	$0.0	861.0	$0.0	805.0
Non-Reporting Programs				
Totals	$138,929.5	861.0	$167,773.4	805.0

Mission and Organization

The restructuring of the McCormick Place convention operations:

• Revised work rules, lowered prices for utility services and food services, allowing independent contractors to deliver utility services.

• Significantly reduced revenues at McCormick Place and increased operating losses in fiscal year 2011 and thereafter.

Surplus revenues from Authority taxes will provide operating assistance for a temporary 4-year period from fiscal year 2011-2014.

Dramatically reduced corporate expenses and the expansion of the Authority's hotel property will combine to bring MPEA's operating budget back into balance without support from surplus taxes in fiscal years 2015 and 2016.

The hotel expansion was completed in June 2013 ahead of schedule and under budget. In its initial months, operating results for the expanded hotel have exceeded forecast.

MPEA Debt Service

Mission Statement:

Program Goals: Objectives:

Source of Funds: Metropolitan Fair and Exposition Authority Improvement Bond ***Statutory Authority:*** 70 ILCS 210

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$170,110.5	$138,929.5	$.0	$167,773.4	$54,987.0
* Total expenditures - state appropriated funds (in thousands)	$170,110.5	$138,929.5	$.0	$167,773.4	$54,987.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0

MPEA Operations

Mission Statement: To Be The World's Premier Host, Creating A Great Experience For Every Person, Every Time.

Program Goals: Objectives:

1. Promote and expand the convention and entertainment businesses.
   a. Retaining repeat events and attracting new events to McCormick Place.
   b. Promoting and expanding the entertainment venues and tourist attractions at Navy Pier to generate increased visitor traffic.
   c. Enhancing the contribution of the MPEA through improved hotel occupancy and conference center usage.

Source of Funds: ***Statutory Authority:*** 70 ILCS 210

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$193,648.1	$128,352.2	$139,515.2	$143,870.1	$155,199.1
* Total expenditures - state appropriated funds (in thousands)	$.0	$.0	$.0	$.0	$.0
* Average monthly full-time equivalents	778.1	861.0	925.0	805.0	766.0
* McCormick Place operating expenditures (in thousands)	$103,023.1	$79,456.4	$90,728.9	$91,693.1	$97,263.9
* Hotel operating expenditures (in thousands)	$40,624.9	$41,787.5	$42,268.7	$45,716.1	$50,696.3
* Navy Pier operating expenditures (a)	$37,377.8	$.0	$.0	N/A	N/A
* Corporate administration expenditures (in thousands)	$12,622.3	$7,108.3	$6,517.6	$6,460.9	$7,238.9
Output Indicators					
* Total operating revenues (in thousands)	$172,030.6	$104,867.5	$119,764.5	$131,751.1	$136,895.4
* McCormick Place operating revenues (in thousands)	$75,509.4	$46,444.1	$63,819.1	$69,519.4	$60,017.8
* Hotel operating revenues (in thousands)	$55,167.9	$57,550.5	$55,580.6	$61,557.5	$76,464.0
* Navy Pier operating revenues (a)	$41,267.2	$.0	$.0	N/A	N/A
* Other revenue sources (in thousands)	$86.1	$872.9	$364.8	$674.2	$413.6
Outcome Indicators					
* McCormick Place total Exhibit Hall events	64.0	65.0	53.0	55.0	56.0
* Repeat McCormick Place Exhibit Hall events as a percent of total	74 %	69 %	81 %	81 %	80 %
* Hotel occupancy	63 %	66 %	61 %	66 %	57 %
* Hotel average daily room rate (in dollars)	$179.66	$190.86	$192.83	$193.83	$200.24
* Navy Pier visitors (in thousands) (a)	8,802	N/A	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* McCormick Place operating expenditures per net square foot of Exhibit Hall space (2.2 million nsf) (in dollars)	$46.83	$36.12	$41.24	$41.24	$44.21
* Funds expended by Navy Pier per visitor (a)	$4.25	$0.00	$0.00	N/A	N/A
* Hotel daily expenditure per room (800 rooms) (in dollars)	$139.13	$143.11	$144.76	$156.56	$110.06

Footnotes

(a) Effective July 1, 2011, the Authority entered into a long term lease agreement with a not-for-profit entity to manage, operate, and develop Navy Pier, so the Authority no longer collects data for these indicators.

Department of Agriculture
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Fairs and Horseracing	$25,738.4	56.7	$26,585.2	18.0
Meat Inspection	$10,080.9	104.8	$10,638.6	106.2
Land and Water Resources	$8,643.4	11.8	$8,713.6	14.9
Environmental Programs	$7,682.2	52.4	$7,727.1	51.0
Weights and Measures	$4,871.0	38.5	$5,216.6	39.3
Marketing	$3,738.9	27.3	$3,917.5	31.9
Animal Disease Laboratory	$4,036.9	29.4	$2,983.7	14.9
Agriculture Products Inspection	$3,043.3	23.0	$2,701.1	21.2
Warehouses	$1,806.2	19.3	$1,618.2	18.1
Animal Health	$900.0	7.5	$745.9	6.3
Egg Inspection	$675.9	5.3	$736.1	6.4
Animal Welfare	$488.9	7.5	$407.8	6.3
Non-Reporting Programs				
Interfund Transfers and Pass-Throughs	$14,970.7	N/A	$13,443.9	N/A
Totals	$86,676.7	383.5	$85,435.3	334.5

Mission and Organization

The Illinois Department of Agriculture's (IDOA) programs focus on the regulation and promotion of the Illinois agriculture industry. Through rigorous monitoring and regular oversight, agency staff ensures the safety of the state's food and agriculture industry, protecting both producers and consumers. Major tasks include protecting individuals from mislabeled, contaminated, or diseased agricultural commodities; preserving the state's natural resources while at the same time protecting productive agricultural land; monitoring the safety of the food supply; and performing inspections to safeguard consumers.

IDOA promotes the agricultural industry through the agency's Bureau of Marketing and Promotion, which is charged with providing domestic and international marketing assistance to both established and fledgling Illinois food and agribusiness companies. The bureau facilitates reverse trade missions for foreign livestock and grain buyers; sponsors domestic and international trade activities to spur sales of Illinois products; and partners with field-to-table advocates in an effort to increase the production and distribution of fresh, locally grown foods. In fiscal year 2013, the Return on Investment (ROI) ratio for the Bureau of Marketing and Promotion was 101:1.

The Department of Agriculture also oversees both the Illinois and DuQuoin State Fairs and manages non-fair events held on the two state fairgrounds. These non-fair events help generate additional revenue for the agency.

Management at the Department of Agriculture continues to improve the agency's operating efficiency by further streamlining regulations and by working to make more information available electronically.

Homeland security and emergency preparedness are a critical focus of the agency as well. Safeguarding Illinois' agricultural resources is a statewide priority and the department is one of several state agencies involved with the State's Emergency Operations Center.

The Interfund Transfers and Pass-Through component relates to those entities outside the Illinois Department of Agriculture that receive funding through the department's budget.

Program expenditures and headcount numbers contain an apportioned amount for the department's administrative headcount and costs, which directly support program activities.

Fairs and Horseracing

Mission Statement: Provide opportunities for agricultural awareness through family-oriented fairs, capitalize on the staging of special events that utilize fairground facilities, and support the Illinois horse breeding industry.

Program Goals: Objectives:

1. Provide a safe, entertaining and educational experience at a reasonable price.
   a. Survey attendees to improve the value and performance of the fair.
   b. Enhance the educational focus of the fair.
2. Provide a good business opportunity for concessionaires.
   a. Maintain the percentage of returning concessionaires at both fairs at 90% or better.
3. Provide an appealing event for exhibitors and contestants that enhances agriculture industry awareness and strengthens local tourism industry sales.
   a. Survey exhibitors to improve the value and performance of the fair.
   b. Survey contestants to improve the value and performance of the fair.
   c. Survey attendees.
   d. Improve tourism industry sales.
4. Maximize use of the fairground facilities.
   a. Increase and diversify non-fair usage.
   b. Provide and promote events that have economic benefits and impact to the community and Illinois.
5. Provide swift and courteous service to all horse racing participants.
   a. Register horses quickly after receipt of application.
   b. Perform mare, foal, and stallion identifications in a timely manner.
   c. Provide requested information in an efficient and courteous manner.
   d. Make administrative decisions benefiting all facets of the horse racing industry.
6. Schedule racing events to enhance and support Illinois' horse breeding and racing programs.
   a. In cooperation with Illinois' pari-mutuel racetracks, schedule approximatly 550 thoroughbred races per fiscal year.
   b. Schedule approximately 400 harness racing events for Illinois-breds to be contested at 34 or more county fairs each fiscal year.
   c. Schedule approximately 60 Illinois-bred events to be raced at the Illinois and DuQuoin State Fairs.
   d. In cooperation with Illinois' pari-mutuel racetracks, schedule approximately 300 stakes and 600 overnight events restricted to Illinois-bred harness races each year.
   e. Schedule an adequate number of races at the county fair and state fair levels to serve and support Illinois' new quarter horse breeding and racing program.
7. Supplement horse racing purses and provide county fair funding.
   a. Provide funding for Illinois-bred standardbred, thoroughbred, and quarter horse races.
   b. Provide stable financial support for each county fair per fiscal year.
8. Provide facilities that are clean, safe, accessible, affordable and available when needed.
   a. Survey customers to gauge and improve customer satisfaction.

Source of Funds: General Revenue Fund, Agricultural Premium Fund, Fair and Exposition Fund, Illinois State Fair Fund, Illinois Racing Quarterhorse Breeders Fund, Illinois Standardbred Breeders Fund, Illinois Thoroughbred Breeders Fund, State Fair Promotional Activities Fund, Illinois Colt Stakes/Championship Purse Fund

Statutory Authority: 20 ILCS 210/1

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$25,251.1	$25,738.4	$27,883.6	$26,585.2	$29,412.7
* Total expenditures - state appropriated funds (in thousands)	$25,251.1	$25,738.4	$27,883.6	$26,585.2	$29,412.7
* Average monthly full-time equivalents	59.0	56.7	19.6	18.0	19.6
Output Indicators					
* Number of Illinois State Fair concession and exhibit contracts	476.0	485.0	490.0	467.0	480.0
* Number of DuQuoin State Fair concession contracts	191.0	210.0	205.0	198.0	200.0
* Number of Illinois State Fair attendees	817,393	918,534	900,000	961,063	970,674
* Number of DuQuoin State Fair attendees	351,000	285,100	360,000	258,000	362,000
* Illinois State Fair revenue generated (in thousands)	$4,968.5	$5,548.4	$5,000.0	$6,435.7	$6,450.0
* DuQuoin State Fair revenue generated (in thousands)	$1,520.0	$1,221.2	$1,540.0	$1,176.0	$1,530.0
* Number of registered thoroughbreds conceived and/or foaled in Illinois	1,565	1,253	1,000	1,271	1,200
* Number of registered standardbreds conceived and foaled in Illinois	1,823	1,405	1,200	1,422	1,400
* Number of registered quarter horses conceived and foaled in Illinois	70.0	85.0	100.0	97.0	90.0
* Number of thoroughbred stakes races and restricted races	350.0	357.0	350.0	N/A	350.0

Fairs and Horseracing (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Number of standardbred stakes races and restricted races	752.0	878.0	700.0	N/A	700.0
* Number of quarter horse stake races and restricted races	5.0	5.0	5.0	7.0	7.0
* Total purses paid	$22.8	$29.5	$18.0	N/A	$29.0
Outcome Indicators					
* Percent of return concessionaires at the Illinois State Fair	89 %	87 %	90 %	95 %	90 %
* State support as percentage of total purses paid to Illinois registered thoroughbreds, standardbreds, and quarter horses at horse races	15 %	11 %	15 %	N/A	11 %
* State support for county fair awards and premiums as a percentage of total county fair awards and premiums paid	32.8 %	32.8 %	32.8 %	32.8 %	32.8 %
Efficiency/Cost-Effectiveness Indicators					
* Number of inspections per employee (Bureau of County Fairs and Horse Racing) (a)	975.0	786.0	675.0	698.0	680.0
* Number of horses enrolled and registered per employee (Bureau of County Fairs and Horse Racing)	3,723	2,734	2,300	2,790	2,700

Footnotes

(a) There were fewer inspections conducted due to the decrease in the number of horses enrolled.

Meat Inspection

Mission Statement: Protect public health and ensure consumer confidence in Illinois meat products by inspecting meat and poultry slaughter and processing operations.

Program Goals: Objectives:

1. Provide safe and high quality meat products in Illinois by ensuring a regulatory compliant meat and poultry slaughter and processing industry.
   a. Reduce the percentage of actionable findings.
   b. Increase the percent of sites rated compliant.
2. Provide comprehensive, consistent and clear requirements, policies and procedures.
3. Provide professional, consistent, and fair inspections that follow the Department's policies and procedures.
4. Provide a dispute resolution and appeals process that is efficient, consistent and fair.

Source of Funds: General Revenue Fund, Wholesome Meat Fund ***Statutory Authority:*** 225 ILCS 650 et. seq.

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$9,531.3	$10,080.9	$12,319.4	$10,638.6	$13,004.5
* Total expenditures - state appropriated funds (in thousands)	$9,531.3	$10,080.9	$12,319.4	$10,638.6	$13,004.5
* Average monthly full-time equivalents	101.0	104.8	109.0	106.2	109.0
Output Indicators					
* Number of enforcement actions taken (warning letters and hearings)	130.0	125.0	120.0	122.0	120.0
* Livestock inspected (head)	908,225	1,041,295	960,000	879,040	850,000
* Livestock inspected (millions of pounds)	209.7	202.0	200.0	97.7	100.0
* Number of inspected plants/brokers	937.0	955.0	940.0	1,017	950.0
* Number of planned/random compliance reviews (a)	8,355	8,610	8,400	5,768	5,800
* Number of official plant reviews	143.0	163.0	150.0	187.0	150.0
* Number of tasks/procedures performed (b)	242,410	167,091	170,000	178,866	N/A
* Number of articles submitted to industry newsletters	3.0	3.0	3.0	3.0	3.0
* Number of newsletters provided to Meat & Poultry Inspection employees	4.0	3.0	3.0	4.0	4.0
* Number of correlation training sessions (c)	132.0	125.0	140.0	63.0	80.0
Outcome Indicators					
* Percentage of scheduled tasks performed by inspectors when monitoring Sanitation Performance Standards in inspected facilities	83.5 %	95 %	85 %	91 %	90 %
* Percentage of scheduled tasks performed when monitoring Sanitation Standard Operating Procedures (SSOP) in inspected facilities	82.8 %	94 %	85 %	90 %	90 %
* Percentage of scheduled tasks performed to verify Hazard Analyses Critical Control Plan for food safety programs	82.1 %	92 %	85 %	88.9 %	90 %
* Percentage of scheduled tasks performed for verification of food security threat conditions in inspected facilities	94.6 %	93 %	94 %	99 %	90 %
Efficiency/Cost-Effectiveness Indicators					
* Average cost per livestock inspected (head) (in dollars)	$9.50	$9.79	$12.83	$11.40	$17.30
* Number of tasks/procedures performed per inspector (b)	24,241	16,709	17,000	19,186	N/A
* Average total cost of inspections per plant during the fiscal year (in dollars)	$9,214.50	$10,678.00	$13,106.00	$10,610.00	$11,712.00

Footnotes

(a) In September 2012, USDA issued new Directive 8010.1 with instructions for prioritization in commerce reviews.

(b) The Bureau of Meat & Poultry Inspection will be switching to a new USDA developed computerized system "PHIS." At this time it is not known how many procedures will be generated by this system.

(c) Two trainers retired in 2012, and replacements were not readily available.

Land and Water Resources

Mission Statement: Promote the conservation and protection of Illinois' soil and water resources by providing financial and technical assistance to the 97 county soil and water conservation districts to work with rural and urban customers. Provide outreach to the public at large on natural resource conservation.

Program Goals: Objectives:

1. Provide timely and relevant technical and financial assistance to Soil and Water Conservation Districts.
   a. Increase number of projects approved.
   b. Increase total amount of money expended per year.
2. Provide timely assistance upon receipt of request.
3. Provide comprehensive, consistent and clear requirements, policies and procedures.
4. Mitigate soil loss.
   a. Increase acres of conservation tillage applied statewide.
   b. Increase percent of cropland meeting tolerable ("T") soil loss levels.

Source of Funds: General Revenue Fund, Agricultural Premium Fund, Capital Development Fund, Partners for Conservation Fund, Partners for Conservation Projects Fund, Watershed Park Fund, Agriculture Federal Projects Fund, White Experimental Farms Fund, Zell Farm

Statutory Authority: 70 ILCS 405/1 et. seq.

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$8,958.5	$8,643.4	$11,711.7	$8,713.6	$12,326.8
* Total expenditures - state appropriated funds (in thousands)	$8,957.9	$8,643.4	$11,711.7	$8,713.6	$12,326.8
* Average monthly full-time equivalents	13.0	11.8	11.9	14.9	15.2
Output Indicators					
* Sustainable agriculture grants requested (a)	27.0	18.0	18.0	5.0	21.0
* Sustainable agriculture grants approved (a)	14.0	13.0	7.0	0.0	7.0
* Conservation practices program projects approved (a)	1,189	772.0	400.0	244.0	225.0
* Streambank stabilization restoration program projects approved (a)	57.0	42.0	20.0	21.0	18.0
* Number of participants in workshops and courses (a)	1,329	1,127	1,075	741.0	772.0
Outcome Indicators					
* Percent of landowners and agricultural producers requesting financial assistance (sustainable ag. grants), whose project was approved, assisted and completed (a)	52 %	72 %	100 %	0 %	33 %
* State source cost share for conservation practices (in thousands) (a)	$1,031.4	$681.4	$600.0	$642.0	$803.0
* Dollars available per acre for conservation practices (in dollars) (a)	$0.15	$0.06	$0.04	$0.03	$0.04
* Average annual soil erosion rate (tons per acre per year)	4.3	4.2	4.0	4.1	4.1
* Acres of farmland in conservation tillage	10,000,000	10,000,000	10,500,000	8,815,000	8,815,000
* Percentage of farmland in conservation tillage (a)	45 %	45 %	47 %	41 %	41 %
* Cropland meeting tolerable soil loss levels (b)	18,736,000	18,000,000	18,300,000	17,824,000	17,824,000
* Percentage of acres meeting tolerable soil loss levels (b)	84.4 %	84 %	85 %	83 %	83 %
* Acres with approved nutrient management plans (c)	2,135	74.0	0.0	0.0	0.0
Efficiency/Cost-Effectiveness Indicators					
* Average cost per acre to attain compliance with "T" (in dollars)	$80.51	$94.41	$95.00	$65.30	$50.00

Footnotes

(a) Due to reduced funding, there are fewer grants awarded for various practices, projects, and training and workshops.

(b) Illinois agriculture continues to move toward larger operations that utilize larger equipment to maximize efficiency. Large scale operators are less likely to leave a high degree of crop residue on the soil surface, which leads to higher soil erosion.

(c) Landowners are more likely to sign up for a federal nutrient management program than for the state's due to higher payments under the federal program.

Environmental Programs

Mission Statement: Protect the public and the State's natural resources by licensing, registering, permitting and inspecting various agribusinesses and producers.

Program Goals: Objectives:

1. Provide comprehensive, consistent and clear requirements, policies and procedures.
2. Provide professional, consistent, and fair inspections that follow the Department's policies and procedures.
3. Provide timely and accurate processing of pesticide licenses.
4. Provide compliance assistance through enhanced training programs, facility site visits, compliance materials distribution and compliance discussions with licensees.
5. Improve environmental health.

Source of Funds: General Revenue Fund, Used Tire Management Fund, Livestock Management Facilities Fund, Pesticide Control Fund, Agriculture Pesticide Control Act Fund, Agriculture Federal Projects Fund

Statutory Authority: 415 ILCS 60/1 et. seq.

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$7,402.9	$7,682.2	$10,305.7	$7,727.1	$11,254.3
* Total expenditures - state appropriated funds (in thousands)	$7,402.9	$7,682.2	$10,305.7	$7,727.1	$11,254.3
* Average monthly full-time equivalents	54.0	52.4	52.1	51.0	52.1
Output Indicators					
* Pesticide applicators licensed	36,842	35,819	36,000	37,311	36,000
* Pesticide products registered	12,935	13,560	13,250	14,196	14,000
* Pesticide misuse investigations conducted	66.0	110.0	100.0	103.0	100.0
* Acres of nursery inspected (a)	31,247	33,338	32,000	24,263	32,000
* Nursery dealers licensed	3,787	3,628	3,600	3,181	3,200
* Number of nursery inspections	811.0	800.0	800.0	660.0	800.0
* Livestock notices of intent to construct	85.0	138.0	100.0	121.0	100.0
* Waste management plans certified	11.0	21.0	20.0	31.0	20.0
* Livestock managers certified	182.0	493.0	300.0	266.0	300.0
* Phytosanitary certificates issued	4,646	4,873	5,000	4,728	5,000
Outcome Indicators					
* Average response of agribusinesses and producers who listed the satisfaction of compliance assistance received as (1) not at all satisfied to (7) extremely satisfied (b)	5.7	5.7	5.7	N/A	5.7
* Average response of agribusinesses and producers who agreed with the statement that inspectors are responsive, consistent, courteous, and prepared based on the scale of (1) strongly disagree to (7) strongly agree (b)	5.6	5.6	5.6	N/A	5.6
* Average response of agribusinesses and producers who rated requirements and policies as (1) extremely unfair to (7) completely fair (b)	4.9	5.0	5.0	N/A	5.0
* Percentage of enforcement actions overturned by the courts	0 %	0 %	0 %	0 %	0 %
* Percentage of lawncare and agrichemical sites subject to enforcement action	1.1 %	2.2 %	2 %	0.3 %	1 %
Efficiency/Cost-Effectiveness Indicators					
* Number of inspections per inspector	347.4	371.6	375.0	324.8	350.0
* Average cost per inspection (in dollars)	$808.13	$815.57	$825.00	$861.79	$850.00
* Field inspection time per inspection (in hours)	1.1	1.0	1.0	1.2	1.1

Footnotes

(a) Non-coincidence of fiscal year with growing season may result in fiscal year to year variations in acres inspected.

(b) Data is generated through a survey conducted by the USDA-NASS and is not available yet this year.

Weights and Measures

Mission Statement: Ensure fair and equitable trade practices by the business community with Illinois consumers, by inspecting and testing all measuring devices used in commercial transactions. Evaluate the quality of gasoline, diesel fuel, kerosene, propane and other petroleum products offered for sale.

Program Goals: Objectives:

1. Provide timely and accurate inspections.
   a. Complete inspections in a timely manner.
2. Assure that an appropriate and certified device is being used.
   a. Inspect at least 100% of all known devices each year.
   b. Reduce instances of inappropriate activity/device use.
3. Provide comprehensive, consistent, and fair inspections that follow the Department's policies and procedures.
   a. Survey customers to assess satisfaction with inspection policies and procedures.
4. Ensure public confidence in system integrity.
   a. Survey customers to improve integrity of commercial measurement.
   b. Reduce the number of complaints about accuracy.
5. Provide for the accuracy of measuring devices.
   a. Increase the overall percent of devices that measure accurately.

Source of Funds: Weights and Measures Fund, Motor Fuel and Petroleum Standards Fund, Agriculture Federal Projects Fund

Statutory Authority: 225 ILCS 470/1 et. seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$4,132.6	$4,871.0	$6,331.6	$5,216.6	$7,585.6
* Total expenditures - state appropriated funds (in thousands)	$4,132.6	$4,871.0	$6,331.6	$5,216.6	$7,585.6
* Average monthly full-time equivalents	34.0	38.5	38.8	39.3	39.8
Output Indicators					
* Devices inspected (a)	126,038	135,952	136,000	112,358	136,000
* Enforcement actions	7,865	7,208	7,200	7,643	7,500
* Service person licenses issued	1,174	956.0	1,000	1,266	1,300
Outcome Indicators					
* Average time (in minutes) to complete inspections (a)	20.5	23.9	22.0	23.0	25.0
* Percent of instances where inappropriate device is used	6 %	6 %	6 %	6.8 %	6 %
* Average response of customers surveyed who rate requirements, policies, and procedures as (1) extremely restrictive to (7) not at all restrictive (b)	4.5	4.5	4.5	N/A	4.5
* Average response of customers surveyed who rate inspection procedures as (1) not at all efficient to (7) extremely efficient (b)	5.6	5.4	5.5	N/A	5.5
* Percent of devices that measure accurately	94 %	94 %	94 %	93.2 %	94 %
* Number of citizen complaints about accuracy of commercial weighing and measuring devices	527.0	490.0	550.0	406.0	500.0
External Benchmarks					
* Percent of devices that measure accurately in Missouri	98 %	96.5 %	N/A	97 %	N/A
* Percent of devices that measure accurately in Michigan	93.8 %	97.8 %	N/A	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Cost per inspection (in dollars)	$30.34	$32.28	$32.00	$32.28	$37.93

Footnotes

(a) Due to turnover and leaves, the program lost approximately fourteen months of productivity during the year. Three employee trainees were hired to boost productivity, but two left almost immediately. The third is still training to become a certified inspector. Additional employees will be hired in fiscal year 2014, but training is six to twelve months before an employee is a certified inspector.

(b) Data is generated through a survey conducted by the USDA-NASS and is not available yet this year.

Marketing

Mission Statement: Expand Illinois agricultural exports by providing domestic and international marketing assistance.

Program Goals:
Objectives:

1. Provide opportunities to expand domestic and international markets identified through tours, trade shows and missions.
   a. Generate more trade leads per year.
   b. Facilitate more buyer/seller introductions per year.
   c. Increase the dollar value of sales resulting from marketing efforts.
2. Provide marketing opportunities for alternative and specialty crops.
   a. Increase the number of projects regarding alternative and specialty crops.
3. Provide relevant information and advice on foreign market penetration.
4. Facilitate access to federal assistance programs.
   a. Facilitate company access to federal programs.
5. Expand Illinois' agricultural sector.
   a. Improve agricultural export sales.

Source of Funds: General Revenue Fund, Agricultural Premium Fund, Federal Agricultural Marketing Services Fund, Agriculture Federal Projects Fund

Statutory Authority: 20 ILCS 205/40.7

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,666.0	$3,738.9	$3,987.3	$3,917.5	$4,836.1
* Total expenditures - state appropriated funds (in thousands)	$3,666.0	$3,738.9	$3,987.3	$3,917.5	$4,836.1
* Average monthly full-time equivalents	26.5	27.3	26.6	31.9	32.6
Output Indicators					
* Number of custom reports and inquiries	1,721	1,281	1,300	1,289	1,300
* Number of IDOA's own trade shows/missions developed (a)	29.0	18.0	15.0	24.0	20.0
* Number of cooperator sponsored activities (a)	57.0	40.0	40.0	48.0	40.0
* Number of projects regarding alternative and specialty crops (b)	33.0	24.0	25.0	8.0	8.0
* Number of informational, educational, and outreach events (c)	81.0	73.0	70.0	54.0	50.0
Outcome Indicators					
* Number of trade leads generated from IDOA marketing activities	383.0	1,741	750.0	1,138	1,100
* Number of buyer/seller introductions arranged by IDOA staff	7,102	5,474	5,400	9,030	7,000
* Number of participants in IDOA sponsored trade shows (d)	430.0	345.0	375.0	242.0	275.0
* Number of participants in IDOA sponsored missions (a)	76.0	69.0	60.0	110.0	90.0
* Number of participants in cooperator activities (a)	227.0	263.0	260.0	381.0	310.0
* Number of participants in alternative/specialty crop activities (b)	1,033	840.0	700.0	176.0	200.0
* Number of participants at informational, educational, and outreach events (a)	39,626	41,021	41,000	63,967	40,000
Efficiency/Cost-Effectiveness Indicators					
* Annual return on investment (e)	64 %	290 %	500 %	101 %	128 %

Footnotes

(a) The increase in numbers is due to additional marketing activities conducted beyond what was planned.

(b) Specialty crops grants have been limited by USDA and directed toward projects with statewide impact.

(c) Outreach funds were cut significantly.

(d) The number of trade shows varies with global economic conditions and to meet the needs of the food and agriculture industry.

(e) The agency has changed its calculation of the Return on Investment to include all agency marketing costs. Previous years have been restated to reflect the change. ROI is impacted by global market conditions. There may also be a lag between the market activity and the development of sales.

Animal Disease Laboratory

Mission Statement: Detect and identify foreign and domestic animal diseases, by conducting laboratory tests for livestock producers, consumers, pet owners, IDOA Bureaus, state and local jurisdictions and others.

Program Goals: Objectives:

1. Provide timely, accurate and high quality results using quality assurance/quality control.
2. Provide comprehensive, consistent and clear requirements, policies and procedures.
3. Provide professional, consistent, and fair inspections that follow the Department's policies and procedures.
4. Mitigate human and animal health and environmental hazards.
   a. Provide meat testing.
   b. Provide rabies testing.
   c. Provide diagnostic testing for animal diseases and for other purposes.

Source of Funds: General Revenue Fund, Illinois Department of Agriculture Laboratory Services Revolving Fund, Agriculture Federal Projects Fund

Statutory Authority: 510 ILCS 10/0.01 et. seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,651.9	$4,036.9	$4,181.0	$2,983.7	$3,545.8
* Total expenditures - state appropriated funds (in thousands)	$3,651.9	$4,036.9	$4,181.0	$2,983.7	$3,545.8
* Average monthly full-time equivalents	31.5	29.4	29.9	14.9	19.6
Output Indicators					
* Total tests performed (a)	309,737	174,420	100,000	92,749	93,750
* Meat samples tested	3,351	3,357	4,000	2,947	3,000
* Municipal water samples tested (b)	4,029	4,000	0.0	0.0	0.0
* Rabies samples tested	918.0	819.0	900.0	637.0	600.0
* Number of check tests participated (b)	162.0	158.0	100.0	100.0	100.0
* Number of lab meetings held per year (b)	17.0	20.0	10.0	28.0	12.0
* Number of quality assurance/quality control exercises (b)	103.0	125.0	75.0	75.0	75.0
* Number of staff meeting personnel qualifications (b)	30.0	30.0	12.0	19.0	19.0
* Number of staff receiving continuing education per year (b)	7.0	11.0	5.0	5.0	7.0
Efficiency/Cost-Effectiveness Indicators					
* Average cost per test (b)	$11.77	$23.14	$41.81	N/A	N/A
* Number of tests per lab worker (b)	9,833	5,933	3,344	4,881	4,934

Footnotes

(a) The total number of tests performed has decreased due to the declining number of livestock producers and large animal veterinarians. Pricing changes and regulatory oversight have also affected submissions.

(b) During fiscal year 2013, the three laboratories were consolidated into one laboratory in Galesburg. Further decrease in activity is expected due to the consolidation and the elimination of certain test types.

Agriculture Products Inspection

Mission Statement: Protect growers, livestock owners and the environment by inspecting feed, seed and fertilizer products.

Program Goals: Objectives:

1. Provide timely and accurate laboratory results.
   a. Complete single ingredient lab analysis and multiple ingredient analysis in a timely fashion.
2. Provide comprehensive, consistent and clear requirements, policies and procedures.
3. Ensure that feed, seed and fertilizer products available in Illinois are safe and of high quality.
   a. Reduce instances of non-compliant products used.
   b. Maintain and enhance new lab capabilities.
4. Maintain a regulatory compliant feed, seed and fertilizer products processing and users industry.
   a. Increase percent of sites rated compliant.

Source of Funds: General Revenue Fund, Fertilizer Control Fund, Feed Control Fund, Agriculture Federal Projects Fund

Statutory Authority: 505 ILCS 30/1

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,037.5	$3,043.3	$3,798.1	$2,701.1	$5,161.1
* Total expenditures - state appropriated funds (in thousands)	$3,037.5	$3,043.3	$3,798.1	$2,701.1	$5,161.1
* Average monthly full-time equivalents	25.5	23.0	24.4	21.2	23.9
Output Indicators					
* Number of inspections	6,091	6,296	6,000	5,840	6,000
* Number of enforcement actions	814.0	801.0	850.0	520.0	850.0
Outcome Indicators					
* Percent of feed, seed, and fertilizer facilities and products found compliant with regulations	86.6 %	87.3 %	85 %	91.1 %	85 %
* Number of days to complete feed-multiple ingredient laboratory analysis (a)	5.4	5.4	6.0	9.9	7.0
* Number of days to complete fertilizer-single ingredient laboratory analysis (a)	2.7	2.7	3.5	5.6	4.0
* Number of days to complete fertilizer-multiple ingredient laboratory analysis (a)	3.3	3.3	4.0	6.3	5.0
* Number of days to complete seed (depends on type) laboratory analysis	14.6	17.3	17.5	15.8	16.0
Efficiency/Cost-Effectiveness Indicators					
* Cost per inspection (in dollars) (b)	$103.51	$111.32	$120.00	$125.43	$130.00

Footnotes

(a) There was 100% turn over in lab staff. The lab operated for twelve months with a 33% reduction in staff and two months at 66% reduction. Low staff levels and new staff increased the number of days to complete analyses.

(b) The increase in the cost of inspections is due to the increase in fuel prices and salary increases.

Warehouses

Mission Statement: License and examine the operations of grain dealers and grain warehouses; manage the Illinois Grain Insurance Fund and administer the Grain Code, Specialty Farm Product Buyers Act, and the Agricultural Act in order to protect the financial interest and property of grain producers and residents of Illinois.

Program Goals: Objectives:

1. Issue licenses in a timely manner for correct applications.
   a. Issue licenses to all qualified applicants and provide necessary assistance to those who initially fail to meet specific requirements.
   b. Review all applications and issue licenses in a timely manner.
2. Provide comprehensive, consistent and clear requirements, policies and procedures.
   a. Survey customers to assess satisfaction with policies and procedures.
3. Provide professional, consistent, efficient and fair implementation of requirements and examination of policies and procedures.
   a. Survey customers to assess satisfaction with procedures at the conclusion of every examination.
   b. Reduce number of serious complaints about the examination service.
4. Provide dispute resolution and appeals process that is efficient, consistent and fair.
   a. Survey customers to assess and improve the dispute resolution process.
5. Ensure Illinois farmers and other citizens are protected from failures.
   a. Protect all farmers doing business with licensed grain elevator operations.
   b. Minimize Illinois Grain Insurance Fund payouts.

Source of Funds: General Revenue Fund, Regulatory Fund, Grain Indemnity Trust Fund, Illinois Grain Insurance Fund

Statutory Authority: 240 ILCS 40/1-1

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,947.6	$1,832.9	$2,077.7	$1,618.2	$1,709.4
* Total expenditures - state appropriated funds (in thousands)	$1,812.5	$1,806.2	$2,016.7	$1,618.2	$1,744.4
* Average monthly full-time equivalents	21.0	19.3	20.6	18.1	20.6
Output Indicators					
* Number of grain examinations	605.0	882.0	688.0	800.0	716.0
* Number of grain licenses issued	1,280	1,290	1,312	1,343	1,320
* Number of grain dealer/warehouse formal enforcement actions	16.0	14.0	18.0	16.0	18.0
* Amount of Illinois Grain Insurance Fund balance paid for elevator failures	0 %	2.2 %	0 %	0 %	0 %
Outcome Indicators					
* Average response of customers surveyed who rate inspection procedures as (1) not at all consistent to (5) extremely consistent (a)	4.2	3.9	4.1	N/A	4.1
* Percent of grain dealers and warehouse sites rated compliant (not requiring a formal hearing)	95.8 %	96.7 %	95.3 %	94.8 %	95.2 %
External Benchmarks					
* Percent of grain dealers and warehouse sites rated compliant in Missouri	100 %	99 %	N/A	91 %	N/A
* Percent of grain dealers and warehouse sites rated compliant in Indiana (b)	N/A	N/A	N/A	96 %	N/A
Efficiency/Cost-Effectiveness Indicators					
* Cost per examination/licensing activity (in dollars)	N/A	$843.00	N/A	$659.00	$758.00
* Grain examinations per examiner	33.6	55.1	40.2	57.1	44.5
* Average time in hours to complete field examinations	32.4	23.4	30.0	22.2	28.0
External Benchmarks					
* Average time in hours to complete examination in Missouri	16.1	22.6	N/A	N/A	N/A
* Grain examinations per examiner in Missouri	72.0	41.0	N/A	N/A	N/A
* Average time in hours to complete examination in Indiana (b)	N/A	N/A	N/A	13.1	N/A
* Grain examinations per examiner in Indiana (b)	N/A	N/A	N/A	69.0	N/A

Footnotes

(a) Data is generated through a survey conducted by the USDA-NASS and is not available yet this year.

(b) External benchmarks have been added this year with information from the state of Indiana. Prior years' data is not available.

Animal Health

Mission Statement: Reduce and eradicate animal diseases that are a threat to animals raised for the purpose of livestock/poultry production. Facilitate trade by ensuring that livestock producers, veterinarians, livestock dealers, auction market operators and others identify and prevent livestock diseases.

Program Goals: Objectives:

1. Provide comprehensive, consistent and clear information about requirements, policies and procedures.
2. Provide professional, consistent, and fair inspections that follow the Department's policies and procedures.
3. Provide timely issuance of permits and licenses.
   a. Issue licenses quickly after receipt of application.
4. Attain and/or maintain a class-free (no livestock herds containing disease) status for all regulatory diseases.
   a. Decrease incidence of regulated diseases.

Source of Funds: General Revenue Fund, Agriculture Federal Projects Fund, Surety Bond Fund ***Statutory Authority:*** 20 ILCS 5/6.01

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,325.9	$900.0	$1,373.7	$745.9	$485.1
* Total expenditures - state appropriated funds (in thousands)	$1,325.9	$900.0	$1,373.7	$745.9	$485.1
* Average monthly full-time equivalents	10.0	7.5	7.6	6.3	7.6
Output Indicators					
* Number of inspections performed on livestock dealers, branch locations, and auction markets (a)	893.0	580.0	700.0	119.0	100.0
* Number of animals tested by field veterinarians for all diseases (b)	32.0	0.0	50.0	21.0	20.0
* Number of enforcement actions taken due to errors on health certificates	862.0	725.0	750.0	407.0	300.0
* Number of licenses issued to livestock dealers, branch locations, and auction markets	268.0	274.0	280.0	411.0	350.0
* Number of livestock permits issued for entry into Illinois	23,935	24,041	24,000	22,910	22,000
* Number of herds/flocks quarantined or restricted due to positive or suspect test results (c)	32.0	16.0	20.0	30.0	20.0
* Number of renewals of poultry testers trained (d)	277.0	23.0	220.0	31.0	80.0
* Number of new traceable premises accounts established	261.0	188.0	200.0	240.0	160.0
Outcome Indicators					
* Number of horses testing positive for Equine Infectious Anemia	2.0	2.0	0.0	0.0	0.0
* Number of veterinarians trained in bioterrorism	60.0	65.0	70.0	52.0	50.0
* Number of reviews and exercises of emergency disease response plans (e)	29.0	12.0	15.0	18.0	10.0
* Number of new National Poultry Improvement Program (NPIP) flocks enrolled	37.0	37.0	35.0	12.0	15.0
* Number of poultry testers trained (f)	84.0	36.0	35.0	23.0	20.0
Efficiency/Cost-Effectiveness Indicators					
* Number of inspections performed	223.0	145.0	175.0	170.0	160.0
* Cost per inspection (in dollars)	$727.59	$1,552.00	$1,308.28	$1,576.00	$1,500.00

Footnotes

(a) The number of inspections decreased due to the reduction of field investigators and a shift to animal wellness duties.

(b) The number of animals tested is dependent upon the regulatory disease condition reported. The ideal target is "0," which indicates a low rate of disease suspicion.

(c) The number of herds/flocks quarantined or restricted is dependent upon the regulatory disease condition reported.

(d) The number of renewals of poultry testers trained is now based on a staggered schedule.

(e) Number of reviews and exercises of emergency disease response plans decreased due to reduction in personnel.

(f) Number of poultry testers trained will vary due to the fact that it is dependent upon a desired participation and a voluntary program.

Egg Inspection

Mission Statement: Protect public health and ensure confidence in Illinois shell eggs and egg products by inspecting locations that distribute and handle eggs and egg products.

Program Goals: Objectives:

1. Provide safe and high quality eggs and egg products in Illinois by ensuring regulatory compliance with the Illinois Egg & Egg Products Act.
   a. Provide comprehensive, consistent and clear requirements, policies and procedures.
   b. Provide professional, consistent and fair inspections that follow the Department's policies and procedures.

Source of Funds: Agricultural Master Fund

Statutory Authority: ILCS, Ch. 410, Par615/1 et.seq

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$588.1	$675.9	$1,261.8	$736.1	$1,094.1
* Total expenditures - state appropriated funds (in thousands)	$588.1	$675.9	$1,261.8	$736.1	$1,094.1
* Average monthly full-time equivalents	5.0	5.3	6.0	6.4	6.5
Output Indicators					
* Number of enforcement actions taken (warning letters and hearings)	406.0	399.0	415.0	495.0	500.0
* Number of licensed in-state egg suppliers	807.0	835.0	840.0	850.0	875.0
* Number of licensed out of state egg suppliers	282.0	287.0	300.0	285.0	300.0
* Total number of USDA requested services (a)	12.0	17.0	15.0	0.0	0.0
* Number of State Certificates of Inspection issued	523.0	176.0	0.0	103.0	125.0
Outcome Indicators					
* Number of egg inspections (b)	2,662	2,536	2,800	3,142	3,200
* Number of dozen eggs taken off sale	79,749	92,850	90,000	101,006	100,000
Efficiency/Cost-Effectiveness Indicators					
* Average labor cost per hour (in dollars)	$48.69	$53.14	$60.43	$65.00	$68.00
* Number of inspections performed per inspector (c)	665.5	634.0	650.0	628.0	675.0

Footnotes

(a) USDA did not require the department's services in fiscal year 2013.

(b) This number increased because the full-time USDA inspector could not handle the workload and requested department assistance.

(c) Number of inspections per inspector is expected to increase slightly due to a fully trained staff.

Animal Welfare

Mission Statement: Promote the humane care and control of animals by ensuring that pet and livestock owners, pet breeders and pet dealers identify, correct and prevent inhumane conditions and treatment.

Program Goals: Objectives:

1. Provide comprehensive, consistent and clear requirements, policies and procedures.
   a. Survey customers to assess satisfaction with policies and procedures and improve performance.
2. Provide timely issuance of operating licenses.
   a. Reduce the average time to issue operating licenses.
3. Provide timely response to complaints about inhumane care of animals.
   a. Respond quickly to all humane care complaints.
4. Provide professional, consistent, and fair inspections that follow the Department's policies and procedures.
   a. Survey customers to assess satisfaction with inspection policies and procedures.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 225 ILCS 605/1

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$636.6	$488.9	$721.8	$407.8	$1,108.7
* Total expenditures - state appropriated funds (in thousands)	$636.6	$488.9	$721.8	$407.8	$1,108.7
* Average monthly full-time equivalents	9.0	7.5	6.5	6.3	6.5
Output Indicators					
* Number of Animal Welfare inspections	892.0	644.0	650.0	724.0	600.0
* Number of formal complaint and hearing notices issued for violations of the Animal Welfare Act (a)	129.0	128.0	140.0	73.0	100.0
* Amount of monies collected from fines issued under the Animal Welfare Act (in dollars)	$10,837.00	$23,644.39	$11,000.00	$11,913.00	$11,000.00
* Total number of complaints received and tracked in the docket system (b)	1,298	1,040	1,200	829.0	800.0
* Number of Animal Welfare complaints (b)	520.0	366.0	450.0	336.0	300.0
* Number of Animal Welfare, Rendering Brand, and Horsemeat licenses issued (c)	2,195	2,117	2,150	1,596	1,600
* Number of volunteer humane investigators trained and approved	102.0	111.0	100.0	108.0	100.0
* Number of humane care complaints (b)	570.0	642.0	600.0	445.0	400.0
* Number of investigations by humane investigators (b)	2,120	5,570	3,000	4,519	4,000
* Number of cases referred to the States Attorney's office for prosecution	10.0	13.0	10.0	2.0	5.0
* Number of impoundments issued under the Humane Care for Animals Act because of unwillingness or inability to care for animals	0.0	2.0	0.0	3.0	0.0
* Number of notices of violations issued which include humane care, dead animal disposal, and animals running at large	193.0	139.0	150.0	121.0	110.0
* Number of approved humane investigators failing the requirements of the Humane Care for Animals Act	2.0	0.0	5.0	0.0	2.0
* Number of foster permits issued	2,087	2,218	2,200	2,296	2,200
* Number of truck permits issued	563.0	638.0	565.0	556.0	530.0
Efficiency/Cost-Effectiveness Indicators					
* Cost per inspection (in dollars)	$290.18	$274.66	$392.28	$466.00	$450.00

Footnotes

(a) Complaint and hearing notices are dependent upon non-compliance by licensees.

(b) This number is dependent upon the varied amount of complaints received by the public.

(c) Number is dependent upon applications received.

Illinois Historic Preservation Agency
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Abraham Lincoln Presidential Library and Museum	$10,878.7	80.0	$12,895.8	77.0
Historic Sites	$7,093.6	69.0	$7,258.5	64.0
Preservation Services	$2,053.1	16.0	$1,971.8	16.0
Constituent Services	$786.5	6.0	$839.9	5.0
Non-Reporting Programs				
Totals	$20,811.9	171.0	$22,966.0	162.0

Mission and Organization

The Illinois Historic Preservation Agency was created in 1985 through an Executive Order and later Public Act 84-25. It operates 56 state-owned historic sites and memorials, visited by nearly two million people annually; administers the Abraham Lincoln Presidential Library and Museum Complex; administers state and federal historic preservation programs in Illinois including the National Register of Historic Places, tax freeze and tax credit programs and protection of cultural resources; administers the Illinois History Exposition and develops publications for scholars and history enthusiasts and oversees the Papers of Abraham Lincoln. The Agency opened the Abraham Lincoln Presidential Museum in 2005, which has since then been recognized for its engaging shows and exhibits and ability to convey the life of America's greatest president. The Illinois Historic Preservation Agency saves and shares the ongoing story of Illinois to advance learning from our past, demonstrates its relevance in the present, and provides direction for the future. The Agency collects historically important materials and interprets their meaning to the public. It is organized into four programmatic divisions: ALPLM, Historic Sites, Preservation Services, and Constituent Services.

The ***Historic Sites Division*** provides stewardship to 56 historically significant sites representing over 2,000 years of human history across Illinois including the World Heritage Site at Cahokia Mounds; Frank Lloyd Wright's masterpiece, the Dana Thomas House; and Lincoln's New Salem. In addition to providing tours to two million visitors and schoolchildren from around the globe each year, the sites provide 200-300 public programs ranging from small workshops to multi-day festivals serving thousands. The artifact collection of more than 40,000 items ranges from objects once owned by Abraham Lincoln, to internationally significant artwork, to materials representing the foundations of the State of Illinois.

The ***Preservation Services Division*** identifies, records, preserves and promotes the historic properties and resources of Illinois as the federally designated State Historic Preservation Office (SHPO). The Division receives federal matching funds to support the SHPO activities. The Division reviews 15 – 20,000 undertakings each year to assure that historic resources are being properly safeguarded. The Division also reviews and assists historic property owners who utilize preservation tax incentives in their developments. The staff conducts reviews in a timely manner to meet legal requirements and assist owners in construction projects.

The ***Constituent Services Program*** increases the public's awareness, general knowledge, and appreciation of Illinois history by providing direct services to educators, students, scholars, and amateur scholars through a variety of programs. These programs include publications (print and

on-line), support to schools participating in the History Fair and the Annual Illinois History Exposition.

The ***Abraham Lincoln Presidential Library*** (formerly Illinois State Historical Library) collects, preserves, and makes available to researchers published and unpublished resources that document the diverse history of Illinois and its people, thereby increasing and enriching public knowledge. In addition, interlibrary loans are made to other network libraries throughout the country. The Presidential Library continually acquires information and preserves historically significant documents currently in its collection.

The ***Abraham Lincoln Presidential Museum*** interprets the life and times of America's greatest president; fosters scholarship into Lincoln's life and times; preserves the documentary and visual history of Illinois; and supports historical literacy through an ambitious agenda of changing exhibits, conferences, lectures, educational outreach and on-line programming.

Abraham Lincoln Presidential Library and Museum

Mission Statement: The Abraham Lincoln Presidential Library and Museum (ALPLM) interprets the life and times of America's greatest president; fosters scholarship into Lincoln's life and times; preserves the documentary and visual history of Illinois; and supports historical literacy through an ambitious agenda of changing exhibits, conferences, lectures, educational outreach, and on-line programming.

Program Goals: Objectives:

1. To increase awareness of the Abraham Lincoln Presidential Library and Museum through public presentations and tours.
   a. To increase museum attendance two percent in fiscal year 2014.
2. Preserve books, pamphlets, newspapers, photographs and other materials of historical interest to the State of Illinois.
   a. To complete at least 80% of conservation treatments.
3. Collect books, pamphlets, newspapers, photographs, manuscripts and other materials of historical interest to the State of Illinois.
4. Make available, to the public, Illinois historical materials and information.
   a. To respond to 100% of the interlibrary loan requests within 30 working days by June 30, 2014.
   b. To respond to at least 90% of the reference section and newspaper section research request letters within 30 working days by June 30, 2014.
   c. To improve accessibility to newly published books by reducing the time for the processing of 90% of them to 30 working days.

Source of Funds: General Revenue Fund, Illinois Historic Sites Fund, Presidential Library and Museum Operating Fund

Statutory Authority: 20 ILCS 3425 et seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$10,819.0	$10,878.7	$19,504.4	$12,895.8	$15,259.7
* Total expenditures - state appropriated funds (in thousands)	$10,819.0	$10,878.7	$19,504.4	$12,895.8	$15,259.7
* Average monthly full-time equivalents	88.0	80.0	80.0	77.0	80.0
Output Indicators					
* Library users	39,004	51,767	56,943	53,034	55,000
* Items acquired (a)	3,488	3,678	4,000	3,238	4,000
* Conservation treatments performed (b)	2,429	2,575	2,700	1,841	2,000
* Interlibrary loans sent	3,495	3,735	4,000	4,104	4,300
* Museum attendance	297,109	294,959	300,000	315,666	325,000
* Volunteer hours	38,320	39,048	38,000	37,848	38,000
Outcome Indicators					
* Percent of research letters answered in 30 days	85 %	90 %	90 %	80 %	90 %
* Percent of conservation treatments completed in 30 days (c)	90 %	85 %	90 %	70 %	80 %
* Percent of interlibrary loans processed in 30 days	100 %	100 %	100 %	100 %	100 %

Footnotes

(a) Acquisition and cataloging of items for the collection tends to remain constant. With diminishing acquisitions budget and increasing materials costs, volume of acquisitions is similarly reduced.

(b) The Conservation Department has one full time staff person who is assisted by volunteers and Museum staff, especially during exhibit change outs. The “routine” work is compromised as more time is devoted to exhibit preparations. Staff treated 308 items for 22 exhibits and/or loans to other institutions. (268 volunteer hours were donated in the Lab.)

(c) Percentages reflect the “routine” work that is completed within the 30 day goal. This percentage declined, again due to loss of staff and increased exhibit preparation work. In preparation for exhibits, however, the treatment rate is 100% completion for items to be exhibited.

Historic Sites

Mission Statement: To maintain, preserve, interpret, collect and communicate the cultural history of Illinois as represented by the 56 historic sites and memorials administered and owned by the State of Illinois. This is accomplished through public access, educational programs, special events and outreach.

Program Goals: Objectives:

1. To preserve for future generations the division's historic buildings, historic artifacts, archaeological resources and recreational and support facilities.
2. To maintain, as measured by public survey, an annual cleanliness rating of 90% at all staffed sites open to the public.
3. To provide interesting and educational historical and cultural programs at staffed historic sites as measured by public survey at 90%.
4. To reach and educate new and remote audiences as well as under-privileged audiences via our website.
5. To promote and increase tourism throughout the state by attracting visitors to our sites. These visitors spend on average $82 per day in the communities they visit.

Source of Funds: General Revenue Fund, Illinois Historic Sites Fund ***Statutory Authority:*** 20 ILCS 3405 et al

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$6,788.2	$7,093.6	$7,930.8	$7,258.5	$7,999.3
* Total expenditures - state appropriated funds (in thousands)	$6,788.2	$7,093.6	$7,930.8	$7,258.5	$7,999.3
* Average monthly full-time equivalents	69.0	69.0	69.0	64.0	64.0
Output Indicators					
* Site attendance (thousands)	1,940	1,990	2,100	1,935	2,100
* Volunteer hours (thousands)	45.0	64.1	65.0	68.8	70.0
* Donation box receipts (in thousands)	$391.0	$390.8	$425.0	$464.0	$470.0
* Economic impact of historic sites (in millions)	$.0	$159.0	$164.0	$158.7	$172.7
* Website hits pertaining to historic sites	N/A	311,796	310,000	421,670	400,000
Outcome Indicators					
* Overall enjoyable public programs experience - survey	92 %	92 %	90 %	91 %	90 %
* Site appearance and annual cleanliness rating - survey	90 %	86 %	88 %	90 %	90 %

Preservation Services

Mission Statement: To identify, record and preserve the historic buildings and places of Illinois.

Program Goals: Objectives:

1. Work with state and federal agencies to facilitate their stewardship responsibilities for historic properties.
   a. Review 95% of projects submitted within 30 days or less.
   b. Produce 10 agreement documents with agencies that accomplish property protection.
2. Recognize important historic properties by listing them in the National Register of Historic Places with high service levels for applicants.
   a. To respond to at least 90% of National Register inquiries within 30 days by providing the information needed so that applicants may move forward with National Register applications, or otherwise determine appropriate courses of action for other inquiries.
3. Assist county and municipal governments in creating and administering effective local government historic preservation programs so they may be eligible for the benefits of federal grants and incentive programs.
   a. To assist municipalities and counties throughout the state to meet federal criteria to become eligible for and/or maintain their status as Certified Local Governments (CLG).
   b. To offer advocacy for local preservation laws, guidelines, and actions that help to promote awareness, economic development, and sustainability through cultural resource identification and revitalization.
4. Administer state and federal tax incentive programs in a prompt manner consistent with the needs of ongoing rehabilitation of the involved historic properties.
   a. Provide up to date and clear information to the public explaining the tax program benefits and procedures,in public forums that occur at least 4 times each year, and on our website.
   b. To respond to 95% of state and federal tax incentives requests for project review within 50 days.

Source of Funds: General Revenue Fund, Capital Development Fund, Illinois Historic Sites Fund ***Statutory Authority:*** 20 ILCS 3420, 3435, 3440, 3410

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,002.4	$2,053.1	$2,424.5	$1,971.8	$2,550.0
* Total expenditures - state appropriated funds (in thousands)	$2,002.4	$2,053.1	$2,424.5	$1,971.8	$2,550.0
* Average monthly full-time equivalents	18.0	16.0	16.0	16.0	16.0
Output Indicators					
* State tax incentives requests (a)	86.0	44.0	50.0	59.0	N/A
* Federal tax incentive requests (a)	47.0	33.0	35.0	51.0	N/A
* State tax incentive responses (Part 1,2,3 additional work and preliminaries) (b)	N/A	N/A	N/A	170.0	150.0
* Federal tax incentive responses (Part 1,2,3 and amendments) (b)	N/A	N/A	N/A	53.0	35.0
Outcome Indicators					
* Percent of regulatory review comments completed in 30 days	83 %	97 %	95 %	95 %	95 %
* Percent of National Register responses within 30 days	70 %	95 %	95 %	90 %	90 %
* Percent of tax program responses within 50 days	100 %	100 %	100 %	87 %	95 %
External Benchmarks					
* Number of Certified Local Governments	72.0	74.0	77.0	77.0	79.0

Footnotes

(a) This indicator will be phased out after this year's report.

(b) We are changing this indicator to better reflect the amount and type of work that the Preservation Services Division does. Architecture and Tax Incentives Program staff reviews each State or Federal historic rehabilitation tax incentive project before, during, and after construction.

Constituent Services

Mission Statement: Increase the public's awareness and appreciation of Illinois history by providing services to educators, students, scholars and the general public through online and printed publications, student historian programs, and workshop and history resources for social studies teachers.

Program Goals: Objectives:

1. Increase the dissemination of agency programmatic information and services on-line. Increase downloadable versions of agency publications on-line by fiscal year 2014.
2. Develop methods and programs to improve teaching of Illinois history.
3. Improve the public's knowledge of the Illinois Historic Preservation Agency and its mission.

Source of Funds: General Revenue Fund, Illinois Historic Sites Fund ***Statutory Authority:*** 20 ILCS 3405/4 & 3425/4

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,075.1	$786.5	$797.0	$839.9	$1,274.8
* Total expenditures - state appropriated funds (in thousands)	$354.2	$786.5	$797.0	$839.9	$1,274.8
* Average monthly full-time equivalents	6.0	6.0	6.0	5.0	5.0
Output Indicators					
* Subscribers to all publications	4,500	1,747	1,900	1,517	1,517
* Participants at the Illinois History Fair	1,500	1,300	1,300	1,112	1,150
Outcome Indicators					
* Publications online	50.0	44.0	50.0	53.0	53.0
* Contacts made promoting History Fair	250.0	235.0	250.0	255.0	300.0

Illinois Department of Labor
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Public Safety	$4,163.5	37.0	$4,619.0	37.0
Fair Labor Standards	$3,931.8	60.0	$4,594.9	60.0
Equal Opportunity Workforce	$292.9	4.0	$298.0	4.0
Non-Reporting Programs				
Totals	$8,388.2	101.0	$9,511.9	101.0

Mission and Organization

The mission of the Illinois Department of Labor (IDOL) is to promote and protect the rights, wages, welfare, working conditions and safety and health of Illinois workers through enforcement of state labor laws, to safeguard the public through regulation of amusement rides and to ensure compliance with all other labor standards.

The Fair Labor Standards Division overhauled its wage claim processing by:

1. updating its claim forms to be more accessible to the public;

2. giving staff more investigative tools and training;

3. allowing staff to make decisions and initiate settlement agreements, and;

4. giving staff the technological tools and IT support to process cases faster and more efficiently.

The newly amended Wage Payment and Collection Act increased civil and criminal penalties on employers engaging in wage theft and allowed IDOL to establish a streamlined process to resolve small claims. New procedures have encouraged early settlements and payment plans for employers. By instituting a new hearings procedure, workers with smaller claims can get a standalone legally enforceable judgment against an employer. The Department also created a consolidated hearings process for multiple claimants seeking unpaid wages from a single employer.

In addition, the Minimum Wage/Overtime unit implemented a new process for recovering wages for workers who had submitted claims with the Department. This new process expedites the recovery of wages by way of a rigorous settlement approach with employers, and eliminates redundancy in data entry and paperwork. The new "fast-tracked" approach has resulted in thousands of dollars in settlements of claims within months and significantly reduced the time for returning owed wages back to workers.

IDOL launched a statewide, multi-faceted enforcement campaign to educate unlicensed day and temporary labor service agencies of the state's licensing requirements and provided assistance on how to become properly licensed as a Day & Temporary Labor Service Agency with the Department.

The Department has started to utilize an electronic submission of amusement ride operating permit applications for the 2013 operating season. Applicants will now have the option to pay their fees online via the Treasurer's ePay system, reducing paper consumption and processing time, for both the applicants and the Department.

Public Safety

Mission Statement: To provide workplace safety and health protections for public employees in Illinois and to safeguard the public through regulation of amusement rides.

Program Goals: Objectives:
1. Ensure the safety and health of public employees throughout the state.
2. Ensure the safety of the public on carnival and amusement rides.

Source of Funds: General Revenue Fund, Department of Labor Federal Projects Fund ***Statutory Authority:*** Various

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,740.1	$4,163.5	$.0	$4,619.0	$4,850.0
* Total expenditures - state appropriated funds (in thousands)	$2,740.1	$4,163.5	$.0	$4,619.0	$4,850.0
* Average monthly full-time equivalents	34.0	37.0	0.0	37.0	39.0
Output Indicators					
* Number of injuries reported due to mechanical failure of ride	3.0	2.0	0.0	3.0	0.0
* Number of rides inspected	3,800	4,064	4,050	3,968	4,050
* Number of complaints received due to safety problems noted in public buildings (a)	4.0	123.0	140.0	102.0	130.0
* Number of days spent on investigations of safety problems in public buildings (a)	425.0	3,660	4,500	3,200	4,100
Outcome Indicators					
* Number of accidents reported due to mechanical failure of ride per 10,000,000 riders	0.0	0.0	0.0	0.0	0.0
* Average number of days needed to investigate a safety problem in a public building	5.0	4.7	5.0	4.0	5.0
Efficiency/Cost-Effectiveness Indicators					
* Number of rides inspected/number of carnival inspectors (b)	945.0	771.2	795.0	793.0	820.0

Footnotes

(a) The figures for Actual number of days spent on investigation of safety problems in public buildings and the Number of complaints received due to safety problems noted in public buildings are actual numbers tracked by the Safety Division.

(b) Inspector in Chicago area retired in March 2013 at the beginning of the busy season. As a result, number of follow-up inspections decreased due to reduction in available staff.

Fair Labor Standards

Mission Statement: To promote and protect the rights, wages, welfare, safety and health of Illinois workers through enforcement of state labor laws and timely resolution of labor disputes.

Program Goals: Objectives:

1. Effectively respond to workers' complaints of minimum wage/overtime discrepancies.
   a. Ensure minimum wage and overtime wages are properly paid as required by the Minimum Wage Law.
2. Protect rights, wages and welfare of day and temporary laborers.
   a. Ensure day and temporary labor service agencies are adhering to the Day and Temporary Labor Services Act.
3. Assist workers in the collection of wages, vacation, and other monies due them.
   a. Ensure wages due employees are paid promptly and adequately as required by the Illinois Wage Payment and Collection Act.
4. Ensure workers are being paid the prevailing wage as required by the Prevailing Wage Act.
   a. Inform employers, public bodies, and employees of the Prevailing Wage Act.
5. Protect children in the work environment as required by the Child Labor Law.
   a. Ensure required work permits are properly issued.
   b. Investigate complaints received on a timely basis.

Source of Funds: General Revenue Fund, Child Labor and Day and Temporary Labor Services Enforcement Fund, Employee Classification Fund

Statutory Authority: Various

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,850.9	$3,931.8	$4,262.9	$4,594.9	$4,800.0
* Total expenditures - state appropriated funds (in thousands)	$3,850.9	$3,931.8	$4,262.9	$4,594.9	$4,800.0
* Average monthly full-time equivalents	60.0	60.0	62.0	60.0	64.0
Output Indicators					
* Number of minimum wage investigations completed	1,038	938.0	950.0	726.0	730.0
* Number of minimum wage investigations completed within two years	1,020	938.0	950.0	710.0	730.0
* Prevailing wage complaints completed	1,084	777.0	1,100	1,005	1,100
* Number of prevailing wage complaints completed within 180 days	581.0	560.0	600.0	597.0	600.0
* Number of arbitrations held	10.0	N/A	19.0	N/A	N/A
* Number of arbitrations offered within 90 days of request	50.0	N/A	19.0	N/A	N/A
* Number of arbitration decisions issued	6.0	N/A	19.0	N/A	N/A
* Number of arbitration decisions issued within 60 days of completed hearing	5.0	N/A	19.0	N/A	N/A
* Number of Child Labor Law complaints received	48.0	44.0	45.0	23.0	25.0
Outcome Indicators					
* Percent of minimum wage complaints completed within two years	100 %	100 %	100 %	97.8 %	100 %
* Percent of prevailing wage claims completed within 180 days	100 %	65 %	75 %	75 %	100 %
* Percent of hearings offered within 90 days of request	100 %	N/A	100 %	N/A	N/A
* Percent of arbitration decisions held within 60 days of completed hearing	83 %	N/A	100 %	N/A	N/A
* Percent of Child Labor Law complaints resolved	100 %	100 %	100 %	100 %	100 %
Efficiency/Cost-Effectiveness Indicators					
* Number of minimum wage investigations completed/employee headcount	145.0	136.0	140.0	121.0	130.0

Explanatory Information

The agency no longer provides arbitration and mediation services.

Equal Opportunity Workforce

Mission Statement: To protect against gender based pay discrimination in the workplace and to promote the financial independence of workers in Illinois.

Program Goals: Objectives:

1. Ensure timely and effective investigations under the Equal Pay Act (EPA).
   a. Enforcement through timely investigation of complaints received.
2. Ensure a timely completion of Victims Economic Security and Safety Act (VESSA) claim cases.
   a. Completion of investigations within ninety days of initial reception of complaint.

Source of Funds: General Revenue Fund ***Statutory Authority:*** Various

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$228.7	$292.9	$320.0	$298.0	$.0
* Total expenditures - state appropriated funds (in thousands)	$228.7	$292.9	$320.0	$298.0	$298.0
* Average monthly full-time equivalents	4.0	4.0	4.0	4.0	0.0
Outcome Indicators					
* Average number of days to complete an Equal Pay Act case	190.0	204.0	200.0	199.0	190.0
* Average number of days to complete a Victims Economic Security and Safety Act(VESSA) claim case	90.0	67.0	70.0	80.0	75.0

Explanatory Information

There are no targets for fiscal year 2014 as this program has become part of the Fair Labor Standards division (Conciliation) and will be included in the numbers factored for that program.

Illinois Arts Council
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Creative Sector	$4,734.0	3.0	$4,261.2	3.0
Public Radio and Television (PRTV)	$2,147.0	0.0	$1,812.0	0.0
Personnel and Technical Resources	$1,642.8	10.0	$1,517.6	9.0
Underserved Populations	$565.0	2.0	$571.7	2.0
Arts Education	$257.5	2.0	$410.7	1.0
Non-Reporting Programs				
Illinois Humanities Council	$356.3	N/A	$317.0	N/A
Totals	$9,702.6	17.0	$8,890.2	15.0

Mission and Organization

Created as a state agency by the Illinois General Assembly in 1965, the Illinois Arts Council Agency (IACA) is governed by up to twenty-one private citizens, appointed by the Governor, selected for their demonstrated commitment to the arts, and serving in a non-paid capacity.

The IACA mission: To build a strong, creative, and connected Illinois through the arts. The Illinois Arts Council Agency improves economic vitality and quality of life in Illinois by investing in the nonprofit arts sector, advancing arts education, promoting an encouraging atmosphere for creative artists to live and work, and providing catalytic support to build strong arts communities.

STRATEGY AND IMPLEMENTATION: IACA programs, partnerships, and collaborative efforts achieve benefits of widespread and unique value to the people of Illinois through the following fiscal year 2013 program areas:

ARTS EDUCATION: Ensure that individuals of all ages and skill levels have the opportunity to develop artistic skills to reach their full potential and are able to develop career skills to meet the demands of an evolving economy.

CREATIVE SECTOR: Invest in Illinois' individual artists, not-for-profit organizations, educational institutions, and municipalities for the production and presentation of high-quality public arts programming thereby providing stability, ensuring cultural vitality, and spurring economic growth.

HUMANITIES: Increase general knowledge, opportunities and access in the areas of Arts, Education and Culture for the citizens of the State of Illinois.

PERSONNEL & TECHNICAL RESOURCES: Continuously improve IACA work processes and practices to increase effectiveness, efficiency, quality, equity and openness in the provision of all technical resources, information, service, and funds benefiting Illinoisans statewide.

PUBLIC RADIO & TELEVISION (PRTV): Enable the people of Illinois to have access to locally operated stations that provide unique services to their communities including local productions, arts programming, educational outreach, and local and regional news.

UNDERSERVED SECTOR: Equalize opportunities for communities in which individuals lack access to arts programs due to geography, economic conditions, ethnic background, age, or disability.

In fiscal year 2013 the IACA overall General Revenue Funds (GRF) were reduced from the fiscal year 2012 appropriation. While this affected many areas of the Agency, the IACA was able to maintain and improve many key output and outcome indicators. The IACA continues to find ways to become a more efficient and effective agency, distributing GRF funds for the greatest impact.

The release of data by Americans for the Arts provided solid data on Illinois' arts economy. The report captured that the arts are a $2.75 billion industry in Illinois, creating 78,000 full time equivalent jobs and generating $157 million in revenue for the state.

The IACA continues to move forward in efforts to apply the Budgeting for Results (BRF) principles, having been assigned to a group of state agencies that 'strengthen cultural and environmental vitality'.

Creative Sector

Mission Statement: Improve the quality of life in Illinois by spurring economic growth and providing strength and stability to high quality public arts programming.

Program Goals: Objectives:

1. Provide resources and opportunities that meet the cultural needs of the creative sector
   a. Direct resources to creative sector organizations
   b. Direct resources to support individual artists
2. Build a strong and unified arts and cultural community statewide
   a. Provide opportunities to discover, participate in, and enjoy the arts
3. Foster public understanding and appreciation for the arts
   a. Statewide distribution of funding and resources

Source of Funds: General Revenue Fund, Illinois Arts Council Federal Grant Fund ***Statutory Authority:*** 20 ILCS 3915/4

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$5,232.1	$4,680.6	$4,326.4	$4,261.2	$4,693.6
* Total expenditures - state appropriated funds (in thousands)	$4,407.0	$4,734.0	$3,878.3	$4,261.2	$4,693.6
* Average monthly full-time equivalents	4.0	3.0	3.0	3.0	4.0
Output Indicators					
* Organizations Supported	483.0	725.0	725.0	1,016	1,100
* Individual Artist Support: Professional Development support (a)	46.0	37.0	37.0	90.0	100.0
* Individual Artist Support: Project support (a)	56.0	55.0	55.0	38.0	50.0
Outcome Indicators					
* Percent of House Districts Served	87.3 %	84 %	100 %	90 %	95 %
* Percent of Senate Districts Served	98.3 %	97 %	100 %	97 %	100 %
* Individual Arts Experiences Created (in millions) (a)	9.9	1.0	1.0	0.5	0.6
* House Districts w/ Individual Artists Receiving Direct IAC Support	33 %	24 %	25 %	37 %	40 %
* Senate Districts w/Individual Artists Receiving Direct IAC Support	47.4 %	49 %	50 %	53 %	60 %

Footnotes

(a) Individual artist support: Professional development suport, and Individual artist suport; project support, replaced Arts Organizations and non-Arts Organizations.

Public Radio and Television (PRTV)

Mission Statement: Enable access to locally operated stations that provide unique services to their communities including local productions, or arts programming, educational outreach, and local and regional news.

Program Goals: Objectives:

1. Provide effective resources and opportunities to ensure that all Illinoisans have free access to local and regional news and public affairs
   a. Direct support to qualifying public stations
   b. Support opportunities to benefit from public broadcasts
2. Build a strong and unified arts and cultural community statewide
   a. Provide opportunities to discover, participate in, and enjoy the arts
3. Foster public understanding and appreciation for the arts
   a. State-wide distribution of funding and resources
   b. Support PRTV stations to feature local artists and arts organizations

Source of Funds: ***Statutory Authority:***

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,260.0	$2,147.0	$1,812.0	$1,812.0	$2,012.0
* Total expenditures - state appropriated funds (in thousands)	$2,260.0	$2,147.0	$1,812.0	$1,812.0	$2,012.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
Output Indicators					
* Number of PRTV Stations in Program	22.0	21.0	21.0	21.0	21.0
* Number of Project Grants Awarded for Local Productions	13.0	13.0	13.0	10.0	10.0
Outcome Indicators					
* Percent of House Districts Served	100 %	100 %	100 %	100 %	100 %
* Percent of Senate Districts Served	100 %	100 %	100 %	100 %	100 %
* Total Number of Individuals Benefiting (in millions)	1.0	1.6	13.0	1.6	1.8

Footnotes

(a) Numbers not available for fiscal year 2013 Total Number of Individuals Benefiting; used numbers from fiscal year 2012.

Personnel and Technical Resources

Mission Statement: Provide necessary administrative and technical functions to implement agency programs.

Program Goals: Objectives:

1. Maintain equity and transparency in provision of all resources
2. Promote effectiveness and efficiency in program implementation
3. Maintain resources to benefit Illinoisans by providing information and services through diverse channels

Source of Funds: General Revenue Fund ***Statutory Authority:*** 20 ILCS 3915/4

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,419.0	$1,642.8	$1,709.6	$1,517.6	$1,602.0
* Total expenditures - state appropriated funds (in thousands)	$1,419.0	$1,642.8	$1,644.6	$1,517.6	$1,602.0
* Average monthly full-time equivalents	10.0	10.0	10.0	9.0	9.0
Output Indicators					
* Webinars Presented	7.0	9.0	10.0	9.0	10.0
* Online Art Fair Directory: Registered Users	210.0	225.0	280.0	250.0	280.0
* Social Media Connections (a)	2,194	2,995	3,500	3,870	4,000
* Number of Individuals serving as Public Review Panelists	91.0	0.0	0.0	98.0	100.0
* E-News Subscribers	8,000	8,957	9,000	8,638	9,000
* E-News: Total Sent (b)	32,000	30,100	31,000	110,420	75,000
Outcome Indicators					
* "Gold Star" Cultural Data Project Profiles	100 %	100 %	100 %	100 %	100 %
* Open Rate: E-newsletter	35 %	30 %	35 %	31 %	35 %
* Visits: IAC Website	62,000	68,000	70,000	73,023	80,000
* Visits: Art Fair Directory	35,000	39,300	42,000	44,440	47,000
* Public Response via Survey	N/A	0.0	500.0	816.0	850.0
* Webinar Registrants	262.0	311.0	325.0	332.0	350.0
* Monthly Active Users: Social Media	680.0	3,570	4,000	5,150	5,500

Footnotes

(a) Fiscal year 2012 figure of 6,000 was incorrect, the correct number of social media connections is 2,995.

(b) Fiscal year 2013 increase in E-News Sent out is due to substantially large number of strategic plans being sent out.

Underserved Populations

Mission Statement: Equalize opportunities for communities in which individuals lack access to arts programming due to geography, economic conditions, ethnic background, age, or disability.

Program Goals: Objectives:

1. Provide effective resources and opportunities that meet the cultural needs of underserved communities
   a. Support economic viability of organizations that benefit underserved populations
   b. Direct support to local entities that target investments to meet regional priorities
   c. Promote access to the arts for underserved populations
2. Build a strong and unified arts and cultural community statewide
   a. Provide opportunities to discover, participate in, and enjoy the arts
   b. Direct resources to address equity and access
3. Foster public understanding and support for the arts
   a. State-wide distribution of funding and resources

Source of Funds: ***Statutory Authority:***

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$800.1	$618.5	$530.0	$571.7	$650.0
* Total expenditures - state appropriated funds (in thousands)	$283.6	$565.0	$250.0	$571.7	$650.0
* Average monthly full-time equivalents	1.0	2.0	2.0	2.0	2.0
Output Indicators					
* Grants Awarded to Local Arts Agencies	27.0	27.0	30.0	26.0	30.0
* Grants Awarded to Community Arts Access Program Partnerships	23.0	19.0	19.0	18.0	20.0
Outcome Indicators					
* Percent of House Districts Served	33.9 %	34 %	40 %	46 %	50 %
* Percent of Senate Districts Served	57.6 %	56 %	60 %	64.0	75 %
* Total Number of Illinois Residents Benefiting (in millions) (a)	8.9	1.1	9.0	0.5	0.5

Footnotes

(a) Criteria changed from prior years.

Arts Education

Mission Statement: Ensure that individuals of all ages have the opportunity to develop artistic skills and gain the expertise necessary to meet the career demands of an evolving global economy.

Program Goals: Objectives:

1. Provide resources and opportunities that meet the cultural needs of the education sector
   a. Provide opportunities for professional artists to share skills in communities
   b. Provide resources for organizations to connect with Illinois teaching artists
   c. Develop support to organizations providing learning opportunities for individuals of all ages
2. Support sustainable and thriving arts education systems statewide
   a. Direct support to create innovative strategies for arts and foreign language curriculum development and implementation
3. Build a strong and unified arts and cultural community statewide
   a. Provide opportunities to discover, participate in, and enjoy the arts
4. Foster public understanding and support for the arts and arts education
   a. Statewide distribution of funding and resources

Source of Funds: General Revenue Fund ***Statutory Authority:*** 20 ILCS 3915/4

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$535.1	$257.5	$399.2	$410.7	$628.0
* Total expenditures - state appropriated funds (in thousands)	$153.1	$257.5	$250.0	$410.7	$628.0
* Average monthly full-time equivalents	2.0	2.0	2.0	1.0	1.0
Output Indicators					
* Arts Education (K-12) Projects Supported (a)	141.0	136.0	136.0	202.0	225.0
* Total Districts Receiving Grants (b)	20.0	18.0	25.0	13.0	15.0
* Organizations Supported	43.0	47.0	13.0	43.0	50.0
Outcome Indicators					
* Percent of House Districts Served	29 %	20 %	25 %	31 %	35 %
* Percent of Senate Districts Served	59 %	36 %	38 %	46 %	50 %
* Poetry Out Loud: High Schools Participating	57.0	59.0	60.0	36.0	50.0
* Poetry Out Loud: Students Participating	6,273	5,943	6,500	5,339	5,500
* NEA Education Leaders Institute: Funds Brought to Illinois (in thousands)	$183.0	$.0	$80.0	$93.0	$.0
* Total Number of Individuals Benefiting (in millions) (c)	1.8	2.0	13.0	2.0	2.0
* Number of artists listed in IACA Arts-in-Education Roster	65.0	65.0	13.0	70.0	75.0
* Number of artists listed in IACA Artstour Roster	235.0	235.0	13.0	241.0	250.0

Footnotes

(a) Fiscal year 2011 figure of 34 was incorrect, the correct number of Arts education (K-12) projects suported is 141.

(b) Criteria changed from "Total schools receiving grants" to "Total districts receiving grants."

(c) "Total number of individuals benefitting" replaced "Arts education experiences created (in Millions)."

Illinois Finance Authority
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Fire Truck Revolving Loan Program	$0.0	1.5	$3,620.0	1.5
Ambulance Revolving Loan Program	$0.0	1.0	$0.0	1.0
Non-Reporting Programs				
Totals	$0.0	2.5	$3,620.0	2.5

Mission and Organization

The Illinois Finance Authority (the "IFA" or "Authority") provides capital asset financing to 501(c) (3) non-profit organizations and private-sector companies that retain and create jobs. A construct of federalism, the IFA is the primary Illinois delivery system for federal tax benefits that support lower interest rate financing of capital projects by qualified borrowers under the federal tax code (also known as "conduit debt"). In addition to issuing conduit debt, the IFA administers certain State-backed loan guarantee and credit enhancement programs as well as a limited number of direct and participation loan programs to support businesses and essential purpose infrastructure for local governments.

Created on January 1, 2004, through the consolidation and the elimination of seven governmental entities, the IFA is a body politic and corporate of the State of Illinois. The IFA is governed by a fifteen-person board, appointed by the Governor and confirmed by the Senate. No State appropriations support the administration and operation of the IFA. Instead, the IFA relies on fees charged to borrowers who utilize the IFA as an issuer of federally tax-exempt conduit debt as well as from interest and fees collected from certain investments.

Program Overview

The Fire Truck Revolving zero-interest Loan Program was initially funded by a $10,000,000 appropriation between fiscal years 2005 and 2006. By the end of fiscal year 2009, over $9.3 million had been loaned directly to Illinois fire districts and municipalities. The zero interest loans have saved thousands of dollars in interest costs to these local governments through the life of the individual loans.

During fiscal year 2008, $9,000,000 and $4,000,000 were transferred and appropriated to the Fire Truck Revolving loan Fund and the Ambulance Revolving Loan Fund, respectively, from the Fire Prevention Fund.

As of fiscal year 2009, the appropriations for the Fire Truck Revolving Loan Fund and the Ambulance Revolving Loan Fund were increased to $10,630,306 and $4,000,000, respectively. These appropriations funded $10,627,465 in Fire Truck Loans and $993,200 in Ambulance Loans.

For fiscal year 2013, there are appropriations and re-appropriations totaling $6,003,342 for the Fire Truck Revolving Loan Program and $7,006,800 for the Ambulance Revolving Loan Program. In addition, both programs are revolving loan funds so that when the re-payments occur ($1,389,893 expected to be received in fiscal year 2013 for Fire Truck and Ambulance Loan Fund), these funds are then available to be loaned to municipalities and fire protection districts. Based on these assumptions, the total available amount to be loaned, in partnership with the Office of the State Fire Marshal, under both programs in fiscal year 2013 is $13,010,142. In fiscal year 2012, the

Office of the State Fire Marshall approved 29 applications for the Fire Truck Revolving Loan Program. The Authority performed a credit analysis and approved 27 of those applications. Out of the 27 applications, only 19 loans were funded in fiscal year 2013 in the amount of $3,620,000.

On August 6, 2012, Senate Bill 3373, sponsored by Senator Terry Link, with support from the Authority and the State Fire Marshal, was enacted into State law. Public Act 97-0901, effective January 1, 2013, allows the Authority and the State Fire Marshal, among other things, to charge low-interest loans and fees in the Fire Truck Revolving Loan Program, the Fire Station Revolving Loan Program and the Ambulance Revolving Loan Program. Additionally, monies from the Fire Truck Revolving Loan Fund, the Fire Station Revolving Loan Fund and the Ambulance Revolving Loan Fund shall be transferred to the Authority provided that the State Fire Marshal and the Authority enter into an intergovernmental agreement to use the monies solely for purposes set forth in the IFA Act.

Fire Truck Revolving Loan Program

Mission Statement: To provide Illinois communities with access to low-cost capital via zero-interest loans for the purchase of fire trucks by municipalities, a fire protection district or a township fire department based on need, as determined by the State Fire Marshal (OSFM).

Program Goals: Objectives: 1. To provide zero interest loans to municipalities and fire districts for newly purchased trucks.

Source of Funds: Fire Truck Revolving Loan Fund ***Statutory Authority:*** 20 ILCS 3501/825-80

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$.0	$3,620.0	$3,620.0	$2,383.0
* Total expenditures - state appropriated funds (in thousands)	$.0	$.0	$6,003.0	$3,620.0	$2,383.0
* Average monthly full-time equivalents	1.5	1.5	1.5	1.5	1.5
Output Indicators					
* Number of new participants	0.0	0.0	19.0	19.0	10.0
Outcome Indicators					
* Estimated interest costs saved based upon all loans outstanding at a projected interest rate of 4% (in thousands) (a,b)	$749.0	$699.0	$790.0	$790.0	$837.0

Footnotes

(a) Based on the outstanding principal balance and the projected interest saved.

(b) Based on appropriations, re-appropriations and projected principal repayments. OSFM, under statute, initiates the process to request loan applications.

Ambulance Revolving Loan Program

Mission Statement: To provide Illinois communities access to low-cost capital via zero-interest loans for the purchase of ambulances by municipalities, a fire protection district, a township fire department, or a non-profit ambulance service based on need, as determined by the State Fire Marshal (OSFM).

Program Goals: Objectives: 1. To provide low and zero interest loans to municipalities and fire districts for newly purchased ambulances.

Source of Funds: Ambulance Revolving Loan Fund ***Statutory Authority:*** 20 ILCS 3501 / 825-85

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$.0	$7,007.0	$.0	$3,756.0
* Total expenditures - state appropriated funds (in thousands)	$.0	$.0	$7,007.0	$.0	$7,007.0
* Average monthly full-time equivalents	1.0	1.0	1.0	1.0	1.0
Output Indicators					
* Number of new loan participants	0.0	0.0	0.0	0.0	37.0
* Estimated interest costs saved based upon all loans outstanding at a projected interest rate of 4% (in thousands) (a,b)	$40.0	$33.0	$27.0	$27.0	$170.0

Footnotes

(a) Based on the outstanding principal balance and the projected interest saved.

(b) Based on appropriations, re-appropriations and projected principal repayments. OSFM, under statute, initiates the process to request loan applications.

East St. Louis Financial Advisory Authority
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
East St. Louis Financial Advisory Authority	$104.5	1.6	$112.6	2.0
Non-Reporting Programs				
Totals	$104.5	1.6	$112.6	2.0

Mission and Organization

The East St. Louis Financial Advisory Authority (FAA) is a state agency established pursuant to the Financially Distressed City Law (65 ILCS 5/8-12-1) to provide financial oversight to the financially distressed city of East St. Louis. The FAA consists of five Directors appointed by the Governor with the advice and consent of the Senate for a three-year term. At least two Directors must be residents of the City of East St. Louis. The Governor appoints one of the Directors to serve as Chairperson.

In July of 1990, the City requested state assistance pursuant to the Financially Distressed City Law, which became effective on August 30, 1990. On August 30, 1990, Governor James R. Thompson appointed the five members of the East St. Louis Financial Advisory Authority. The FAA held its first meeting on September 8, 1990.

The Financial Advisory Authority has the responsibility to: (a) approve or reject financial plans and budgets and any amendments thereto; (b) approve contracts and expenditures which are consistent with the City financial plan and budget in effect and reject those contracts and expenditures that are inconsistent; (c) approve, reject or amend revenue estimates or prepare such estimates; (d) issue directives to assure compliance with the City's financial plan and budget currently in effect; (e) require the City to reorganize its financial accounts and its management and budgetary systems to achieve greater financial responsibility and control; (f) intercept state funds if the required financial plan and budget are not approved by the Authority before the beginning of the fiscal year to which they apply or for material violation of any provision of the statute; and (g) review the City's operation, obtain budgetary data and financial statements, require the City to produce reports and have access to any other information in the possession of the City that the Authority deems relevant.

In providing extensive powers and duties to the FAA for financial oversight, the General Assembly, in adopting the Financially Distressed City Law, was clear in its intent that the City retain key elements of its own self-governance. The FAA cannot hire, fire or appoint City employees nor can the FAA manage the day-to-day operations of the City.

The FAA is abolished 30 days after the Illinois Finance Authority (IFA) determines that the City's obligations under the 1994 Debt Restructuring Bond Issue have been fulfilled. The last bond payment is scheduled for November 2013.

During the fiscal year 2012 reporting period, the East St. Louis Financial Advisory Authority reduced its salaries and expenditures due to significant budget reductions. Office staff was reduced to one employee over a seven-month period in fiscal year 2012 due to layoffs. Despite the limitations a reduced budget placed on the agency, the remaining staff managed to perform agency duties with only a slight reduction in output. The

Authority has been restructured and additional controls have been put in place to continue its mission and goals and optimize its effectiveness in the coming years.

For fiscal year 2013, the agency noted significant increases in certain output areas. The number of requisitions processed increased by 43% from fiscal year 2012 to 2013. The number of contracts processed increased by 19% from fiscal year 2012 to 2013. The number of supplemental budgets reviewed for approval decreased by almost 11% from fiscal year 2012 to 2013. Our objective is for that output indicator to continue to decrease due to our ongoing emphasis on priority based budgeting for the City of East St. Louis. We attribute the aforementioned changes to the fiscal year 2013 agency restructuring and anticipate that those areas will continue to improve.

East St. Louis Financial Advisory Authority

Mission Statement: The East St. Louis Financial Advisory Authority (FAA) is a State agency established pursuant to the Financially Distressed City Law (65 ILCS 5/8-12-1 et seq.) to provide financial oversight and assistance to the financially distressed city of East St. Louis. The FAA consists of five Directors appointed by the Governor, with the advice and consent of the Senate, for a three-year term. At least two Directors must be residents of the City of East St. Louis. The Governor appoints one of the Directors to serve as Chairperson.

In July of 1990, the City requested state assistance pursuant to the Financially Distressed City Law, which became effective on August 30, 1990. On August 30, 1990, Governor James R. Thompson appointed the five members of the East St. Louis Financial Advisory Authority. The FAA held its first meeting on September 8, 1990.

The Financially Distressed City Law states that after the Financial Advisory Authority certifies to the Governor that the City has completed 10 successive years of balanced budgets, the FAA loses its day-to-day financial oversight powers. On June 18, 2005, the FAA certified to the Governor that the City had completed 10 successive years of balanced budgets.

The Financially Distressed City Law states that after the City regains its financial control, it must provide the Illinois Finance Authority (IFA) and the Financial Advisory Authority (FAA) with an annual audit by the statutory due date and a balanced budget 15 days before the beginning of the new fiscal year. Certification to the Governor by the IFA of the City's failure to meet either of these requirements results in the restoration of the FAA's day-to-day financial oversight powers. The Financial Advisory Authority will remain in effect until 30 days after the Debt Restructuring Bonds are fully paid and discharged.

Program Goals: Objectives:

1. To provide financial management assistance:
   a. To assist the City in developing, implementing and maintaining sound financial management practices, procedures and systems in compliance with Generally Accepted Accounting Principles (GAAP), standards of the Governmental Accounting Standards Board (GASB), FAA Directives and the City's Multi-Year Financial Plan.
   b. To assist the City in balancing its annual budget and multi-year financial plan.
   c. To assist the City in sound financial decision making and in maintaining spending discipline.
   d. To provide financial management assistance, advice and encouragement to the City officials and employees.
2. To assure financial integrity:
   a. To develop a strategy or vision for the financial recovery of the City of East St. Louis.
   b. To serve as a value driven role model for the City and City employees.
   c. To encourage a behavior change in the way the City conducts its financial management affairs.
3. To oversee the financial affairs of the financially distressed City:
   a. To oversee the management, operation, maintenance and full utilization of a modern financial management system that provides accurate, current and timely financial information.
   b. To require the implementation, maintenance and annual review of Internal Control Procedures and requirements to safeguard the City's assets (cash, investments, equipment and property).
   c. To assure the timely payment of principal and interest on City debt obligations.
   d. To review and approve or reject City budgets, financial plans, contracts and expenditures.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 65 ILCS 5/8-12-1 et seq

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$178.5	$112.0	$123.0	$121.7	$120.0
* Total expenditures - state appropriated funds (in thousands)	$116.4	$104.5	$116.0	$112.6	$115.0
* Average monthly full-time equivalents	2.8	1.6	2.0	2.0	2.0
Output Indicators					
* Number of Authority meetings	18.0	12.0	12.0	13.0	12.0
* Number of City contracts processed	170.0	84.0	75.0	100.0	75.0
* Percentage of City Contracts processed within three days	N/A	15 %	25 %	31 %	40 %
* Number of City requisitions processed	2,797	2,156	2,400	3,088	3,000
* Percentage of City requisitions approved electronically within two days	86 %	29 %	95 %	31 %	35 %
* Number of City personnel action requests processed	153.0	297.0	175.0	159.0	100.0
* Number of City payrolls processed	30.0	31.0	24.0	27.0	24.0
* Number of supplemental budgets reviewed for approval	13.0	28.0	10.0	25.0	10.0
* Increase/Decrease in the 15 reportable conditions found in the City's Audit since 1990 (a)	N/A	N/A	N/A	N/A	N/A
Outcome Indicators					
* Amount of City budget approved (in millions)	$64.8	$58.1	$57.8	$52.9	$45.0
* Number of City General Fund budgets submitted before approval	1.0	4.0	1.0	2.0	1.0
* Number of General Fund supplemental budgets submitted for approval	3.0	11.0	4.0	4.0	4.0
* Number of City multi-year financial plans submitted before approval	1.0	2.0	1.0	1.0	1.0
* Percentage of City contracts returned for additional work	25 %	12 %	5 %	29 %	10 %
* Percentage of information requests completed within 24 hours	80 %	90 %	95 %	85 %	95 %
* Percentage of resolved budget and contract questions to total budget and contract questions raised	98 %	95 %	98 %	98 %	98 %
* Number of years of successive balanced budgets for the City of East St. Louis	14.0	14.0	15.0	15.0	16.0

Footnotes

(a) Comparable data was not available as the City's prior year audits were not finalized at the time of each corresponding reporting period.

Public Safety Expenditures
(Appropriated Spending in Thousands)

Agency	FY 2012 Expenditures	FY 2013 Expenditures	Percent Change
Department of Corrections	$1,281,903.9	$1,245,266.5	-2.9%
Department of State Police	$346,263.9	$339,110.0	-2.1%
Illinois Emergency Management Agency	$177,304.6	$211,452.7	19.3%
Department of Juvenile Justice	$131,901.4	$117,886.9	-10.6%
Illinois Criminal Justice Info. Authority	$58,467.9	$78,301.0	33.9%
Department of Military Affairs	$38,267.3	$38,528.5	0.7%
Office of the State Fire Marshal	$24,817.7	$26,508.8	6.8%
Illinois Law Enforcement Training & Standards Board	$12,411.1	$14,515.2	17.0%
Illinois Violence Prevention Authority	$14,115.1	$1,950.3	-86.2%
Prisoner Review Board	$1,553.4	$1,473.2	-5.2%
State Police Merit Board	$740.0	$736.7	-0.4%
TOTAL	**$2,087,746.3**	**$2,075,729.8**	**-0.6%**

Totals may not add due to rounding

Department of Corrections
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Department of Corrections	$1,281,903.9	11,233.0	$1,245,266.5	11,082.0
Non-Reporting Programs				
Totals	$1,281,903.9	11,233.0	$1,245,266.5	11,082.0

Mission and Organization

The Illinois Department of Corrections (DOC) is responsible for providing care, custody, treatment, and rehabilitation for adult offenders committed by the courts. The DOC maintains and administers 25 correctional centers and manages a parole system for formerly incarcerated persons in the community. There is also an Adult Advisory Board and a Subcommittee on Women Offenders to provide guidance to the DOC.

ACHIEVEMENTS AND ACCOUNTABILITY

Implemented an Employee Cost-Savings Suggestion Program saving millions of dollars through reduced spending. The program, which was implemented in July 2009, is featured on the DOC website where employees are encouraged to identify opportunities to cut costs. Thus far, approximately $2.5 million in cost-saving measures have been implemented.

Continued community based re-entry programs for inmates released back into society. These programs are designed to provide a wide array of services required by the parolee population to increase the likelihood of a successful reintegration into the community. Working in conjunction with the re-entry programs are the Transitional Jobs Program and the Statewide Job Preparation Program. These programs are designed to place parolees into everyday job programs and provide them the necessary skills to find employment.

STRATEGIC INITIATIVES AND PRIORITIES

Overhaul the agency's information technology infrastructure, partnering with IT experts in sister agencies, as part of an ongoing multi-year process to modernize all hardware and software systems. Modernizing IT resources is a key component of a new emphasis on prioritizing expenditures on the basis of measurable outcomes. The DOC will adopt uniform standards of data collection in facilities management, parole and programmatic expenditures. This will enable the DOC to mandate and ensure accountability on the basis of numeric measures of performance.

Department of Corrections

Mission Statement: The mission of the Department of Corrections is to protect the public from criminal offenders through a system of incarceration and supervision which securely segregates offenders from society, assures offenders of their constitutional rights and maintains programs to enhance the success of offenders' reentry into society.

Program Goals: Objectives:

1. The Department is responsible for providing care, custody, treatment, and rehabilitation for adult offenders committed by the courts.
   a. Reduce recidivism, the number of people who return to prison within three years of release.
   b. Continually improve staff and offender safety and security.

Source of Funds: General Revenue Fund, Working Capital Revolving Fund, Department of Corrections Reimbursement and Education Fund

Statutory Authority: 730 ILCS 5/

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,270,998.2	$1,281,903.9	$1,174,975.5	$1,245,266.5	$1,225,540.4
* Total expenditures - state appropriated funds (in thousands)	$1,270,998.2	$1,281,903.9	$1,174,975.5	$1,245,266.5	$1,225,540.4
* Average monthly full-time equivalents	11,741.0	11,233.0	10,906.0	11,082.0	10,822.0
Output Indicators					
* Percentage of adults reincarcerated within 3 years of release	47 %	47.1 %	45.1 %	45.5 %	43.5 %
* Number of parolees returned to prison each month as a percent of average daily parole population	47.5 %	40.8 %	39.8 %	33.9 %	32.9 %
* Number of offenders transferred to a lower security level due to good behavior (per 1,000 offenders per month)	1.6	1.8	1.9	1.4	1.2
* Number of offenders whose security level was increased due to discipline for problem behavior (per 1,000 offenders per month)	2.9	2.8	2.5	2.6	2.7
* Number of contraband confiscations (per 1,000 offenders per month)	31.0	29.0	26.0	30.6	31.6
* Number of offender-on-staff assaults (per 1,000 staff per month)	3.6	3.2	2.9	4.6	4.5
* Number of offender-on-offender assaults (per 1,000 offenders per month)	3.1	3.1	2.8	3.7	3.6

Department of State Police
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Division of Operations	$252,924.8	2,156.0	$242,515.5	2,034.0
Division of Forensic Services	$57,473.2	540.0	$57,580.0	510.0
Division of Administration	$31,124.8	133.0	$35,693.1	142.0
Division of Internal Investigation	$3,234.5	22.0	$3,321.4	25.0
Non-Reporting Programs				
Lump Sum Appropriations	$0.0	N/A	$0.0	N/A
Shared Services	$1,506.6	19.0	$0.0	N/A
Totals	$346,263.9	2,870.0	$339,110.0	2,711.0

Mission and Organization

Since its inception in 1922, the Illinois State Police (ISP) has faced significant challenges. However, the ISP's mission still centers on the basic premise of promoting public safety with integrity, service, and pride to improve the quality of life in Illinois. The ISP consists of the Office of the Director and four divisions: the Division of Operations, Division of Forensic Services, Division of Internal Investigation, and the Division of Administration. This year's report includes performance data for all four of the divisions.

The ***Division of Operations*** (DOO) works daily to safeguard the public by reducing the number and seriousness of vehicle crashes. Troopers regularly patrol over 138,000 miles of Illinois roadways, consisting of interstates, state highways, and secondary county roads. Officers in specialty roles provide expertise in the areas of safety education, crash reconstruction, commercial vehicle enforcement, crime prevention, highway drug interdiction, and critical incident tactical response teams. ISP investigators are charged with investigating homicide, narcotics and violent crime cases. Specialized investigative programs and task forces include child homicide, Medicaid fraud, clandestine methamphetamine laboratory identification and dismantling, financial crime, criminal intelligence and child exploitation. During this reporting period, DOO continued to place special emphasis on alcohol-related violations, speed enforcement details and distracted driving details. DOO continues to partner with the Illinois Department of Transportation in an effort to heighten the public's awareness of speed enforcement in and around construction zones.

The ***Division of Forensic Services*** (DFS) provides crime scene services and forensic analysis for Illinois' criminal justice community. The Crime Scene Investigators in the Crime Scene Services Command interpret the evidentiary value of items and collect and process evidence from crash and crime scenes. The ISP forensic science laboratory system is the third largest in the world and provides expert forensic analysis and witness testimony in the following disciplines: drug chemistry, latent prints, toxicology, forensic biology, firearms/tool marks, DNA, trace evidence, microscopy and questioned documents. One of the biggest challenges faced by the ISP forensic laboratory system is its ability to address the ever increasing case submissions in various forensic disciplines. The ISP continues to utilize improved technology to meet these challenges.

The ***Division of Administration*** (DOA) provides administrative and technical support to the employees of the Illinois State Police and law enforcement agencies throughout the state via various programs, i.e., Firearms Owners Identification (FOID) Program, the Law Enforcement

Agency Data System (LEADS) as well as information technology support, facility and fleet management, and human resource management. The primary challenge for DOA is the need to address the influx of FOID card applications in a timely manner and to implement the newly passed Conceal Carry legislation. Efforts are underway to develop technology-based systems that will assist in operating these two programs in an efficient and effective manner.

The ***Division of Internal Investigation*** (DII) serves as the "watchdog for integrity in state government." DII investigates charges of improper conduct or inappropriate behavior by Illinois State Police employees and investigates alleged misconduct or wrongdoing by officials, members or employees of any agency, board, or commission in the executive branch of Illinois government.

Division of Operations

Mission Statement: Dedicated to providing the highest degree of police service to the residents and visitors of Illinois by improving highway safety and solving and preventing crime while maintaining the Illinois State Police (ISP) traditions of Integrity, Service, and Pride.

Program Goals: Objectives:

1. Reduce the occurrence of violent crimes through investigations, enforcement, and intelligence initiatives.
   a. Develop and support legislation designed to help combat violent crime.
   b. Pursue the development of a comprehensive violent crime initiative.
   c. Enforce laws related to the criminal possession and use of firearms and reduce the access to firearms by prohibited individuals.
   d. Increase the clearance rate of homicides investigated by the Illinois State Police by providing investigative personnel with advanced training in the area of homicide investigations.
   e. Impact inter- and intra-state shipments of illegal drugs/guns/proceeds and the identification of potential terrorism indicators by enhancing the capabilities of officers to "look beyond the traffic stop."
   f. Increase ISP's capacity to focus on the gap between tactical and decision-making intelligence by expanding the Statewide Terrorism and Intelligence Center beyond homeland security to include local Intelligence Liaison Officers.
   g. Through training and aggressive Medicaid fraud investigations, identify offenders, reduce the occurrence of fraud, and assist in the recovery of funds.
2. Keep children safe by reducing child exploitation, victimization, and endangerment.
   a. Enhance the AMBER Alert system through training, expanding the use of technology, partnerships with other agencies, and raising public awareness.
   b. Actively participate in the Child Homicide/Abuse Task Force while pursuing opportunities for expansion of the unit.
   c. Pursue the implementation of Child Death Review Teams to cover all areas of the state.
   d. Increase sex offender registration compliance.
   e. Implement a comprehensive drug endangered child program.
   f. Actively participate in existing child advocacy centers while exploring the expansion of the center concept to include all counties of the state.
   g. Improve the Department's capacity to investigate incidents of child victimization.
3. Reduce drug trafficking, including the proliferation of methamphetamine.
   a. Proactively target methamphetamine manufacturers and traffickers.
   b. Develop a narcotics strategy to address all geographical areas of the state not covered by the Metropolitan Enforcement Groups and Drug Task Forces.
   c. Devote resources where appropriate and available to develop narcotics squads focusing on dismantling narcotics distribution organizations.
   d. Work closely with local governance boards to continue to improve narcotics-related enforcement.
4. Empower Illinois citizens by providing timely criminal justice information and increase electronic access.
   a. Use Internet communication technologies, traditional media outlets, and Community Policing methods to arm the public with crime and threat information specific to their geographical area.
   b. Continue to provide sex offender information via the Internet, and continue to include mapping sex offender residency.
   c. Provide statewide crime and vehicle crash mapping.
   d. Improve the availability of criminal records of individuals who have access to children, i.e. school employees, bus drivers, and coaches.
5. Decrease teenage driver-related fatal and personal injury crashes.
   a. Enforce Illinois' zero tolerance statute to reduce under-age, alcohol-related crashes.
   b. Increase enforcement on routes to and from schools, focusing on contributory factors such as speeding, seat belt usage, and improper lane usage.
   c. Increase enforcement efforts to reduce the sale of alcohol to minors and minors' illegal consumption and possession of alcohol.
   d. Identify gatherings where under-age illegal consumption of alcohol is occurring.
6. Decrease fatal and personal injury crashes that involve an at-fault commercial vehicle.
   a. Target enforcement on commercial motor vehicle crash reduction, focusing on contributory cause factors such as speeding and improper lane usage.
   b. Conduct motor carrier safety inspections and support other motor carrier safety activities.
   c. Conduct enforcement details specific to commercial vehicle overweight violations.
7. Decrease alcohol-related fatal crashes and personal injury crashes.
   a. Develop strategies to identify high alcohol-related crash areas.
   b. Target enforcement strategies at high alcohol-related crash rate locations.
   c. Develop strategies to increase public awareness of the DUI problem.
   d. Employ emphasis patrols, enforcement zones, and roadside safety checks that focus on alcohol-related violations, in conjunction with campaigns such as the IDOT "You Drink and Drive, You Lose" mobilization initiative.
8. Increase seatbelt and child safety seat compliance.
   a. Aggressively enforce seatbelt and child safety seat violations in high crash rate locations in support of primary seatbelt laws.
   b. Employ emphasis patrols and enforcement zones that focus on the "fatal five" in conjunction with campaigns such as the Illinois Department of Transportation (IDOT) "Click it or Ticket" mobilization initiative.
   c. Collaborate with local agencies to develop strategies for increasing occupant restraint use and awareness.
9. Coordinate efforts to decrease fatalities and personal injuries in roadway construction zones.
   a. Decrease fatalities and personal injuries in roadway construction zones.
   b. Provide a leadership role in identifying ways to improve the safety of motorists and construction workers in roadway construction.
   c. Assist IDOT in identifying construction zone safety concerns.
   d. Contingent upon available funding, organize efforts with IDOT to provide enforcement in and around construction zones.

10. Decrease Interstate fatalities.
    a. Aggressively enforce the "fatal four" while patrolling the Interstate Highways.
    b. Maximize the use of speed enforcement details to reduce the overall speed of vehicles to levels closer to the posted limit.
    c. Identify the high crash areas and target enforcement efforts in that vicinity.
    d. Utilize motorcyle patrols on the Interstate with a focus on speed enforcement.
11. Reduce Illinois' vulnerability to terrorism.
    a. Protect the public safety network from cyber-terrorism by securing the ISP network and participating in a multi-agency Information Technology Security Plan.
    b. Through the Statewide Terrorism and Intelligence Center, provide a full range of intelligence resources to support Illinois' counter-terrorism efforts.
    c. Through the Office of Counter Terrorism, collaborate with the FBI Joint Terrorism Task Forces (JTTF), and state and local partners to have a comprehensive preparation, detection, and response strategy for homeland security.
12. Increase comprehensive preparedness to guide the agency in the event of a major threat or incident.
    a. Maintain and implement, as needed, a comprehensive ISP deployment plan to guide the rapid, regulated movement of officers in the event of a major threat or incident.
    b. Increase the State Weapons of Mass Destruction Team's counter-terrorism capabilities by ensuring they are adequately staffed, equipped, and trained.
    c. Maintain crowd containment teams throughout the state.
    d. Ensure each ISP operational entity adapts appropriate protective measures that correspond to the Homeland Security Threat Advisory System.
13. Establish collaborative partnerships for proactive and reactive response to terrorism.
    a. Serve as an active partner and leader in the GLOBAL Justice and Information Sharing Initiative.
    b. Mitigate threats to Illinois by participation in the FBI's Joint Terrorism Task Force and the Illinois Terrorism Task Force.
14. Provide vehicles, equipment, and training that support officer safety.
    a. Provide comprehensive, progressive training for ISP cadets and officers that contributes to officer safety.
    b. Provide officers with enforcement vehicles that have less than 80,000 miles.
    c. Implement a plan for ISP officers to maintain proficiency with rifles.
    d. Work with federal, state, and local law enforcement agencies to provide training that addresses the safety of law enforcement personnel who come in physical contact with methamphetamine labs.
    e. Maintain a statewide deconflication system to assist law enforcement in the identification and targeting of criminal conspiracies and multi-jurisdicational criminal activities and to increase officer safety.
15. Upgrade tier-1 applications and improve the infrastructure on which they run.
    a. Improve the Automated Fingerprint Identification System (AFIS) so it can process single and flat fingerprint images (eFIS) to rapidly identify individuals for criminal justice and non-criminal justice purposes.
    b. Complete the project to migrate the Criminal History Record Information system (CHRI) from a multi-platform to a single platform application to increase performance and reliability.
    c. Redesign and rewrite the Computerized Hot Files application based on current technology to improve performance and to facilitate enhancements and integration with other computer applications.
16. Expand strategic and operational partnerships.
    a. Participate on governance, operational, and technical committees to implement the Illinois Integrated Justice Information System (IIJS) Strategic Plan.
    b. Enhance ISP/Cook County Computer Aided Dispatch (CAD) to ensure availability and performance and vendor support in the event of problems/outages.
    c. Improve the posting of criminal arrests and court dispositions to CHRI by improving efficiency, system integration, and partnerships with other justice agencies.

Source of Funds: General Revenue Fund, Road Fund, Medicaid Fraud and Abuse Prevention Fund, State Police Motor Vehicle Theft Prevention Trust Fund, Motor Carrier Safety Inspection Fund, State Police Whistleblower Reward and Protection Fund, Drug Traffic Prevention Fund, Traffic and Criminal Conviction Surcharge Fund, Illinois State Police Federal Projects Fund, State Police Services Fund

Statutory Authority: 20 ILCS 2605/2605-35

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$43,462.5	$253,001.9	$277,473.2	$242,681.7	$100,257.6
* Total expenditures - state appropriated funds (in thousands)	$43,351.9	$252,924.8	$277,455.2	$242,515.5	$99,882.0
* Average monthly full-time equivalents	332.0	2,156.0	2,043.0	2,034.0	299.0
Output Indicators					
* Number of Impaired Driving/Zero Tolerance citations	10,597	9,919	11,000	10,229	11,000
* Number of speeding citations	178,320	153,241	200,000	143,886	160,000
* Number of motor carrier inspections	57,937	46,848	54,000	46,945	68,400
* Number of criminal investigative cases opened	6,231	5,919	6,000	5,391	5,800
* Number of criminal investigative cases closed	3,432	2,701	3,000	2,590	2,900
Outcome Indicators					
* Number of fatal crashes	854.0	901.0	900.0	886.0	900.0
* Percent of criminal cases resulting in arrests	20.2 %	22 %	22 %	22.1 %	22 %

Division of Forensic Services

Mission Statement: Deliver accurate and timely forensic services in the collection and analysis of physical evidence from crimes and assist with the identification and prosecution of offenders and exoneration of the innocent.

Program Goals: Objectives:

1. Manage forensic backlogs to swiftly identify offenders and contribute to criminal investigations.
   a. Maintain a low or zero backlog in offender samples submitted for entry in the Combined DNA Index System (CODIS).
   b. Reduce the DNA backlog.
   c. Respond to crime scenes.
   d. Reduce turnaround time on cases in all scientific disciplines.
2. Expand strategic and operational partnerships.
   a. Develop the capability to electronically cross-reference the DNA CODIS database, criminal history records, and fingerprints.
3. Continue to evaluate and improve core business functions, personnel resource allocation and upgrade and maintain ISP facilities to improve agency effectiveness and efficiency.
   a. Establish a Forensic Science Institute that will allow the Illinois State Police (ISP) laboratory system and Crime Scene Services Command (CSSC) to provide a centralized training location and permanent training coordinators.
   b. Construct a new facility for the Metro-East Forensic Science Laboratory; expand the Forensic Science Center at Chicago to accommodate a partnership with the Illinois Department of Public Health; and construct a new facility that will house all ISP work units in the Joliet area.

Source of Funds: General Revenue Fund, Firearm Owner's Notification Fund, State Crime Laboratory Fund, State Police DUI Fund, State Offender DNA Identification System Fund, Illinois State Police Federal Projects Fund, State Police Services Fund

Statutory Authority: 20 ILCS 2605/2605-40

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,086.2	$57,473.2	$58,963.1	$57,580.0	$10,486.5
* Total expenditures - state appropriated funds (in thousands)	$3,086.2	$57,473.2	$58,963.1	$57,580.0	$10,486.5
* Average monthly full-time equivalents	11.0	540.0	519.0	510.0	16.0
Output Indicators					
* Number of Deoxyribonucleic Acid (DNA) cases worked	5,225	4,982	5,500	4,790	5,200
* Number of forensic cases worked in all disciplines	104,043	101,076	105,000	94,550	95,000
* Number of crime scenes processed	2,528	2,328	2,400	2,337	2,400
Outcome Indicators					
* DNA case backlog (a,b)	1,577	3,050	1,500	4,112	5,100
* Case backlog of all forensic cases (c,d)	9,604	13,449	15,000	16,248	17,000
* Number of property crime scenes processed	1,569	1,415	1,400	1,335	1,380
* Number of DNA profiles uploaded to the Combined DNA Indexing System (CODIS)	30,736	29,589	38,000	31,766	38,000
Efficiency/Cost-Effectiveness Indicators					
* Percent of DNA cases worked in 30 days	16.5 %	13.3 %	75 %	16.3 %	25 %
* Percent of forensic cases worked within 30 days	71.2 %	65.9 %	75 %	63.2 %	75 %
* Percent of crimes against persons responded to in one hour	65.59 %	70 %	80 %	70 %	70 %

Footnotes

(a) The majority of DNA cases enter the laboratory as forensic biology cases. The ISP is working to reduce/eliminate the forensic biology backlog as well as the DNA backlog. The increase in the number of forensic biology cases worked resulted in a proportional increase in the number of DNA cases submitted, since approximately 65 percent of forensic biology cases have biological material suitable for DNA analysis, an increase from 50 percent in previous years due to the increased sensitivity of new technology. Public Act 96-1011, the Sexual Assault Evidence Submission Act, effective September 1, 2010, has significantly impacted the increase in case submissions for DNA analysis.

(b) The backlog figure includes both in-house and vendor backlogs.

(c) Annual data is the last known value at the end of the fiscal year, not an average.

(d) Only in-house cases are included in these figures (not outsourcing).

Division of Administration

Mission Statement: The Division of Adminisration's primary mission is providing administrative and technical support to the employees of the Illinois State Police and statewide law enforcement, in addition to delivering reliable, accurate and credible information to empower effective public safety decision-making.

Program Goals: Objectives:

1. Improve positive identification of criminal background checks by increasing the number of fingerprint-based background checks as compared to name-based criminal background checks.
2. Provide training to the criminal background check community on the benefits of conducting fingerprint-based background checks.
3. Assist the background check community on the proper way to incorporate legislatively mandated criminal background checks for licensing and employment purposes.
4. Improve the accuracy of name-based background checks by improving the soundex routine utilized to produce a name-based criminal background check response.
5. Implement the new Conceal Carry Program and improvements to the current Firearm Owner's Identification (FOID) Program that effectively address the need to accurately determine firearm eligibility and process applications in a timely manner.
6. Continue to provide law enforcement, criminal justice, and other public safety officials secure access to information required through systems to make decisions that positively impact the safety of police officers and the public.
   a. Ensure system software is designed, built, and maintained to fulfill the requirements of information consumers and decisions makers.
   b. Ensure information security systems are designed, implemented, and configured to restrict the use of information as appropriate to the role of the information consumer or decision maker.
   c. Ensure information infrastructure (network, hardware, etc.) is sized, and maintained to provide adequate capacity, dependability, and availability to provide the information when, where, and how it is needed.
   d. Ensure customer support mechanisms are organized in a manner to receive, track, and respond to problems and issues in an effective and efficient manner.

Source of Funds: General Revenue Fund ***Statutory Authority:***

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$31,124.8	$.0	$35,693.1	$27,000.0
* Total expenditures - state appropriated funds (in thousands)	$.0	$31,124.8	$.0	$35,693.1	$27,000.0
* Average monthly full-time equivalents	0.0	133.0	0.0	142.0	6.0
Output Indicators					
* Number of inquiry transactions processed (LEADS)	90,284,379	91,810,697	92,432,120	92,472,316	93,119,622
* Number of Firearms Owners Identification (FOID) applications processed (a,b,c)	246,530	303,154	0.0	434,312	200,000
* Number of identification inquiries (name-based checks and fingerprint-based checks)	876,579	868,088	894,131	881,834	908,289
Outcome Indicators					
* Number of revoked FOID cards (a)	6,004	6,302	0.0	6,265	6,300

Explanatory Information

In prior years, this program did not report performance measures.

Footnotes

(a) Fiscal year 2013 targets did not apply to this metric as the ISP had no control over the input.

(b) Applications received in fiscal year 2013 are significantly higher than applications received in fiscal year 2012, another record year. The Illinois State Police believes this high number is related to the nationwide discussion about gun control as well as anticipation of the upcoming implementation of Conceal Carry legislation.

(c) The estimated number of FOID card applications for fiscal year 2014 is significantly reduced because of the change from a 5-year FOID card to a 10-year FOID card in 2008. The estimated number of applications received would be lower except for the implementation of the new Conceal Carry law, which the Illinois State Police anticipates will result in many new FOID card requests. The actual number of applications could be substantially higher due to the unknown impact of Conceal Carry.

Division of Internal Investigation

Mission Statement: Through education and swift and impartial investigations of all allegations, reduce the incidence of misconduct within the Department and within the executive branch, maintaining a high level of trust in the Illinois State Police and in public office.

Program Goals: Objectives:

1. Continue agency focus on ethics and maintain high accountability.
   a. Provide ethics training to all cadets and all ISP code and sworn personnel and emphasize the importance of understanding cultural diversity.
   b. Investigate specified acts or allegations of wrongdoing by ISP personnel and other public servants.
   c. Hire, train, and retain highly principled and skilled managers to achieve quality frontline performance and to ensure ethical violations are recognized and addressed in a prompt period of time.
   d. On an ongoing basis, review the actions of officers to ensure their conduct is in compliance with state law and ISP policy.

Source of Funds: General Revenue Fund, State Police Services Fund ***Statutory Authority:*** 20 ILCS 2605/2605-50

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$3,234.5	$3,403.7	$3,321.4	$717.9
* Total expenditures - state appropriated funds (in thousands)	$.0	$3,234.5	$3,403.7	$3,321.4	$717.9
* Average monthly full-time equivalents	0.0	22.0	21.0	25.0	13.0
Output Indicators					
* Total Division of Internal Investigation cases opened (a)	358.0	285.0	450.0	265.0	260.0
* Number of employment background checks completed (ISP and other criminal justice agencies)	0.0	0.0	750.0	757.0	800.0
* Criminal history analyses conducted at nursing homes	0.0	0.0	3,000	2,796	3,000
Outcome Indicators					
* Percent of ISP personnel complaints cleared (b)	29 %	32 %	35 %	24 %	30 %
* Percent of complaints against officers that are substantiated (b)	56 %	68 %	50 %	76 %	50 %

Footnotes

(a) Number does not include background checks.

(b) The percentage of personnel complaints cleared and the percentage of substantiated complaints are measures of the Division of Internal Investigation (DII) cases that have been closed. It can take up to 180 days to complete an investigation. If there is discipline involved and the case is presented to the ISP Merit Board or if the case is a criminal case, it may be continued for one year or more before it is closed.

Illinois Emergency Management Agency
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Homeland Security Preparedness Programs	$109,169.9	13.0	$139,967.7	13.0
Disaster Assistance and Preparedness	$35,962.3	29.0	$28,541.9	29.0
Nuclear Facility Safety	$11,151.9	51.0	$14,333.1	51.0
Radiation Safety	$8,637.7	48.0	$11,796.5	48.0
Environmental Radiation Safety	$8,830.5	41.0	$11,365.6	41.0
Operations	$3,552.3	58.0	$5,447.9	58.0
Non-Reporting Programs				
Totals	$177,304.6	240.0	$211,452.7	240.0

Mission and Organization

The primary responsibility of the Illinois Emergency Management Agency (IEMA) is to prepare and protect the citizens of the State of Illinois through planning, prevention, training, mitigation and response to all hazards, natural or manmade. IEMA is responsible for the coordination, management and administration of the State's Homeland Security Advisor, working closely with the Illinois Terrorism Task Force, an advisory body with representation from more than 60 member organizations from federal, state and local entities as well as private and non-governmental organizations.

IEMA operates the nation's most comprehensive monitoring system for the 11 operating nuclear power reactors licensed to generate electricity in Illinois. Monitoring and analysis is conducted through the agency's unique, one of a kind Remote Monitoring System (RMS). The RMS is an integrated, computer based system that continually monitors selected plant operational parameters at each facility and is capable of identifying and measuring the presence of radioactive materials in the surrounding environment. The agency also inspects and escorts all spent nuclear fuel shipments through the state and is responsible for the licensing and regulation of possession and use of radioactive materials. IEMA inspects x-ray machines throughout the state and accredits medical radiation technologists.

IEMA conducts extensive emergency planning response efforts and provides training for local responders throughout the state in a variety of courses. Through IEMA, the State Emergency Operations Center (SEOC) acts as lead in crisis/consequence management response and operations to notify, activate, deploy and employ state resources in response to any threat. IEMA works closely with the Federal Emergency Management Agency and Small Business Administration to provide disaster assistance to individuals, families, business and government entities following a Presidential disaster declarations. IEMA also assists local governments with multi-hazard emergency operations plans and incident action plans, and maintains the Illinois Emergency Operations Plan.

Homeland Security Preparedness Programs

Mission Statement: The Illinois Terrorism Task Force (ITTF) serves as the state homeland security strategic planning and policy body for the U.S. Department of Homeland Security grant programs. The task force represents more than 60 agencies, including federal, state, local, private sector, and nongovernmental organizations—all collaborating to achieve and sustain risk-based target levels of capability to prevent, protect against, respond to, and recover from acts of terrorism and to minimize the impact on lives, property, and the economy.

Program Goals: Objectives:

1. Develop a strategy and competitive grant program to assist local emergency response agencies in achieving compliance with the narrow-banding federal law for public safety radio systems scheduled to go into effect Jan. 1, 2013.
2. Support the development of Tactical Interoperable Communications Plans (TICP) in an additional 25 counties. The ITTF issued grants between 2008 and 2010 to support the development of TICPs in 77 counties, with the ultimate goal of all 102 counties having a TICP.
3. Add a Cyber Security Committee to the ITTF to develop a comprehensive strategy for preventing, responding to and recovering from a cyber attack.
4. Assist colleges and universities in complying with the Campus Safety Enhancement Act, which requires development of an all-hazards emergency response plan and a violence prevention plan at each campus.
5. Complete a credentialing program for special response teams.
6. Conduct a first-ever, large-scale, multi-disciplinary statewide deployable team validation exercise at the Illinois Fire Service Institute.
7. Work with the Illinois Deaf and Hard of Hearing Commission to produce pre-recorded emergency messages in American Sign Language.

Source of Funds: Emergency Planning and Training Fund, Federal Civil Preparedness Administrative Fund, Emergency Management Preparedness Fund

Statutory Authority: 20 ILCS 3305-1

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$109,006.6	$109,169.9	$109,169.9	$139,967.7	$139,967.7
* Total expenditures - state appropriated funds (in thousands)	$109,006.6	$109,169.9	$109,169.9	$139,967.7	$139,967.7
* Average monthly full-time equivalents	15.0	13.0	13.0	13.0	13.0
Output Indicators					
* Number of federal Homeland Security grants awarded	650.0	580.0	550.0	445.0	500.0
* Number of Homeland Security projects awarded to local governments	446.0	537.0	500.0	409.0	420.0
* Amount awarded to local governments for Homeland Security projects/programs (in millions)	$146.4	$208.0	$205.0	$265.7	$265.7
* Federal grants awarded (in millions)	$164.0	$260.0	$250.0	$282.7	$280.0

Footnotes

(a) Decrease in water supplies/treatments: This is a one-time registration, once the bulk of them got registered there was an immediate decrease the following year. The number will eventually decrease to zero.

Disaster Assistance and Preparedness

Mission Statement: The Bureau of Disaster Assistance & Preparedness (DA&P) serves as the core of IEMA's function of coordinating emergency preparedness programs and delivering disaster assistance in support of local governments throughout the State. DA&P assists state and local entities with emergency preparedness and recovery planning for all natural and manmade hazards and disasters, including the Illinois Plan for Radiological Accidents. In addition, DA&P provides financial assistance through three disaster programs: individual assistance (individuals and households); public assistance (grants to state agencies, local governments, and certain private nonprofit organizations) for debris removal, emergency protective measures and permanent restoration of public facilities; and hazard mitigation assistance to reduce the impact of future disasters.

Program Goals: Objectives:

1. Radiological Emergency Preparedness Program: Utilizing funds from fees paid by the nuclear utility in Illinois, the federally required and approved Illinois Plan for Radiological Accidents is developed, updated, printed and distributed annually to state agencies and 13 counties with responsibilities for responding to and recovering from incidents at 6 nuclear power stations. Federally required plan evaluation exercises are conducted jointly 3 times each year with the utility, local government and other state agencies to demonstrate the ability to protect the public.
2. The Emergency Planning & Community Right to Know Act (EPCRA) program: Provides 75% of the federal funds to local emergency planning committees to test chemical emergency plans by holding drills or exercises. IEMA utilizes the remaining 25% to provide assistance to the Local Emergency Planning Committees (LEPC's) in plan development and the management of federally required hazardous chemical inventory reports.
   a. Prepare emergency operations plan and participate in its evaluation. Evaluate and administer grants to local emergency planning committees to assist in testing chemical emergency plans.
3. Public Assistance Program:
   Provides federal funding to the State and local governments following a major disaster declared by the President. Funding is used to reimburse emergency costs and to pay for the repair and replacement of public infrastructure damaged or destroyed by disaster.
   a. Maintain readiness to assist state and local governments following a major disaster.
4. Individual & Household Program:
   Provides grants to individuals and families following a major disaster declared by the President. Funds are used to assist disaster victims who have essential needs which are not met by other disaster relief programs.
   a. Maintain readiness to provide assistance to individuals and families following a major disaster.
5. Hazard Mitigation Grant Program:
   Provides federal funding through grants to local governments to reduce future disaster losses by removing flood prone structures from the flood plain and reinforcing or retrofitting structures to withstand high winds and earthquakes. Studies have shown that for every $1 spent, $2 in future disaster damage is averted.
   a. Review and administer Hazard Mitigation grants to local governments.
6. Pre-disaster Mitigation Grant Program:
   Provides federal funds through grants to local governments to develop hazard mitigation plans designed to guide local governments in the future reduction of disaster damage.
   a. Review and administer pre-disaster mitigation grants to local governments.
7. Flood Mitigation Assistance Program:
   Provides federal funding through grants to local governments for the acquisition of residences covered by flood insurance, which have a history of repetitive flooding and filing of federal subsidized flood insurance claims.
   a. Review and administer Flood Mitigation grants to local governments.

Source of Funds: General Revenue Fund, Emergency Planning and Training Fund, Nuclear Civil Protection Planning Fund, Federal Aid Disaster Fund, Federal Civil Preparedness Administrative Fund, Emergency Management Preparedness Fund, Nuclear Safety Emergency Preparedness Fund

Statutory Authority: 20 ILCS 3305; 430 ILCS 100/18

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$71,736.6	$35,962.3	$35,962.3	$28,541.9	$28,541.9
* Total expenditures - state appropriated funds (in thousands)	$71,736.6	$35,962.3	$35,962.3	$28,541.9	$28,541.9
* Average monthly full-time equivalents	30.0	29.0	29.0	29.0	29.0
Output Indicators					
* Federally required "evaluation exercises" conducted with the utility, local government and other state agencies to demonstrate the ability to protect the public	3.0	3.0	3.0	3.0	3.0
* Number of state and local emergency personnel updating plans, attending training and participating in exercises	3,960	3,215	4,000	3,845	4,000
* Number of Illinois Plans for Radiological Accidents (IPRAs) reviewed and distributed to counties with responsibilities for responding to and recovering from incidents at 6 nuclear power stations	13.0	13.0	13.0	13.0	13.0
* Number of grants evaluated and administered for local emergency planning committees to assist in developing chemical emergency plans	55.0	48.0	40.0	44.0	45.0

Nuclear Facility Safety

Mission Statement: To minimize risk to the public due to radiological hazards posed by Illinois' nuclear facilities, radiological accidents, and acts of radiological terrorism. The Bureau of Nuclear Facility Safety (BNFS) mission strategy is to develop, maintain, improve and implement programs designed to reduce public risk by minimizing the probability of a nuclear or radiological accident, and in the event that a nuclear or radiological accident or terrorist event has occurred, minimize the radiological consequences to the public.

Program Goals: Objectives:

1. Assess public health radiological effects from nuclear facilities and acts of terrorism, design and exercise nuclear facility emergency planning and training standards, design and implement nuclear facility and terrorist emergency planning exercises, design and implement radiological emergency response technical team training programs, specify and maintain expert emergency response radiological assessment teams, and specify and maintain communications and radiological equipment to respond to nuclear facility and terrorist radiological emergencies.
2. Develop, fabricate, implement and maintain, at high reliability, the Agency's computer-based Remote Monitoring System (RMS) that continuously monitors, in real-time, Illinois nuclear facility critical safety functions and environmental radioactive releases; provide high speed mission critical data to the State Emergency Operation Center (SEOC) and other critical Illinois facilities in the event of a nuclear, radiological or terrorist emergency; and assess public health radiological effects from nuclear facilities.
3. Maintain full-time staff on-site presence at each nuclear power plant in Illinois; conduct independent reactor safety inspections; detect significant events at the eleven operating power reactors and three shutdown power reactors; and inspect Independent Spent Fuel Storage Installations.
4. Respond to Nuclear Facility and other radiological emergencies and events, perform reactor and environmental analysis, and support state liaison duties at Nuclear Power Plant (NPP) Technical Support Centers and Emergency Operations Facility and other critical Illinois facilities in the event of a radiological emergency.

Source of Funds: Nuclear Safety Emergency Preparedness Fund ***Statutory Authority:*** 20 ILCS 3310-5

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$9,775.5	$11,151.9	$11,151.9	$14,333.1	$14,333.1
* Total expenditures - state appropriated funds (in thousands)	$9,775.5	$11,151.9	$11,151.9	$14,333.1	$14,333.1
* Average monthly full-time equivalents	58.0	51.0	51.0	51.0	51.0
Output Indicators					
* Number of FEMA-evaluated objectives passed	112.0	128.0	150.0	131.0	150.0
* Number of RTF personnel trained (a,b)	631.0	684.0	500.0	240.0	240.0
* Number of successful RMS data transmissions received	16,754,079	16,758,167	17,017,886	16,638,651	17,017,886
* Number of new GDN detectors added to network (c,d)	16.0	19.0	3.0	2.0	3.0
* Number of required inspections modules	342.0	293.0	260.0	286.0	260.0
* Number of ASME/BPV certificates inspections (e)	471.0	517.0	524.0	503.0	524.0
Outcome Indicators					
* Percent of target FEMA-evaluated objectives passed	99.12 %	97.71 %	100 %	97.8 %	100 %
* Percent of target RTF personnel trained (a)	126.2 %	136.38 %	100 %	125 %	100 %
* Percent of required inspections modules completed	103.6 %	108 %	100 %	103 %	100 %
* Percent of target ASME/BPV certificate inspections (c)	97.9 %	103 %	100 %	96 %	100 %
* Percent successful RMS data transmissions received	98.44 %	98.46 %	99.9 %	98 %	100 %

Footnotes

(a) RTF – Radiological Task Force.

(b) Reporting in previous year was in error. The same staff was counted twice for different training. We have corrected our reporting process.

(c) GDN – Gamma Detection Network.

(d) Over the past few years, the agency has been replacing and adding GDN detectors. The process is nearing completion, thus a decrease in new detectors.

(e) ASME/BPV – American Society of Mechanical Engineers/Boiler Pressure Vessel.

Radiation Safety

Mission Statement: The Bureau is responsible for evaluating the safe use of radiation sources in Illinois. Under the Radiation Protection Act, the Radon Industry Licensing Act and related sections of the Illinois Administrative Code, the bureau's programs reduce radiation risks to the public through the registration and inspection of radiation-producing machines, certification of industrial radiographers, accreditation of medical radiation technologists and the licensing and inspection of radon service providers and users of radioactive materials.

Program Goals: Objectives:

1. Radioactive Materials Section: In 1987, Illinois entered into an agreement with the U.S. Nuclear Regulatory Commission to assume regulatory authority over all use of radioactive materials in Illinois, except for nuclear power plants, fuel cycle facilities and certain byproduct material. The Radioactive Materials Section effectively regulates the safe use of these radioactive materials in Illinois. The Section issues new licenses, performs renewals and inspects these operations periodically, based on their relative potential for safety problems, to ensure that radioactive materials are being used safely and in accordance with all radiation protection standards. The Section also performs safety evaluations of products containing radioactive material distributed by Illinois licensees. In an effort to ensure security of radioactive materials in Illinois, the Agency is working closely with the NRC to perform security inspections of certain licensees possessing large quantities of radioactive material. The Section also registers general licensees and tracks and performs accountability and compliance surveys of generally licensed devices for health and safety as well as security purposes. In addition, the Section tracks the financial status of bankrupt radioactive material licensees and develops information for Freedom of Information Act (FOIA) requests related to radioactive materials for the Bureau of Radiation Safety (BRS). The Radioactive Materials Section also is responsible for administering the Radon Program which licenses and inspects radon service providers and provides radon information to the general public.
2. Electronic Products Section: The Electronic Products Section is responsible for registering and inspecting of radiation producing machines and laser systems.
   The Agency currently has 34,132 x-ray devices registered at 11,469 facilities, nearly 94% of which are medical facilities using x-ray to image human patients. Inspections of medical x-ray machines include verification of radiation safety for patients and technologists, image quality and patient radiation dose for common procedures. The Electronic Products Section also certifies mammography facilities under the federal Mammography Quality Standards Act (MQSA). Inspectors who have completed required training and certification by the USFDA visit each of the 357 mammography facilities annually to perform a comprehensive review of equipment, personnel and quality control practices. The Section also reviews shielding design plans for diagnostic and therapeutic x-ray facilities. The Section registers Diagnostic Imaging Specialists (DIS), Therapeutic Radiological Physicists (TRP), and Radiation Machine Services Providers (RMSP), and also accredits 14,506 medical radiation technologists and certifies 1,467 industrial radiographers.
3. Radon Section: The Radon Section is responsible for licensing and inspecting entities that provide radon measurement and mitigation services for the general public. The Section also provides information regarding radon to the general public.

Source of Funds: Radiation Protection Fund, Indoor Radon Mitigation Fund ***Statutory Authority:*** 20 ILCS 3310-5

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$7,585.1	$8,637.7	$8,637.7	$11,796.5	$11,796.5
* Total expenditures - state appropriated funds (in thousands)	$7,585.1	$8,637.7	$8,637.7	$11,796.5	$11,796.5
* Average monthly full-time equivalents	50.0	48.0	48.0	48.0	48.0
Output Indicators					
* Number of radioactive material licensing actions performed	837.0	842.0	908.0	811.0	900.0
* Number of radioactive material facility inspections performed	458.0	428.0	375.0	450.0	425.0
* Number of x-ray facilities registered	11,400	11,469	11,600	11,516	11,600
* Number of x-ray facility inspections performed	4,297	4,177	4,500	4,703	4,600
* Number of mammography facility inspections required	356.0	347.0	357.0	334.0	350.0
* Radon calls received	2,921	2,837	3,000	2,964	3,100
* Mammography Certification Requests	114.0	100.0	127.0	124.0	120.0
* Accreditation Applications received	7,803	6,613	7,969	7,748	7,850
* Industrial Radiography Applications received (a)	271.0	371.0	311.0	533.0	525.0
Outcome Indicators					
* Percent of inspected radioactive material facilities found to be in compliance	64 %	73 %	55 %	78 %	90 %
* Percent of inspected radioactive material facilities found noncompliant, but achieving compliance within 60 days	100 %	100 %	100 %	100 %	100 %
* Percent of radon phone requests answered within two (2) days	100 %	100 %	100 %	100 %	100 %
* Percent of Mammography Certifications issued within ten (10) days	100 %	100 %	100 %	98 %	100 %
* Percent of Accreditation Applications processed within thirty (30) days	100 %	100 %	100 %	100 %	100 %
* Percent of Renewal Accreditation Applications processed online	60 %	68 %	70 %	66 %	75 %

Footnotes

(a) Industrial Radiography application increases: A neighboring state (IA) ceased the industrial radiography testing they previously performed. IEMA apparently picked up the slack. IEMA offers the test more often than the other remaining providers. We anticipate the level of certifications will remain at this elevated level for the foreseeable future.

Radiation Safety

Mission Statement: The Bureau is responsible for evaluating the safe use of radiation sources in Illinois. Under the Radiation Protection Act, the Radon Industry Licensing Act and related sections of the Illinois Administrative Code, the bureau's programs reduce radiation risks to the public through the registration and inspection of radiation-producing machines, certification of industrial radiographers, accreditation of medical radiation technologists and the licensing and inspection of radon service providers and users of radioactive materials.

Program Goals: Objectives:

1. Radioactive Materials Section: In 1987, Illinois entered into an agreement with the U.S. Nuclear Regulatory Commission to assume regulatory authority over all use of radioactive materials in Illinois, except for nuclear power plants, fuel cycle facilities and certain byproduct material. The Radioactive Materials Section effectively regulates the safe use of these radioactive materials in Illinois. The Section issues new licenses, performs renewals and inspects these operations periodically, based on their relative potential for safety problems, to ensure that radioactive materials are being used safely and in accordance with all radiation protection standards. The Section also performs safety evaluations of products containing radioactive material distributed by Illinois licensees. In an effort to ensure security of radioactive materials in Illinois, the Agency is working closely with the NRC to perform security inspections of certain licensees possessing large quantities of radioactive material. The Section also registers general licensees and tracks and performs accountability and compliance surveys of generally licensed devices for health and safety as well as security purposes. In addition, the Section tracks the financial status of bankrupt radioactive material licensees and develops information for Freedom of Information Act (FOIA) requests related to radioactive materials for the Bureau of Radiation Safety (BRS). The Radioactive Materials Section also is responsible for administering the Radon Program which licenses and inspects radon service providers and provides radon information to the general public.
2. Electronic Products Section: The Electronic Products Section is responsible for registering and inspecting of radiation producing machines and laser systems.
   The Agency currently has 34,132 x-ray devices registered at 11,469 facilities, nearly 94% of which are medical facilities using x-ray to image human patients. Inspections of medical x-ray machines include verification of radiation safety for patients and technologists, image quality and patient radiation dose for common procedures. The Electronic Products Section also certifies mammography facilities under the federal Mammography Quality Standards Act (MQSA). Inspectors who have completed required training and certification by the USFDA visit each of the 357 mammography facilities annually to perform a comprehensive review of equipment, personnel and quality control practices. The Section also reviews shielding design plans for diagnostic and therapeutic x-ray facilities. The Section registers Diagnostic Imaging Specialists (DIS), Therapeutic Radiological Physicists (TRP), and Radiation Machine Services Providers (RMSP), and also accredits 14,506 medical radiation technologists and certifies 1,467 industrial radiographers.
3. Radon Section: The Radon Section is responsible for licensing and inspecting entities that provide radon measurement and mitigation services for the general public. The Section also provides information regarding radon to the general public.

Source of Funds: Radiation Protection Fund, Indoor Radon Mitigation Fund ***Statutory Authority:*** 20 ILCS 3310-5

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$7,585.1	$8,637.7	$8,637.7	$11,796.5	$11,796.5
* Total expenditures - state appropriated funds (in thousands)	$7,585.1	$8,637.7	$8,637.7	$11,796.5	$11,796.5
* Average monthly full-time equivalents	50.0	48.0	48.0	48.0	48.0
Output Indicators					
* Number of radioactive material licensing actions performed	837.0	842.0	908.0	811.0	900.0
* Number of radioactive material facility inspections performed	458.0	428.0	375.0	450.0	425.0
* Number of x-ray facilities registered	11,400	11,469	11,600	11,516	11,600
* Number of x-ray facility inspections performed	4,297	4,177	4,500	4,703	4,600
* Number of mammography facility inspections required	356.0	347.0	357.0	334.0	350.0
* Radon calls received	2,921	2,837	3,000	2,964	3,100
* Mammography Certification Requests	114.0	100.0	127.0	124.0	120.0
* Accreditation Applications received	7,803	6,613	7,969	7,748	7,850
* Industrial Radiography Applications received (a)	271.0	371.0	311.0	533.0	525.0
Outcome Indicators					
* Percent of inspected radioactive material facilities found to be in compliance	64 %	73 %	55 %	78 %	90 %
* Percent of inspected radioactive material facilities found noncompliant, but achieving compliance within 60 days	100 %	100 %	100 %	100 %	100 %
* Percent of radon phone requests answered within two (2) days	100 %	100 %	100 %	100 %	100 %
* Percent of Mammography Certifications issued within ten (10) days	100 %	100 %	100 %	98 %	100 %
* Percent of Accreditation Applications processed within thirty (30) days	100 %	100 %	100 %	100 %	100 %
* Percent of Renewal Accreditation Applications processed online	60 %	68 %	70 %	66 %	75 %

Footnotes

(a) Industrial Radiography application increases: A neighboring state (IA) ceased the industrial radiography testing they previously performed. IEMA apparently picked up the slack. IEMA offers the test more often than the other remaining providers. We anticipate the level of certifications will remain at this elevated level for the foreseeable future.

Operations

Mission Statement: The Bureau of Operations serves as the core of IEMA's function of managing and coordinating the State's response to all natural and technological disasters, including terrorism, in support of local governments throughout the State. The Bureau is responsible for all planning and training related to the agency's response in local governments. When not responding to disasters, the Bureau conducts extensive first responder training and disaster exercises to ensure an integrated and prepared response community exists within local and state government agencies. A key responsibility of the Bureau Chief is to ensure that an environment conducive for coordination and the development of effective working relationships is established and maintained. The Bureau is responsible for establishing and maintaining a Statewide Interoperable Communications system.

Program Goals: Objectives:

1. State Incident Response Center (SIRC): The IEMA is the lead agency responsible for all aspects of the State of Illinois' emergency management program and disaster response. Although all phases of the program are vitally important, the ability of State Government to rapidly respond to all emergencies is a must. In order to accomplish this, the IEMA maintains the SIRC in a constant state of readiness so the senior leaders of state government can operate in a coordinated and strategic manner. A 24-hour statewide telecommunication center, as a section of the SIRC, receives over 14,000 reports and requests for assistance annually. It serves as the state disaster communications and warning center for the six operating nuclear power stations, the AMBER alert, the emergency alert system and terrorism homeland security advisory system among others.
2. Regional Offices: The Regional Offices serve the 102 counties and the City of Chicago with direct services for all aspects of the State's emergency management programs found within IEMA's statutory responsibilities. Regional staff assists with emergency planning, training, exercises, disaster and emergency response, mitigation, recovery, and funding and administrative issues.
3. Training Programs: The training program has three main training areas of concentration. The Emergency Management Training (EMT) provides a broad basis for preparing state and local government to prepare for, respond to, and recover from emergencies and disasters of a natural and technological nature. The Hazardous Materials Training (HazMat) fulfills the federal OSHA/USEPA training mandates for emergency responders under 29CFR1910.120(q). Radiological Hazardous Materials (RAD Hazmat) response training is designed for all types of local government responders responsible for initial assessment and response efforts necessary for the protection of the public. The annual IEMA conference provides a format for those entrusted with public safety in Illinois to network with each other and discuss possible solutions to common concerns.
4. Exercise Program: The exercise program is designed to assist local and state agencies in planning for, conducting and evaluating disaster and emergency preparedness planning and training. It focuses on disasters that affect Illinois whether they are natural or manmade.
5. The Communications program is responsible for the IEMA statewide two-way radio system. The agency telecom coordinator handles telecom needs such as pagers and cell phones.
6. Mission Readiness: As an emergency response agency, the need exists to be fully equipped and fully mission capable at all times. All staff have the responsibility to maintain all equipment and supplies at a ready state for immediate deployment. This includes but is not limited to communications equipment, command vehicles, tentage, trailers, generators, response vehicles and support materials stowed in the agency warehouse.

Source of Funds: General Revenue Fund, Federal Civil Preparedness Administrative Fund, Emergency Management Preparedness Fund, Nuclear Safety Emergency Preparedness Fund

Statutory Authority: 20 ILCS 3305-1

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$8,678.5	$3,552.3	$3,552.3	$5,447.9	$5,447.9
* Total expenditures - state appropriated funds (in thousands)	$8,678.5	$3,552.3	$3,552.3	$5,447.9	$5,447.9
* Average monthly full-time equivalents	64.0	58.0	58.0	58.0	58.0
Output Indicators					
* Emergency Management Training classes	109.0	129.0	130.0	126.0	130.0
* Radiological/Hazardous materials training classes	21.0	21.0	21.0	23.0	23.0
* Hazardous material training classes	17,527	15,349	16,000	14,711	16,000
* Terrorism exercises - full scale and functional	74.0	95.0	101.0	98.0	100.0
Outcome Indicators					
* First responders participating in training exercises	17,057	18,983	19,000	17,640	19,000
* During initial assessment, the State of Illinois was found to be in compliance on 40 of 54 standards developed by the Emergency Management Accreditation Program	100 %	100 %	100 %	100 %	100 %

Department of Juvenile Justice
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Department of Juvenile Justice	$131,901.4	1,210.0	$117,886.9	1,032.0
Non-Reporting Programs				
Totals	$131,901.4	1,210.0	$117,886.9	1,032.0

Mission and Organization

The Department of Juvenile Justice (DJJ) works to provide treatment and services through a comprehensive continuum of individualized educational, vocational, social, emotional and basic life skills that will enable youth to avoid delinquent futures and become productive, fulfilled citizens.

Improvements to Education - DJJ will be focused on enhancing the quality of education for committed youth. School District 428 continues to work collaboratively with the State Board of Education to develop an enhanced educational curriculum and specialized programming and services for committed youth that ensures federal and state educational program compliance. To ensure that the school district retains qualified staff, recruitment efforts have been underway during this fiscal year to identify additional certified, bilingual, and physical education instructors. Special education instructors will be hired to help build a cohesive educational team.

Expand and enhance the Aftercare system - DJJ will continue to staff an Aftercare system targeted at youth development and successful reentry into society. The Aftercare system will include partnerships with other state agencies and community-based organizations that provide these services to youth. As part of this Aftercare system, more emphasis will be placed on providing community treatment through a network of specialized treatment beds, transition beds, and community based placement positions.

Department of Juvenile Justice

Mission Statement: Understanding that youth have different needs than adults, it is the mission of the Illinois Department of Juvenile Justice to preserve public safety by reducing recidivism. Youth committed to the Department's care will receive individualized services provided by qualified staff that give them the skills to become productive citizens.

Program Goals: Objectives:

1. Develop a structural framework and foundation necessary to deliver services to youth.
   a. Develop and implement performance-based standards that are consistent with contemporary practices in the field of juvenile justice.
   b. Enhance and further develop the Aftercare system that is designed to enhance the chances for success upon a youth's re-entry into the community.
   c. Increase the number of educators throughout the system to meet the department's mandates to provide adequate educational services for all youth.
   d. Hire and train new Juvenile Justice Specialists.

Source of Funds: General Revenue Fund, Department of Corrections Reimbursement and Education Fund

Statutory Authority: 730 ILCS 5/

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$125,901.4	$131,901.4	$118,651.9	$117,886.9	$122,488.1
* Total expenditures - state appropriated funds (in thousands)	$125,901.4	$131,901.4	$118,651.9	$117,886.9	$122,488.1
* Average monthly full-time equivalents	1,245.0	1,210.0	1,068.0	1,032.0	1,061.0
Output Indicators					
* Percentage of juveniles returned to youth centers within three years of release	N/A	53.5 %	43.8 %	52.4 %	52.5 %
* Education spending per capita (in thousands)	$8.0	$9.2	$11.1	$11.3	$12.2
* Aftercare services spending per capita (in thousands)	$1.5	$2.3	$4.8	$4.5	$7.7

Illinois Criminal Justice Information Authority
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Crime Control, Crime Prevention, and Victim Assistance	$56,342.7	39.5	$54,738.8	36.0
Violence Prevention	$0.0	0.0	$21,638.0	16.0
Research, Planning and Coordination	$1,342.0	10.0	$1,189.1	11.0
Information Systems, Technology and Support	$783.2	9.0	$735.1	9.0
Non-Reporting Programs				
Totals	$58,467.9	58.5	$78,301.0	72.0

Explanatory Notes Public Act 97-1151 signed by the Governor on January 25, 2013 transferred all staff, powers, duties, rights and responsibilities of the Illinois Violence Prevention Authority (IVPA) to the Illinois Criminal Justice Information Authority and the Illinois Authority Prevention Act was repealed on June 30, 2013.

Mission and Organization

Created in 1983, the Illinois Criminal Justice Information Authority is a state agency dedicated to improving the administration of criminal justice. The goal of the Agency is the protection of citizens' lives and property. The Authority works to identify critical issues facing the criminal justice system in Illinois, and to propose and evaluate policies, programs, and legislation that address those issues. We also work to ensure the criminal justice system in Illinois is as efficient and effective as possible. The Authority's major program areas are as follows:

Crime Control, Crime Prevention and Victim Assistance - Goals are to reduce the crime rate, reduce recidivism, and rebalance from prison-based to community-based care when appropriate. Develops, administers, and implements programs for crime control, crime prevention and victim assistance, with funding from several major federal assistance programs under the Edward Byrne Memorial Justice Assistance Grant, Victims of Crime Act, Violence Against Women Act and other federal and state grant programs. Administers and implements programs under the Illinois Motor Vehicle Theft Prevention Act, with funding from insurance industry assessments.

Information Systems, Technology and Support - Improving the protection of citizens' lives and property in Illinois by designing, developing, and operating advanced technology that can be used to help Illinois law enforcement, victim services, and other criminal justice agencies collect and share information.

Research, Planning and Coordination - Improving the protection of citizens' lives and property in Illinois by conducting research on crime and justice system issues and trends. Ensure that ICJIA's grantees apply the latest and best practices and programs, programs that national research have shown to be evidence based practices, or programs that are supported in concept by research as to what actually works in criminal justice practice. Publishes and disseminates research studies and other criminal justice information. Evaluates the implementation and impact of state and local programs affecting criminal justice administration. Serves as a statewide central repository and clearinghouse for research and data on crime and the criminal justice system.

Violence Prevention - Goals are to reduce the crime rate through violence prevention programs by planning, funding, coordinating, assisting, and evaluating violence prevention efforts in Illinois. This program addresses all forms of interpersonal violence, family violence, youth violence and community safety applying evidence based practices.

Crime Control, Crime Prevention, and Victim Assistance

Mission Statement: The goal of the Illinois Criminal Justice Information Authority is the protection of citizens' lives and property. The Authority develops, administers, and implements programs for crime control, crime prevention, and victim assistance with funding from several major federal assistance programs under the Edward Byrne Memorial Justice Assistance Grant, Victims of Crime Act, Violence Against Women Act, and other federal and state grant programs. Administers and implements programs under the Illinois Motor Vehicle Theft Prevention Act, with funding from insurance industry assessments.

Program Goals: Objectives:

1. Reduce the crime rate.
   a. Target federal and state resources to geographic areas and populations with clearly described problems and needs.
   b. Use Motor Vehicle Theft Prevention Act funds to target areas of the state with the highest rates of motor vehicle theft through public awareness campaigns and special task forces.
2. Reduce recividism.
   a. Develop and implement procedures to ensure the efficient and effective administration of federal, state and other grant funds.
   b. Monitor sub-grantee activities to ensure compliance with federal and state requirements.
3. Rebalance from prison-based to community-based care where appropriate.

Source of Funds: General Revenue Fund, Motor Vehicle Theft Prevention Trust Fund, Criminal Justice Trust Fund, Juvenile Justice Trust Fund

Statutory Authority: 20 ILCS 3930; 20 ILCS 4005

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected(a)	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected(a)
Input Indicators					
* Total expenditures - all sources (in thousands)	$50,997.9	$56,342.7	$.0	$54,738.8	$.0
* Total expenditures - state appropriated funds (in thousands)	$50,997.9	$56,342.7	$.0	$54,738.8	$.0
* Average monthly full-time equivalents	44.0	39.5	0.0	36.0	0.0
Output Indicators					
* Total number of grants monitored	680.0	645.0	N/A	609.0	N/A
Outcome Indicators					
* Total number of grant programs active and currently being implemented by sub-grantees	680.0	645.0	N/A	609.0	N/A
Efficiency/Cost-Effectiveness Indicators					
* Average administrative cost per grant to sub-grantee (in dollars)	$6,303.77	$7,073.21	N/A	$9,153.92	N/A

Footnotes

(a) No projections were set due to the unpredictability of funding sources or amounts.

Violence Prevention

Mission Statement: Goals are to reduce the crime rate through violence prevention programs by planning, funding, coordinating, assisting, and evaluating violence prevention efforts in Illinois. This program addresses all forms of interpersonal violence, family violence, youth violence and community safety applying evidence based practices.

Program Goals: Objectives:
1. To reduce the crime rate through violence prevention programs.

Source of Funds: General Revenue Fund, ICJIA Violence Prevention Fund, ICJIA Violence Prevention Special Projects Fund

Statutory Authority: Public Act 97-1151

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected(a)
Input Indicators					
* Total expenditures - all sources (in thousands)	$.0	$.0	$.0	$21,638.0	$.0
* Total expenditures - state appropriated funds (in thousands)	$.0	$.0	$.0	$21,638.0	$.0
* Average monthly full-time equivalents	0.0	0.0	0.0	16.0	0.0
Output Indicators					
* Number of Community Violence Prevention programs implemented	N/A	N/A	N/A	24.0	N/A
* Number of schools engaged in the Bullying Prevention programs	N/A	N/A	N/A	66.0	N/A
* Number of Neighborhood Recovery Initiative youths reached	N/A	N/A	N/A	2,450	N/A

Explanatory Information

Violence Prevention is a new program for fiscal year 2013. Public Act 97-1151 signed by the Governor on January 25, 2013 transferred all staff, powers, duties, rights and responsibilities of the Illinois Violence Prevention Authority (IVPA) to the Illinois Criminal Justice Information Authority and the Illinois Authority Prevention Act was repealed on June 30, 2013

Footnotes

(a) No projections were set due to the unpredictability of funding sources or amounts.

Research, Planning and Coordination

Mission Statement: To improve the protection of citizens' lives and property in Illinois by conducting research on crime and justice system issues and trends, evaluating the implementation and impact of state and local programs affecting justice administration, and enhancing efforts to disseminate criminal justice information.

Program Goals: Objectives:
1. Ensure that ICJIA's grantees apply the latest and best practices and programs, programs that national research have shown to be evidence based practices, or programs that are supported in concept by research as to what actually works in criminal justice practice. Identify, research, address and evaluate issues and programs affecting the justice system in Illinois, and inform policy and decision makers of findings and recommendations.
   a. Administer research and evaluation grants awarded to sub-grantees.
   b. Publish and disseminate reports, research bulletins and other documents stemming from research and evaluation activities.
2. Serve as a statewide central repository and clearinghouse for criminal justice research and data, and respond to requests for information on a variety of criminal justice issues from public policy makers, criminal justice practitioners and the general public.
   a. Respond to at least 100 clearinghouse requests for information annually.
   b. Maintain the authority's website and expand its presence on the internet.

Source of Funds: General Revenue Fund, Criminal Justice Information Projects Fund, Criminal Justice Trust Fund, Juvenile Accountability Incentive Block Grant Fund

Statutory Authority: 20 ILCS 3930

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected(a)	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected(a)
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,523.7	$1,342.0	$.0	$1,189.1	$.0
* Total expenditures - state appropriated funds (in thousands)	$1,523.7	$1,342.0	$.0	$1,189.1	$.0
* Average monthly full-time equivalents	10.0	10.0	0.0	11.0	0.0
Output Indicators					
* Total number of research and evaluation grants awarded to sub-grantees	1.0	2.0	N/A	7.0	N/A
* Number of clearinghouse requests for information	191.0	135.0	N/A	132.0	100.0
* Number of publications available on website	779.0	805.0	N/A	821.0	N/A
Outcome Indicators					
* Total number of research and evaluation projects active and currently being implemented by sub-grantees	5.0	5.0	N/A	6.0	N/A
* Total number of research and evaluation project final reports received	35.0	12.0	N/A	28.0	N/A
* Percentage of clearinghouse requests for information completed	100 %	100 %	N/A	100 %	N/A
* Number of website downloads	620,161	2,239,288	N/A	2,267,108	N/A

Footnotes

(a) No projections were set due to the unpredictability of funding sources or amounts.

Information Systems, Technology and Support

Mission Statement: To improve the protection of citizens' lives and property in Illinois by designing, developing, and operating advanced technology that can be used to help Illinois law enforcement, victim service, and other criminal justice agencies collect and share information.

Program Goals: Objectives:

1. Support ongoing development, maintenance, and operation of systems that meet the needs of the criminal justice community.
   a. Maintain the Clandestine Lab Reporting Information System (CLARIS) used by law enforcement agencies for reporting and analysis of methamphetamine lab seizure data.
   b. Maintain InfoNet, a state-of-the-art data system, nationally recognized for deploying the latest technologies to enhance data collection and reporting abilities for victim service providers.
   c. Develop the Motor Vehicle Automated Reporting System to allow simpler and more accurate monthly reporting of vehicle recoveries, arrests and investigation of stolen vehicles by task forces supported by the Motor Vehicle Theft Prevention Council.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 20 ILCS 3930

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected(a)*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected(a)*
Input Indicators					
* Total expenditures - all sources (in thousands)	$817.3	$783.2	$.0	$735.1	$.0
* Total expenditures - state appropriated funds (in thousands)	$817.3	$783.2	$.0	$735.1	$.0
* Average monthly full-time equivalents	9.0	9.0	0.0	9.0	0.0
Output Indicators					
* Number of methamphetamine lab seizures via CLARIS	527.0	649.0	N/A	828.0	N/A
* Number of agencies using the InfoNet database	118.0	110.0	N/A	111.0	N/A
* Number of InfoNet database trainings	16.0	12.0	N/A	3.0	N/A

Footnotes

(a) No projections were set due to the unpredictability of funding sources or amounts.

Department of Military Affairs
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Illinois National Guard	$27,739.1	224.0	$28,492.1	222.0
Lincoln's Challenge Academy	$8,800.4	0.0	$8,651.9	0.0
Illinois Military Family Relief	$1,727.8	0.0	$1,384.5	0.0
Non-Reporting Programs				
Totals	$38,267.3	224.0	$38,528.5	222.0

Mission and Organization

The Department of Military Affairs is the state agency which supports the Illinois National Guard. The Office of the Adjutant General division manages the daily operations and is the official channel of communication between the federal government and the state regarding military matters. It is also responsible for maintaining military personnel records and active duty service reports, preserving historical military artifacts, and providing military funeral honors.

The Facilities and Engineering Directorate operates and maintains 45 readiness centers, five reserve centers, one state headquarters, 35 range complex facilities at 2 range complexes, three training areas, 24 maintenance facilities, 10 aviation support facilities, 38 warehouse and storage facilities, 13 vehicle storage buildings and four storefront recruiting offices at 54 locations in 49 communities within 38 counties throughout the state for the Illinois Army National Guard. The Illinois Air National Guard maintains bases at two civilian airports and at one active U.S. Air Force base. The federal government participates in the support of these facilities through the Master Cooperative Agreement.

The Lincoln's Challenge Academy is a youth intervention program designed to improve the life coping skills and future employability of high school dropouts between the ages of 16 and 19 through participation in a life skills program based upon a military training model.

The Illinois Military Family Relief program provides three types of grants: status based, need based, and casualty based. Persons and families of persons who are members of the Illinois National Guard or Illinois residents who are members of the U.S. armed forces reserve components and who have been called to active duty as a result of the September 11, 2001 terrorist attacks may apply for all three types. Illinois residents who are members of the U.S. active armed forces may apply for the casualty based grants only.

Illinois National Guard

Mission Statement: To provide the state support of the Illinois National Guard.

Program Goals: Objectives:
1. For the Illinois National Guard to be ready and available for State service at any time.
   a. To provide support to the Illinois National Guard in the most cost effective manner possible.

Source of Funds: General Revenue Fund, Military Affairs Trust Fund, Federal Support Agreement Revolving Fund, Illinois National Guard Armory Construction Fund

Statutory Authority: 20 ILCS 1805

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$28,233.7	$27,739.1	$40,049.1	$28,492.1	$40,761.5
* Total expenditures - state appropriated funds (in thousands)	$28,233.7	$27,739.1	$40,049.1	$28,492.1	$40,761.5
* Average monthly full-time equivalents	233.0	224.0	252.0	222.0	244.0
* GRF Appropriations (in thousands)	N/A	$11,954.7	$12,188.9	$10,775.3	$12,690.5
* Other Funds Appropriations (in thousands)	N/A	$26,014.5	$27,860.2	$17,716.8	$28,071.0
Output Indicators					
* GRF Expenditures (in thousands)	N/A	$10,523.4	$12,188.9	$10,775.3	$12,690.5
* Other Funds Expenditures (in thousands)	N/A	$17,215.6	$27,860.2	$17,716.8	$28,071.0
* Number of drill weekends held for IL National Guard personnel	12.0	12.0	12.0	12.0	12.0
Outcome Indicators					
* Number of facilities supported	105.0	105.0	105.0	100.0	100.0
* Number of IL National Guard personnel supported	N/A	12,862	12,862	12,966	12,966
Efficiency/Cost-Effectiveness Indicators					
* Percent of GRF Appropriations expended	N/A	88 %	100 %	100 %	100 %
* Percent of total expenditures expended from Other Funds	N/A	62 %	70 %	62.18 %	69 %

Explanatory Information

All historical numbers are not shown as this program was not previously defined and reported as it is beginning with fiscal year 2012.

Lincoln's Challenge Academy

Mission Statement: To provide residential youth corps program to help high school dropouts earn their GED, improve their life coping skills, and increase employability.

Program Goals: Objectives:
1. To prepare Lincoln's Challenge Academy (LCA) attendees for success in the real world.
   a. Have at least 65% of the LCA graduates obtain their GED.
   b. Have 100% of the LCA graduates assigned a mentor.

Source of Funds: General Revenue Fund, Federal Support Agreement Revolving Fund

Statutory Authority: 20 ILCS 1805

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$8,792.8	$8,800.4	$10,000.0	$8,651.9	$10,000.0
* Total expenditures - state appropriated funds (in thousands)	$8,792.8	$8,800.4	$10,000.0	$8,651.9	$10,000.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
Output Indicators					
* Number of graduates	632.0	661.0	630.0	604.0	630.0
Outcome Indicators					
* Number of graduates with GED	441.0	489.0	410.0	471.0	504.0
* Number of graduates assigned a mentor	632.0	661.0	630.0	604.0	630.0
Efficiency/Cost-Effectiveness Indicators					
* Average cost per graduate (in dollars)	$13,912.74	$13,313.86	$15,873.02	$14,324.14	$15,873.02
* Percentage of graduates with GED	69.8 %	74 %	65 %	78 %	80 %
* Percentage of graduates assigned a mentor	100 %	100 %	100 %	100 %	100 %

Illinois Military Family Relief

Mission Statement: To aid eligible military personnel.

Program Goals: 1. To provide grants to eligible military persons and families.

Objectives: a. To provide a minimum grant of $500 to eligible military families.

Source of Funds: Illinois Military Family Relief Fund ***Statutory Authority:*** 20 ILCS 1805/22-9

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,406.5	$1,727.8	$5,000.0	$1,384.5	$5,000.0
* Total expenditures - state appropriated funds (in thousands)	$1,406.5	$1,727.8	$5,000.0	$1,384.5	$5,000.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
Output Indicators					
* Number of grants	2,813	3,455	5,000	2,769	5,000
Efficiency/Cost-Effectiveness Indicators					
* Average grant amount (in dollars)	$500.00	$500.00	$500.00	$500.00	$500.00

PUBLIC SAFETY:
OFFICE OF THE STATE FIRE MARSHAL

Office of the State Fire Marshal
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Fire Prevention	$3,725.1	22.5	$3,268.4	20.5
Petroleum and Chemical Safety	$3,099.7	16.0	$3,066.8	22.0
Boiler and Pressure Vessel	$2,980.1	18.0	$3,029.3	19.0
Arson	$2,814.5	17.0	$2,869.9	18.0
Personnel Standards and Education	$1,490.0	9.0	$1,664.6	9.5
Elevator Safety	$827.8	5.0	$1,116.1	7.0
Technical Services	$827.8	5.0	$318.9	2.0
Non-Reporting Programs				
Grants and Lump Sum Programs	$4,085.9	N/A	$6,072.7	N/A
General Services	$4,966.8	30.0	$5,102.1	32.0
Totals	$24,817.7	122.5	$26,508.8	130.0

Mission and Organization

The Office of the State Fire Marshal (OSFM) will improve safety by increasing public awareness about fire hazards, public safety codes and fire prevention while also enhancing firefighting and emergency response capabilities to local communities. OSFM personnel are committed to protecting the citizens of Illinois and property from fire and explosions through inspections, investigations, training, education, and providing assistance to local fire departments.

The ***Division of Fire Prevention*** is charged with inspection of state-owned/regulated facilities such as correctional facilities, day care homes and centers, state and county fairs, race tracks, permanently moored vessels, and residential facility programs. The division also licenses Sprinkler Contractors, Fire Equipment Distributors and the Pyrotechnic industry.

The ***Arson Division*** investigates suspicious fires and explosions throughout the state. Arson investigators are sworn peace officers authorized to interview witnesses, collect evidence, and make arrests and appear in court. Accelerant-detecting canine teams are available to lead investigators to locations where minute traces of accelerants may be present.

The ***Division of Boiler and Pressure Vessel Safety*** regulates the construction, installation, operation, inspection and repair of boilers and pressure vessels throughout the state to safeguard Illinois citizens from the potential risks and hazards. Currently, there are over 100,000 boilers and pressure vessels registered and inspected on a routine basis by authorized commissioned inspectors.

The ***Elevator Safety Division*** is responsible for implementing and enforcing state required mandates and regulations through inspections, registrations, certifications and licensing of Illinois conveyances.

The ***Division of Personnel Standards and Education*** is responsible for assisting local governments to improve the levels of education and training standards offered to local firefighters. This division administers a program of training and certification for over 40,000 Illinois firefighters. The division also reviews grant applications for reimbursement of firefighter training costs.

The ***Division of Petroleum and Chemical Safety*** is responsible for regulating underground storage

tanks (UST) containing petroleum or other hazardous chemical substances to ensure the protection of public health and the environment. Activities of the division include licensing of contractors to perform UST work; eligibility and deductibility determinations of leaking USTs; inspections of all permitted activity and conducting a biennial certification audit inspection of all active facilities.

The ***Division of Technical Services*** offers plan review, engineering, and continuing education services and advice to the inspecting divisions of the OSFM, as well as to municipal fire departments and building owners. The division offers plan review services and code interpretations to ensure compliance with state-adopted codes for proposed construction, remodeling and building addition projects, as well as the installation or modification of fire sprinkler systems, fire alarm systems, and specialized extinguishing systems. Activities of the division also include reviewing submittals for permits pertaining to regulated underground and aboveground storage tanks, motor fuel dispensing facilities and liquefied petroleum gas storage tanks. The division also offers technical advice to the agency relative to proposed rules addressing fire protection and petroleum storage issues.

OSFM offers grant and loan opportunities, including grants for the purchase of small firefighting and ambulance equipment, grants for firefighter training, and loans for the purchase of fire trucks and ambulances.

Fire Prevention

Mission Statement: To prevent the loss of life and damage to property through effective and efficient enforcement of state fire safety codes.

Program Goals: Objectives:

1. Conduct inspections and enforce the fire prevention codes of Illinois with the goal of minimizing or eliminating losses of life and property due to fire.
   a. Complete fire prevention inspections as mandated by filling all the inspector vacancies.
   b. Issue letters of compliance or notice of violations as the result of fire prevention inspection of selected facilities (e.g., day care centers, residential board and care homes, hotels and motels, adult educational occupancies, pari-mutuel race tracks, prisons, liquid petroleum (LP) gas tanks, permanently moored vessels, etc.).
   c. Meet and consult with state agencies including the Illinois Department of Agriculture, Department on Aging, Department of Children and Family Services, Commerce Commission, Department of Corrections, Department of Human Services, Racing Board and State Board of Education on fire code enforcement, rule development and other public safety issues.
2. Conduct training of all fire prevention inspectors to ensure their familiarity with the latest standards and regulations for the inspection of facilities within their jurisdictions.
   a. Conduct fire code enforcement practices and procedures training and identify new materials and methods for fire protection systems for fire prevention inspectors.
   b. Conduct quarterly fire prevention training sessions at one location for all fire prevention inspectors to ensure uniformity of fire code enforcement practices.
3. Oversee a comprehensive fire safety licensing program throughout the state.
   a. Provide licensing of fire equipment distributors and their employees to install and maintain fixed suppression systems and to provide maintenance and testing of portable fire extinguishers in accordance with state statute and applicable administrative codes.
   b. Oversee Sprinkler Contractor licensing program throughout the state.
   c. Oversee Pyrotechnic Operator and Distributor licensing program.

Source of Funds: Fire Prevention Fund

Statutory Authority: 225 ILCS, 425 ILCS, 430 ILCS

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,568.8	$3,725.1	$3,918.3	$3,268.4	$4,644.6
* Total expenditures - state appropriated funds (in thousands)	$2,568.8	$3,725.1	$3,918.3	$3,268.4	$4,644.6
* Average monthly full-time equivalents	22.5	22.5	25.5	20.5	24.5
Output Indicators					
* Total number of fire prevention inspections conducted	12,202	13,087	10,000	9,901	10,000
Outcome Indicators					
* Percentage of licensing requests received from other state agencies that are completed by the Division of Fire Prevention in a manner to allow timely responses/results to the requesting agency	100 %	100 %	100 %	100 %	100 %
* Percentage of Life Safety Code violations identified during primary occupancy inspections that are corrected as determined by subsequent re-inspections/re-contacts (a)	100 %	100 %	100 %	42 %	50 %

Footnotes

(a) Criteria used in the calculation of the percentage has changed.

Petroleum and Chemical Safety

Mission Statement: To protect against the threat to human safety and contamination of the environment that can occur by the underground storage of petroleum products and other hazardous substances through prevention, education and enforcement.

Program Goals: Objectives:

1. Support the Division of Petroleum and Chemical Safety (DPCS) Mission by the temporal processing of all checks received by the DPCS to the Shared Services Division within a 24 hour period.
   a. Perform an accurate administrative review through use of current computer records.
   b. Establish and maintain an effective communication system with contractors, UST operators and UST owners through the use of e-mail (e.g., job schedules, invoices, certificates, policy notices, etc.).
   c. Provide a process for owner/operator and contractor access to select information on the division database.
   d. Initiate the development processes for the electronic transfer of funds for the payment of registration and licensing fees.
2. Support the DPCS Mission by the accurate and timely processing of all required job schedules and eligibility determinations as required by statutes and regulations.
   a. Answer technical questions concerning USTs and division statutory and regulatory requirements.
   b. Ensure quality through staff training in the technical regulatory requirements.
3. Support the DPCS Mission by effectively and efficiently deploying field staff who work with industry to ensure UST activities in Illinois are safe for the citizens and the environment.
   a. Ensure that Storage Tank Safety Specialists (STSS) are at every tank pull activity to ensure the safety of workers, the community and the environment.
   b. Re-inspect one half of all active UST facilities annually for compliance with the USEPA UST regulations and the Illinois Administrative Code 177 rules and issue dated decals.
   c. Develop a state of the art telecommunications network for carrying on the work of the division field staff and their interface with the Office, contractors and UST owners.
   d. Secure UST Facility File data transmission capacity for field staff interface with the DPCS and UST database.
4. Develop and implement a comprehensive Compliance/Enforcement program to ensure the safe operation of UST Systems and work on those systems.
   a. Continue to implement the Notice of Violation (NOV) program dealing with non-compliance at UST facilities in such a form to maximize the compliance level of UST systems in Illinois.
   b. Continue to implement the Contractor Notice of Violation (CNOV) program dealing with contractor violations of operating rules and procedures.
5. Support the DPCS Mission by processing all eligibility requests in 60 days or less with an internal target of 40 days.
6. Support the DPCS Mission by providing contemporary, efficient and effective two-way communication with the UST users and regulators.
   a. Conduct industry group meetings for the purpose of exchange of ideas and information on UST issues in Illinois on an as needed basis.
   b. Maintain a close working relationship with the Chicago Department of Public Health to ensure smooth and consistent operation of the UST activity in Chicago.
7. Provide 24 hour a day seven days a week (24-7) emergency response capability for the State of Illinois regarding UST.
   a. Maintain a state of the art communication link with all Storage Tank Safety Specialists (STSSs) in order to provide for emergency response in conjunction with requests from municipalities and/or the Illinois Emergency Management Agency.
   b. Initiate an outreach program designed to familiarize municipalities with the assistance available from DPCS when they face UST emergencies.
   c. Provide staff support for the State Emergency Operations Center on request.

Source of Funds: Underground Storage Tank Fund, Fire Prevention Division Fund ***Statutory Authority:*** 415 ILCS, 430 ILCS, 225 ILCS

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$4,090.3	$3,099.7	$5,109.6	$3,066.8	$3,615.2
* Total expenditures - state appropriated funds (in thousands)	$4,090.3	$3,099.7	$5,109.6	$3,066.8	$3,615.2
* Average monthly full-time equivalents	18.0	16.0	20.0	22.0	23.0
Output Indicators					
* Number of active USTs in the State of Illinois	21,683	21,138	22,000	20,821	21,000
* Total number of UST tanks maintained	115,013	115,569	115,750	116,289	116,500
* UST facility inspections	10,037	10,026	10,500	8,516	10,000
* Eligibility applications received	386.0	362.0	350.0	344.0	350.0
* Total number of mobile fuel dispensing facility inspections	3,390	3,612	3,500	2,939	3,000
Outcome Indicators					
* Percent of UST inspected for compliance annually in Illinois	50 %	50 %	50 %	50 %	50 %
* Percent of UST owners/operators notified within 60 days of eligibility for Leaking Underground Storage Tank (LUST) Fund eligibility	100 %	100 %	100 %	100 %	100 %

Boiler and Pressure Vessel

Mission Statement: To regulate the construction, installation, inspection and repair of boilers and pressure vessels to ensure conformity with all adopted safety codes and standards.

Program Goals: Objectives:

1. Maintain a complete set of records of all boilers and pressure vessels in the state that require registration and inspection.
   a. Review for conformity and process within divisional guidelines all inspection reports received by inspectors for accuracy.
   b. Train and assist all input operators as to the accepted data entry procedures when using the system.
   c. Continue to utilize existing boiler/pressure vessel system and audit periodically to ensure that only clean data is inputted and captured.
   d. Purge database monthly of old records no longer needed.
2. Inspect all boilers and pressure vessels to the requirements of the Illinois Boiler Safety Act and administrative rules and verify compliance. Conduct standardized safety inspections to minimize risk to general public.
   a. Ensure that all inspectors are notified 60 days in advance of inspection certificate expiration date.
   b. Make necessary arrangements to schedule required boiler and pressure vessel inspections before or on the due date.
   c. Perform internal inspections on high pressure boilers, certificate inspections on low pressure boilers and triennial inspections on pressure vessels.
   d. Distribute a monthly past due inspection list to all inspectors.
   e. Notify owners and users when deficiencies are found and follow up to verify that corrective action has been taken.
3. Issue Certificates of Inspections to those owners and users who meet the state rules for continued safe operation.
   a. Ensure that all inspection reports are keyed when received.
   b. Ensure that all inspection invoices are mailed to the responsible party within 10 business days.
   c. Issue Certificates of Inspection as soon as payment is received.
   d. Verify that owners and users are posting certificates as required by law.
4. Administer inspector licensing program to make sure only competent individuals are conducting quality safety inspections.
   a. Verify that all applicants meet experience requirements for examination.
   b. Administer the National Board Examination four times per year to prospective candidates.
   c. Issue Certificates of Competency to inspectors who meet experience requirements and successfully pass examination.
   d. Maintain an up-to-date list of all license holders who perform inspections in Illinois.
5. Ensure that only licensed organizations are performing welded repairs to boilers and pressure vessels.
   a. Review upon receipt all license applications for conformity with rules.
   b. Issue a repairer license to those organizations meeting all the requirements.
   c. Maintain accurate records of all licenses and permits issued.
   d. Periodically audit authorized repair concerns for compliance with rules.
6. Continue the effective enforcement of Illinois statutes and rules as they relate to boilers and pressure vessels.
   a. Issue deficiency notices to owners of non-compliant boilers and pressure vessels.
   b. Conduct follow-up inspections of deficient boiler and pressure vessel units to verify compliance.
   c. Issue warning letters and notice of suspensions for those failing to comply.
   d. Refer continued violators to the Attorney General's office for prosecution.

Source of Funds: Fire Prevention Fund ***Statutory Authority:*** 225 ILCS 203, 430 ILCS 75

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,397.6	$2,980.1	$2,919.5	$3,029.3	$3,601.9
* Total expenditures - state appropriated funds (in thousands)	$2,397.6	$2,980.1	$2,919.5	$3,029.3	$3,601.9
* Average monthly full-time equivalents	21.0	18.0	19.0	19.0	19.0
Output Indicators					
* Total number of active boilers and pressure vessels requiring inspections	97,605	99,085	99,000	98,658	99,000
* Total number of inspections performed	43,551	45,924	46,000	45,318	46,000
Outcome Indicators					
* Number of violations per 100 inspections in Illinois	8.0	3.7	3.0	4.4	3.0
* Percentage of boiler and pressure vessels that are past due for an inspection to determine whether they are in compliance with Illinois statute and administrative rules (a)	0.14 %	3.3 %	3 %	0.1 %	0 %
* Violations reported and corrected within 60 days of the inspection by boiler safety specialist (b)	99 %	N/A	N/A	N/A	N/A

Footnotes

(a) Measurement changed in Administrative Rules from inspections not completed within 12 months to inspections not completed within 90 days after the end of the 12 month period.

(b) Data no longer collected.

Arson

Mission Statement: To provide fire investigation and law enforcement services to the citizens of the State of Illinois through complete and thorough investigations, evidence collection and professional expert testimony in court proceedings.

Program Goals: Objectives:

1. Conduct fire investigations to assist fire departments and local governments with expertise and case management through to a successful conclusion.
   a. Provide 24-hour service to fire departments that request the assistance of an arson investigator for fires known or suspected to be arson.
   b. Operate a duty agent program to respond to calls from fire departments and law enforcement 24 hours a day, seven days a week for assistance using the Arson Hotline 800 number and dispatch investigators.
   c. Conduct arson investigations through a network of trained and certified arson investigators who are geographically dispersed throughout the state. Over two-thirds of the 1,209 fire departments in the state are volunteers and have no arson investigators. Therefore, the sole responsibility lies with this agency in providing those investigations.
   d. Interview suspects and handle case management, including evidence collection and analysis, and work with state's attorneys up to and including trial, through prosecution. (This is routine for all arson fire cases.)
2. Ensure that all arson investigators have met the statutory requirements for the job, maintain quarterly firearms qualifications on the firing range, and attend agency provided in-service training on a regular basis.
   a. All arson investigators must be certified through the agency working with the Illinois Federation of Public Employees union (IFPE) to develop mandatory and minimum hours for annual continuing education requirements.
   b. Maintain quarterly firearms training and meet prescribed minimum scores to maintain qualification.
   c. Conduct annual divisional training to stay current with changes in law, court procedures, investigative techniques, fire science and changes in the field of arson investigation.
3. Prepare monthly reports to the agency director that include caseload, arrests, training, and other information for tracking increases or decreases in workload, workload distribution among employees, and assessing agency services provided to fire departments in arson investigations.
   a. Investigators must submit divisional reports and forms within 10 days of a fire investigation. This allows management to track activities (including caseloads, arrests, and other case information), expenses, and other requirements to ensure proper operation of the division.
   b. On a monthly basis, the division will monitor cases that are still opened or closed or resolved.
   c. Assistance to state's attorneys, law enforcement, and other related agencies in fires determined to be arson will continue until an arson case is closed or resolved in court.
4. Assist fire departments and related associations and organizations in the issue of arson investigation to aid in their recognizing and handling suspicious fire scenes until local governments or the state handles the case.
   a. Conduct regional workshops for the benefit of rural and volunteer fire departments to help them identify suspicious fires, protect the scene and secure evidence that may be used in an arson investigation when the agency is called to investigate. Workshops will be offered on a continual basis.
   b. Respond to individual requests by fire departments or local agencies for information or assistance as it relates to arson scenes.
   c. Participate in professional organizations to help educate fire departments in recognizing and handling fire scenes that may be arson.
5. Operate a canine unit consisting of specially trained dogs and their handlers who are able to assist in difficult fire investigations by covering large fire or damaged areas to determine the presence of accelerants used to set arson fires.
   a. Operate a program of 8 specially trained canines to aid in arson investigation through detection of accelerants used in setting fires.
   b. Maintain proper certifications for the canines and their handlers to ensure credentials that may be vital in successful prosecution of cases. The Agency will enroll the canines and handlers in recognized annual recertification courses.
   c. Provide for the special care and needs of the canines and their handlers, in terms of special vehicles, equipment, food, medical care, and related needs.
6. Foster an effective dialogue, conduct meetings and discuss the needs and results of the arson program with the insurance industry, state and national associations, and the fire service of Illinois to ensure that the needs of the citizens and our "customers" are being met.
   a. Maintain an effective relationship with the insurance industry as a partner in the mission to reduce fires and the resultant deaths and injuries. Insurance industry representatives have been appointed to standing agency committees.

Source of Funds: Fire Prevention Fund

Statutory Authority: 20 ILCS 2910, 425 ILCS 75

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,169.2	$2,814.5	$2,765.8	$2,869.9	$3,412.3
* Total expenditures - state appropriated funds (in thousands)	$2,169.2	$2,814.5	$2,765.8	$2,869.9	$3,412.3
* Average monthly full-time equivalents	19.0	17.0	18.0	18.0	18.0
Output Indicators					
* Qualify all Arson Investigators for firearms use through participation three times a year in hand gun range training	100 %	100 %	100 %	100 %	100 %
* Fire investigations	1,107	1,066	1,300	1,096	1,200
* Canine investigations	254.0	283.0	300.0	198.0	300.0

Personnel Standards and Education

Mission Statement: To promote and protect the health, safety and welfare of the public and to encourage and aid municipalities, counties and other local governmental agencies by maintaining a high level of training for fire service personnel.

Program Goals: Objectives:

1. Review current systems (database, spreadsheets, access, word processing) updating to newest and most efficient utilization of systems to initiate and streamline non-IT processing, providing the fire service with premier service.
2. Raise the level of firefighter training to the highest quality by examination, approving fire training programs at the University of Illinois and other schools, and administering advanced training programs to firefighters.
   a. Annually administer levels of firefighter exams.
   b. Schedule exams at regional sites around the state, establishing and updating calendar on OSFM website for accessibility by training facilities and Fire Departments to enhance training schedules.
   c. Correlate and distribute training information, ensuring uniform training programs based on National Fire Protection Association (NFPA) standards for all levels.
   d. Process state examination results and certificates for successful candidates.
   e. Process course approvals and review course syllabi.
3. Increase the level of participation in the training certification programs of the division.
   a. More efficient accessibility to division's database information.
   b. More efficient distribution of required training information.
   c. Establish meetings with appropriate State Certification Advisory Committee (SCAC) committees to ensure validity of certification programs.
   d. Involve all fire service organizations in program and examination development.
   e. Review programs for Americans with Disabilities Act (ADA) compliance.
4. Annually administer the reimbursement grant program and assist fire departments in the development of their training programs.
   a. Promote fire service training by partial reimbursement of training expense.
   b. Assist with setup of fire departments' training records.
5. Division personnel will interact with fire departments and schools to share program and training information to promote firefighter safety.
   a. Schedule staff to contact and promote training programs, with priority in those areas where little or no organized training is evident.
6. Identify and evaluate fire service training and testing requirements.
   a. Streamline training, certification and approval procedures.
7. Enhance and promote division (agency) program interaction with state organizations/fire departments.
   a. Divisional (agency) promotion and participation in related fire service concerns and programs.
8. Review and enhance avenues for IT programs and resources. Continue to enhance data systems for updating of training, examinations, certification programs, and IT systems for promotion of training to enhance firefighter knowledge and safety.

Source of Funds: Fire Prevention Fund

Statutory Authority: 50 ILCS 740, 425 ILCS 25/6

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,198.8	$1,490.0	$1,459.7	$1,664.6	$1,951.0
* Total expenditures - state appropriated funds (in thousands)	$1,198.8	$1,490.0	$1,459.7	$1,664.6	$1,951.0
* Average monthly full-time equivalents	10.5	9.0	9.5	9.5	9.5
Output Indicators					
* Total number of fire departments participating	1,039	985.0	1,100	974.0	1,000
* Number of fire fighter exams given	7,676	9,257	9,300	8,855	9,000
* Number of fire fighter certifications issued	12,539	13,260	13,500	13,466	13,500
* Number of courses established for certification	56.0	56.0	57.0	55.0	56.0
* Number of fire department personnel submitted for training reimbursement	2,871	2,194	2,200	1,575	1,700

Elevator Safety

Mission Statement: To ensure that conveyances are correctly and safely installed and operated within the state by regulating the design, installation, construction, operation, inspecting, testing, maintenance, and alteration of conveyances.

Program Goals: Objectives:

1. Maintain records for conveyances that are regulated by the State and not the local authority.
   a. Issue inspection company licenses to inspect conveyances within buildings or structures.
   b. Verify that the company meets the current American Society of Mechanical Engineers (ASME) QEI-1 Standards for the Qualifications of Elevator Inspectors.
   c. Collect fees.
   d. Verify that the company provides the agency with an insurance policy or certificate copy issued by an insurance company authorized to do business in the State that meets the requirements of the State Law.
2. Issue licenses for contractors who are erecting, constructing, installing, altering, servicing, repairing or maintaining elevators or related conveyances.
   a. Collect fees.
   b. Monitor continuing education.
3. Issue licenses for elevator mechanics that erect, construct, wire, alter, replace, maintain, remove or dismantle any conveyance contained within buildings or structures in the jurisdiction of this State.
   a. Collect fees.
   b. Verify that all applicants meet experience requirements for examination.
   c. Monitor continuing education.
   d. Verify work experience.
4. Issue apprentice licenses to work under the direct supervision of a licensed elevator mechanic.
   a. Collect fees.
   b. Verify that they are registered in an approved apprenticeship program approved by the U.S. Department of Labor.
5. Issue elevator inspector licenses to inspect any conveyance within buildings or structures.
   a. Verify that he or she meets the current ASME QEI-1 Standards for the Qualifications of Elevator Inspectors.
   b. Collect fees.
   c. Verify that he or she provides the agency an insurance policy or certificate copy issued by an insurance company authorized to do business in the State that meets the requirements of the State law.
6. Registration of all conveyances outlined in the act.
   a. Verify that each conveyance has been inspected by a licensed elevator inspector.
   b. Collect fees.
   c. Collect all information concerning conveyance for data input.
7. Continue the effective enforcement of Illinois statutes and rules as they relate to elevators, dumbwaiters, escalators, moving sidewalks, platform lifts, stairway chairlifts and automated people movers and by licensing personnel and businesses that work on these conveyances.

Source of Funds: Fire Prevention Fund ***Statutory Authority:*** 225 ILCS 312

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$685.0	$827.8	$921.9	$1,116.1	$1,327.0
* Total expenditures - state appropriated funds (in thousands)	$685.0	$827.8	$921.9	$1,116.1	$1,327.0
* Average monthly full-time equivalents	6.0	5.0	6.0	7.0	7.0
Output Indicators					
* Total number of active conveyances requiring inspections	32,424	33,852	34,002	34,024	34,000
* Total number of conveyance inspections performed (by State or third party)	28,599	30,564	34,002	29,801	34,000
* Total number of Contractor licenses issued (a)	76.0	31.0	65.0	60.0	66.0
* Total number of Inspector licenses issued (a)	81.0	107.0	78.0	80.0	229.0
* Total number of Mechanic licenses issued (a)	1,242	330.0	1,191	1,137	973.0
* Total number of Apprentice licenses issued (a)	20.0	19.0	30.0	17.0	30.0
* Total number of inspection company licenses issued (a)	26.0	21.0	25.0	30.0	33.0

Footnotes

(a) Licenses are issued on a 2-year cycle.

Technical Services

Mission Statement: To ensure an understanding of, and compliance with, the State's fire safety and petroleum storage rules by offering plan review, engineering, and continuing education efforts delivered to both OSFM personnel and the regulated community.

Program Goals: Objectives:

1. Review submitted plans for compliance with adopted and referenced fire prevention codes of the State of Illinois and offer corrective comments to building owners, architects and other members of the regulated community, as well as field inspectors of the OSFM.
   a. Perform review of plans for building construction, remodeling or additions for compliance with the state's Life Safety Code.
   b. Perform review of automatic fire sprinkler plans for compliance with applicable codes.
   c. Perform review of fire alarm system plans for compliance with applicable codes.
   d. Perform review of cooking suppression and ventilation system plans for compliance with applicable codes.
   e. Perform review of special extinguishing system plans for compliance with applicable codes.
   f. Maintain records of all plan review work.
2. Ensure the accurate and timely processing of all submitted permits relating to petroleum storage and use in 10 working days or less.
   a. Process aboveground flammable and combustible liquid storage tank permit applications (including both bulk storage and dispensing storage tanks).
   b. Process liquefied petroleum gas (LPG) storage tank permit applications.
   c. Ensure quality through ongoing continuing education of staff in the technical aspects of permitting.
   d. Maintain records of all permit review work.
3. Offer continuing education to Technical Services personnel as well as OSFM field inspectors, particularly those in the Divisions of Fire Prevention and Petroleum and Chemical Safety.
   a. Conduct regularly scheduled training of all OSFM fire prevention inspectors to ensure their familiarity with fire codes, standards and regulations for the inspection of facilities within their jurisdictions and also to identify new materials, methods and technical advancements in fire protections systems.
   b. Conduct orientation training for all new OSFM fire prevention inspectors.
   c. Ensure that Technical Services personnel have access to continuing education opportunities in relevant subjects including fire safety and petroleum codes, computer and software program use, personal interaction in the workplace, and ethics.
   d. Conduct certified National Fire Protecetion Association (NFPA) Fire Inspector Level 1 and Level 2 Training for OSFM fire prevention inspectors.
   e. Conduct training for local fire inspectors as well as OSFM fire prevention inspectors relative to the policies, procedures and checklists for the required annual inspection of all public school buildings throughout the state.
4. Represent the Agency on state and national fire advisory boards, commissions, task forces and code development committees.
   a. Participate in code committees to develop future editions of the NFPA Life Safety Code (the state-adopted code in Illinois).
   b. Participate in task forces relating to the development of statewide codes (including a statewide building code, updated petroleum regulation rules, and Life Safety Code application vis-a-vis locally adopted codes).
   c. Foster the submittal of information and proposed code modifications from the Illinois fire service organizations.
   d. Represent the OSFM on the International Code Council's Underground Storage Tanks/Aboveground Storage Tank (UST/AST) Examination Committee and Midwest Fire Advisory Committee.
5. Offer engineering and technical advice to field inspectors, OSFM division managers, administrative staff, municipal fire department enforcement personnel, building owners, architects, and any member of the regulated community.
   a. Field telephone, e-mail, and written correspondence inquiries from field inspectors, mid-level OSFM managers, OSFM administrative staff, architects, contractors, facility owners and the regulated community relative to technical issues associated with plan and application review, as well as code application and development.
   b. Offer public education seminars to OSFM inspectors, local fire department personnel, fire service organizations, other state agency personnel and members of the regulated community relative to fire code interpretation and enforcement issues.
   c. Assist other divisions in the writing of administrative rules pertaining to fire code and petroleum regulation issues.
6. Offer specialized fire protection expertise to the regulated community.
   a. Review submitted Fire Safety Evaluation System (FSES) documents as well as Life Safety Equivalency (LSE) documents comparing conditions in existing structures with the prescribed requirements of adopted fire safety codes with the goal of determining if equivalent life safety is being offered.
   b. Review Prison Fire Safety Plans submitted by the Illinois Department of Corrections' facilities to determine compliance with applicable standards and safe practices in detention and correctional facilities.

Source of Funds: Fire Prevention Fund ***Statutory Authority:*** 425 ILCS 25; 430 ILCS 15

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$685.0	$827.8	$921.9	$318.9	$568.7
* Total expenditures - state appropriated funds (in thousands)	$685.0	$827.8	$921.9	$318.9	$568.7
* Average monthly full-time equivalents	6.0	5.0	6.0	2.0	3.0
Output Indicators					
* Building, renovation, fire suppression and detection system plans reviewed	173.0	229.0	220.0	242.0	240.0
* Applications reviewed for UST, AST and LPG-Tank work	1,833	796.0	1,900	679.0	680.0

Technical Services (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Applications reviewed for service station work (a)	39.0	52.0	50.0	N/A	N/A
* Number of telephone, e-mail and written inquiries handled (b)	11,604	11,930	5,000	6,864	7,000
* Total number of employee training hours delivered to OSFM personnel	641.0	809.0	500.0	749.0	500.0
Outcome Indicators					
* Percent of technical permits for petroleum issues issued within 10 days or less of receipt (c)	100 %	100 %	90 %	14 %	80 %
* Percent of requests for seminar or public presentations delivered	100 %	100 %	100 %	100 %	100 %
* Percent of requested OSFM inspector training seminars presented	100 %	100 %	100 %	100 %	100 %

Footnotes

(a) Applications no longer reviewed by the division.

(b) Some duties of the Technical Services division were reassigned to the Division of Petroleum and Chemical Safety in fiscal year 2013.

(c) Parameter has changed from percent of submitted plans and applications reviewed in 20 days to in 10 days. Numbers are lower due to a vacancy in the division.

Illinois Law Enforcement Training and Standards Board
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Law Enforcement Training	$12,411.1	18.0	$14,515.2	16.0
Non-Reporting Programs				
Totals	$12,411.1	18.0	$14,515.2	16.0

Mission and Organization

The Illinois Police Training Act (Act) created the Board in 1965. As subsequently amended, the Act charges the Board with the responsibility of maintaining and enhancing the level of local law enforcement and related support personnel training. Through various programs the Board fulfills this mandate. Maintenance is accomplished through the Board's statutorily mandated law enforcement and county corrections training program. Enhancement is accomplished through the Board's in-service training delivery system.

The Board is reporting its fiscal year 2013 service and efforts accomplishments for its main program - law enforcement training. That program has three main components:

1. Mandated law enforcement officer basic training.
2. Mandated county corrections officer basic training.
3. In-service training delivery for public safety personnel.

Mandated Law Enforcement Officer Basic Training

Outcome Indicators: The Act requires the Board reimburse eligible academy expenses (tuition, lodging, travel, training materials) for each claimant in an amount established annually for each academy. However, should either the appropriation or the fund balance be insufficient, the Act requires the Board pro-rate eligible expenses to the available amount. In fiscal years 2012 and 2013, the Board fully reimbursed these expenses at 100% of the statutorily maximum amount.

Output Indicators: This represents the number of local law enforcement officers who completed the mandated training and whose department was eligible for reimbursement of approved expenses. This number does not include officers who completed training but are not eligible for reimbursement (such as state and federal agencies, private colleges, railroads).

Input Indicators: The amount of funding allocated by the Board was $3,226.5 thousand.

Efficiency/Cost-Effectiveness: To the extent that the Board can minimize the average reimbursement cost for each law enforcement officer trained, this frees up resources for the in-service training delivery system and other uses. The goal is to keep these costs, over time, to a rate of increase in line with the general costs. Note that this average is for six (6) academies whose costs range from $4,798 to $1,909. It is for that reason that the Board looks at costs over time rather than from year to year. In fiscal year 2013, individual academy tuitions were set by the Board using a methodology that will determine an academy's projected costs.

Mandated County Corrections Officer Basic Training

Outcome Indicators: The Act requires the Board reimburse eligible academy expenses (tuition,

lodging, travel, training materials) for each claimant in an amount established annually for each academy. However, should either the appropriation or the fund balance be insufficient, the Act requires the Board pro-rate eligible expenses to the available amount. In fiscal years 2012 and 2013, the Board fully reimbursed these expenses at 100% of the statutorily maximum amount.

Output Indicators: This represents the number of county corrections officers who completed the mandated training and whose department was eligible for reimbursement of approved expenses. This number does not include officers who completed training but are not eligible for reimbursement.

Input Indicators: The amount of funding allocated by the Board was $625.4 thousand.

Efficiency/Cost-Effectiveness: To the extent that the Board can minimize the average reimbursement cost for each county corrections officer trained, this frees up resources for the in-service training delivery system and other uses. The goal is to keep these costs, over time, to a rate of increase in line with the increase in general costs. Note that this average is for six (6) academies whose costs range from $3,527 to $539. It is for that reason that the Board looks at costs over time rather than from year to year.

In-Service Training Delivery for Public Safety Personnel

Output Indicators: This represents the number of public safety personnel (law enforcement officers, county corrections officers, and support personnel) trained.

Input Indicators: The amount of funding allocated by the Board was $4,992.6 thousand. Note that since the Illinois Police Training Act requires funds first be made available for mandated costs (law enforcement and county corrections), that amount is often determined half-way into the fiscal year rather than at the beginning. Reduced planning time can affect the efficiency/cost-effectiveness of this program.

Efficiency/Cost-Effectiveness: The in-service training delivery system operates statewide. To the extent that administrative costs can be reduced or eliminated, more funds become available for training. The cost per training man hour is used.

Law Enforcement Training

Mission Statement: To upgrade and maintain a high level of training and standards for local law enforcement, county corrections and related support personnel.

Program Goals: Objectives:

1. Promote compliance with mandatory training requirements.
   a. Provide statutory maximum reimbursement for law enforcement training.
   b. Provide statutory maximum reimbursement for county corrections training.
2. Promote increased voluntary in-service training sessions for public safety personnel.
   a. Increase number of public safety personnel choosing to make use of in-service training opportunities.

Source of Funds: Law Enforcement Camera Grant Fund, Death Certificate Surcharge Fund, Traffic and Criminal Conviction Surcharge Fund, Law Enforcement Officers Training Board Federal Projects Fund

Statutory Authority: 50 ILCS 705/1

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$12,021.6	$12,900.5	$16,785.6	$14,968.6	$17,902.7
* Total expenditures - state appropriated funds (in thousands)	$11,543.0	$12,411.1	$16,366.5	$14,515.2	$17,125.8
* Average monthly full-time equivalents	18.0	18.0	22.0	16.0	22.0
Output Indicators					
* Number of law enforcement officers completing mandated basic training	545.0	592.0	800.0	1,159	1,200
* Number of county corrections officers completing mandated basic training	473.0	471.0	600.0	328.0	500.0
* Number of public safety personnel trained utilizing in-service training delivery system	48,925	47,209	50,000	44,800	47,500
Outcome Indicators					
* Percent of statutory maximum reimbursement returned to law enforcement and county corrections agencies	100 %	100 %	100 %	100 %	100 %
Efficiency/Cost-Effectiveness Indicators					
* Reimbursement cost per law enforcement officer trained (in dollars)	$2,823.05	$2,797.45	$2,900.00	$2,784.00	$2,850.00
* Reimbursement cost per county corrections officer trained (in dollars)	$1,299.33	$1,357.68	$1,400.00	$1,907.00	$1,950.00
* Cost per training manhour for in-service training delivery program (in dollars)	$9.19	$9.24	$9.30	$9.12	$9.50

Prisoner Review Board
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Inmate and Victim Hearings	$1,553.4	16.0	$1,473.2	17.0
Non-Reporting Programs				
Totals	$1,553.4	16.0	$1,473.2	17.0

Mission and Organization

The Prisoner Review Board is an independent, quasi-judicial entity that makes decisions on a range of adult and juvenile prison inmate matters. The 15 board members are appointed by the Governor with approval from the Illinois Senate.

Since February 1, 1978, when determinate sentencing began in Illinois, the Board's more significant role has been to provide hearings to determine whether good conduct credits should be revoked or, upon the recommendation of the Illinois Department of Corrections, whether good conduct credits should be restored. Each of the actions has an impact upon an inmate's release date. In addition, the Board determines when to parole remaining inmates under the old sentencing law, sets the release conditions, and returns parole violators to prison to complete their sentences. Offenders sentenced to Corrections after February 1, 1978 serve determinate or "flat" sentences, where a stated number of years of incarceration have been set by the sentencing judge and each inmate may earn one day of good conduct credit for each day served on the designated sentence. The Board reviews the recommendations for revocation of inmate good conduct when there has been an alleged violation of institution rules.

Following incarceration, each inmate serves one, two or three years of Mandatory Supervised Release. The Board sets strict conditions for behavior while in free society. The violation of these conditions can result in the Board ordering a return to prison for the full length of the term, and subject the offender to revocation of up to one year of good conduct credit previously earned. The Board is the final arbiter of the conditions of the release supervision.

Executive Clemency hearings are held quarterly. Confidential recommendations are made to the Governor and he uses his constitutional executive clemency power to grant or deny petitions.

The Board also carries out specialized juvenile parole hearings each month under a provision of the Juvenile Court Act.

The Board has always provided notice and assistance to the victims of crime and has always facilitated their desire to inform the Board of their opinions with regard to the inmates who victimized them; however, in 1992, the Illinois Constitution was amended by public referendum to incorporate a Victim's Bill of Rights, which in 1993 was further defined by legislation. Those enactments gave the Board additional duties. The board staff mails notices to victims, trial judges and prosecutors in connection with parole hearings, statutory releases, and executive clemency hearings. This is in addition to the scheduling of personal appearances by victims and victims' families before the Board.

Inmate and Victim Hearings

Mission Statement: The Illinois Prisoner Review Board's mission is to function under statutory authority as a quasi-judicial body with a primary focus on public safety. It further acts as a check and balance on other state and local institutions. The Board imposes release conditions for incarcerated individuals who are exiting penal facilities, revokes and restores good conduct credits from inmates, and conducts hearings to determine whether parolees have violated conditions of parole. Also, it notifies victims and their families when an inmate is about to be released from custody. The Board makes recommendations to the Governor relative to clemency petitions.

Program Goals: Objectives:

1. For crimes that occurred prior to 1978, ensure that only deserving inmates are released into society.
   a. Review each releasee file and place certain conditions to ensure a releasee is thoroughly supervised and participates in appropriate programs.
2. Notify victims, family members and interested parties of an inmate's release.
   a. Protect victims by notification of inmates' release from incarceration.
3. Conduct parole, mandatory supervised release, good conduct revocation and clemency hearings, all which are mandated by law.
   a. To have the budget dollars available to be able to meet the hearing mandates.
4. Make well-founded confidential clemency recommendations to the Governor.
   a. Ensure that all clemency recommendations made to the Governor by the Board are based on current and accurate information.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 730 ILCS 5/3-3-1

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,470.9	$1,553.4	$1,676.4	$1,473.2	$1,687.4
* Total expenditures - state appropriated funds (in thousands)	$1,470.9	$1,553.4	$1,676.4	$1,473.2	$1,676.4
* Average monthly full-time equivalents	18.0	16.0	17.0	17.0	19.0
* Number of Board members to conduct hearings	14.0	15.0	15.0	15.0	15.0
Output Indicators					
* Parole hearings conducted	107.0	107.0	100.0	90.0	85.0
* Pre-release review hearings conducted	20,042	22,001	23,000	23,009	23,500
* Good conduct revocation hearings held	4,215	3,860	4,000	2,421	2,500
* Mandatory supervised release revocation hearings conducted	12,176	10,406	12,000	9,237	10,500
* All other hearings conducted	6,035	5,532	6,000	7,912	7,912
* Clemency hearings conducted	807.0	809.0	800.0	805.0	850.0
Outcome Indicators					
* Parole requests granted	2.0	2.0	2.0	6.0	5.0
* Good conduct credits approved	4,215	3,860	4,000	2,421	3,000
* Mandatory supervised release Revocations revoked	12,176	10,406	11,000	9,237	10,000
* Clemency hearings heard by the Board	807.0	809.0	800.0	805.0	850.0
Efficiency/Cost-Effectiveness Indicators					
* Cost per hearing (in dollars)	$30.53	$31.22	$31.25	$31.58	$31.25

PART 1: ENVIRONMENT

Environment Expenditures
(Appropriated Spending in Thousands)

Agency	FY 2012 Expenditures	FY 2013 Expenditures	Percent Change
Environmental Protection Agency	$662,141.5	$554,869.6	-16.2%
Department of Natural Resources	$214,490.9	$229,926.6	7.2%
Illinois Drycleaner Environmental Response Trust Fund Council	$3,387.5	$3,160.5	-6.7%
TOTAL	**$880,019.9**	**$787,956.7**	**-10.5%**

Totals may not add due to rounding

Environmental Protection Agency
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Clean Water	$469,600.2	306.0	$402,975.8	285.0
Clean Land	$132,963.6	306.0	$90,018.9	278.0
Clean Air	$59,577.7	263.0	$61,874.9	260.0
Non-Reporting Programs				
Totals	$662,141.5	875.0	$554,869.6	823.0

Mission and Organization

The Illinois Environmental Protection Agency (IEPA) was created as part of the Environmental Protection Act of 1970. The IEPA's mission is to protect, restore, and enhance the quality of air, land and water resources to benefit current and future generations. In fiscal year 2013, the IEPA expended $554.9 million to fund the activities of the agency, which included administering state and federal programs to protect and improve air, land and water resources. These expenditures were $107.2 million less than fiscal year 2012 as we continue to implement efficiencies.

The IEPA had 823 people employed at the end of fiscal year 2013, including engineers, biologists, attorneys and other professionals with skills necessary to carry out the functions of the agency. Activities of the agency include issuing permits for air, land and water to restrict pollutants into the environment from industrial and commercial sources; regulating pollution control facilities and solid waste disposal sites; testing the quality of water processing procedures for operators of sewage treatment plants and public drinking water supplies and testing gasoline powered vehicles in the Chicago and Metro-East ozone nonattainment areas. The IEPA also administers grants and loans to local governments for wastewater and drinking water treatment facilities and for brownfields redevelopment projects.

In our Clean Air Program, we work to improve air quality by identifying air pollution problems, proposing appropriate regulations, conducting inspections, and reviewing permit applications. It also operates a vehicle emissions testing program.

The IEPA's Land Clean-Up programs to remove historic contamination from old industrial and commercial sites are among the most successful in the nation. Since the passage of landmark "Right to Know" legislation, the IEPA has also been working diligently on expanding outreach to citizens impacted by off-site contamination from industrial and other sources. Land held one day household hazardous waste pick-ups throughout the state as well as provided continued financial support to the four permanent household hazardous waste collection facilities.

The Illinois Environmental Protection Agency, the Illinois Finance Authority, and the Department of Commerce and Economic Opportunity are working together to implement the Clean Water Initiative and build a stronger Illinois by enabling local governments to access low-interest loans for a variety of wastewater and drinking water projects. Financing is available for projects including:

* Modernizing wastewater treatment plants to meet water quality standards

* Replacing aging water mains and sewers

* Updating drinking water treatment facilities

Also in our Clean Water program, stream and lake water quality continue to improve.

Clean Water

Mission Statement: Illinois rivers, streams and lakes will support all designated uses; every public water supply will provide water that is consistently safe to drink, and resource groundwater will be protected.

Program Goals: Objectives:

1. Implement programs to sustain beneficial uses of streams, lakes, and groundwater.
   a. Protect and maintain existing high quality waters.
   b. Eliminate use impairments in Illinois waters with identified problems.
   c. Promote nutrient management practices.
   d. Work toward science-based standards (nutrients and bacteria) and more accurate use classifications.
   e. Address non-continuous but recurring pollutant discharges related to wet weather conditions.
   f. Continue financial assistance to communities seeking to achieve or maintain Non-Point Discharge Elimination System (NPDES) compliance.
   g. Increase awareness of groundwater contamination, non-degradation standards, wellhead protection, and source water protection through outreach and education.
   h. Implement a permit program for discharging combined animal feeding operations.
2. Ensure that public water supply systems provide water that is consistently safe to drink.
   a. Reduce the population served by community water supplies with violations of drinking water standards to less than 5%.
   b. Work toward enhancing rules for groundwater protection, source water protection, and wellhead protection areas.
   c. Target financial assistance to assure compliance with new and existing drinking water standards.
3. Protect and restore Lake Michigan.
   a. Maintain the percentage of open shoreline miles in good condition.
   b. Assist with remediation of Waukegan Harbor.
   c. Continue work at contaminated cluster sites in Lake Calumet area.
4. Reduce mercury in the Illinois environment.
   a. Administer reduction programs, including capture and disposal of mercury vehicle switches and mercury thermostat recycling program.
   b. Identify and assess current levels of mercury loading to Illinois water environment and assess trends in fish tissue.
   c. Implement mercury reduction requirements from Illinois power plants.
5. Move from facility planning to watershed protection.
   a. Develop Total Maximum Daily Loads (TMDLs) for waters that fail to meet the use for which they have been designated.
   b. Align IEPA program activities on a watershed basis.
   c. Foster local watershed management planning.
6. Assist with statewide water quantity planning.
   a. Provide technical assistance to the Illinois Department of Natural Resources and regional planning groups.
   b. Inform stakeholders of the impacts of water quantity on water quality and vice versa.

Source of Funds: U. S. Environmental Protection Fund, Water Revolving Fund, Anti-Pollution Fund, Partners for Conservation Fund, Illinois Clean Water Fund, Environmental Protection Permit and Inspection Fund, Build Illinois Bond Fund

Statutory Authority: 415 ILCS 5/1 et seq.

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$647,138.3	$469,886.1	$1,488,822.1	$403,294.8	$2,119,422.0
* Total expenditures - state appropriated funds (in thousands)	$646,769.6	$469,600.2	$1,487,952.3	$402,975.8	$2,119,056.1
* Average monthly full-time equivalents	316.0	306.0	312.0	285.0	318.0
Output Indicators					
* Drinking water permits issued	2,502	3,395	3,400	3,180	3,300
* Wastewater permits issued	2,519	3,839	3,200	2,995	3,000
* Drinking water facilities inspected	634.0	720.0	650.0	634.0	650.0
* Wastewater facilities inspected	710.0	580.0	500.0	536.0	500.0
* Wastewater loans	43.0	59.0	60.0	26.0	60.0
* Drinking water loans	29.0	41.0	40.0	31.0	40.0
* Non-point source control projects	12.0	33.0	26.0	21.0	42.0
* Number of violation notices issued	509.0	402.0	455.0	422.0	395.0
* Number of enforcement cases referred to Attorney General	62.0	74.0	70.0	46.0	51.0
* Lake restoration/protection grants (a)	N/A	0.0	6.0	6.0	13.0
* Value of Loans issued for Drinking Water projects (in thousands)	$54,030.1	$96,471.2	$89,304.4	$89,304.4	$105,000.0
* Value of loans for Wastewater projects (in thousands)	$269,364.0	$338,930.4	$167,661.2	$167,661.2	$400,000.0
* Wastewater Loan program installed or lined feet of pipe (b)	N/A	N/A	N/A	N/A	372,899
* Drinking Water Loan Program installed or lined feet of pipe (b)	N/A	N/A	N/A	N/A	238,720
* Population benefitting from wastewater loans (b)	N/A	N/A	N/A	N/A	7,288,482
* Population benefitting from drinking water loans (b)	N/A	N/A	N/A	N/A	1,412,783

Clean Water (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Outcome Indicators					
* Groundwater with "Good Quality" rating	67 %	67 %	65 %	63 %	63 %
* Illinois streams with "Good Quality" rating	63.2 %	62.2 %	62.2 %	62.2 %	63 %
* Lakes with "Good Quality" rating	91.3 %	92.7 %	92.7 %	92.7 %	93 %
* Major wastewater-discharging facilities in compliance	93 %	95 %	96 %	95 %	95 %
* Population served with good quality drinking water from community water supplies	96.6 %	97 %	95 %	95 %	95 %
* Jobs created from wastewater loans (b)	N/A	N/A	N/A	N/A	16,286
* Direct jobs created from wastewater loans (b)	N/A	N/A	N/A	N/A	3,480
* Indirect jobs created from wastewater loans (b)	N/A	N/A	N/A	N/A	12,806
* Wastewater loan program % sustainability (b)	N/A	N/A	N/A	N/A	45 %
* Wastewater loan program % compliance (b)	N/A	N/A	N/A	N/A	28 %
* Jobs created from drinking water loans (b)	N/A	N/A	N/A	N/A	4,275
* Direct jobs from drinking water loans (b)	N/A	N/A	N/A	N/A	913.0
* Indirect jobs from drinking water loans (b)	N/A	N/A	N/A	N/A	3,362
* Drinking Water Loan Program percent sustainability (b)	N/A	N/A	N/A	N/A	29 %
* Drinking Water Loan Program % compliance (b)	N/A	N/A	N/A	N/A	10 %
Efficiency/Cost-Effectiveness Indicators					
* Cost per facility permitted (in dollars)	$999.00	$1,053.00	$1,000.00	$1,200.00	$1,500.00
* Interest Savings on Wastewater Loans (a)	N/A	N/A	N/A	N/A	$92,246,449
* Interest Savings on Drinking Water Loans (a)	N/A	N/A	N/A	N/A	$23,521,475

Footnotes

(a) Indicator was discontinued in fiscal years 2011 and 2012 due to inactivity in recent years caused by funding constraints.

(b) First year for measure.

Clean Land

Mission Statement: Reduce contamination of the land through prevention and cleanup.

Program Goals: Objectives:

1. Ensure that hazardous and nonhazardous wastes are managed in an environmentally sound manner.
   a. Review and evaluate permit applications for hazardous, non-hazardous and special waste management facilities.
   b. Complete closure of all inactive waste management units.
   c. Review permitted groundwater monitoring programs, interpret groundwater standards, and offer assistance concerning impacts on groundwater.
   d. Perform compliance inspections at waste generating facilities.
2. Encourage the recycling and recovery of waste materials.
   a. Oversee a cleanup program for used tires.
   b. Provide financial support to the 4 permanent household hazardous waste collection facilities.
   c. Implement Beneficial Use Determination legislation that provides for reuse of waste.
3. Clean up sites with contaminated land and groundwater.
   a. Investigate, reduce, eliminate, and manage impacts of contaminated land and contaminated groundwater.
   b. Provide opportunities for the cleanup and reuse of brownfields.
   c. Clean up abandoned landfills.
   d. Target sites to be referred to the Attorney General.
   e. Implement cleanup of Chicago cluster sites.

Source of Funds: U. S. Environmental Protection Fund, Underground Storage Tank Fund, EPA State Projects Trust Fund, Solid Waste Management Fund, Subtitle D Management Fund, Brownfields Redevelopment Fund, Hazardous Waste Occupational Licensing Fund, Used Tire Management Fund, Anti-Pollution Fund, Electronics Recycling Fund, Hazardous Waste Fund, Environmental Protection Permit and Inspection Fund, Landfill Closure and Post-Closure Fund, Build Illinois Bond Fund

Statutory Authority: 415 ILCS 5/1 et seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$96,572.0	$133,264.5	$214,418.2	$90,361.5	$210,059.3
* Total expenditures - state appropriated funds (in thousands)	$96,175.4	$132,963.6	$213,533.1	$90,018.9	$209,689.7
* Average monthly full-time equivalents	328.0	306.0	304.0	278.0	309.0
Output Indicators					
* Facility permits issued	537.0	600.0	550.0	688.0	675.0
* Facilities inspected	4,959	4,800	4,700	4,645	4,600
* Solid waste administrative citations	26.0	55.0	30.0	51.0	40.0
* Number of enforcement cases referred to Attorney General	85.0	62.0	60.0	50.0	55.0
* LUST (Leaking Underground Storage Tanks) incidents reported	320.0	355.0	360.0	345.0	350.0
* Number of violation notices issued (a)	498.0	239.0	200.0	269.0	250.0
* State cleanup projects completed	0.0	N/A	N/A	9.0	10.0
* Superfund constructions completed	N/A	N/A	N/A	1.0	2.0
* One-Day household hazardous waste collection events	N/A	2.0	6.0	7.0	7.0
Outcome Indicators					
* Waste diverted from landfills by household hazardous waste collections at permanent facilities (measured in drums)	5,193	6,556	8,000	5,204	7,000
* Waste diverted from landfills by tire collections (measured in tons)	1,600	1,400	3,000	2,298	3,000
* Land remediated (measured in acres)	1,444	1,149	1,145	2,001	1,950
Efficiency/Cost-Effectiveness Indicators					
* Cost per facility permitted (in dollars)	$8,642.00	$9,157.00	$9,900.00	$11,250.00	$11,500.00

Footnotes

(a) Decrease in fiscal year 2012 is due to implementation of P.A. 97-0519, which modifies Section 31 of the Illinois Environmental Protection Act. More Administrative Citation Warning Notices and Non-Compliance Advisories will be issued in lieu of Violation Notices during the first year of implementation.

Clean Air

Mission Statement: Protect the health, welfare, property and the quality of life of the citizens of Illinois through the elimination or control of harmful pollutants in the air.

Program Goals: Objectives:

1. Ensure that all federal and state air quality standards are being achieved.
   a. Issue permits; conduct inspections, compliance activities, and air monitoring; and track air quality trends.
   b. Assess the status of air quality through data collection, modeling and analysis.
2. Implement air pollution control strategies to reduce industrial emissions.
   a. Reduce power plant emissions by establishing requirements necessary to meet federal and state standards.
   b. Promote clean coal technologies and encourage the development of new clean coal energy plants.
3. Reduce emissions from mobile sources (i.e., transportation) that impact air quality in the state.
   a. Reduce emissions from diesel school buses.
   b. Educate school administrators and bus drivers about reducing emissions from diesel school buses.
   c. Encourage use of pollution control retrofit devices on diesel vehicles.
   d. Promote clean burning alternate fuels.
4. Implement an improved vehicle emission test program in nonattainment areas.
   a. Implement, in coordination with the Secretary of State, the license renewal testing and enforcement program.
   b. Administer an effective and efficient vehicle emissions testing program in the Chicago and Metro-East Non-attainment areas.
5. Participate in Governors Greenhouse Gas Workgroup.
   a. Continue dialogue with other states on improving air quality in the Midwest by reducing emissions from power plants and other targeted sources.
   b. Work collectively with other states toward achieving state and regional air quality goals.

Source of Funds: U. S. Environmental Protection Fund, EPA State Projects Trust Fund, Clean Air Act (CAA) Permit Fund, Alternate Fuels Fund, Alternative Compliance Market Account Fund, Environmental Protection Permit and Inspection Fund, Vehicle Inspection Fund

Statutory Authority: 415 ILCS 5/1 et seq.

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$57,326.9	$59,834.0	$73,535.8	$62,169.2	$84,689.4
* Total expenditures - state appropriated funds (in thousands)	$56,994.9	$59,577.7	$72,739.2	$61,874.9	$84,374.9
* Average monthly full-time equivalents	273.0	263.0	272.0	260.0	261.0
Output Indicators					
* Number of permits issued to non-Title V sources	2,286	2,438	2,000	1,388	1,300
* Number of initial vehicle emission tests performed	1,845,780	1,930,424	2,020,000	1,917,384	2,006,355
* Number of pollutant emitting facilities inspected	926.0	688.0	650.0	802.0	800.0
* Number of permits issued to large pollutant emitting facilities (Title V) for the operation of such facilities	282.0	340.0	300.0	271.0	300.0
* Number of violation notices issued	147.0	163.0	140.0	243.0	200.0
* Number of enforcement cases referred to Attorney General	34.0	34.0	34.0	35.0	34.0
Outcome Indicators					
* Reduced pollution from diesel school buses (in tons)	3,340	1,108	1,500	1,250	1,500
* Percent days with "Good" air quality in Chicago	98 %	93 %	95 %	93 %	95 %
* Percent days with "Good" air quality in St. Louis area	96 %	94 %	94 %	96 %	96 %
* Percent industrial source emission reductions	59 %	62 %	63 %	67 %	68 %
* Percent mobile source emission reductions	60 %	63 %	64 %	72 %	74 %
Efficiency/Cost-Effectiveness Indicators					
* Cost of inspecting and permitting each small (non-Title V) pollutant-emitting facility (in dollars)	$3,373.00	$3,553.00	$3,600.00	$5,896.00	$6,072.00
* Cost of inspecting and permitting each large (Title V) pollutant-emitting facility (in dollars)	$89,968.00	$95,333.00	$95,000.00	$99,750.00	$103,500.00
* Cost per vehicle tested in the Chicagoland and Metro-East areas to ensure compliance with state and federal air quality standards (in dollars)	$6.95	$6.95	$6.95	$6.95	$6.95

Department of Natural Resources
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Resource-Compatible Recreation	$106,971.3	450.8	$114,145.0	472.0
Natural and Cultural Resource Protection	$62,240.3	357.1	$65,475.6	355.0
Resource-Related Public Safety	$27,129.3	184.5	$28,449.0	191.0
Science, Education and Culture	$18,150.0	127.5	$21,857.0	137.0
Non-Reporting Programs				
Totals	$214,490.9	1,119.9	$229,926.6	1,155.0

Mission and Organization

The Department of Natural Resources (DNR) was established on July 1, 1995. DNR's mission is to manage, conserve, and protect Illinois' natural, recreational and cultural resources, further the public's understanding and appreciation of those resources, and promote the education, science and public safety of Illinois' natural resources for present and future generations. DNR manages over 324 sites totaling more than 474,000 acres of publicly owned and leased land. Additionally, DNR manages a variety of public sites such as museums, boat access areas, marinas, trails and concessions that are leased to or operated by private concessionaires or local communities. DNR also coordinates the operation of the Illinois State Museum. As a part of the Natural and Cultural Resource Protection Program, the department manages land and water resources, enforces resource laws, acquires resource-rich lands, reclaims mine sites, and manages the state's mineral resources.

Through its Resource-Compatible Recreation Program, the department offers a wide range of recreational opportunities at its state parks including camping, picnicking, sightseeing, fishing, hunting, boating, swimming, and trail use. The department's Resource-Compatible Recreation Program expands recreational opportunities statewide through grant partnerships with local units of government. Through such partnerships, DNR assists in the acquisition and development of open spaces, boat access areas, and bike and snowmobile trails.

Through its Resource-Related Public Safety Program, the department offers safety education classes for hunters, boaters, trappers and snowmobilers to enhance safety in these recreational activities.

The department's conservation police officers enforce state laws pertaining to boating, hunting and snowmobile safety, and assist park staff in ensuring the safe use of facilities and resources at agency sites. The department's Public Safety Program includes programs to ensure the safety of the state's coal and aggregate miners and others involved in the use of blasting and explosives. Agency staff protects the public from mine emergencies and enforces safety standards for dam and flood plain construction.

The department offers a wide range of interpretative and environmental education opportunities as part of the Science, Education and Culture Program. The Illinois State Museum promotes discovery, learning and an appreciation of Illinois' natural, cultural and artistic heritage. DNR provides an array of educational programs for students and teachers through the Division of Education. These include ENTICE (Environment and Nature Training Institute for Conservation Education) workshops for school teachers, enhancing their natural resources teaching skills through science-based, hands-on, interdisciplinary instruction led by natural resources professionals, correlated to Illinois State Board of Education Learning Standards.

Resource-Compatible Recreation

Mission Statement: To provide safe, accessible, high quality and resource-compatible outdoor recreation opportunities to the public so they can enjoy the state's natural and cultural resources.

Program Goals: Objectives:

1. Meet the public's outdoor recreation needs in a resource-compatible manner.
2. Develop and maintain department facilities and infrastructure to provide meaningful outdoor recreation experiences for Illinois' residents and visitors.
3. Establish and maintain partnerships to expand resource-compatible recreation opportunities.

Source of Funds: General Revenue Fund, State Boating Act Fund, State Parks Fund, Wildlife and Fish Fund, Salmon Fund, Capital Development Fund, Open Space Lands Acquisition and Development Fund, Land and Water Recreation Fund, Wildlife Prairie Park Fund, Illinois and Michigan Canal Fund, Off-Highway Vehicle Trails Fund, Partners for Conservation Projects Fund, Snowmobile Trail Establishment Fund, Park and Conservation Fund, Build Illinois Bond Fund, Adeline Jay Geo-Karis Illinois Beach Marina Fund

Statutory Authority: 20 ILCS 801-880 and others

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$101,517.7	$106,971.3	$85,033.5	$114,145.0	$125,558.0
* Total expenditures - state appropriated funds (in thousands)	$101,517.7	$106,971.3	$85,033.5	$114,145.0	$125,558.0
* Average monthly full-time equivalents	505.0	450.8	509.8	472.0	511.0
Output Indicators					
* Acreage owned and managed	473,500	473,761	474,411	474,813	475,607
* Number of grants awarded (a)	156.0	52.0	159.0	133.0	148.0
* Total dollar amount of grants awarded (in thousands) (a)	$77,715.5	$12,725.8	$83,640.0	$20,479.0	$89,290.0
* Number of fishing licenses issued	557,939	627,201	620,000	530,335	620,000
* Number of hunting licenses issued	197,978	188,576	198,000	197,085	198,000
* Sportsmen Combo (b)	122,115	127,149	125,000	120,579	125,000
* Site attendance	39,302,498	40,603,635	41,603,635	40,058,032	40,500,000
* Number of deer permits issued	353,312	360,360	360,000	385,619	395,000
* Number of fish produced and stocked	39,892,323	24,600,223	38,000,000	14,139,015	38,000,000
* Total number of active registered boats (c)	132,664	131,812	135,000	298,330	300,000
Outcome Indicators					
* Miles of trails acquired/developed through grants (d)	276.0	43.7	71.7	80.0	33.0
* Amount of grantee acquired acreage (e)	569.8	520.6	115.4	269.0	317.0
* Percentage of highly satisfied park visitors	83 %	89 %	100 %	78 %	100 %
* Percentage of visitors highly satisfied with park cleanliness (e)	90 %	94 %	100 %	N/A	N/A
Efficiency/Cost-Effectiveness Indicators					
* Cost per acre managed (in dollars)	$117.00	$117.00	$117.00	$99.77	$109.56
* Cost per visitor (in dollars)	$1.30	$1.30	$1.30	$1.18	$1.29

Footnotes

(a) The increase in grant awards in fiscal year 2013 reflects (a) a slight increase in the annual Open Space Land Acquisition and Development (OSLAD) and the Snowmobile Trail Establishment Fund (STEF) appropriation, and (b) the issuance of a second round of the Illinois Youth Recreation Corps (IYRC) grants.

(b) Sportsmen Combo is a hunting & fishing license combined in one license purchase.

(c) Data collection methodology change in fiscal year 2013.

(d) The Department acquired/developed more rural trails in fiscal year 2013. Rural trails are less expensive per mile than urban trails.

(e) There have been fewer land acquisition projects submitted in recent years as the economy is pushing grantees to improve existing facilities rather than acquire new lands.

(f) Fiscal year 2013 data is not available due to the fact that data for this indicator is not being measured anymore.

Natural and Cultural Resource Protection

Mission Statement: The mission of the Illinois Department of Natural Resources is to manage, conserve and protect Illinois' natural, recreational and cultural resources, further the public's understanding and appreciation of those resources, and promote the education, science and public safety of our natural resources for present and future generations.

Program Goals: Objectives:

1. Restore, enhance and sustain Illinois' natural and cultural resources for present and future generations.
2. Acquire interest in land to meet the public's open space and resource protection needs.
3. Maximize the effectiveness of laws, statutes and administrative rules to better protect resources.
4. Balance resource consumption and use with resource protection.
5. Avoid, minimize and mitigate adverse impacts to Illinois lands and waters that result from mining and mineral extraction activities.

Source of Funds: General Revenue Fund, Wildlife and Fish Fund, Mines and Minerals Underground Injection Control Fund, Forest Reserve Fund, Plugging and Restoration Fund, Capital Development Fund, Aggregate Operations Regulatory Fund, Coal Mining Regulatory Fund, AML Reclamation Set Aside Fund, Underground Resources Conservation Enforcement Fund, State Furbearer Fund, Natural Areas Acquisition Fund, State Pheasant Fund, Natural Heritage Fund, Illinois Habitat Fund, Flood Control Land Lease Fund, Partners for Conservation Fund, Partners for Conservation Projects Fund, Federal Title-IV Fire Protection Assistance Fund, Federal Surface Mining Control and Reclamation Fund, Natural Resource Restoration Trust Fund, Illinois Forestry Development Fund, Illinois Wildlife Preservation Fund, State Migratory Waterfowl Stamp Fund, Park and Conservation Fund, Build Illinois Bond Fund, Abandoned Mined Lands Reclamation Council Federal Trust

Statutory Authority: 20 ILCS 801-880 and others

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$91,404.3	$62,240.3	$61,772.5	$65,475.6	$72,024.0
* Total expenditures - state appropriated funds (in thousands)	$91,404.3	$62,240.3	$61,772.5	$65,475.6	$72,024.0
* Average monthly full-time equivalents	387.3	357.1	399.7	355.0	384.0
Output Indicators					
* Amount of seedlings produced for reforestation	1,992,044	1,512,220	1,500,000	1,519,180	1,500,000
* Number of protected natural areas	517.0	529.0	500.0	541.0	550.0
* Number of acres annually enrolled in Conservation Reserve and Enhancement Program (CREP) (a)	3,420	16,264	20,000	N/A	20,000
* Number of acres reforested	4,539	2,040	4,000	2,097	4,000
* Number of landowners receiving technical assistance with resource management	32,003	25,012	40,000	26,644	40,000
* Coal mine reclamation inspections performed	980.0	969.0	960.0	948.0	950.0
* Number of abandoned mine lands sites reclaimed (b)	14.0	10.0	25.0	16.0	22.0
* Number of oil/gas field inspections	14,431	16,499	16,500	14,554	18,000
* Number of oil/gas permits issued	1,190	1,048	1,100	1,077	1,100
Outcome Indicators					
* Tons of carbon removed from the atmosphere by reforestation	2,990	3,000	3,000	3,090	3,000
* Conservation Reserve and Enhancement Program (CREP) enrollment percentage (a)	55 %	59 %	60 %	N/A	60 %
* Number of deer harvested	182,270	181,451	210,000	180,811	210,000
* Protected natural area acreage	96,255	99,866	95,000	104,815	105,000
* Acquired land (acres)	2,688	288.0	650.0	1,115	794.0
* Acres of abandoned mine land reclaimed	116.0	140.0	340.0	147.0	141.0
* Number of oil/gas wells plugged or restored	763.0	812.0	850.0	622.0	700.0
* Number of new acres protected by resource management plans (c)	432,180	405,285	700,000	625,258	700,000

Footnotes

(a) Data is unavailable for fiscal year 2013 due to technical difficulties.

(b) Abandoned Mined Lands Reclamation Division (AMLRD) productivity improved in fiscal year 2013 due to staff additions and new management structure.

(c) Fiscal year 2012 actual amount reported in 2012 was understated and has been corrected.

Resource-Related Public Safety

Mission Statement: To ensure the safe and enjoyable use of the state's lands, water and resources, ensure safety in mining and blasting activities, and to protect the public's interests in public waters and floodways.

Program Goals: Objectives:

1. Ensure the safety of the public visiting state-managed sites or participating in state-regulated outdoor recreation.
2. Protect workers in the extraction industry from mining and explosives accidents.
3. Manage dams and floodways to protect people and property in floodplains.

Source of Funds: General Revenue Fund, State Boating Act Fund, State Parks Fund, Wildlife and Fish Fund, Explosives Regulatory Fund, Coal Mining Regulatory Fund, Federal Surface Mining Control and Reclamation Fund, National Flood Insurance Program Fund, Land Reclamation Fund, Park and Conservation Fund, Abandoned Mined Lands Reclamation Council Federal Trust

Statutory Authority: 20 ILCS 801-880 and others

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$22,159.2	$27,129.3	$27,464.3	$28,449.0	$31,294.0
* Total expenditures - state appropriated funds (in thousands)	$22,159.2	$27,129.3	$27,464.3	$28,449.0	$31,294.0
* Average monthly full-time equivalents	195.2	184.5	203.7	191.0	207.0
Output Indicators					
* Number of safety education classes held	518.0	554.0	566.0	545.0	560.0
* Number of mine safety certifications issued	910.0	1,043	1,000	1,020	1,000
* Number of mine safety accident prevention contacts	15,207	16,465	15,025	17,757	15,500
* Number of mine laboratory analyses performed	6,707	8,259	7,000	8,688	7,750
* Number of blasting and explosives licenses and certifications issued	1,389	1,563	1,350	1,408	1,350
* Number of blasting and explosives inspections performed	1,315	1,353	1,295	1,417	1,375
* Number of dams inspected	268.0	231.0	260.0	277.0	350.0
* Number of floodway permit applications processed	630.0	555.0	525.0	554.0	700.0
* Number of students completing online educational classes	3,533	4,593	5,000	3,954	4,300
Outcome Indicators					
* Percentage of visitors expressing high satisfaction with park safety (a)	88 %	88 %	100 %	N/A	N/A
* Number of students certified by safety education classes (b)	19,088	20,300	20,570	23,033	23,800

Footnotes

(a) Fiscal year 2013 data is not available due to the fact that data for this indicator is not being measured anymore.

(b) The outcome indicator amount for number of students certified by safety education classes includes both traditional and online classes resulting in certification.

Science, Education and Culture

Mission Statement: To collect and analyze data on our natural and cultural resources and to provide objectives, scientific information and education programs on these resources to government agencies, businesses, other scientists, education institutions, teachers, students and the general public to advance their understanding and appreciation of the state's natural and cultural resources.

Program Goals: Objectives:

1. Educate the public on the diversity and value of the state's natural and cultural resources.
2. Preserve and showcase the state's natural, cultural and artistic heritage.
3. Acquire and provide natural history information that can be used to promote the common understanding of and the sustainable conservation of Illinois' living natural resources.

Source of Funds: Capital Development Fund, Park and Conservation Fund

Statutory Authority: 20 ILCS 801-880 and others

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$16,073.3	$18,150.0	$15,931.1	$21,857.0	$24,043.0
* Total expenditures - state appropriated funds (in thousands)	$16,073.3	$18,150.0	$15,931.1	$21,857.0	$24,043.0
* Average monthly full-time equivalents	141.5	127.5	141.6	137.0	148.0
Output Indicators					
* Number of Outdoor Illinois subscriptions (a)	10,969	7,071	N/A	N/A	N/A
* Number of teachers completing ENTICE training (b)	323.0	171.0	250.0	225.0	250.0
* Number of active science research projects	95.0	83.0	78.0	88.0	88.0
* Number of user sessions on the Illinois State Museum's website	2,983,318	2,250,662	1,800,000	1,781,192	1,780,000
* Number of educational publications ordered & shipped	1,216,952	909,032	1,000,000	1,079,369	1,000,000
* Teachers contacted in person at teacher conferences and other events (b)	2,885	2,950	3,000	3,545	3,000
Outcome Indicators					
* Number of continuing professional education (CPE) hours awarded to teachers via "ENTICE" and other programs (c)	1,327	1,002	1,500	1,255	1,500
* Attendance at Museum facilities	337,696	374,122	370,000	398,820	398,000
* Percentage of site visitors expressing high satisfaction with interpretive programs (d)	94 %	84 %	100 %	N/A	N/A

Footnotes

(a) Outdoor Illinois Magazine was discontinued in March 2012.

(b) First year attending a Lutheran Teachers' Conference event in Decatur where over 400 teachers were reached.

(c) The Department decreased the number of online workshops and increased the number of in-person workshops including in northeastern Illinois, where attendance is usually greater than in the rest of the state.

(d) Fiscal year 2013 data is not available due to the fact that data for this indicator is not being measured anymore.

Illinois Drycleaner Environmental Response Trust Fund Council
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Drycleaner Environmental Response Trust Fund	$3,387.5	0.0	$3,160.5	0.0
Non-Reporting Programs				
Totals	$3,387.5	0.0	$3,160.5	0.0

Mission and Organization

The Illinois Drycleaner Environmental Response Trust Fund was established by the Illinois legislature in 1997, in response to requests by operators of retail drycleaning facilities to have financial resources to pay for the cleanup of spills and/or releases from their drycleaning machines and solvent storage units. The Fund consists of three primary programs: a licensing program, an insurance program and a remedial program. The licensing program is mandatory for all retail drycleaning facilities in Illinois. The annual license fee ranges from $1,500 to $5,000, based upon the amount of drycleaning solvent purchased at the drycleaning facility.

The insurance program provides up to $500,000 in pollution liability insurance to pay for the cleanup of soil and groundwater contamination caused by a future spill or release of drycleaning solvent at the insured's facility. A $10,000 deductible applies to each incident.

The remedial program pays for cleanup of existing soil and groundwater contamination caused by the spillage or release of drycleaning solvents. This covers contamination discovered after July 1, 1997 and prior to June 30, 2006.

The Fund is financed primarily by:

1. The annual license fee on active drycleaning facilities.

2. A solvent fee tax charged on each gallon of drycleaning solvent purchased.

3. Insurance premiums for the pollution liability insurance coverage.

Drycleaner Environmental Response Trust Fund

Mission Statement: Assist drycleaner operators in the cleanup of soil and groundwater contamination caused by drycleaning solvents.

Program Goals: Objectives:

1. Issue licenses to active drycleaners.
2. Provide pollution liability insurance coverage to drycleaners.
3. Assist in paying for cleanup of soil and groundwater contamination caused by drycleaning solvents.
4. Promote pollution prevention in handling of drycleaning solvents and applicable waste.
5. Establish & maintain rules, policies and procedures to administer the fund.

Source of Funds: Drycleaner Environmental Response Trust Fund ***Statutory Authority:*** 415 ILCS 135

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,416.0	$3,387.5	$5,360.0	$3,160.5	$5,360.0
* Total expenditures - state appropriated funds (in thousands)	$3,416.0	$3,387.5	$5,360.0	$3,160.5	$5,360.0
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
Output Indicators					
* License applications received	1,069	991.0	1,000	958.0	875.0
* Insurance applications received	549.0	533.0	540.0	531.0	500.0
* Total eligible claims filed	699.0	699.0	470.0	699.0	699.0
Outcome Indicators					
* Licenses issued	1,064	983.0	1,000	953.0	875.0
* Insurance policies issued	545.0	526.0	540.0	529.0	500.0
* Total eligible claims closed	436.0	457.0	470.0	477.0	495.0
Efficiency/Cost-Effectiveness Indicators					
* Cost per license applications (in dollars)	$101.00	$104.00	$106.00	$104.00	$105.00
* Cost per insurance applications (in dollars)	$101.00	$103.00	$104.00	$104.00	$105.00
* Cost per eligible claim filed (in dollars)	$633.00	$497.00	$625.00	$710.00	$700.00

PART 2: BUSINESS REGULATION

Business Regulation Expenditures
(Appropriated Spending in Thousands)

Agency	FY 2012 Expenditures	FY 2013 Expenditures	Percent Change
Illinois Commerce Commission	$106,812.6	$123,191.6	15.3%
Department of Financial and Professional Regulation	$76,670.0	$77,159.3	0.6%
Department of Insurance	$39,203.4	$38,825.9	-1.0%
Illinois Workers Compensation Commission	$22,422.3	$23,820.7	6.2%
Department of Human Rights	$12,163.8	$12,336.7	1.4%
Illinois Human Rights Commission	$1,902.9	$1,659.8	-12.8%
Illinois Power Agency	$3,614.7	$1,106.2	-69.4%
TOTAL	**$262,789.7**	**$278,100.2**	**5.8%**

Totals may not add due to rounding

Illinois Commerce Commission
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Public Utility Program	$93,102.3	187.0	$110,169.1	181.0
Transportation Program	$13,710.3	69.0	$13,022.5	68.0
Non-Reporting Programs				
Totals	$106,812.6	256.0	$123,191.6	249.0

Mission and Organization

The Illinois Commerce Commission ("ICC" or "Commission") regulates investor-owned telecommunications, electricity, natural gas, and water and sewer utilities, and competitive providers. In addition, the Commission also regulates and oversees household movers, certain relocation towing companies, public warehouses, collateral recovery companies, the enforcement of certain trucking insurance coverage laws, railroad grade crossing standards, rail movement of hazardous materials and gas pipeline safety.

Public Utility Program: "The health, welfare and prosperity of all Illinois citizens require the provision of adequate, efficient, reliable, environmentally safe and least-cost public utility services at prices which accurately reflect the long-term cost of such services and which are equitable to all citizens." — [from the Public Utilities Act]

The Commission oversees the provision of adequate, reliable, efficient, and safe utility services at the least possible cost to Illinois citizens. Traditionally, the Commission has evaluated utility companies' tariff proposals and rate requests in formal cases. For the past few years and in the next several years, the ICC focus has been and will continue to be on issues related to the competitive provision of utility services.

While the energy and telecommunications industries are rapidly moving to a more competitive marketplace, the Commission must facilitate these changes. Examples of responsibilities which continue for the foreseeable future are: gas pipeline safety activities, 9-1-1 oversight, wireless emergency telephone safety act responsibilities, issuance of certificates of convenience and necessity, consumer complaint issues, evaluation of tariff changes and rate increase requests, and facility siting issues.

Transportation Program: The Commission has varied responsibilities with regard to transportation industries, regulating railroads, intrastate household goods carriers, certain relocation towers, public warehouses, collateral recovery companies, and motor carriers of freight with regard to safety, fitness, and financial responsibility. The Commission inspects registered rail carriers' track, grade crossing signal systems, operating practices, and railroad facilities and equipment used to transport hazardous materials for compliance with state and federal regulations. The Commission, through the Grade Crossing Protection Fund, also helps local communities and railroads improve safety at public highway-rail crossings. The ICC has been able to improve its proactive approach to grade crossing safety improvements, through the implementation of a grade crossing signal system inspection program and in a cooperative effort with the Illinois Department of Transportation, development of a centralized database to track and monitor information related to Illinois highway-rail crossings.

Public Utility Program

Mission Statement: The health, welfare and prosperity of all Illinois citizens require the provision of adequate, efficient, reliable, environmentally safe and least costly public utility services at prices which accurately reflect the long-term cost of such services and which are equitable to all citizens.

Program Goals: Objectives:

1. Minimize entry barriers that limit competition.
   a. Evaluate barriers to market entry.
   b. Assess impact of barriers and develop alternate strategies.
   c. Modify practices to promote competition.
2. Create a level playing field.
   a. Define desired model for competitive market in Illinois.
   b. Identify any necessary legislation to encourage transition to desired market model.
   c. Ensure Commission activities/actions support transition to desired market model.
3. Establish an effective market-monitoring program.
   a. Determine key information needs and authority necessary to obtain an effective market monitoring program.
   b. Develop effective processes to evaluate market data.
   c. Take appropriate action based on analysis of market data.
4. Raise the profile of the Consumer Services Division and of the Commission as the educator and protector of the consumer.
   a. Coordinate with staff of the Attorney General's Office on issues involving consumer protection particularly where there is overlapping jurisdiction.
   b. Have staff from other technical divisions available to assist consumer counselors.
   c. Have counselors spend time in other divisions or work with mentors to expand knowledge of Commission practices to enhance work experience.
5. Ensure that information on utility, and ICC services in general, are available to consumers.
   a. Make the ICC website consumer-oriented with links to various services for consumers; provide separate sites for practitioners and consumers.
   b. Update website consumer information.
6. Expand consumer protection options and authority.
   a. Review current authority and identify proposed enforcement legislation.
   b. Promote and expand mediation for dissatisfied consumers.
7. Establish broad-based stakeholder review groups consisting of industry, professional organizations and other state and other federal agencies.
   a. Create a mechanism for ongoing dialogue to focus on a core set of objectives to evaluate and recommend changes in law, programs, and processes.
8. Strengthen the compliance function of the Commission to ensure that companies comply with applicable laws, rules, and orders.
   a. Recruit talented employees by establishing good working relationships with universities and colleges.
   b. Dedicate employees to review compliance with significant laws, rules, and orders.
   c. Work with companies in a proactive manner to encourage compliance rather than focusing on punishment for non-compliance.
   d. Create a work environment that fosters excellence and values employees.

Source of Funds: Public Utility Fund, Illinois Underground Utility Facilities Damage Prevention Fund, Wireless Service Emergency Fund, Wireless Carrier Reimbursement Fund, Digital Divide Elimination Infrastructure Fund

Statutory Authority: 220 ILCS 5

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$86,676.7	$93,102.3	$110,251.0	$110,169.1	$110,973.0
* Total expenditures - state appropriated funds (in thousands)	$86,676.7	$93,102.3	$110,251.0	$110,169.1	$110,973.0
* Average monthly full-time equivalents	200.0	187.0	196.0	181.0	196.0
Output Indicators					
* Number of hearings held/hearing notices	2,101	2,048	2,150	1,985	2,175
* Number of docketed cases closed	806.0	724.0	750.0	746.0	775.0
* Average number of man-days in the field for pipeline safety investigators	86.0	94.0	85.0	100.3	77.0
* Number of electric distribution circuits inspected/tree inspections	60.0	26.0	50.0	36.0	36.0
* Number of cases filed	828.0	712.0	690.0	700.0	710.0
* Number of utility tariff filings	1,487	1,756	2,000	1,491	2,100
* Number of non-compliance's written by pipeline safety inspectors	81.0	91.0	45.0	68.0	40.0
* Number of formal public utility complaints closed	131.0	155.0	135.0	131.0	139.0
* Dollars distributed to 9-1-1 centers through the Wireless Services Emergency Fund (in millions)	$57.0	$58.9	$74.4	$67.7	$62.9

Public Utility Program (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Outcome Indicators					
* Percentage of Illinois population served by 9-1-1 system	100 %	100 %	100 %	100 %	100 %
* Percentage of Alternative Retail Electric Suppliers (ARES) certified by the Commission and published within 45 days	100 %	100 %	100 %	100 %	100 %
* Number of customers switching electric suppliers (a)	143,886	858,449	2,500,000	3,214,500	3,600,000
* Number of gas customers using a competitive supplier	315,672	327,434	330,000	353,845	360,011
* Number of gas pipeline safety incidents caused by gas system operator non-compliance	0.0	2.0	0.0	1.0	0.0
* Number of investigated gas pipeline safety incidents caused by 3rd party damage to the pipeline	5.0	0.0	0.0	0.0	0.0
* Percent of consumer complaints and inquiries resolved in a single call	54.7 %	58.1 %	58 %	55 %	55 %
* Number of outage inquiries and complaints to the ICC	327.0	350.0	350.0	208.0	208.0
Efficiency/Cost-Effectiveness Indicators					
* Number of inquiries/ complaints resolved per consumer counselor	1,816	1,766	1,725	1,362	1,458
* Cost per man-day in the field for pipeline safety investigators (in dollars)	$1,667.61	$1,889.32	$2,205.88	$1,730.36	$2,100.00

Footnotes

(a) Acts 220 ILCS 5/16 -118: 220 ILCS 5/20; and 20 ILCS 3855/1-92 provided for competition of electric services for residential customers.

Transportation Program

Mission Statement: It is the policy of the State of Illinois to actively supervise and regulate commercial transportation of persons and property within this state. This policy shall be carried out in such manner as to: (a) promote adequate, economical, efficient and responsive commercial transportation service, with adequate revenues to carriers and reasonable rates to the public, and without discrimination; (b) recognize and preserve the inherent advantages of, and foster sound economic conditions in, the several modes of commercial transportation in the public interest; (c) develop and preserve a commercial transportation system properly supportive of the broad economic development goals of the State of Illinois; (d) create economic and employment opportunities in commercial transportation and affected industries through economic growth and development; (e) encourage fair wages and safe and suitable working conditions in the transportation industry; (f) protect the public safety through administration of a program of safety standards and insurance; (g) ensure a stable and well-coordinated transportation system for shippers, carriers and the public; and (h) cooperate with the federal government, the several states, and with the organizations representing states and commercial transportation service providers and consumers.

Program Goals: Objectives:

1. Minimize entry barriers that limit competition.
   a. Evaluate barriers to market entry.
   b. Assess impact of barriers and develop alternate strategies.
   c. Modify practices to promote competition.
2. Raise the profile of the Consumer Services Division and of the Commission as the educator and protector of the consumer.
   a. Coordinate with staff of the Attorney General's Office on issues involving consumer protection particularly where there is overlapping jurisdiction.
   b. Have staff from other technical divisions available to assist consumer counselors.
   c. Have counselors spend time in other divisions or work with mentors to expand knowledge of Commission practices to enhance work experience.
3. Ensure that information on utility, and ICC services in general, are available to consumers.
   a. Update website consumer information.
   b. Make the ICC website consumer-oriented with links to various services for consumers; provide separate sites for practitioners and consumers.
4. Expand consumer protection options and authority.
   a. Review current authority and identify proposed enforcement legislation.
   b. Promote and expand mediation for dissatisfied consumers.
5. Establish broad-based stakeholder review groups consisting of industry, professional organizations and other state and other federal agencies.
   a. Create a mechanism for ongoing dialogue to focus on a core set of objectives to evaluate and recommend changes in law, programs, and processes.
6. Strengthen the compliance function of the Commission to ensure that companies comply with applicable laws, rules, and orders.
   a. Recruit talented employees by establishing good working relationships with universities and colleges.
   b. Dedicate employees to review compliance with significant laws, rules, and orders.
   c. Work with companies in a proactive manner to encourage compliance rather than focusing on punishment for non-compliance.
   d. Create a work environment that fosters excellence and values employees.

Source of Funds: Transportation Regulatory Fund ***Statutory Authority:*** 625 ILCS 5/18c

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$13,060.1	$13,710.3	$12,506.0	$13,022.5	$12,334.0
* Total expenditures - state appropriated funds (in thousands)	$13,060.1	$13,710.3	$12,506.0	$13,022.5	$12,334.0
* Average monthly full-time equivalents	68.0	69.0	75.0	68.0	79.0
Output Indicators					
* Number of grade crossing projects ordered by the Commission (a)	1,143	1,361	1,400	129.0	150.0
* Number of track miles inspected by railroad track inspectors	8,265	8,752	8,500	7,569	7,569
* Number of transportation tariff filings	413.0	455.0	455.0	791.0	791.0
Outcome Indicators					
* Number of collisions at public crossings (excluding those involving trespassers and/or suicide attempts)	94.0	80.0	90.0	96.0	90.0
* Administrative citations written for certain violations of the Illinois Commercial Transportation Law	2,976	2,767	3,550	2,629	3,600
* Investigations of violations under the Illinois Commercial Transportation Law, generally involves an administrative audit of companies	2,103	1,913	2,400	1,725	1,875
* Number of safety towing compliance inspections conducted (b)	410.0	7,000	8,000	0.0	100.0
* Number of safety towing consumer complaint investigations completed	0.0	0.0	0.0	0.0	75.0

Footnotes

(a) In fiscal years 2011 and 2012, new signs and remote health monitors were installed at many crossings, resulting in large increases for this indicator in those years.

(b) Three new police officers were added to handle inspections.

Department of Financial and Professional Regulation
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Division of Banks, Bureau of Banks and Trust Companies	$18,040.3	109.0	$17,871.1	105.0
Division of Professional Regulation, Enforcement	$11,878.2	87.0	$10,331.3	70.0
Division of Professional Regulation, Licensing & Testing	$6,396.0	47.0	$6,940.0	47.0
Division of Financial Institutions, Consumer Credit Division	$3,361.9	26.0	$3,971.2	30.0
Division of Banks, Bureau of Residential Finance	$4,609.4	32.0	$3,559.6	23.0
Division of Financial Institutions, Credit Union Division	$2,689.6	20.0	$3,301.0	21.0
Division of Financial Institutions, Currency Exchange Division	$1,569.6	12.0	$1,858.3	10.0
Division of Financial Institutions, Title Insurance	$404.5	2.0	$331.9	2.0
Non-Reporting Programs				
Professions Indirect Cost (PIC)	$27,720.5	146.0	$28,994.9	137.0
Totals	$76,670.0	481.0	$77,159.3	445.0

Mission and Organization

The mission of the Illinois Department of Financial and Professional Regulation (IDFPR) is to protect consumers of financial and professional services by ensuring the integrity and standards of regulated industries and professionals through an efficient supervisory and enforcement function. IDFPR educates and informs consumers about industries and individuals under its jurisdiction, and responds to complaints and inquiries regarding licensees.

IDFPR is comprised of the Division of Banks, Division of Financial Institutions, and the Division of Professional Regulation. IDFPR oversees more than one million professionals in nearly 100 industries. Standards of professional practice and the rights of Illinois residents in their transactions with regulated industries are enforced by IDFPR.

Evaluation and Licensing: IDFPR evaluates and acts upon license applications from regulated industries. IDFPR protects consumers by evaluating the safety, soundness and professional integrity of license applicants.

Investigation and Enforcement: IDFPR safeguards the health and welfare of consumers and the public by investigating unauthorized activities as well as consumer complaints and taking enforcement action when warranted. IDFPR also adjudicates complaints relating to violations of professional standards of practice.

Regulation and Supervision: IDFPR conducts ongoing regulatory and supervisory examinations of regulated entities to ensure compliance with statutory requirements.

Division of Banks, Bureau of Banks and Trust Companies

Mission Statement: The mission of the Bureau of Banks and Trust Companies is to serve and protect the public by chartering, authorizing, and supervising state-chartered commercial banks, foreign bank offices, and corporate fiduciaries in order to assure the safety and soundness of such institutions in compliance with applicable laws and regulations for the benefit of the public. The bureau also registers check printers and non-financial institution deployers of ATMs, and licenses pawnbrokers that operate in Illinois.

Program Goals: Objectives:

1. Complete accurate and timely reports of examinations.
   a. 100 percent of examination reports will be sent to institutions within 30 days of completion of field work (within 45 days if federal regulator involved).
   b. No more than 2 percent of final reports (sent to institutions) will be amended.
   c. 50 percent of pawnshop industries will be examined each year (every-other-year exams).
2. Provide adequate prior notice of examinations.
   a. 100 percent of examination notices will be sent out at least 30 days prior to the examination start date.
3. Provide for timely, fair, and consistent examination reports.
   a. 100 percent of appeals of bureau findings will be upheld.
4. Provide for timely, fair, and consistent decision making on corporate applications.
   a. 100 percent of decisions will be made within established time frames.
   b. 100 percent of corporate decisions that are appealed will be upheld.
5. Minimize regulatory burden.
   a. Utilize all federal applications in lieu of state applications where applicable.
   b. Receive no written complaints about policies, procedures, and requirements of corporate applications.
6. Provide timely information to the public.
   a. Within 15 days from the end of each month, the bureau will post on its Internet site all applications filed with the bureau and all actions taken by the bureau during the previous month.
   b. Enforcement actions posted on bureau's Internet site within 30 days of their effective date.

Source of Funds: Pawnbroker Regulation Fund, Savings Institutions Regulatory, Bank and Trust Company Fund ***Statutory Authority:*** 205 ILCS 510/

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$15,824.4	$18,040.3	$21,252.0	$17,871.1	$24,753.8
* Total expenditures - state appropriated funds (in thousands)	$15,824.4	$18,040.3	$21,252.0	$17,871.1	$24,753.8
* Average monthly full-time equivalents	109.0	109.0	117.0	105.0	128.0
Output Indicators					
* Number of Examinations Performed	445.0	445.0	440.0	260.0	230.0
* Number of Corporate Applications Reviewed	372.0	248.0	275.0	172.0	200.0
* Number of Domestic Commercial Banks	389.0	389.0	375.0	355.0	340.0
* Number of Foreign Bank Offices	11.0	11.0	11.0	11.0	11.0
* Number of Domestic Corporate Fiduciaries	150.0	150.0	145.0	156.0	150.0
* Number of Financial Information Systems Entities	464.0	460.0	455.0	430.0	400.0
* Number of Pawnbroker Licensees	254.0	271.0	350.0	296.0	315.0
Outcome Indicators					
* Percentage of examinations accepted by Federal regulatory counterparts	100 %	100 %	100 %	100 %	100 %
* Percentage of newly-chartered banks that chose a state vs. national charter (a)	N/A	100 %	100 %	0.0	0.0
* Percentage of corporate application decisions made within established time frames	90 %	90 %	90 %	75 %	100 %
* Percentage of pawnshop complaints investigated within established time frames	100 %	100 %	100 %	100 %	100 %
* Percentage of examination reports sent to institution within 45 days of completion	43 %	43 %	60 %	37 %	100 %
* Percentage of examination/corporate decisions appeals upheld (b)	100 %	100 %	100 %	0.0	0.0
* Percentage of examination notices sent out at least 30 days prior to examination start date	43 %	43 %	50 %	54 %	100 %
* Percentage of corporate and supervisory actions posted on website within established time frames (c)	100 %	100 %	100 %	25 %	100 %
* Conference of State Bank Supervisors Accreditation Awarded	Yes	Yes	Yes	Yes	Yes

Footnotes

(a) No bank charters received in fiscal year 2013.
(b) No examinations received in fiscal year 2013.
(c) Decrease is due to loss of staff.

Division of Professional Regulation, Enforcement

Mission Statement: It is the mission of the Division of Enforcement to provide prompt, efficient and fair enforcement of the statutes governing our licensees for the betterment of all professions and protection of the people of Illinois.

Program Goals: Objectives:

1. Expand utilization of technological resources in the production and tracking of investigative and disciplinary cases.
   a. Evaluate and maintain the usage of investigative and case management reports to ensure the number of aged cases (more than 5 months old) does not exceed 15% of all cases.
2. Establish a comprehensive program to monitor probation compliance and impaired licensees.
   a. Maintain the current probation/impaired-monitoring unit within the Enforcement Division.
3. Improve Enforcement Operations by providing ongoing training in Investigation and Prosecutorial techniques.
   a. Maintain and expand training for investigators and prosecutors.
   b. Obtain supervisory feedback regarding work product in order to determine specific training needs.

Source of Funds: General Professions Dedicated Fund, Illinois State Pharmacy Disciplinary Fund, Illinois State Medical Disciplinary Fund, Registered Certified Public Accountants' Administration and Disciplinary Fund, Professional Regulation Evidence Fund, Professions Indirect Cost Fund, Nursing Dedicated and Professional Fund, Optometric Licensing and Disciplinary Board Fund, Appraisal Administration Fund, ATHLETICS SUPERVION AND REGULATION, Auction Regulation Administration Fund, Home Inspector Administration Fund, Real Estate Audit Fund, Cemetery Oversight Licensing and Disciplinary Fund, Illinois State Dental Disciplinary Fund, Community Association Manager Licensing and Disciplinary Fund, Real Estate Research and Education Fund, Real Estate License Administration Fund, Design Professionals Administration and Investigation Fund, Illinois State Podiatric Disciplinary Fund

Statutory Authority: 20 ILCS 2105, 225 ILCS 407/

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$10,360.0	$11,878.2	$16,164.0	$10,331.3	$17,114.6
* Total expenditures - state appropriated funds (in thousands)	$10,360.0	$11,878.2	$16,164.0	$10,331.3	$17,114.6
* Average monthly full-time equivalents	90.0	87.0	97.0	70.0	94.0
Output Indicators					
* Complaints Received	10,634	12,466	11,420	11,765	12,353
* Complaints Closed	11,334	12,958	1,233	11,629	12,210
* Cases closed at investigations	2,935	3,836	3,529	5,739	6,025
* Cases closed at prosecutions	4,476	1,368	2,382	1,737	3,012
* Adjudicative closures	2,567	3,552	3,065	2,874	3,017
* Orders signed by Director	3,230	3,794	3,669	3,518	3,693
* Complaints referred to Investigations	6,830	9,193	7,696	10,675	11,208
* Investigative cases referred to Prosecutions	4,040	5,717	4,900	4,836	5,077
* Licenses put on probation	431.0	470.0	501.0	527.0	580.0
Outcome Indicators					
* Percentage of complaints closed	107 %	104 %	108 %	98 %	104 %
* Percentage of complaints to investigations	64 %	74 %	69 %	90 %	52 %
* Percentage of cases referred to closure in Prosecutions	42 %	11 %	22 %	15 %	20 %
* Percentage of Child Support cases processed	2 %	2 %	3 %	1 %	6 %
* Percentage of Illinois Student Assistance Commission cases processed	4 %	3 %	4 %	1 %	5 %
* Percentage of Revenue cases processed	1 %	5 %	3 %	3 %	4 %

Division of Professional Regulation, Licensing & Testing

Mission Statement: It is the mission of the Division of Licensing and Testing to complete all licensing services expeditiously and professionally while providing the highest degree of quality and customer service.

Program Goals: Objectives:

1. Provide a higher level of customer service through a variety of communications enhancements.
   a. Reduce the caller abandonment rate by 10% by the end of fiscal year 2014.
   b. Decrease caller waiting period to 9 minutes by the end of fiscal year 2014.
2. Provide a higher level of customer service through enhancement of the original licensure application process, the renewal process and the licensure maintenance functions.
   a. Continue to promote the "e-batch" license renewal process option for business entities employing a large number of licensees in fiscal year 2014.
   b. Maintain electronic processing time of 2-3 days for renewals in fiscal year 2014.
   c. Continue to promote the use of the Internet renewal process for all licensees in fiscal year 2014.
   d. Increase the usage of the "Touch-Tone" renewal process option for all licensees 0% by the end of fiscal year 2014. (a)

Source of Funds: General Professions Dedicated Fund, Illinois State Pharmacy Disciplinary Fund, Illinois State Medical Disciplinary Fund, Registered Certified Public Accountants' Administration and Disciplinary Fund, Professions Indirect Cost Fund, Nursing Dedicated and Professional Fund, Optometric Licensing and Disciplinary Board Fund, Appraisal Administration Fund, ATHLETICS SUPERVION AND REGULATION, Auction Regulation Administration Fund, Home Inspector Administration Fund, Real Estate Audit Fund, Cemetery Oversight Licensing and Disciplinary Fund, Illinois State Dental Disciplinary Fund, Community Association Manager Licensing and Disciplinary Fund, Real Estate Research and Education Fund, Real Estate License Administration Fund, Design Professionals Administration and Investigation Fund, Illinois State Podiatric Disciplinary Fund

Statutory Authority: 20 ILCS 2105, 225 ILCS 407/

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$5,628.5	$6,396.0	$8,780.4	$6,940.0	$11,496.7
* Total expenditures - state appropriated funds (in thousands)	$5,628.5	$6,396.0	$8,780.4	$6,940.0	$11,496.7
* Average monthly full-time equivalents	49.0	47.0	52.0	47.0	63.0
Output Indicators					
* Phone calls received by call center	204,442	138,042	200,000	222,220	250,000
* New licenses issued	75,714	118,513	100,000	81,274	80,000
* License renewals received	229,787	486,644	182,600	223,018	445,000
* Initial applications received	82,966	92,619	90,000	92,488	90,000
Outcome Indicators					
* Average call center waiting time (minutes)	15.0	24.0	4.0	19.0	9.0
* Average call center abandonment rate	45 %	40 %	4 %	40 %	10 %
* Percent of mail-in renewals processed	25 %	17 %	25 %	24 %	22 %
* Percent of E-batch renewals processed	3 %	4 %	3 %	2 %	2 %
* Percent of Touch Tone renewals processed (a)	7 %	5 %	7 %	0 %	0 %
* Percent of internet credit card renewals processed	66 %	74 %	66 %	68 %	70 %
* Percent of license renewals processed electronically (b)	75 %	83 %	75 %	76 %	75 %

Footnotes

(a) “Touch tone” : The contract for touch tone expired in Oct of 2013. The Vendor chose to not renew the contract. Touch tone is no longer available for renewals at this time.

(b) There were no license applications received in fiscal year 2013 and fiscal year 2014.

Division of Financial Institutions, Consumer Credit Division

Mission Statement: To protect consumers and ensure that the entities regulated are in compliance with state and federal statutes.

Program Goals: Objectives:

1. Disseminate departmental news and information to our licensees.
   a. Educate licensees about new rules and regulations that may affect them via the Internet, U.S. mail, and conferences.
   b. Meet with consumer groups and various licensees in order to recognize current industry trends.
2. Enhance examination procedures to keep pace with current trends.
   a. Hold two examiner meetings per year in order to recognize and discuss current industry trends.
   b. Formulate and implement enhanced examination procedures recommended by examination staff.

Source of Funds: Financial Institution Fund ***Statutory Authority:*** 20 ILCS 1205/1

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$3,112.2	$3,361.9	$3,927.1	$3,971.2	$4,878.2
* Total expenditures - state appropriated funds (in thousands)	$3,112.2	$3,361.9	$3,927.1	$3,971.2	$4,878.2
* Average monthly full-time equivalents	26.0	26.0	27.0	30.0	35.0
Output Indicators					
* Total number of examinations assigned	1,665	1,857	1,900	1,894	1,900
* Total number of Consumer Installment Loan Act examinations assigned	1,335	1,238	1,035	1,096	1,159
* Number of consumer complaints received	209.0	242.0	250.0	155.0	200.0
* Number of licenses granted	447.0	169.0	100.0	214.0	175.0
* Total number of licensees regulated by the division	2,285	2,041	2,150	2,098	2,150
* Average number of days to resolve a complaint	70.0	70.0	30.0	65.0	30.0
* Number of examinations completed	1,665	1,857	1,900	1,894	1,900
* Number of Consumer Installment Loan Act examinations completed	1,335	1,238	1,035	1,096	1,159
* Number of complaints resolved	121.0	184.0	200.0	127.0	150.0
* Total revenue received (in thousands)	$3,463.7	$3,479.8	$3,200.0	$3,852.7	$3,250.0
Outcome Indicators					
* Percentage of resolved complaints to total complaints filed	58 %	76 %	90 %	80 %	90 %
Efficiency/Cost-Effectiveness Indicators					
* Cost to administer each licensee (in dollars)	$1,362.03	$1,568.80	$1,826.60	$1,892.86	$2,268.95
* Number of examinations completed per examiner	104.0	103.0	106.0	105.0	115.0
* Full time equivalent field examiners	16.0	18.0	18.0	18.0	18.0

Division of Banks, Bureau of Residential Finance

Mission Statement: The mission of the Bureau of Residential Finance is to administer fairly the laws and regulations under its jurisdiction and to provide effective and efficient supervision in order to protect the interests of the citizens of the State of Illinois in their dealings with those industries regulated by the Bureau.

Program Goals: Objectives:

1. Ensure the safety and soundness of the state's chartered savings and loans and savings banks.
   a. Conduct 100 percent of statutorily required exams.
   b. 90 percent or more of institutions with CAMELS composite rating of 1 and 2.
2. Issue prior notices of thrift examinations.
   a. Send examination opening day letter and request for information to the institutions at least three weeks prior to the examination start date.
3. Provide for the timely review and approval of thrift corporate activity requests.
   a. Approve by-laws and charter applications within 30 days of receipt.
   b. Review independent audits within 20 days of receipt.
   c. Respond to all management questions within 48 hours.
4. Produce timely reports of thrift examinations.
   a. 100 percent of examinations will meet the statutory start date.
   b. 100 percent of the reports of examination will be reviewed and sent to the institution within 45 days of the completion of the examination.
5. Issue prior notices of thrift examinations in a timely fashion.
   a. Send out examination notices at least three weeks prior to exam start date.
6. Issue timely reports of examinations to residential mortgage licensees.
   a. Reduce examiner processing time from 15 days to 10 days.
   b. Furnish completed exam results to licensees within 25 business days.
7. Provide for the timely issuance of new, renewal, and reprinted licenses.
   a. Process and issue licenses of new applications within 29 days.
   b. Process and issue licenses of renewal applicants before 10 days of their expiration dates.
   c. Process and issue licenses of reprint requests after 10 days of receipt.
8. Provide for adequate consumer protection.
   a. Reduce residential mortgage examiner processing time from 15 days to 10 days.
   b. Furnish completed exam results to residential mortgage licensees within 25 business days.
   c. Report significant findings to the public through the bureau's Internet site and press releases.

Source of Funds: Savings and Residential Finance Regulatory Fund ***Statutory Authority:*** 205 ILCS 105/

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$4,216.5	$4,609.4	$4,761.8	$3,559.6	$3,665.3
* Total expenditures - state appropriated funds (in thousands)	$4,216.5	$4,609.4	$4,761.8	$3,559.6	$3,665.3
* Average monthly full-time equivalents	37.0	32.0	35.0	23.0	23.0
Output Indicators					
* Number of Thrift Examinations Conducted	33.0	33.0	16.0	15.0	15.0
* Number of Mortgage Examinations Conducted	299.0	325.0	253.0	265.0	275.0
* Number of Residential Mortgage Licensees	809.0	760.0	800.0	809.0	820.0
* Number of Savings and Loans	2.0	2.0	2.0	0.0	0.0
* Number of Savings Banks	35.0	35.0	35.0	35.0	33.0
* Number of Service Corporations	15.0	15.0	15.0	15.0	15.0
* Number of License Applications Processed (a)	65.0	92.0	110.0	0.0	0.0
Outcome Indicators					
* Percentage of thrift reports reviewed and mailed within 45 business days (b)	46 %	45.5 %	60 %	50 %	100 %
* Percentage of thrift exam notifications sent within 3 weeks of exam start date	54 %	53.6 %	60 %	100 %	100 %
* Percentage of independent Thrift audits reviewed within 20 business days of receipt	100 %	100 %	100 %	100 %	100 %
* Percentage of institutions receiving a financial condition rating of "1" or "2" on a 5 point uniform scale where "1" is strongest and "5" is unsatisfactory (b)	58 %	57.6 %	60 %	90 %	90 %

Footnotes

(a) There were no license applications received in fiscal year 2013 and 2014.

(b) The asset quality of state chartered thrifts and other financial institutions have been affected as a result of the current economic climate. Currently there are only thirty-seven thrifts in the state; a rating change in a minimal amount of institutions will cause a fluctuation in the annual reporting percentage.

Division of Financial Institutions, Credit Union Division

Mission Statement: To administer and enforce the laws and regulations pertaining to Illinois state-chartered credit unions and ensure the safety and soundness of these financial institutions, thereby protecting the interest of their members.

Program Goals: Objectives:

1. Promote the safety and soundness of Illinois state-chartered Credit Unions.
   a. Continue to perform risk-focused financial analysis of 100% of all institutions over a 24-month cycle.
   b. Evaluate all institutions quarterly through a financial reporting system to discover financial trends or indicators of concern.
2. Evaluate reviews of section processes and policies.
   a. Improve efficiency and accuracy of service to Illinois state-chartered credit unions.
   b. Consistently evaluate and provide a quality examination system.
3. Monitor credit union section expenditures and revenues.
   a. Ensure fees and fines are collected in a timely manner.
   b. Evaluate travel expenses and improve scheduling of examinations as required.
4. Utilize training resources for examination staff.
   a. Provide focused training for select examiners for development as subject matter examiners.
   b. Increase access to training opportunities to allow for development and understanding of emerging markets.

Source of Funds: Credit Union Fund ***Statutory Authority:*** 20 ILCS 1205/1

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$2,345.8	$2,689.6	$3,459.5	$3,301.0	$4,375.8
* Total expenditures - state appropriated funds (in thousands)	$2,345.8	$2,689.6	$3,459.5	$3,301.0	$4,375.8
* Average monthly full-time equivalents	16.0	20.0	21.0	21.0	25.0
Output Indicators					
* Number of special case credit union examinations assigned	48.0	50.0	55.0	86.0	60.0
* Number of consumer complaints received	75.0	96.0	100.0	111.0	120.0
* Number of by-law amendments received	96.0	105.0	100.0	81.0	95.0
* Number of special case credit union examinations completed	46.0	49.0	55.0	72.0	65.0
* Number of Review Comment Letters issued	159.0	172.0	180.0	223.0	200.0
* Number of by-law amendments completed	85.0	96.0	100.0	70.0	70.0
* Number of by-law amendments pending	11.0	10.0	10.0	20.0	15.0
* Number of consumer complaints pending	3.0	6.0	10.0	15.0	10.0
* Total number of state chartered credit unions	285.0	274.0	265.0	263.0	250.0
* Number of consumer complaints resolved	75.0	93.0	90.0	102.0	110.0
* Regulatory fees received (in dollars)	$3,309,824.86	$3,567,946.17	$3,000,000.00	$3,953,389.22	$3,000,000.00
* Number of credit union examinations assigned	218.0	206.0	200.0	192.0	200.0
* Number of credit union examinations completed	159.0	172.0	180.0	223.0	185.0
Outcome Indicators					
* Number of credit unions that are in compliance with applicable statutes and rules and regulations	247.0	250.0	240.0	222.0	210.0
* Total asset-size of credit unions (in millions)	$28,847.0	$30,600.0	$32,200.0	$31,531.0	$32,500.0
* Average number of days to resolve a complaint	27.0	25.0	20.0	32.0	20.0
* Average number of days to complete a by-law amendment	13.0	17.0	15.0	32.0	15.0
Efficiency/Cost-Effectiveness Indicators					
* Number of examinations completed per examiner	12.0	13.0	14.0	11.0	9.0

Division of Financial Institutions, Currency Exchange Division

Mission Statement: To ensure that currency exchange services are delivered fairly and, by regulating the industry that provides those services, the public is provided with the protection intended by state law.

Program Goals: Objectives:

1. Provide consumer tips regarding currency exchange transactions to the general public.
2. Hold formal examination staff meetings in order to recognize and discuss industry trends.
   a. Hold one (1) examiner staff meeting each fiscal year to discuss industry trends, departmental news, divisional information, and examination procedures.
3. Ensure sufficient protection to currency exchange consumers through the examination process.
   a. Improve process through computerization.
   b. Improve relations with the currency exchange industry through increased communication.
4. Increase efficiency of applications through better templates.

Source of Funds: Financial Institution Fund ***Statutory Authority:*** 20 ILCS 1205/1

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,447.7	$1,569.6	$1,833.7	$1,858.3	$2,282.8
* Total expenditures - state appropriated funds (in thousands)	$1,447.7	$1,569.6	$1,833.7	$1,858.3	$2,282.8
* Average monthly full-time equivalents	12.0	12.0	12.0	10.0	10.0
Output Indicators					
* Number of consumer complaints received	28.0	24.0	22.0	29.0	24.0
* Number of applications received	48.0	48.0	50.0	67.0	60.0
* Number of examinations completed	492.0	515.0	500.0	485.0	450.0
* Number of consumer complaints resolved	27.0	22.0	20.0	28.0	24.0
* Total number of community currency exchanges	485.0	464.0	475.0	442.0	445.0
* Total number of ambulatory currency exchanges	142.0	24.0	24.0	20.0	20.0
* Total revenue received (in thousands)	$429.0	$497.4	$400.0	$601.5	$400.0
Outcome Indicators					
* Average number of days to resolve a consumer complaint	20.0	21.0	15.0	20.0	20.0
Efficiency/Cost-Effectiveness Indicators					
* Number of examinations completed per examiner	73.0	74.0	74.0	70.0	70.0
* Average cost to administer each currency exchange examination (in dollars)	$2,308.86	$3,063.71	$3,674.79	$4,022.34	$4,909.17

Division of Financial Institutions, Title Insurance

Mission Statement: To protect consumers and ensure that the entities regulated are in compliance with state and federal statutes.

Program Goals: Objectives:

1. Ensure that all licensed entities are in compliance and regulated for soundness to protect citizens of Illinois.

Source of Funds: Financial Institution Fund ***Statutory Authority:*** 20 ILCS 1205/1

	Fiscal Year 2011 Actual	Fiscal Year 2012 Actual	Fiscal Year 2013 Target/Projected	Fiscal Year 2013 Actual	Fiscal Year 2014 Target/Projected
Input Indicators					
* Total expenditures - all sources (in thousands)	$385.1	$404.5	$472.6	$331.9	$407.7
* Total expenditures - state appropriated funds (in thousands)	$385.1	$404.5	$472.6	$331.9	$407.7
* Average monthly full-time equivalents	2.0	2.0	3.0	2.0	2.0
Output Indicators					
* Title Agent Registrations Granted	3,735	4,418	2,500	905.0	528.0
* Title Agent Registrations Terminated	2,490	4,115	2,500	118.0	150.0
* Total number of Registered Title Insurance Agents	16,532	16,835	16,835	17,622	18,000
* Complaints Logged	26.0	27.0	25.0	6.0	10.0
* Complaints Resolved	12.0	27.0	25.0	5.0	10.0
* Percentage of Logged Complaints Resolved	46 %	100 %	100 %	83 %	100 %
* Annual Examinations of Title Insurance Companies Completed	16.0	13.0	16.0	16.0	17.0
* Title Insurance License Granted	0.0	1.0	0.0	0.0	1.0
* Title Insurance Licenses Terminated	6.0	0.0	0.0	0.0	0.0

Department of Insurance
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Division of Insurance, Financial/Corporate Division	$19,288.6	138.0	$19,608.8	135.0
Division of Insurance, Consumer Market Division	$17,885.9	118.0	$17,420.6	109.0
Non-Reporting Programs				
Other: Ins. Premium Tax Refund Fund; IL Work. Comp. Comm. Op. Fund; Group Workers' Comp Pool Insolv.	$2,028.9	1.0	$1,796.5	1.0
Totals	$39,203.4	257.0	$38,825.9	245.0

Mission and Organization

The Department of Insurance (DOI) licenses, regulates, examines and, if appropriate, disciplines individuals and entities engaged in Illinois in the business of insurance. DOI's responsibilities include, but are not limited to, all aspects of insurance company solvency, the conduct of agents, brokers and companies, the collection of insurance taxes and assessments and, more broadly, the authority to regulate any individual or company involved with the management, distribution, sales or marketing of insurance or insurance-related matters in Illinois. DOI is the sole regulator of all aspects of the insurance industry in Illinois. DOI works diligently to protect the insurance interests of each and every Illinois resident and business.

DOI initiatives that most reflect our commitment to the Illinois insurance consumers include our continuing efforts to implement the various facets of the Affordable Care Act and our Consumer Protection and Education Initiative.

The Department was instrumental in assisting with the launch of Get Covered Illinois, the state's comprehensive education and enrollment online platform for uninsured residents to sign up for health coverage under the Federal Affordable Care Act (ACA), reinforcing our commitment to improve the health and well-being of the people of Illinois. GetCoveredIllinois.gov is the official website marketplace where individuals, families and small business owners can get connected to their new health insurance options with coverage starting Jan. 1. The state is working with community groups in every corner of the state to provide direct enrollment assistance. All Marketplace plans cover important health benefits, including doctor visits, hospital stays, maternity care, emergency room visits, mental health services, chronic disease management, prescriptions and more. No one will be denied coverage because they are sick or have a pre-existing condition like asthma, diabetes or cancer. Preventive care will be covered at no cost to the patient. Get Covered Illinois is the only official website marketplace where Illinois residents can compare eight different insurance company offerings side by side; determine whether they are eligible for Medicaid under newly expanded qualifications; and apply for financial support to help bring down the cost of insurance for them or their families. Get Covered Illinois is for any Illinois resident who had insurance, lost it and wants it again; residents who could never afford or obtain insurance before; or for those who have never attempted to get insurance but now must comply with the law.

DOI protects and educates consumers by providing information needed to make decisions on a broad range of insurance issues and assisting consumers with specific concerns pertaining to coverage, claims, underwriting and other consumer financial issues.

Consumer protection and education is accomplished through various means. The Consumer Division utilizes an electronic complaint database which allows for the immediate exchange of information and complaint resolution. With the passage of the revised Health Carrier External Review Act, the Division is responsible for facilitating a consumer's ability to appeal adverse determinations with the Director of Insurance. The Division also monitors and revises consumer fact sheets and posts these fact sheets on DOI's website to educate consumers of any changes to insurance laws or regulations. The Division also utilizes a toll-free consumer hotline that enables consumers to easily reach Consumer Services Section analysts with questions or complaints regarding an insurance policy or company. The Division also participates in Consumer Outreach Activities through the Office of Consumer Health Insurance (OCHI). OCHI provides consumers with valuable information through presentations at high schools, radio stations and rapid response meetings. The Health Products Division oversees consumer protection and education programs; registers and regulates the activities of health care insurers, Workers Compensation, and pharmaceutical provider networks, utilization review organizations, external independent review organizations and third party administrators; and monitors marketplace operations of insurance companies through policy analysis and complaint tracking.

The Department of Insurance will continue to work diligently to protect citizen's lives and property through increasing the protection of the public through education and enforcement of legal standards in insurance.

Division of Insurance, Financial/Corporate Division

Mission Statement: To protect consumers by monitoring and ensuring the solvency of Illinois-based insurance companies; to make certain that insurance companies and producers adhere to state insurance laws and regulations; and to work with insurance regulators from other states to maintain and enhance the efficiency of state based insurance regulation.

Program Goals: Objectives:

1. Conduct periodic financial examinations, identify and work with companies on solvency problems and, when warranted, take corrective regulatory action.
   a. To conduct financial examinations pursuant to a schedule which ensures that all domestic insurance companies are examined not less frequently than every five years as required by Illinois law and National Association of Insurance Commissioners (NAIC) Financial Regulation Accreditation Standards.
   b. To conduct more frequent financial examinations of domestic insurance companies identified as priorities.
2. Ensure that regulated entities conduct business according to the incorporation, licensing, registration, and transaction approval requirements specified by applicable law and regulation.
   a. To renew by July of each year certificates of authority for all authorized foreign and alien companies operating in Illinois, and all approved/accredited foreign and alien insurers operating in Illinois by September 1 of each year.
   b. To review and process all transactions (e.g., new requests for incorporation, requests for corporate changes) within thirty (30) days of the date on which all information necessary to act on the request is available.
3. Provide advisory services to Illinois public pension funds, retirement systems and their participants and assess compliance with applicable law and regulation.
   a. To conduct more frequent examinations of public pension funds in order to achieve a decrease in the time interval between examinations.
   b. To process all annual financial statements from public pension funds and retirement systems within ten (10) days of receipt of the annual statement.

Source of Funds: Public Pension Regulation Fund, Insurance Financial Regulation Fund

Statutory Authority: 215 ILCS5/408.3; 30 ILCS105/8f

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$17,648.0	$19,288.6	$23,978.7	$19,608.8	$29,869.0
* Total expenditures - state appropriated funds (in thousands)	$17,648.0	$19,288.6	$23,978.7	$19,608.8	$29,869.0
* Average monthly full-time equivalents	147.0	138.0	146.0	135.0	188.0
Output Indicators					
* LA&H and P&C financial exams started	75.0	71.0	80.0	62.0	105.0
* LA&H, P&C & HMO annual financial statements reviewed	386.0	369.0	370.0	362.0	375.0
* LA&H, P&C & HMO quarterly financial statements reviewed	962.0	913.0	920.0	883.0	900.0
* Corrective orders, stipulation and consent orders, and notices of impairment issued	4.0	4.0	N/A	2.0	2.0
* Certificates of authority renewed	1,571	1,407	1,425	1,435	1,445
* Cease and desist and consent orders issued	4.0	2.0	N/A	6.0	6.0
* Pension fund exams started	116.0	90.0	100.0	81.0	95.0
* Pension fund exams completed	119.0	89.0	100.0	76.0	95.0
* Pension fund annual statements reviewed	993.0	834.0	825.0	762.0	750.0
* LA&H/HMO/P&C limited exams started	10.0	8.0	8.0	8.0	8.0
* Service contract provider registrations-new & renewal	152.0	163.0	N/A	183.0	190.0
* Risk retention groups registered	111.0	113.0	118.0	115.0	116.0
* LA&H/HMO/P&C exams scheduled	63.0	80.0	80.0	105.0	105.0
* LA&H/HMO/P&C limited exams scheduled	6.0	8.0	8.0	9.0	9.0
* LA&H/P&C/HMO annual financial statements received (a)	1,827	312.0	N/A	394.0	400.0
* LA&H/P&C/HMO quarterly financial statements received	928.0	837.0	N/A	894.0	900.0
* Investigations initiated	10.0	11.0	N/A	15.0	15.0
* Pension Fund annual statements received	650.0	661.0	665.0	664.0	670.0
* Number of company transaction requests reviewed	781.0	819.0	800.0	890.0	925.0
* Number of certification transactions processed annually	11,215	9,356	10,000	8,177	8,500
* Number of corporate change transactions processed annually	491.0	415.0	425.0	344.0	375.0
* Number of surplus lines producers - licensing - new and renewal	1,563	1,597	1,500	1,374	1,400

Division of Insurance, Financial/Corporate Division (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Number of summons issued - for service of process on foreign and alien insurers	1,530	2,277	1,500	1,960	2,000
* Number of purchasing group registrations	23.0	25.0	20.0	31.0	25.0
Outcome Indicators					
* Percentage of financial analysis issues resolved	100 %	100 %	100 %	100 %	100 %
* Ten (10) year rolling average of Life, Accident & Health (LA&H) companies in receivership	3 %	2.9 %	2.5 %	1 %	1 %
* Ten (10) year rolling average of Property & Casualty (P&C) companies in receivership	4 %	3.24 %	2.5 %	1.5 %	1.5 %
* Ten (10) year rolling average HMOs in receivership	0 %	0 %	0 %	0 %	0 %
* Percentage of LA&H companies operating compared to previous year	95 %	95 %	95 %	98 %	98 %
* Percentage of P&C companies operating compared to previous year	106 %	106 %	106 %	100 %	100 %
* Percentage of HMOs operating compared to previous year	107 %	107 %	107 %	100 %	100 %
External Benchmarks					
* National Association of Insurance Commissioners Accreditation Awarded	Yes	Yes	Yes	Yes	Yes

Footnotes

(a) The number of LA&H/P&C annual financial statements received in fiscal 2012 decreased as the Department of Insurance no longer requires foreign companies (companies domiciled outside of the State of Illinois) to file annual statements.

Division of Insurance, Consumer Market Division

Mission Statement: To protect consumers by monitoring and ensuring the solvency of Illinois-based insurance companies; to make certain that insurance companies and producers adhere to state insurance laws and regulations; and to work with insurance regulators from other states to maintain and enhance the efficiency of state based insurance regulation.

Program Goals: Objectives:

1. Ensure that insurance-related complaints and inquiries are handled in a timely and accurate manner.
   a. To maintain the average number of days required to investigate and close a life, accident, and health (LA&H) insurance complaint at 60 days.
   b. To maintain the average number of days required to investigate and close a property and casualty (P&C) insurance complaint at 35 days.
   c. To handle external review requests within time-frames required by law, i.e. one business day for standard requests and immediately for expedited requests.
2. Ensure that regulated entities comply with applicable laws, regulations, and their policy contracts.
   a. To protect Illinois insurance consumers by conducting examinations on insurers whose complaint volume suggests possible non-compliance with laws, regulations, and their policy contracts.
   b. To schedule and complete follow-up market conduct examinations on insurers whose prior exams resulted in orders requiring corrective action to determine if the insurers have complied.
   c. To schedule and complete targeted exams to determine market practices on issues of interest to the executive or legislative branches.
3. Enforce licensing and continuing education requirements for individuals and other licensed entities, determining, by investigation, that entities are in compliance with laws and regulations.
   a. To process 100% of all new online license requests within one working day of the date on which the National Insurance Producer Registry (NIPR) receives the information. Depending on volume, licensing needs and other circumstances, process approximately 55% of the new paper applications received within 30 working days.
   b. To process 100% of all renewed online license requests within one working day of the date on which the National Insurance Producer Registry (NIPR) receives the information. Depending on volume, licensing needs and other circumstances, process approximately 55% of the renewal paper applications received within 30 working days.
   c. To respond to potential licensing violations committed by applicants and licensees through investigations and examinations of selected insurance producers and other entities. Depending on complaint volume, regulatory needs and other circumstances, approximately 200 investigations and examinations will be conducted each year.
4. Protect consumers by providing information needed to make decisions on a broad range of insurance issues and assisting consumers with specific concerns pertaining to coverage, claims, underwriting and other consumer financial issues.
   a. To educate and provide useful information to current and future insurance consumers by conducting 100 presentations at high schools across the State utilizing Driver Education and Consumer Education classes.
   b. To provide specialized assistance during disasters by providing, within 24 hours of a declaration of the disaster, contact with necessary parties, and when necessary, on-site consumer education and information at all sites declared as state disaster areas in the fiscal year.
   c. To maintain an annual base of 100 radio presentations, with an estimated audience of approximately 200,000 listeners, through which questions are answered and information is provided to insurance consumers in Illinois during the fiscal year.
   d. To attend Department of Commerce and Economic Opportunity Rapid Response meetings when called and provide laid-off or soon-to-be unemployed consumers with information regarding the importance of understanding Credit History and Scoring as used in insurance, options regarding 401K accounts, along with information regarding other financial decisions made by these consumers.
   e. To make presentations at educational seminars conducted by trade groups, associations, labor unions and business organizations regarding the functions and duties of the Department of Insurance relating to insurance and financial issues.
   f. To act as the Department's Outreach contact with communities, insurance companies, insurance trade associations and other entities seeking educational information or assistance from the Department of Insurance.

Source of Funds: Senior Health Insurance Program Fund, Insurance Producer Administration Fund ***Statutory Authority:*** 215 ILCS 5/500-135

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$14,631.0	$17,885.9	$19,420.0	$17,420.6	$22,804.5
* Total expenditures - state appropriated funds (in thousands)	$14,631.0	$17,885.9	$19,420.0	$17,420.6	$22,804.5
* Average monthly full-time equivalents	110.0	118.0	120.0	109.0	150.0
Output Indicators					
* Number of LA&H & P&C consumer complaints closed	8,822	8,788	9,000	7,715	8,486
* Number of written inquiries responded to (oral, written, internet) also includes Chicago (a)	97,286	101,632	115,000	55,236	58,360
* Number of LA&H and P&C market conduct exams completed	42.0	19.0	30.0	32.0	30.0
* Number of market conduct corrective orders issued	5.0	12.0	15.0	26.0	15.0
* Number of new/renewal licenses processed by Producer Licensing Section	86,269	112,486	111,000	88,588	91,000
* Number of LA&H and P&C form and rate filings processed	56,708	44,773	47,613	42,533	45,650

Division of Insurance, Consumer Market Division (Concluded)					
	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
* Number of people reached by Senior Health Insurance Plan (SHIP) activities (b)	2,566,000	3,025,000	3,050,000	N/A	N/A
* Number of SHIP 800 calls (b)	33,627	26,622	26,000	N/A	N/A
* Number of volunteer hours (SHIP) (b)	60,000	95,220	97,000	N/A	N/A
* Cost Containment-Civil penalties collected (in dollars)	$0.00	$0.00	$0.00	$0.00	$0.00
* Utilization Review Applications Processed (URO renewals are based upon a two year cycle)	121.0	169.0	180.0	143.0	150.0
* PPO Annual Renewal Applications processed	123.0	31.0	50.0	127.0	135.0
* HMO complaints closed	461.0	344.0	355.0	334.0	380.0
* HMO policy form filings processed	382.0	170.0	182.0	395.0	400.0
* Number of people reached by Outreach's radio presentations (c)	2,600,000	2,600,000	2,600,000	200,000	250,000
* Number of Fact Sheets available on Department Internet Site	92.0	96.0	115.0	94.0	105.0
* Number of Driver Education presentations by Outreach Unit	300.0	196.0	150.0	100.0	100.0
* Number of other and senior citizens presentations by Outreach Unit	162.0	300.0	325.0	325.0	200.0
* Number of External Reviews closed	N/A	685.0	960.0	1,198	1,080
Outcome Indicators					
* Reduction in number of P&C companies with over 5 complaints closed, whose average consumer complaint response time is greater than 21 days	2.0	2.0	3.0	1.0	1.0
* Reduction in number of LA&H companies with over 5 complaints closed, whose average consumer complaint response time is greater than 21 days	2.0	3.0	3.0	2.0	2.0
* Percentage of auto/homeowners insurers complying with prompt payment standards	95 %	95 %	95 %	95 %	95 %
* Percentage reduction in companies not in compliance with previous market conduct examination orders	0 %	33 %	25 %	0 %	0 %
* Additional claim dollars paid to consumers (in dollars)	$4,334.00	$72,965.00	$75,000.00	$24,304.00	$25,000.00
* Premium overcharges returned to consumers (in dollars)	$614.00	$300.00	$5,000.00	$3,677.00	$1,000.00
Efficiency/Cost-Effectiveness Indicators					
* Percentage of new license applications processed electronically, within one working day	96 %	100 %	100 %	100 %	100 %
* Percentage of renewal license applications processed electronically, within one working day	96 %	100 %	100 %	100 %	100 %
* Percentage of new paper license applications processed electronically, within one working day	33 %	63 %	63 %	97 %	98 %
* Percentage of renewal paper license applications processed electronically, within one working day	33 %	63 %	63 %	98 %	98 %

Footnotes

(a) Fiscal year 2013, written inquiries decreased dramatically due to the fact that the Senior Health Insurance Program (SHIP), is no longer reporting data for this indicator.

(b) Fiscal year 2013 actual and fiscal year 2014 target projected numbers are not available, due to the fact that the SHIP program was transferred to the Illinois Department on Aging in fiscal year 2013.

(c) Number of people reached by Outreach's radio presentations decreased dramatically due to the fact that the SHIP radio presentation numbers are not included in fiscal year 2013 actual numbers.

Department of Human Rights
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Charge Processing	$9,731.0	145.0	$9,945.1	122.0
Compliance	$2,277.0	11.0	$930.2	11.0
Commission on Discrimination and Hate Crimes	$155.8	0.0	$77.5	0.0
Non-Reporting Programs				
Administration	$0.0	N/A	$1,383.9	11.0
Totals	$12,163.8	156.0	$12,336.7	144.0

Mission and Organization

The Department of Human Rights was created by the Human Rights Act (Act). The Act prohibits discrimination in Illinois with respect to employment, financial credit, public accommodations and real estate transactions on the basis of race, color, sex, religion, national origin, ancestry, military status, age (40 and over), marital status, unfavorable military discharge, physical and mental handicap and sexual orientation. The Act also prohibits sexual harassment in employment and higher education and prohibits discrimination in employment based upon citizenship status and discrimination based on familial status in real estate transactions. The Act requires every state executive office, state agency, board, commission and instrumentality to rigorously address affirmative action and provide equality of opportunity in employment.

The Charge Processing program investigates charges of unlawful discrimination and issues determinations on whether there is substantial evidence of discrimination. The Department offers mediation of non-housing charges through its human rights mediators prior to the full investigation to encourage a settlement between parties. If resolution efforts are unsuccessful and the Department finds substantial evidence of discrimination, a complaint is filed with the Illinois Human Rights Commission. If the Department finds a lack of substantial evidence of discrimination, the complainant may file a request for review of the finding with the Department's Chief Legal Counsel. For charges filed on or after January 1, 2008, complainants may file a request for review with the Human Rights Commission or commence a civil action in the state circuit court.

The Legal department enforces the department's regulations to ensure state agencies comply with equal employment opportunity and affirmative action requirements. The department enforces provisions of the Act and the Department's rules that require Illinois public contractors and eligible bidders to refrain from unlawful discrimination, undertake affirmative action in employment, and develop a written sexual harassment policy.

Charge Processing

Mission Statement: Conduct fair and impartial investigations and review charges of unlawful discrimination in employment, public accommodations, housing, financial credit and sexual harassment in employment and education.

Program Goals: Objectives:

1. Provide accurate and thorough investigations in a timely manner.
   a. Decrease time necessary for investigations while ensuring good quality investigations and reports.
2. Maintain good relationship with the Equal Employment Opportunity Commission (EEOC) and the U.S. Department of Housing and Urban Development (HUD) leading to annual contract renewal.
   a. Fulfill EEOC contract requirements annually and maintain a good working relationship leading to annual contract renewals with EEOC.
3. Provide a request for review to the Illinois Department of Human Rights of dismissals in accordance with the Human Rights Act and its Rules and Regulations.
4. Assist parties to mediate charges as an alternative to investigation.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 775 IICS 5/1-101 et seq

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$9,878.8	$9,731.0	$9,800.0	$9,945.1	$9,900.0
* Total expenditures - state appropriated funds (in thousands)	$9,878.8	$9,731.0	$9,800.0	$9,945.1	$9,900.0
* Average monthly full-time equivalents	136.0	145.0	145.0	122.0	125.0
Output Indicators					
* Number of inquiries handled	11,936	12,131	12,000	10,760	11,000
* Number of investigations completed	3,430	3,552	3,500	3,850	4,000
* End of month carryover (number of cases pending appeal)	30.0	30.0	30.0	9.0	10.0
* Number of charges filed	3,994	4,097	4,000	3,759	4,000
* Average number of days from filing to completion	257.0	293.0	300.0	269.0	300.0
* Average number of days from assignment to completion	102.0	116.0	125.0	97.0	125.0
Outcome Indicators					
* Percentage of cases returned to investigation for additional work	30 %	40 %	30 %	30 %	30 %
* Percentage of conciliation conferences resulting in settlement	5 %	5 %	5 %	10.5 %	10 %
* Number of case closures rejected by EEOC	6.0	37.0	10.0	4.0	10.0
* Percentage of charges settled through mediation	5 %	5 %	5 %	5 %	5 %
* Percentage of cases sustained vs. overturned in appellate court	95 %	96 %	95 %	96 %	95 %

Commission on Discrimination and Hate Crimes

Mission Statement: To work with individuals, communities and local governments to resolve conflicts that arise based on a person's perceived or actual race, ethnicity, disability, religion, gender or sexual orientation.

To provide discrimination and hate prevention methods and training to businesses, law enforcement, educators, students and communities.

Program Goals: Objectives:

1. Create new Executive Order for the Commission.
   a. Establish new Commission and Commissioners.
   b. Develop guidelines for operations.
   c. Create a youth leadership taskforce/sub-committee.
2. Help to ensure that state and local governments effectively respond to incidents of discrimination and hate crimes in a swift and appropriate manner.
   a. Provide training workshops and seminars to businesses, law enforcement, prosecutors, religious leaders, schools, community organizations and social service agencies in the areas of diversity awareness, sexual harassment, hate crimes response and combating hate.
   b. Provide community symposium throughout the state for both youth and adults.
   c. Develop a community resource guide.
3. Work with community leaders, social service agencies, elected officials and the public to identify and uproot sources of discrimination and bias by way of education and outreach.
   a. Participate in community forums, workshops and other outreach events.
   b. Erect displays to celebrate and educate the public on diverse cultures.
4. Make statutory and programmatic recommendations to the Governor and General Assembly designed to address current issues and trends in the pursuit of eliminating discrimination and hate-based violence across the state.
   a. Identify issues that call for change in the Human Rights Act or the Hate Crimes Statute.
   b. Lobby legislators for passage of new legislation.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 775 ILCS 5/1-101 et seq

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$77.5	$155.8	$155.8	$77.5	$77.5
* Total expenditures - state appropriated funds (in thousands)	$77.5	$155.8	$155.8	$77.5	$77.5
* Average monthly full-time equivalents	0.0	0.0	0.0	0.0	0.0
Output Indicators					
* Number of training modules developed/updated	11.0	15.0	15.0	13.0	15.0
* Number of participants trained through Commission on Discrimination and Hate Crimes (CDHC) Training Institute	3,619	2,840	3,000	2,464	2,600
* Number of training classes held	145.0	101.0	100.0	79.0	100.0
* Classroom hours devoted to training	600.0	303.0	300.0	237.0	250.0
* Number of community outreach events	154.0	145.0	145.0	181.0	180.0
* Number of people reached at community outreach events	15,675	15,166	15,166	11,454	11,500
Outcome Indicators					
* Participation satisfaction with CDHC Training Institute	95 %	99 %	95 %	98 %	95 %
* Annual report data submitted to the Governor and members of the General Assembly	1.0	1.0	1.0	1.0	1.0

Illinois Human Rights Commission
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Adjudication of Complaints Under the Human Rights Act	$1,902.9	21.0	$1,659.8	19.0
Non-Reporting Programs				
Totals	$1,902.9	21.0	$1,659.8	19.0

Mission and Organization

`The agency's purpose is to provide a fair and efficient forum for unbiased adjudication of complaints including Requests for Review under the Human Rights Act. The volume of cases brought to the agency has increased over 50% since the enactment of H.B. 1509 (P.A. 95-243) in 2007. However, due to budget constraints, resources have not matched these geometric progressions of cases. Over 97% of cases reviewed by the agency have been upheld. One would expect that given the success of our operation and professionalism associated thereof, consideration would be given to the agency relative to resources provision; this is not our experience in the past three years. We hope and appeal to the legislature to take cognizance of the significance of this agency's operation and invariably provide the necessary funding in the years to come.

Adjudication of Complaints Under the Human Rights Act

Mission Statement: To provide a fair and efficient forum for adjudication.

Program Goals: Objectives:

1. Freedom from unlawful discrimination against any individual because of his or her race, color, religion, sex, national origin, ancestry, age, marital status, physical or mental handicap, military status, sexual orientation, or unfavorable discharge from military service in connection with employment, real estate transactions, access to financial credit, and the availability of public accommodations.

Source of Funds: General Revenue Fund ***Statutory Authority:*** 775 ILCS 5/1-101

	Fiscal Year 2011 Actual	*Fiscal Year 2012 Actual*	*Fiscal Year 2013 Target/Projected*	*Fiscal Year 2013 Actual*	*Fiscal Year 2014 Target/Projected*
Input Indicators					
* Total expenditures - all sources (in thousands)	$1,909.8	$1,902.9	$1,755.0	$1,659.8	$2,099.4
* Total expenditures - state appropriated funds (in thousands)	$1,909.8	$1,902.9	$1,755.0	$1,659.8	$2,099.4
* Average monthly full-time equivalents	23.0	21.0	21.0	19.0	19.0
Output Indicators					
* Number of cases filed with the Commission	263.0	242.0	250.0	155.0	115.0
* Number of requests for review filed with the Commission	510.0	544.0	600.0	510.0	450.0
Outcome Indicators					
* Percent of filed cases disposed of by the commission annually	76 %	86 %	80 %	72 %	80 %
* Percent of request for review cases disposed of annually	71 %	43 %	30 %	85 %	75 %

Illinois Power Agency
(Appropriated Spending in Thousands)

	FY2012		FY2013	
Reporting Programs	**Expenditures**	**Headcount**	**Expenditures**	**Headcount**
Electricity, Renewable Resources and Clean Coal Resource Procurement	$3,614.7	2.3	$1,106.2	4.0
Non-Reporting Programs				
Totals	$3,614.7	2.3	$1,106.2	4.0

Mission and Organization

The Illinois Power Agency Act seeks to provide Illinois electric consumers with adequate, reliable, affordable, efficient, and environmentally sustainable electric service at the lowest total cost over time. To that end, the Illinois Power Agency ("IPA") was created and is required by statute to develop electricity procurement plans to ensure electric service at the lowest total cost, taking into account any benefits of price stability. The procurement plan is updated on an annual basis and includes renewable energy resources, clean coal resources, demand response and energy efficiency.

In fiscal year 2013, based upon the approved procurement plan, the IPA did not undertake any new procurement of energy resources. They Agency entered into contracts to buy up to 121,620 Renewable Energy Credits, marking the first use of the Renewable Energy Resources Fund.

In fiscal year 2013 a Planning and Procurement Bureau Chief was hired, and a new Acting Director appointed by the Executive Ethics Commission. Subsequent to fiscal year 2013 to better fulfill the operational needs of the Agency, a Chief Operating Officer was hired in September 2013 to focus on the Agency's audit findings and overall internal control environment. Additional hires are anticipated for fiscal year 2014.

Electricity, Renewable Resources and Clean Coal Resource Procurement

Mission Statement: To execute the required advisory, planning and procurement processes necessary to implement the provisions of the Illinois Power Agency Act.

Program Goals: Objectives:

1. Develop annual electricity procurement plans for ComEd and Ameren eligible retail customers and submit to the Illinois Commerce Commission for approval.
   a. Include cost-effective renewable resources sufficient to meet the standards specified in the Illinois Power Agency Act.
   b. Include clean coal resources to the extent provided for in the Act.
   c. Develop a diverse supply portfolio plan, including consideration of demand response and energy efficiency as provided by law, to assist with achieving the lowest total cost to consumers over time, taking into account the benefits of price stability.
2. Conduct competitive procurement processes to procure the resources identified in the plan and as approved by the Illinois Commerce Commission.
   a. Ensure appropriate price benchmarks are established.
   b. Secure qualified procurement administrators.
   c. Assess compliance with plans and provide expert advice to the Illinois Commerce Commission and the procurement administrators.
3. Develop and facilitate clean coal investment as provided for by law.
   a. Facilitate Synthetic Natural Gas (SNG) sourcing agreements between producers and the applicable gas utilities.
   b. Develop staff expertise in project financing, gas and coal markets and risk analysis.

Source of Funds: Illinois Power Agency Operations Fund ***Statutory Authority:*** 20 ILCS 3855

	Fiscal Year 2011 Actual	***Fiscal Year 2012 Actual***	***Fiscal Year 2013 Target/Projected***	***Fiscal Year 2013 Actual(a)***	***Fiscal Year 2014 Target/Projected(b)***
Input Indicators					
* Total expenditures - all sources (in thousands)	$4,093.3	$3,614.7	$3,413.5	$1,106.2	$4,866.5
* Total expenditures - state appropriated funds (in thousands)	$4,093.3	$3,614.7	$3,413.5	$1,106.2	$4,866.5
* Average monthly full-time equivalents	1.5	2.3	4.0	4.0	6.0
Output Indicators					
* Number of residential customers in the Ameren region taking fixed price supply as of May	1,042,821	956,043	N/A	480,758	N/A
* Number of residential customers in the ComEd region taking fixed price supply as of May	3,371,501	2,993,667	N/A	1,093,713	N/A
Outcome Indicators					
* Procurement Plan approval by the Illinois Commerce Commission	Yes	Yes	Yes	Yes	Yes
* All required procurement event outcomes approved by the Illinois Commerce Commission	Yes	Yes	Yes	N/A	Yes
Efficiency/Cost-Effectiveness Indicators					
* Renewable resource targets are met within the constraints of mandated cost caps	Yes	Yes	Yes	Yes	Yes

Footnotes

(a) The Total Expenditures for Fiscal Year 2013 Actual ($1,106.2 thousand) includes an interfund cash transfer ($36.3 thousand).

(b) As a result of a potential legislative change during fiscal year 2014 impacting the Renewable Energy Resources Fund (RERF), the IPA's projected fiscal year 2014 "Total Agency Expenditures" may change substantially, which would be reported on the fiscal year 2014 IPA PAR submission.